

# Supernovae and Neutron Stars

## Part II

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# The Neutrino Mechanism

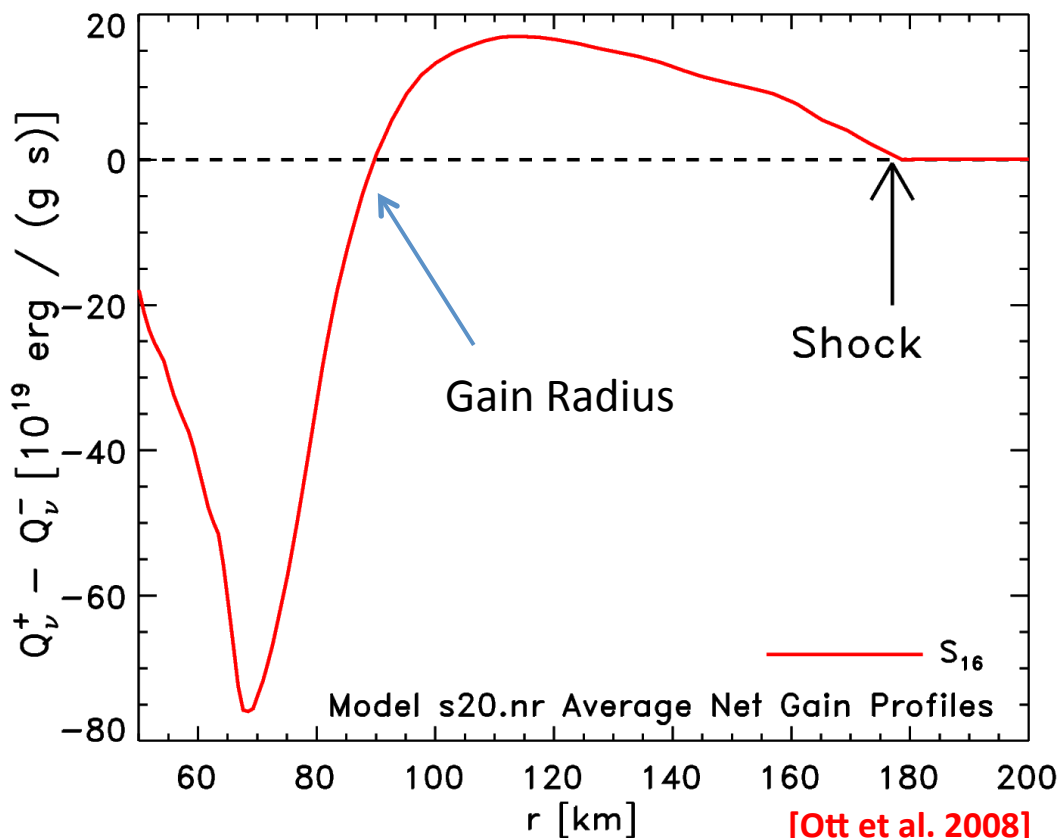
Neutrino cooling:  $Q_{\nu}^{-} \propto T^6$

Net heating where:

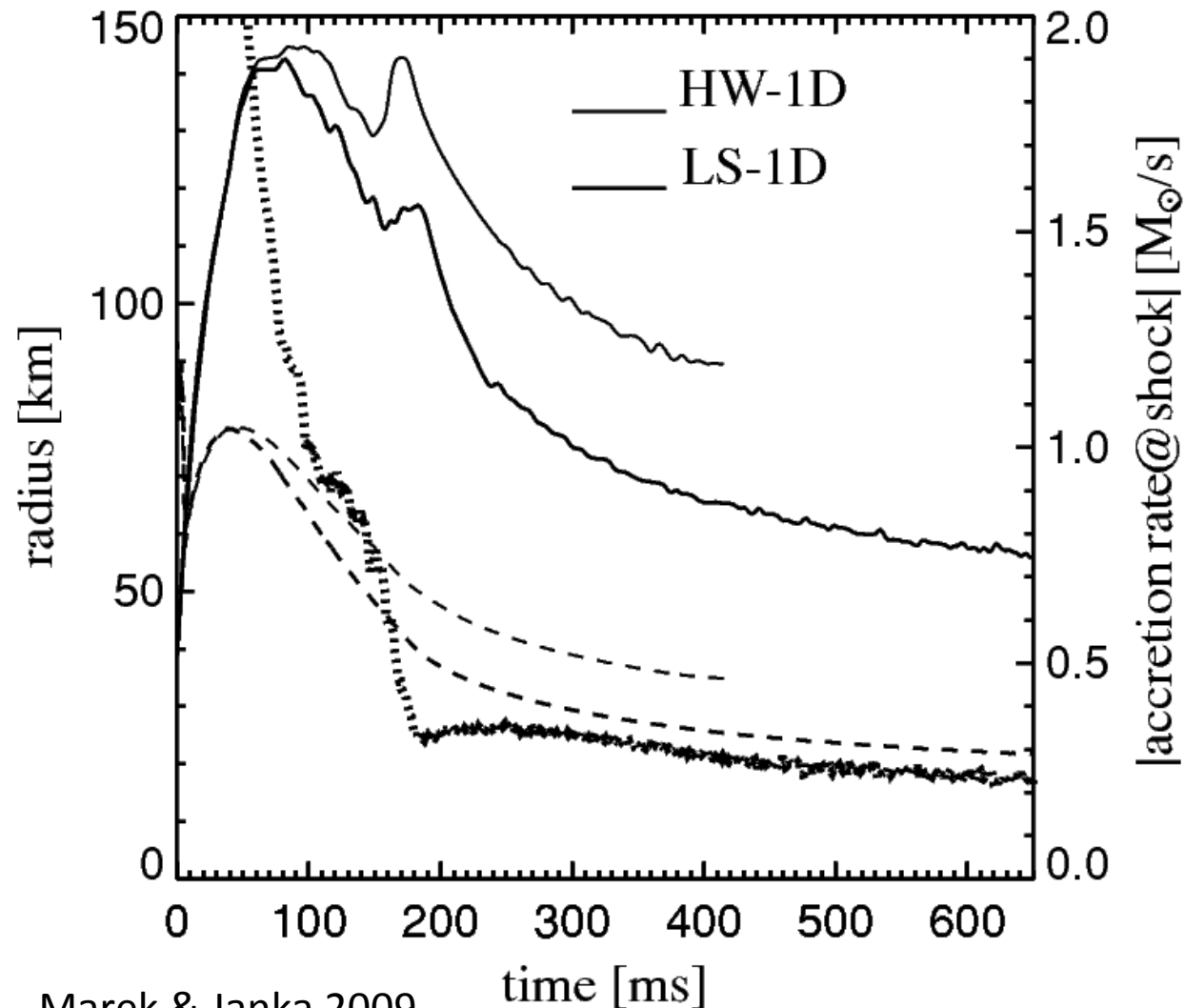
Neutrino heating:  $Q_{\nu}^{+} \propto L_{\nu} r^{-2} \langle \epsilon_{\nu}^2 \rangle$

$$Q_{\nu}^{+} > Q_{\nu}^{-}$$

- **Neutrino-driven mechanism:**  
Based on subtle imbalance between neutrino heating and cooling in postshock region.



# Failure of the Neutrino Mechanism in 1D



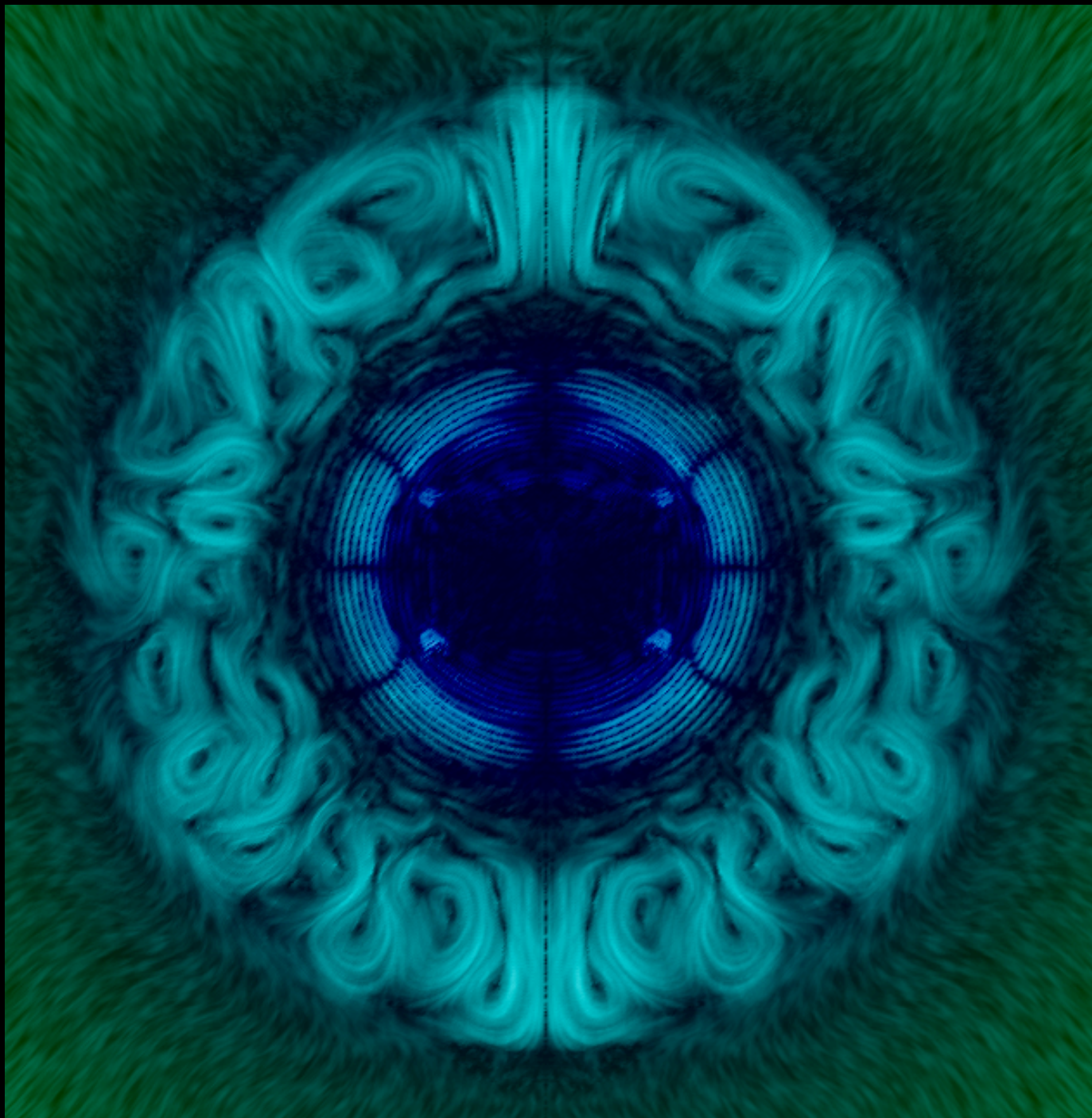
Marek &amp; Janka 2009

## Anyway... What next?

- Why does the neutrino mechanism fail in 1D?
- Is dimensionality an issue? What is 1D missing?
  - Rotation and magnetohydrodynamics (MHD)
  - Convection/Turbulence
  - Other multi-D processes; e.g., pulsations
- First multi-D radiation-hydrodynamics simulations:
  - early to mid 1990s:  
Herant et al. 1994, Burrows et al. 1995, Janka & Müller 1996.









