Conflict of Interest - Disqualification

Brief

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<tr>
<th>Title:</th>
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<tr>
<td>Publication date:</td>
<td>10/7/2013</td>
</tr>
<tr>
<td>Effective date:</td>
<td>8/2/2010</td>
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BRIEF

Policy Summary

All Berkeley Lab employees must disqualify themselves from making or participating in decisions in which they have a personal financial interest.

Who Should Read This Policy

This policy applies to all employees.

To Read the Full Policy, Go To:

The POLICY tab on this wiki page

Contact Information

Research and Institutional Integrity Office
RIIO@lbl.gov

Policy

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POLICY

A. Purpose

Lawrence Berkeley National Laboratory (Berkeley Lab) requires all employees to disqualify themselves from making or participating in decisions in which they have a personal financial interest.

B. Persons Affected

This policy applies to all employees.
C. Exceptions

Contact the Research and Institutional Integrity Office for information about very limited exceptions available under state law.

D. Policy Statement

California’s Political Reform Act of 1974, embodied in the University of California (UC) Conflict of Interest Code, requires all state employees and officials to disqualify themselves from making or participating in certain decisions when a financial conflict of interest is present. The Act also prohibits UC employees from making, participating in, making, or influencing a governmental decision that directly relates to a prospective employer (Section 87407, Regulation 18747.) As UC employees, all Berkeley Lab employees are covered by the provisions of the Act.

E. Roles and Responsibilities

All employees have the responsibility to adhere to the provisions of this policy.

F. Definitions/Acronyms

None

G. Recordkeeping Requirements

None

H. Implementing Documents

None

I. Contact Information

Research and Institutional Integrity Office
RIIO@lbl.gov

J. Revision History

<table>
<thead>
<tr>
<th>Date</th>
<th>Revision</th>
<th>By whom</th>
<th>Revision Description</th>
<th>Section(s) affected</th>
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<td>10/7/2013</td>
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<td>M. Stoufer</td>
<td>Reviewed 10/3/13, no changes</td>
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<td>6/26/2012</td>
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<td>M. Stoufer</td>
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Document Information

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Effective date: 8/2/2010
Next review date: 10/7/2016
Policy Area: Restrictions on Outside Activities
RPM Section (home) Conflict of Interest
RPM Section (cross-reference) 10.03
Functional Division Research and Institutional Integrity Office
Prior reference information (optional) RPM Section 10.03

Source Requirements Documents
- University of California Conflict of Interest Code
- California Fair Political Practices Commission (FPPC)
- California Political Reform Act (PRA)

Implementing Documents
None