## Convection

- Ledoux criterion for instability:

$$C_L \equiv \left( \frac{\partial \rho}{\partial s} \right) \Big|_{Y,p} \frac{ds}{dr} + \left( \frac{\partial \rho}{\partial Y} \right) \Big|_{s,p} \frac{dY}{dr}$$

Entropy Gradient

< 0

< 0

Lepton Gradient

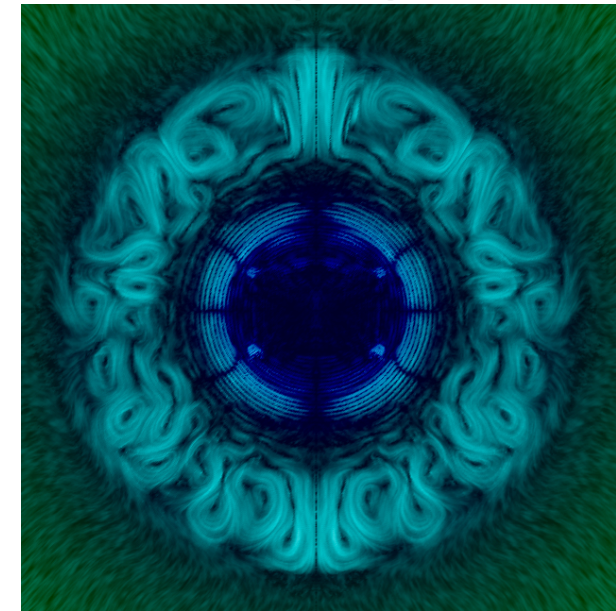
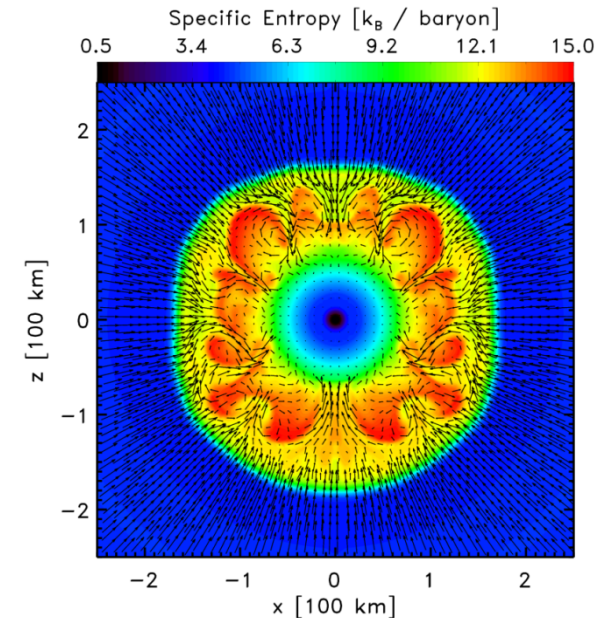
- $C_L > 0 \rightarrow$  convective instability.

- Postbounce supernova cores:

- Negative entropy gradient in postshock region  $\rightarrow$  convection
- Negative entropy region inside the neutrinosphere in the PNS  $\rightarrow$  convection

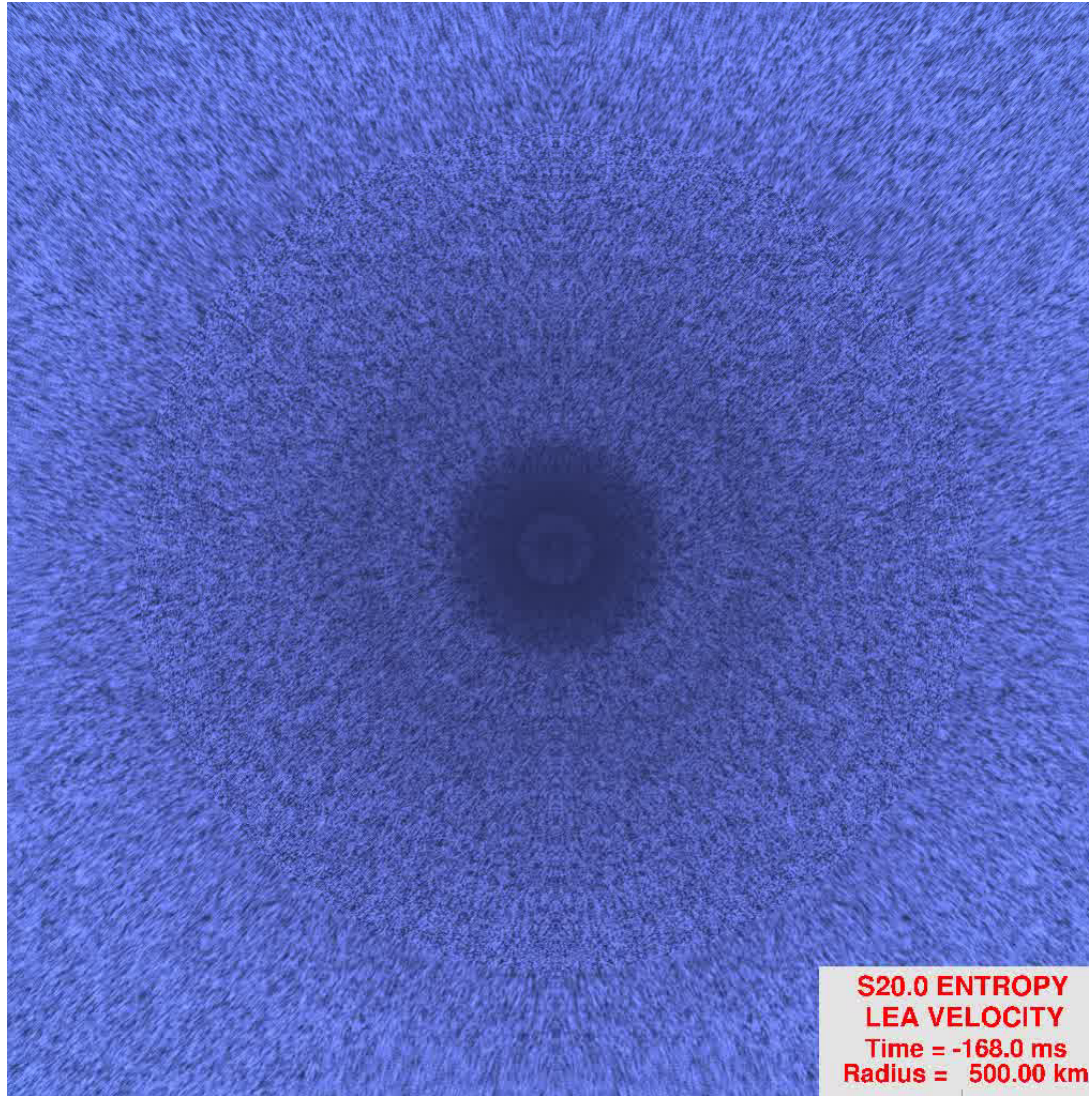
- **Important effect of convection:**

- “Dwell time” of material in the heating (“gain”) region is increased  $\rightarrow$  leads to more favorable ratio  $\tau_{\text{advect}} / \tau_{\text{heat}}$ .



# Standing Accretion Shock Instability

[Blondin et al. '03,'06; Foglizzo et al. '06, Scheck et al. '06, '07, Burrows et al. '06, '07]

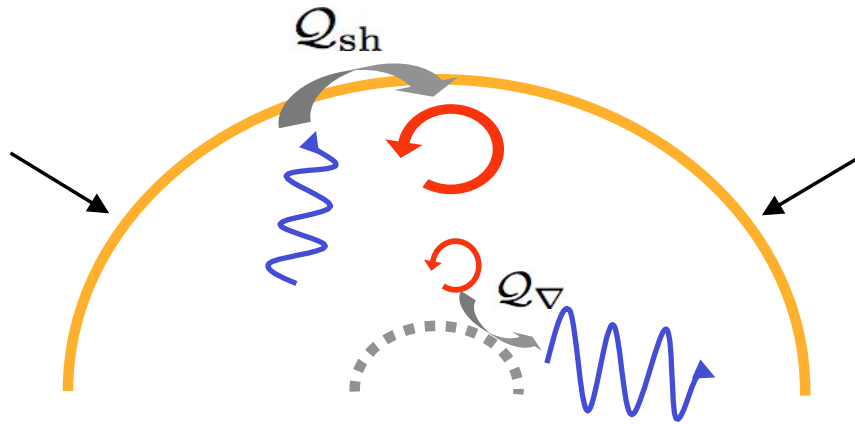


Advective-acoustic cycle  
drives shock instability.

Seen in simulations by  
all groups!

# How does the SASI work?

for details: see, e.g., Fernandez & Thompson '09ab, Foglizzo+ '06, '07, Scheck+ '08, and many others



(Source: Foglizzo)

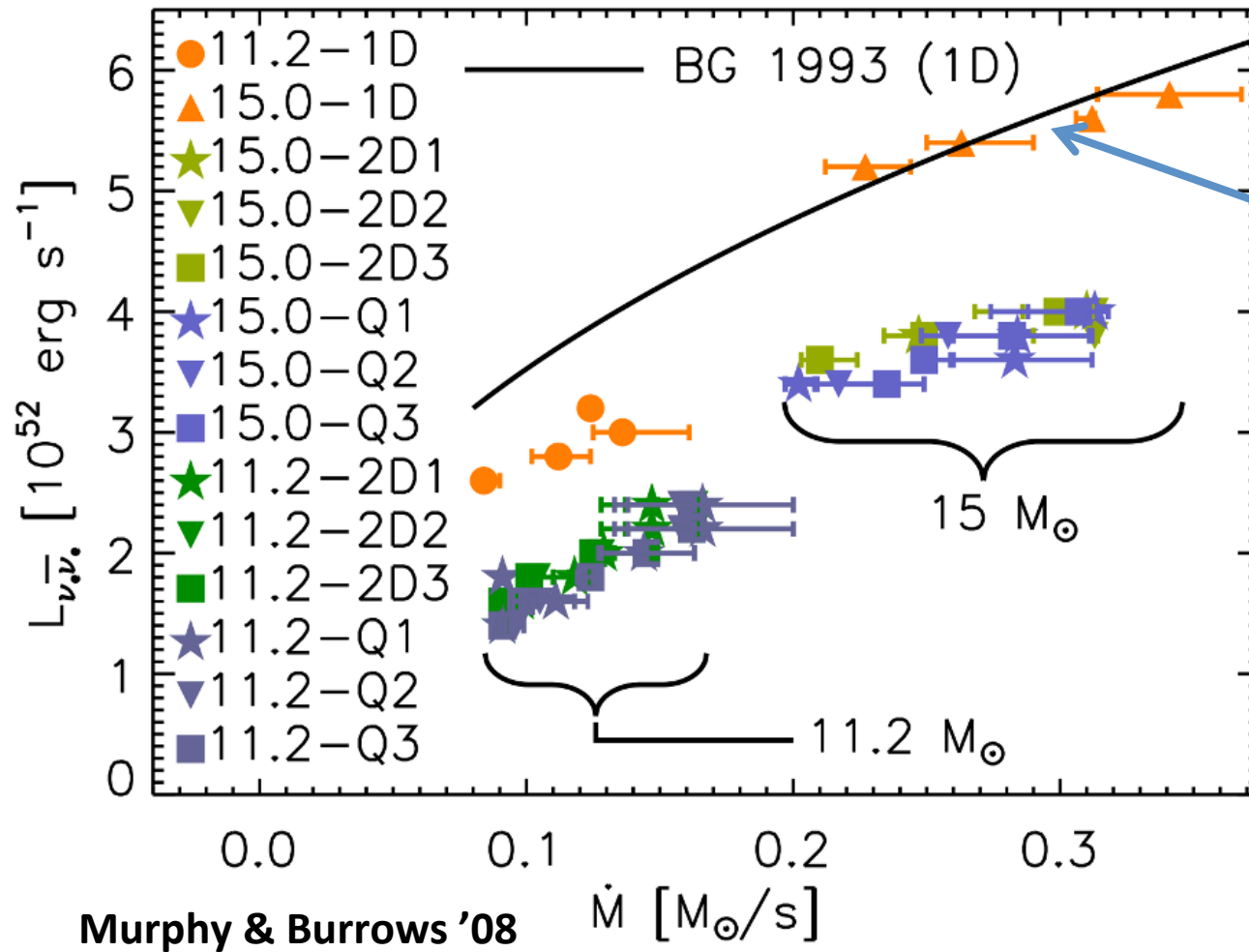
Advective-acoustic cycle.

Fastest growing mode in linear analysis:  $l = 1$

Non-linear saturation: sourcing of Kelvin-Helmholtz and Rayleigh-Taylor instability

SASI strongest if neutrino-driven convection absent, e.g., in idealized simulations w/o neutrino heating.

## 1D -&gt; 2D



Simple analytic/ODE model of Burrows & Goshy 1993. "Critical Curve"



# Results of 2D Simulations

Recent 2D work: Buras+06, Ott+08, Marek+09, Murphy+08, Suwa+10, Müller+12abc, Bruenn+12

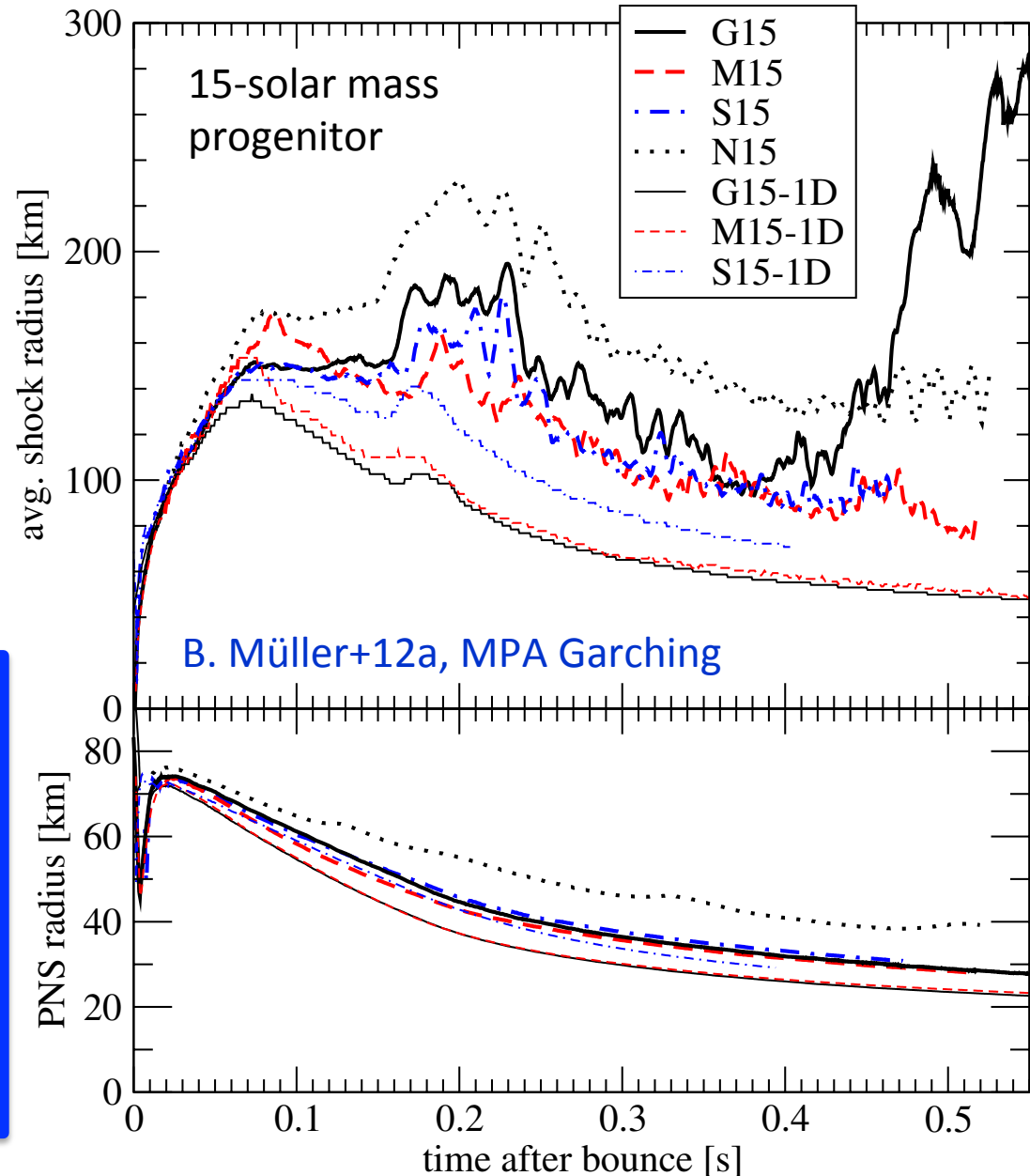
## Net effect of 2D:

“Dwell time” in heating region increases.

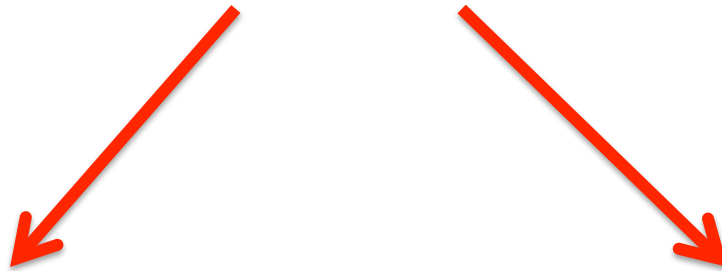
-> 2D models explode more easily.

**2D explosions still marginal and sensitive to details:**

- neutrino interactions
- GR vs. Newtonian
- different codes giving different results.



# What are we missing?



**Dimensionality?**

2D -> 3D

**Physics?**



# Missing Physics: **Neutrino Oscillations!?**

# Neutrino Oscillations

[Dasgupta, O'Connor, & Ott'12]

Georg Fuller's  
lecture!

- Multiple kinds of oscillations:

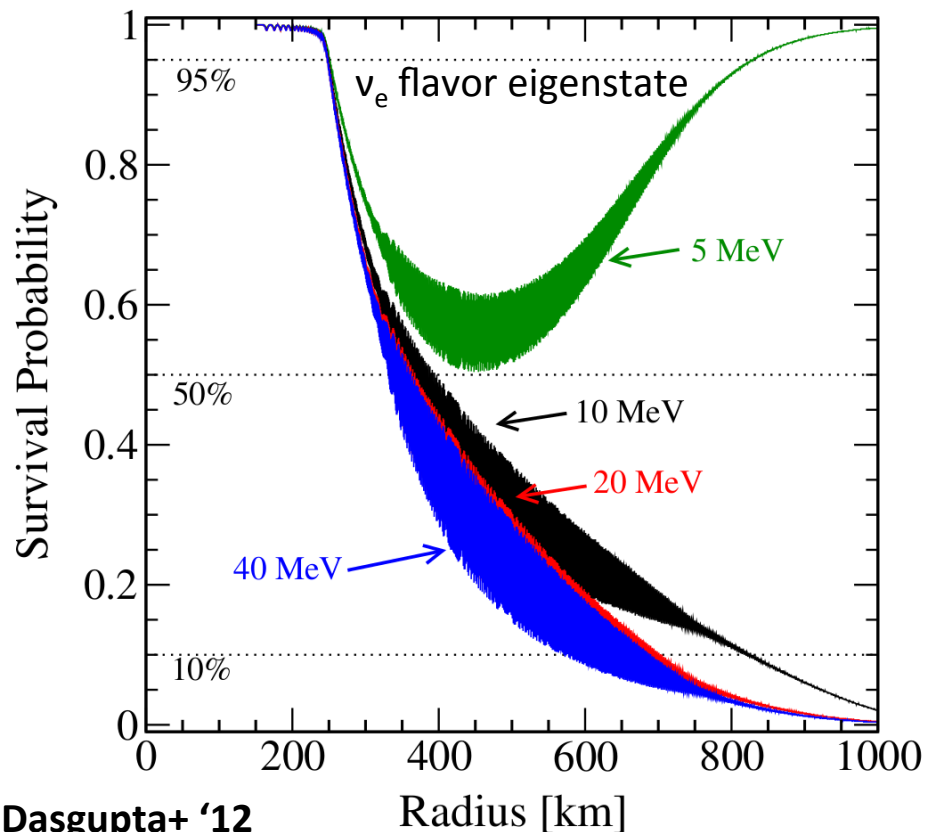
Vacuum oscillations

Mikheyev–Smirnov–Wolfenstein (MSW) effect:  $\nu$ - $e^-$  scattering

**New: Self-induced “collective” oscillations:  $\nu$ - $\nu$  scattering**

[Pantaleone '92, Hannestad/Raffelt et al. '06, Duan/Fuller et al. '06-'10, Dasgupta/Dighe '07-'11]

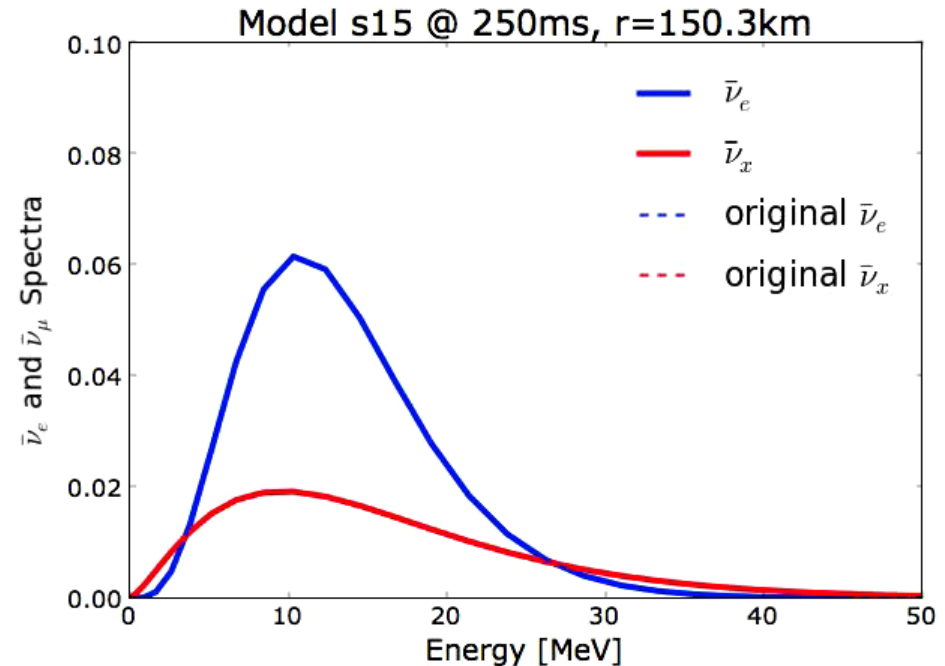
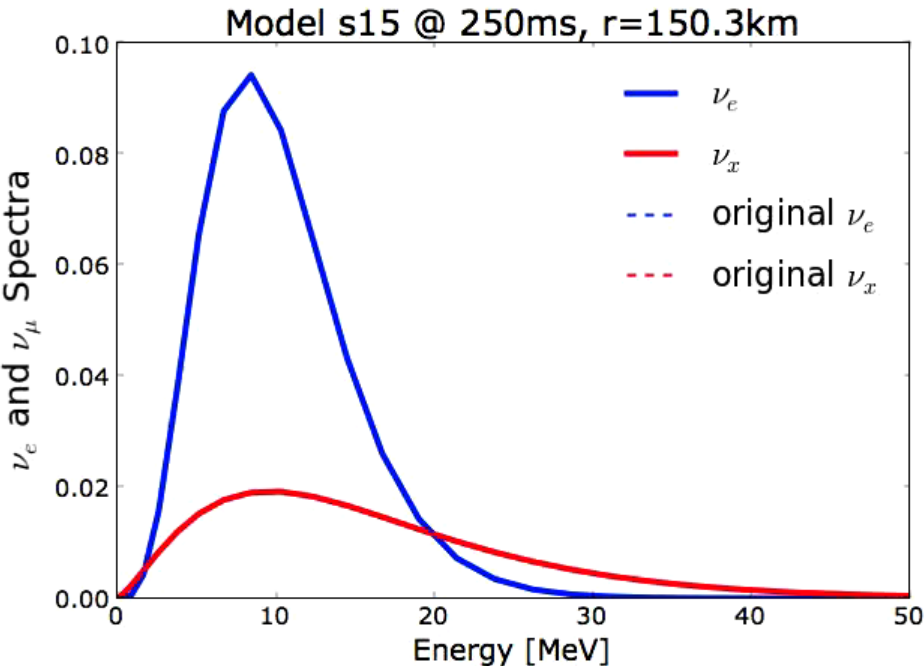
- Collective oscillations need high neutrino density  
-> *near the core of a core-collapse supernova.*



# Collective Oscillations

[Dasgupta, O'Connor, & Ott 2012]

- Example from Dasgupta, O'Connor & Ott '12 – single-angle, multi-energy, effective 2-flavor approach:  $\nu_e$ ,  $\nu_x$
- First oscillation calculation tagging on to 2D radiation-hydro simulations. Work in 1D by Hamburg & Munich groups.



**Spectral swaps!**

Movie by Evan O'Connor

# Impact of Collective Oscillations

[Dasgupta, O'Connor, & Ott '12]

- **What is the effect on the CCSN mechanism?**

Neutrino heating:

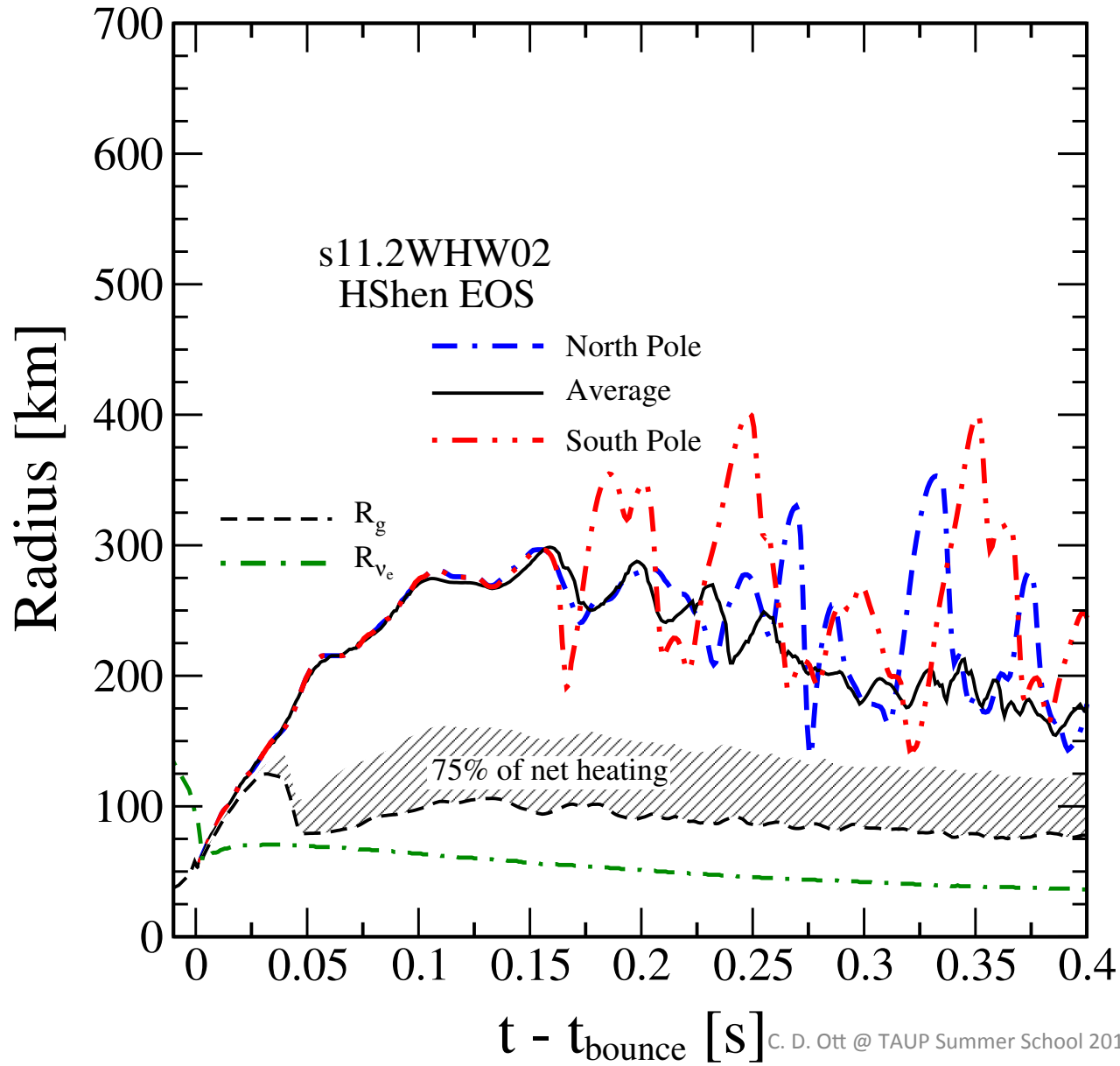
$$Q_{\nu}^{+} = \frac{X_n}{\lambda_0^a} \frac{L_{\nu_e}}{4\pi r^2} \langle E_{\nu_e}^2 \rangle \left\langle \frac{1}{F} \right\rangle + \frac{X_p}{\bar{\lambda}_0^a} \frac{L_{\bar{\nu}_e}}{4\pi r^2} \langle E_{\bar{\nu}_e}^2 \rangle \left\langle \frac{1}{\bar{F}} \right\rangle$$

Messer et al. '98  
Janka '01

- **Basic idea:** swap of  $\nu_e/\nu_x$  and anti- $\nu_e$ /anti- $\nu_x$  spectra  
-> **harder  $\nu_e$ /anti- $\nu_e$  spectra** -> **increased heating**.
- Key prerequisite:  
Oscillations must occur below shock radius,  
*ideally below gain radius.*

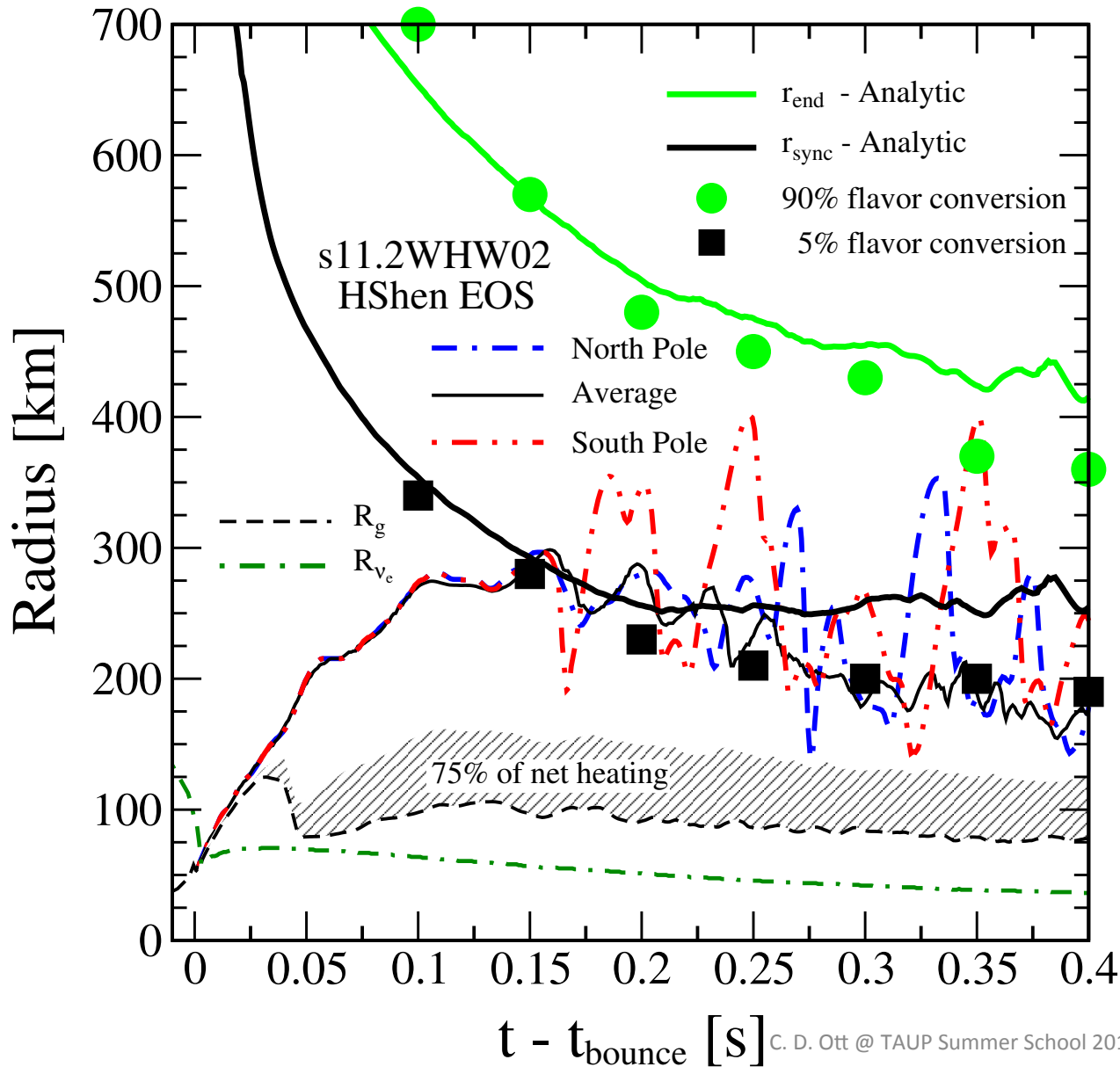
# Impact of Collective Oscillations (2)

[Dasgupta, O'Connor, & Ott '12]



# Impact of Collective Oscillations (3)

[Dasgupta, O'Connor, & Ott '12]



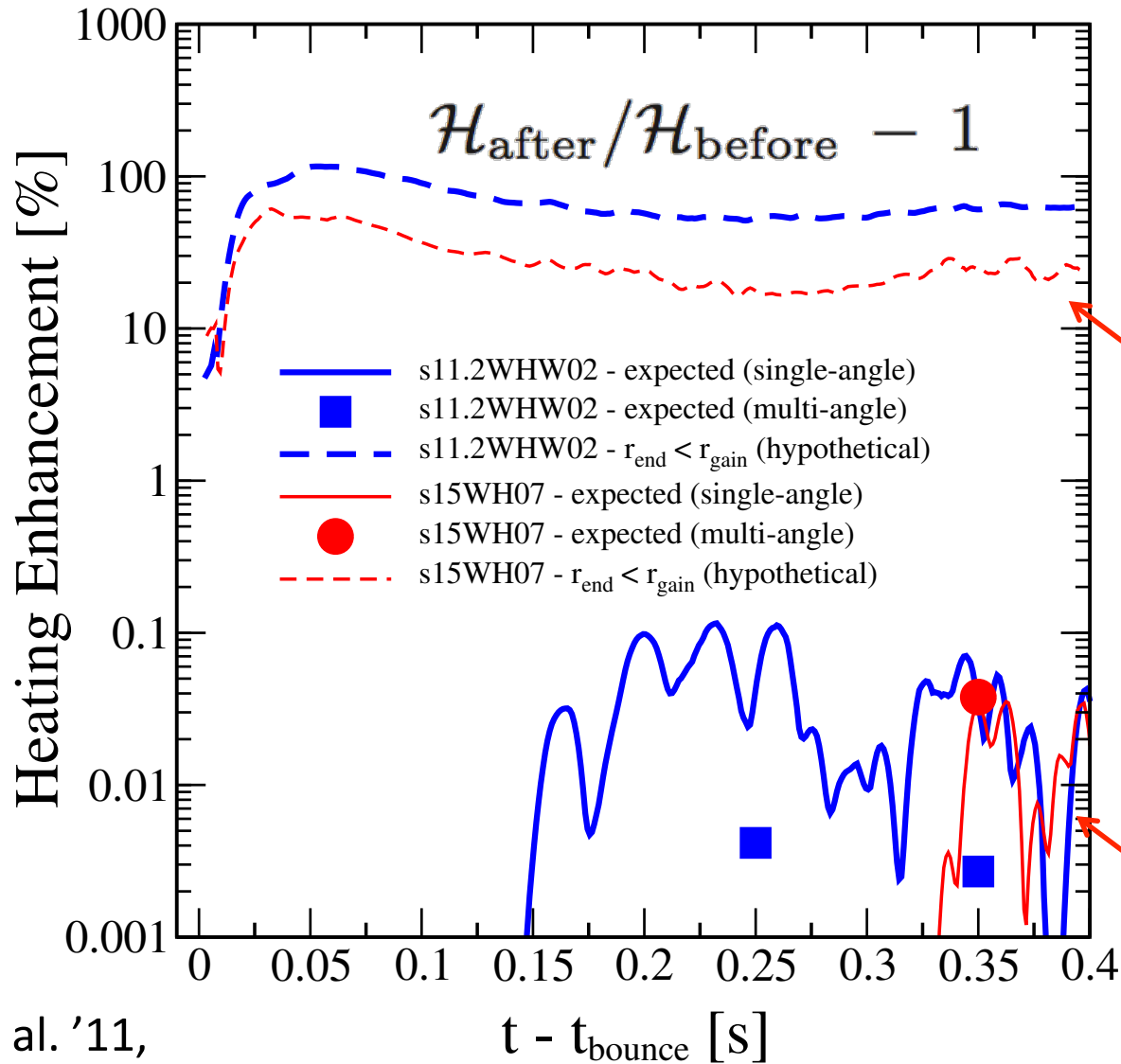
Analytic & numerical oscillation calculations based on 2D radiation fields

See also:  
Chakraborty et al. '11ab  
Suwa et al. '11  
Pejcha et al. '11

# Heating Enhancement?

[Dasgupta, O'Connor, & Ott '12]

Progenitors:  
11.2  $M_{\text{Sun}}$   
15  $M_{\text{Sun}}$   
Woosley et al. '02



optimistic  
guess by  
Suwa et al.  
(wrong!)

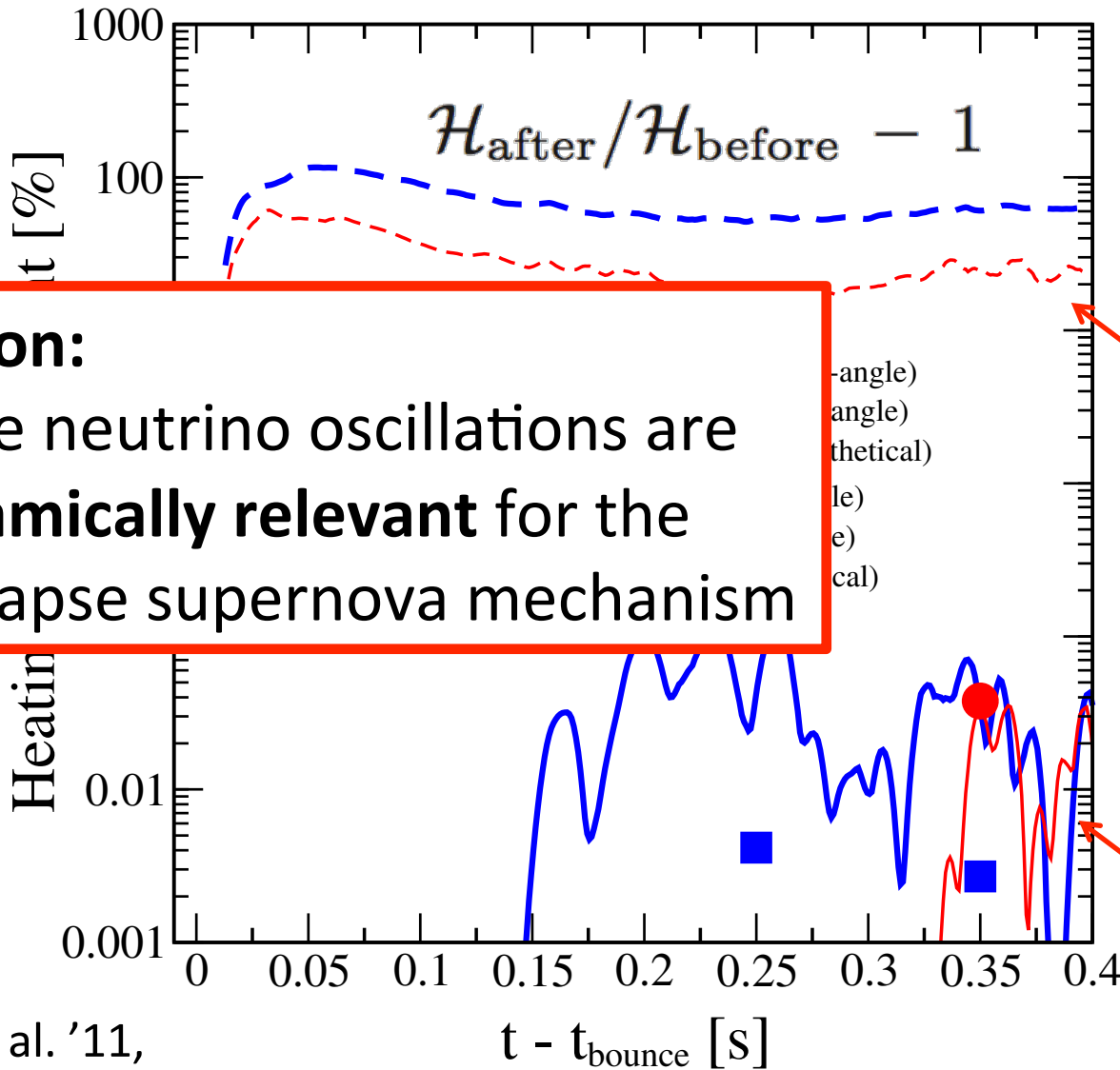
results  
from  
actual  
calculations

See also Suwa et al. '11,  
Chakraborty et al. '11ab

# Heating Enhancement?

[Dasgupta, O'Connor, & Ott '12]

Progenitors:  
 11.2  $M_{\text{Sun}}$   
 15  $M_{\text{Sun}}$   
 Woosley et al. '02



**Conclusion:**  
 Collective neutrino oscillations are  
**not dynamically relevant** for the  
 core-collapse supernova mechanism

optimistic  
 guess by  
 Suwa et al.  
 (wrong!)

results  
 from  
 actual  
 calculations

See also Suwa et al. '11,  
 Chakraborty et al. '11ab



# Interlude: Other Candidate Mechanisms

## Magnetorotational Mechanism

-> see next slide!

## Magneto-viscous/sonic Mechanism

[Akiyama+'03, Thompson+'05, Suzuki+'08, Obergaulinger+'11]

- > viscous heating by the magnetorotational instability [MRI];
- > and/or dissipation of Alfvén waves.

## Phase-Transition Induced Mechanism

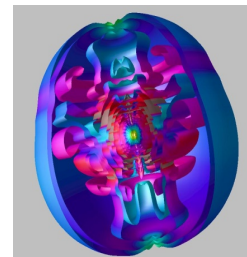
[e.g., Sagert +'09]

- > hadron-quark phase transition, leading to second collapse and bounce of protoneutron star + shock -> explosion;
- > requires soft equation of state, now disfavored.

## Acoustic Mechanism

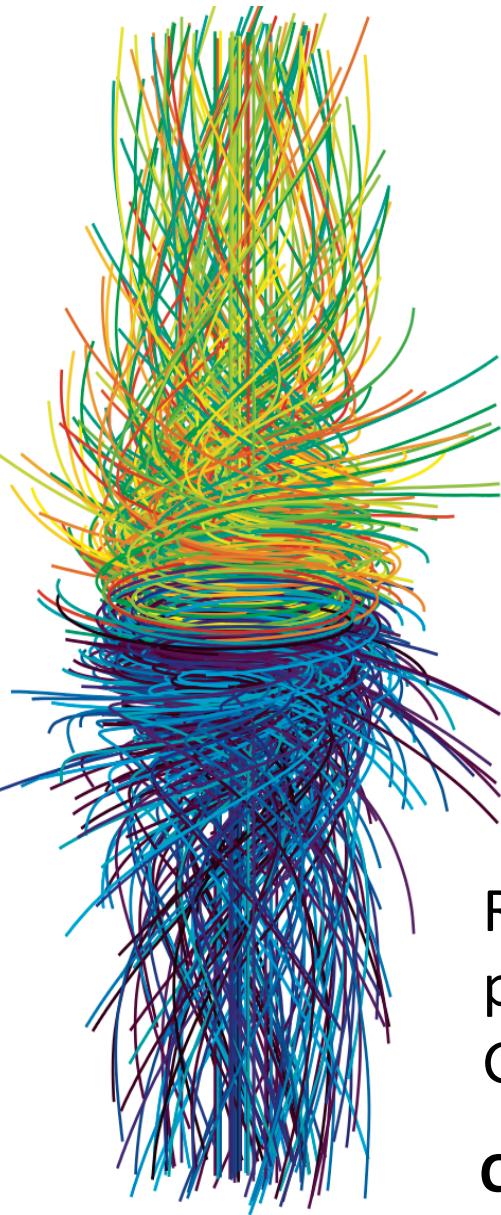
[e.g., Burrows+'06,'07, Ott+'06, Weinberg&Quataert'08]

- > excitation of protoneutron star pulsations, damping via sound waves that become shocks & dissipate -> explosion;
- > disfavored: non-linear mode couplings limit amplitudes, amplification seen only by one group.



# Magnetorotational Mechanism

[LeBlanc & Wilson 70, Bisnovatyi-Kogan 70,  
Burrows+ 07, Cerda-Duran+07, Takiwaki & Kotake 11, Winteler+ 12]



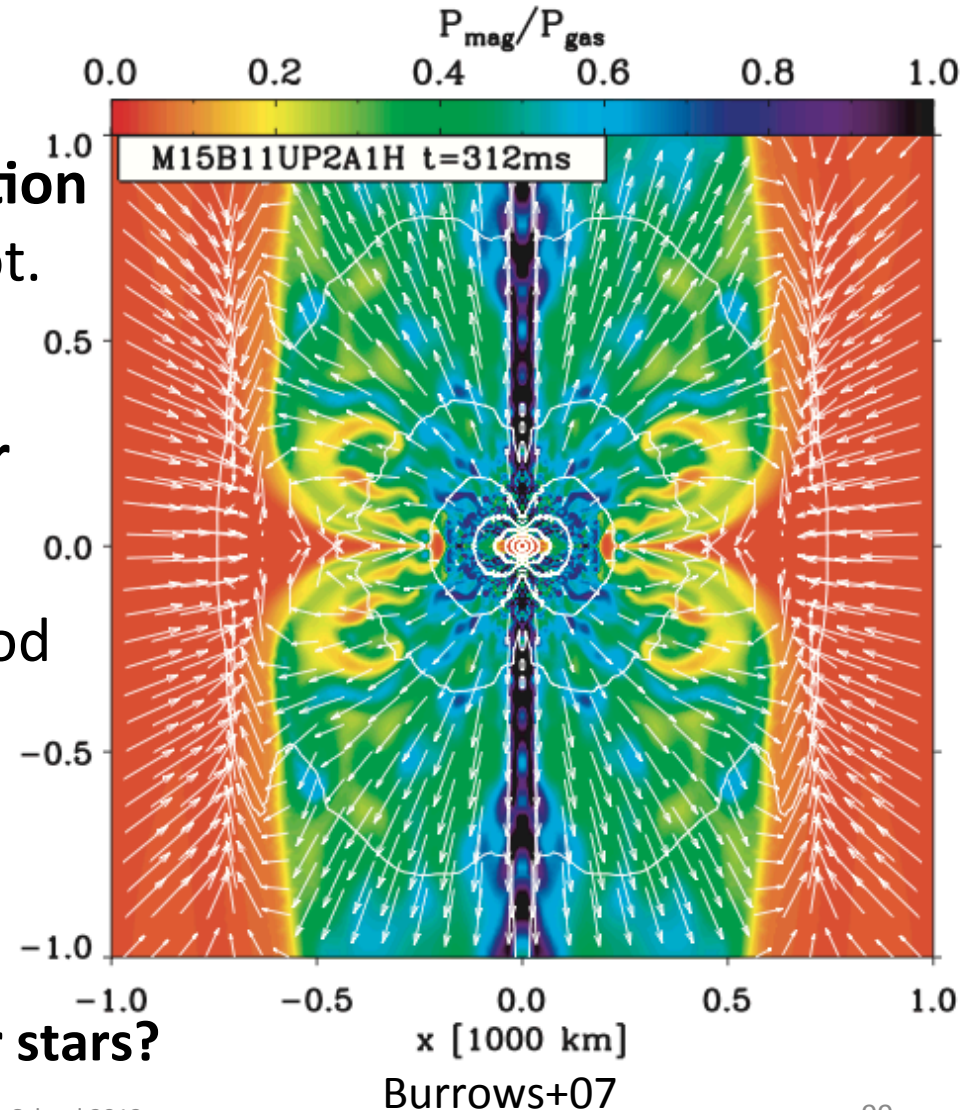
Burrows+07

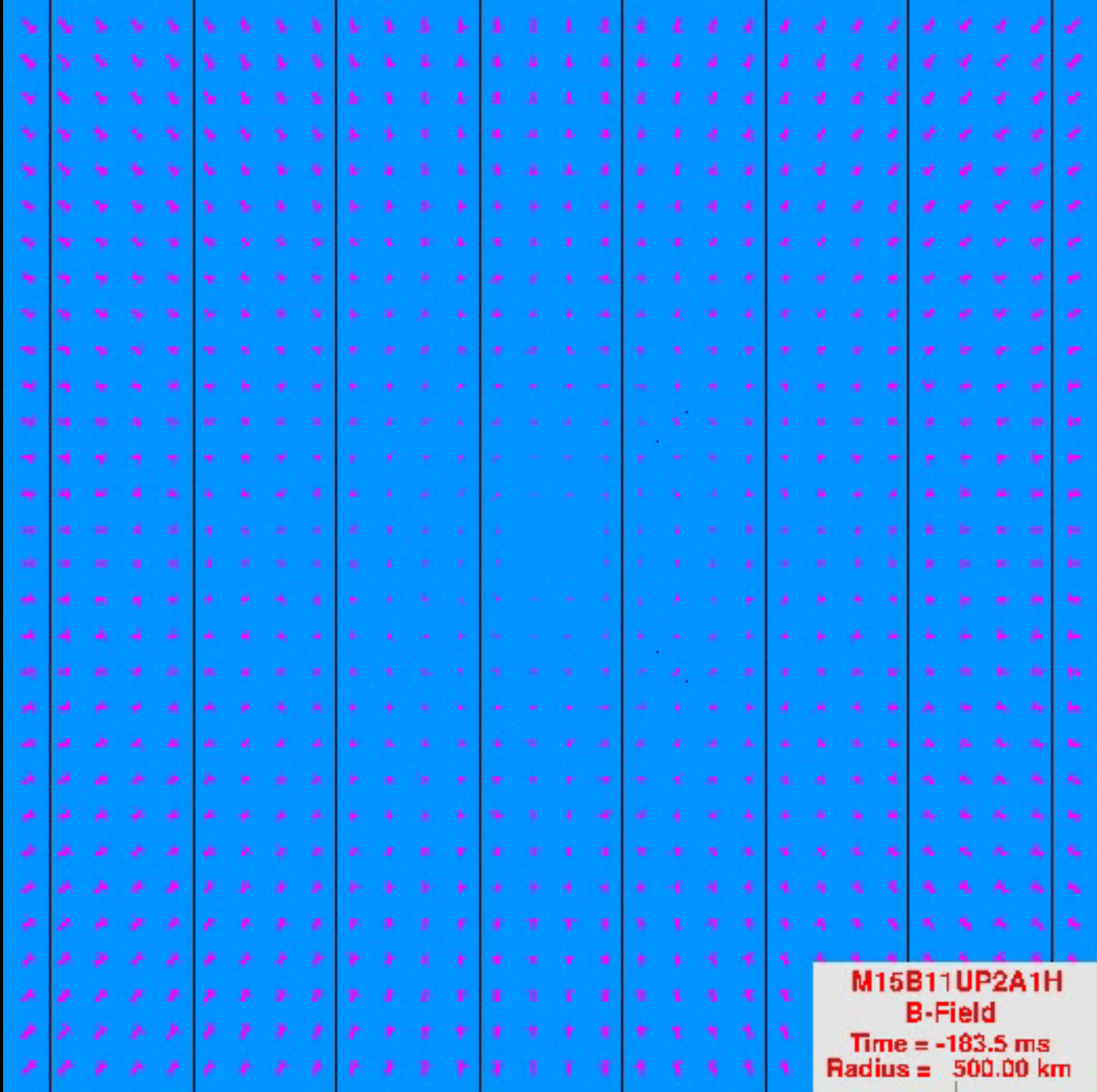
**Rapid Rotation +  
B-field amplification**  
(need magnetorot.  
Instability [MRI])

**Energetic bipolar  
explosions.**

Results in ms-period  
proto-magnetar.  
GRB connection?

**Caveat: Need high  
core spin; only in  
very few progenitor stars?**





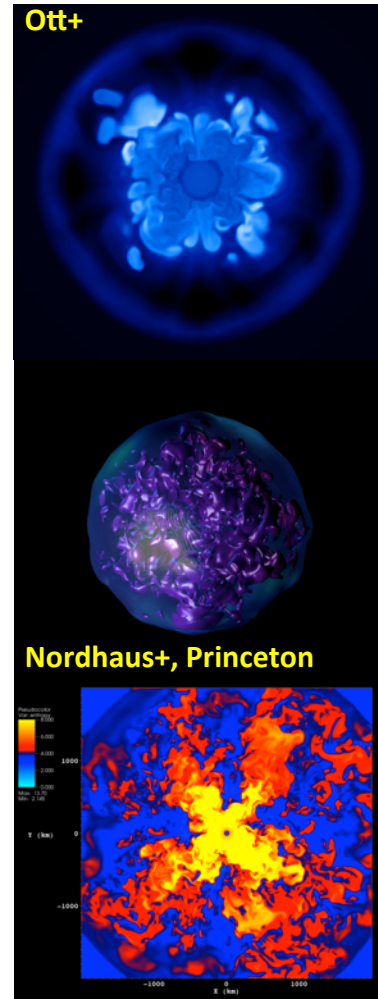
**M15B11UP2A1H**  
**B-Field**  
 Time = -183.5 ms  
 Radius = 500.00 km

Burrows+'07



# The Frontier: 3D Core-Collapse Supernovae

- 1D -> 2D: neutrino heating more efficient, some models explode.
  - 2D -> 3D: (1) Character of turbulence changes; energy cascades to small scales (large scales in 2D).  
(2) Additional degree of freedom: nonaxisymmetric flow.
  - Is the neutrino mechanism robust in 3D?
  - Computational challenge:
    - **Multi-scale:** Resolve 10 m (turbulence) - 10000 km (outer core)
    - **Multi-physics:** GR, MHD, neutrinos, nuclear EOS, nuclear reactions
    - 3D estimates: Memory footprint: ~10-100 Terabytes  
Total # of floating point operations: ~ $10^5$  Petaflops
- > Approximations must be made!



Ott+13,  
ApJ

rendered by  
S. Drasco

Time since bounce: -6.18 ms

Ott+13,  
ApJ

-6.18 ms

rendered by  
S. Drasco

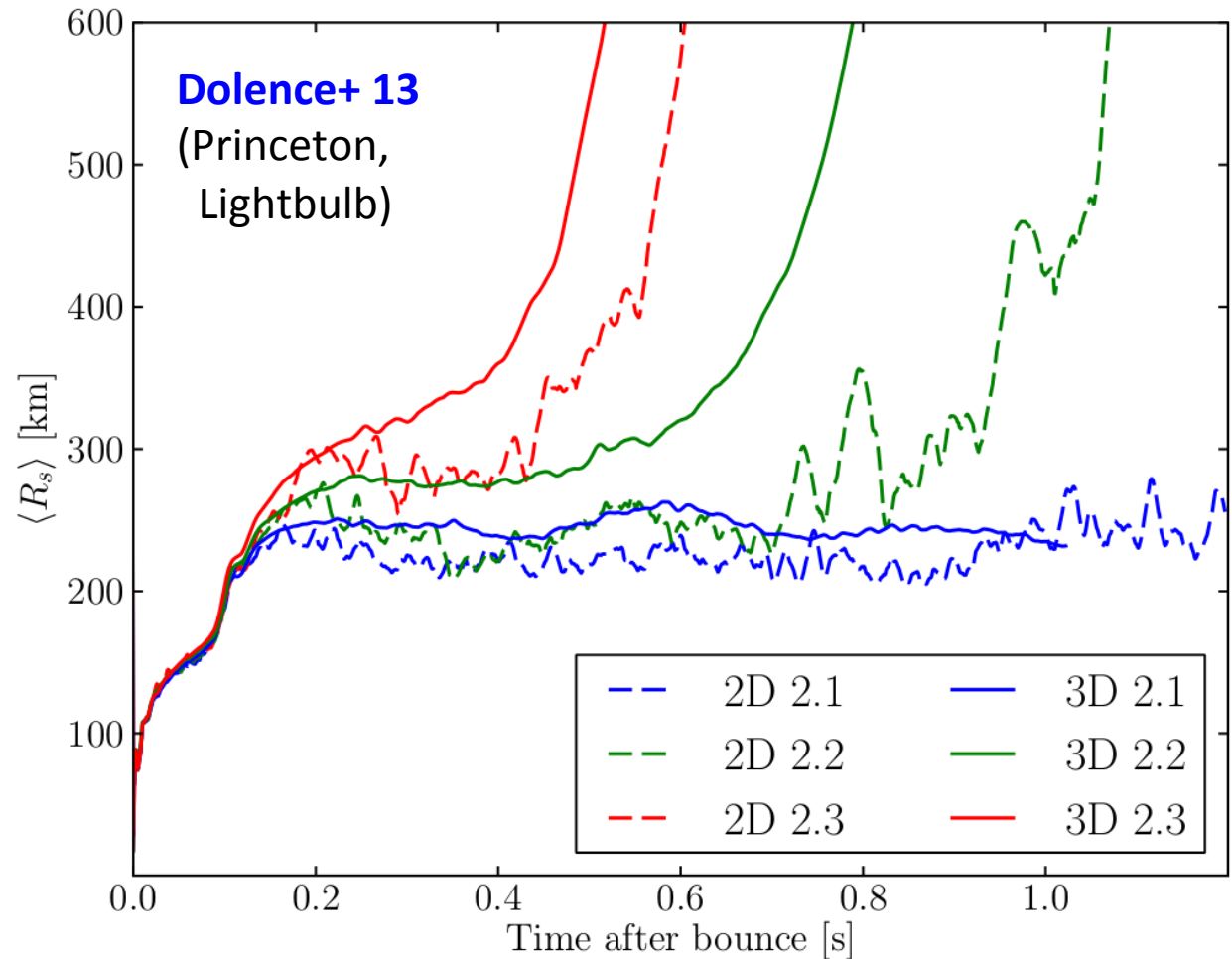
# Results of current 3D Simulations

## Does 3D help the explosion?

**Yes:**

Explosions start earlier in 3D

Nordhaus+10,  
Burrows+12,  
Dolence+13,  
Takiwaki+12

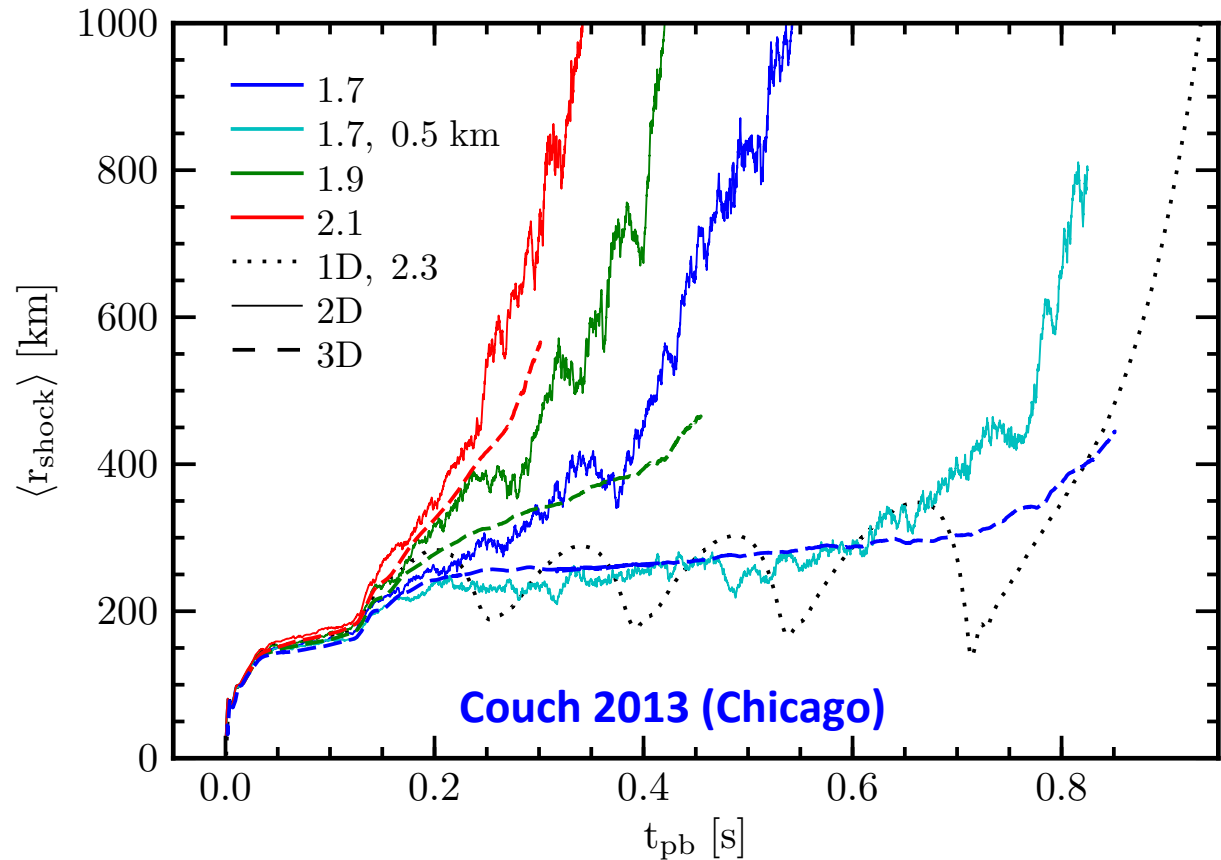


# Results of current 3D Simulations

## Does 3D help the explosion?

**No:**

Hanke+12,13 (Garching)  
Couch 13 (Chicago)  
3D simulations explode  
later than 2D ones.



## Explanation(s)?

-> Hanke+12: Higher resolution makes it harder to explode in 3D.  
Consequence of turbulent cascade? (answer not clear)



# Summary: 3D Simulations

- Qualitative change in the dynamics from 2D to 3D: SASI and convection both change.
- Current simulations are either **parameterized** or **underresolved** or both.
- Not yet clear if 3D alone can lead to robust explosions.
  - Current simulations may be too incomplete / approximate.
  - Physics may be missing.
- In the near future (this year / next year):  
Well resolved 3D neutrino radiation hydro simulations  
-> Will be in a position to make more reliable statements.
- **See TAUP 2013 Conference for updates!**  
-> **Talks by Janka, Kotake, Abdikamalov, and others.**

# Observing the CCSN Mechanism

Probing the “Supernova Engine”

- **Gravitational Waves**

- **Neutrinos**

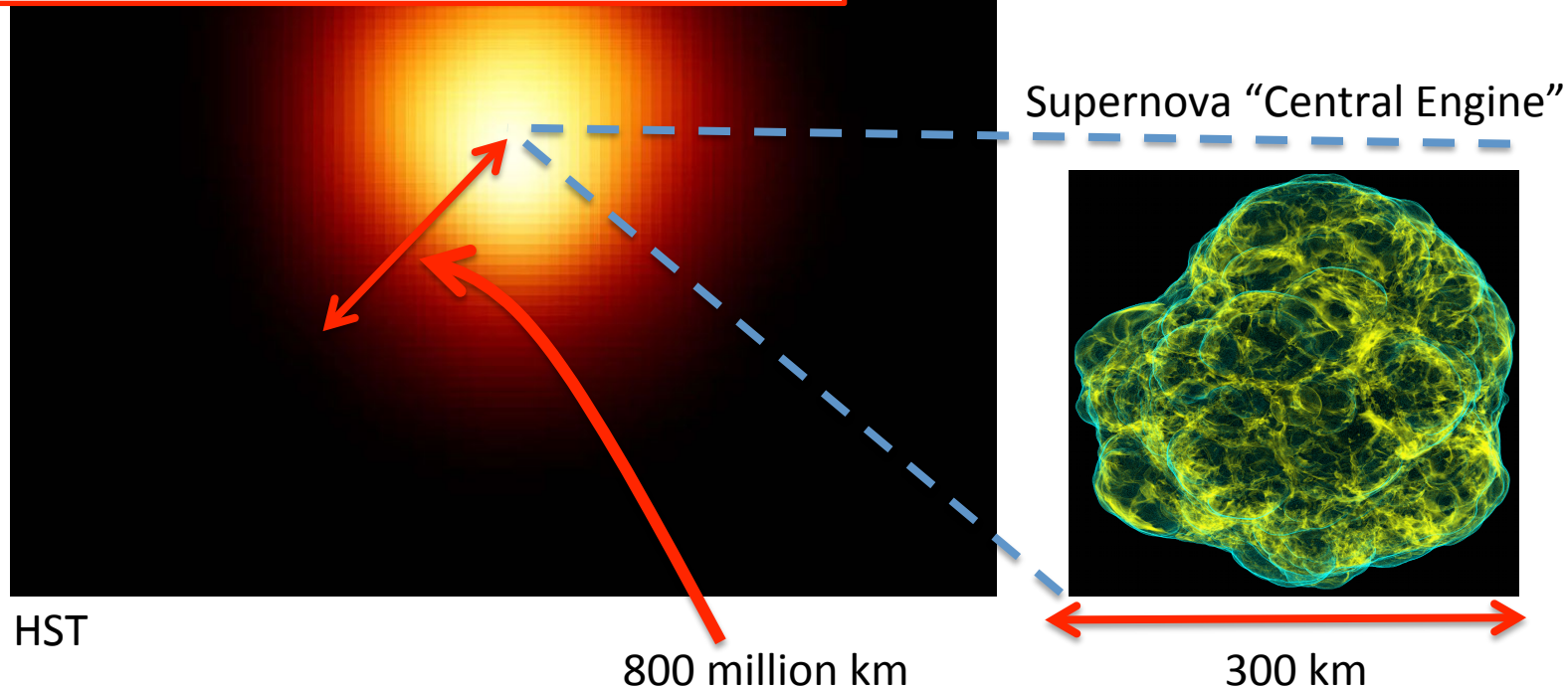
**EM waves (optical/UV/X/Gamma):**

secondary information,  
late-time probes of the engine.

Red Supergiant

Betelgeuse

D ~200 pc



# Core-Collapse Supernova Neutrinos

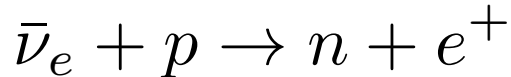
- **Emission:** Charged current & neutral current weak interactions.

$$\nu_e, \nu_\mu, \nu_\tau \quad + \text{mixing}$$

(George Fuller's lecture)

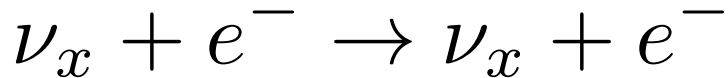
$$\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau \quad \epsilon_\nu \sim 10 \text{ MeV}$$

- **Detection:** (see Scholberg '12)

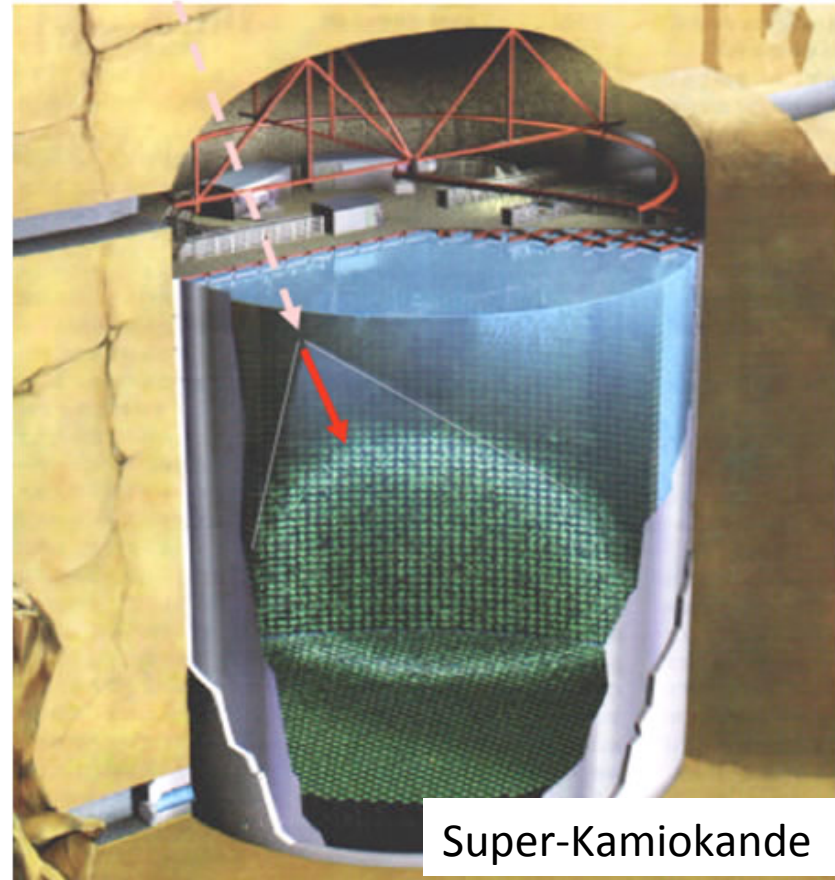


-> primary reaction in Water Cherenkov detectors like Super-K & IceCube.

Other relevant interactions:

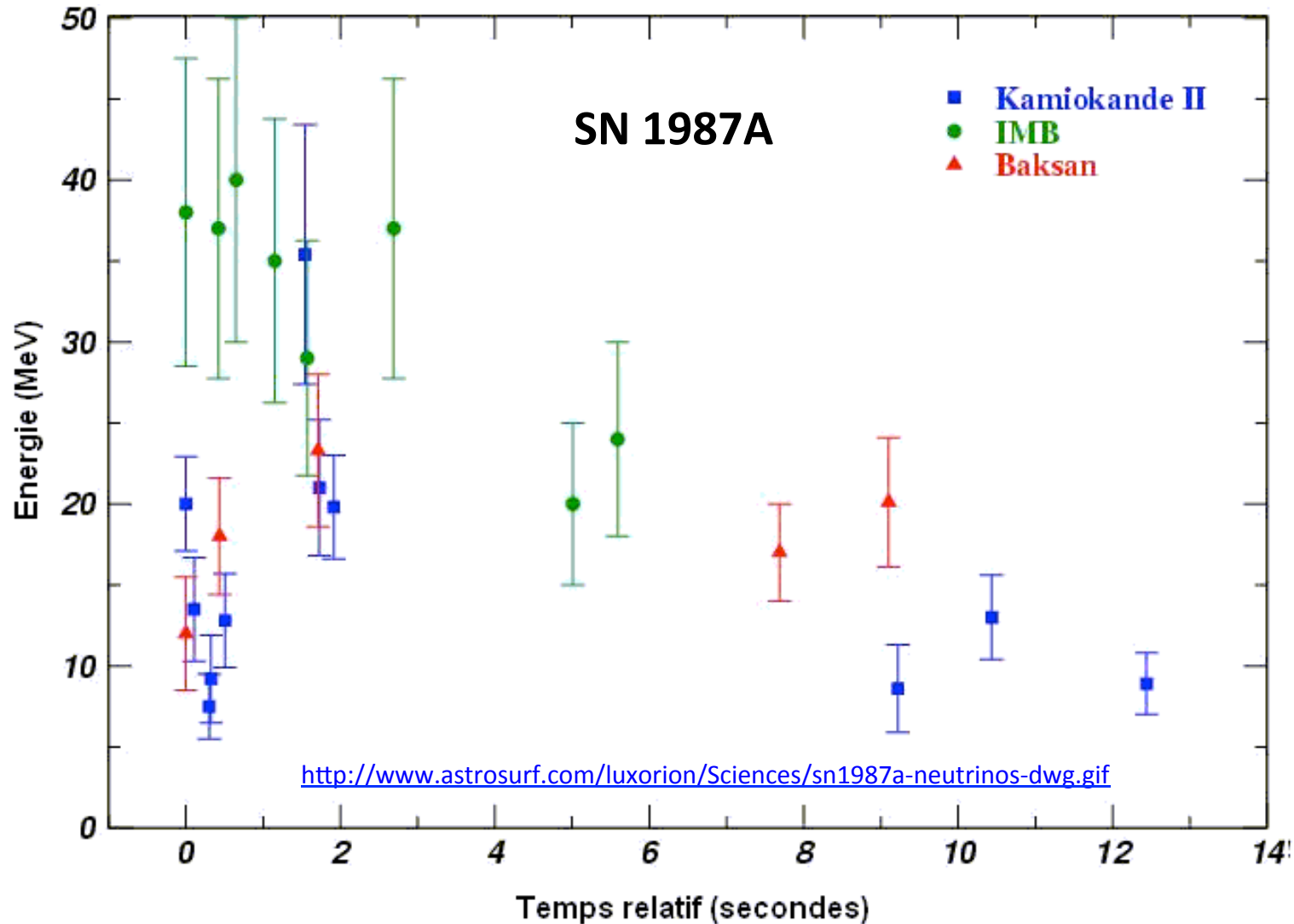


**Water Cherenkov, liquid scintillator, liquid argon, lead detectors.**



Most detectors will provide flux and spectral information.

# Core-Collapse Supernova Neutrinos



3 emission phases:

(1) Neutronization burst, (2) Accretion Phase (~0.5s), (3) Cooling Phase (10+s)

# Core-Collapse Supernova Neutrinos

Expected # of neutrino events for a galactic (10 kpc) supernova.

Detector	Type	Mass (kt)	Location	Events	Live period
Baksan	$C_nH_{2n}$	0.33	Caucasus	50	1980-present
LVD	$C_nH_{2n}$	1	Italy	300	1992-present
Super-Kamiokande	$H_2O$	32	Japan	7,000	1996-present
KamLAND	$C_nH_{2n}$	1	Japan	300	2002-present
MiniBooNE*	$C_nH_{2n}$	0.7	USA	200	2002-present
Borexino	$C_nH_{2n}$	0.3	Italy	100	2005-present
IceCube	Long string	0.6/PMT	South Pole	N/A	2007-present
Icarus	Ar	0.6	Italy	60	Near future
HALO	Pb	0.08	Canada	30	Near future
SNO+	$C_nH_{2n}$	0.8	Canada	300	Near future
MicroBooNE*	Ar	0.17	USA	17	Near future
NO $\nu$ A*	$C_nH_{2n}$	15	USA	4,000	Near future
LBNE liquid argon	Ar	34	USA	3,000	Future
LBNE water Cherenkov	$H_2O$	200	USA	44,000	Proposed
MEMPHYS	$H_2O$	440	Europe	88,000	Future
Hyper-Kamiokande	$H_2O$	540	Japan	110,000	Future
LENA	$C_nH_{2n}$	50	Europe	15,000	Future
GLACIER	Ar	100	Europe	9,000	Future

# What to do with Supernova Neutrinos?

- Neutrinos probe thermodynamics and dynamics of the supernova. From luminosity & spectrum, learn about:

- ❖ Protoneutron star mass & structure.
- ❖ Nuclear equation of state.
- ❖ Accretion rate.
- ❖ Supernova dynamics.

- Probe uncertain/new neutrino physics:

- ❖ Mass hierarchy.
- ❖ MSW oscillations.
- ❖ Collective oscillations.
- ❖ New/exotic physics.

George Fuller's lecture



●○○○○○○○○○○○○○○○○○○○○  
LIGHT HEAVY

<http://www.particlezoo.net/>



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LIGHT HEAVY



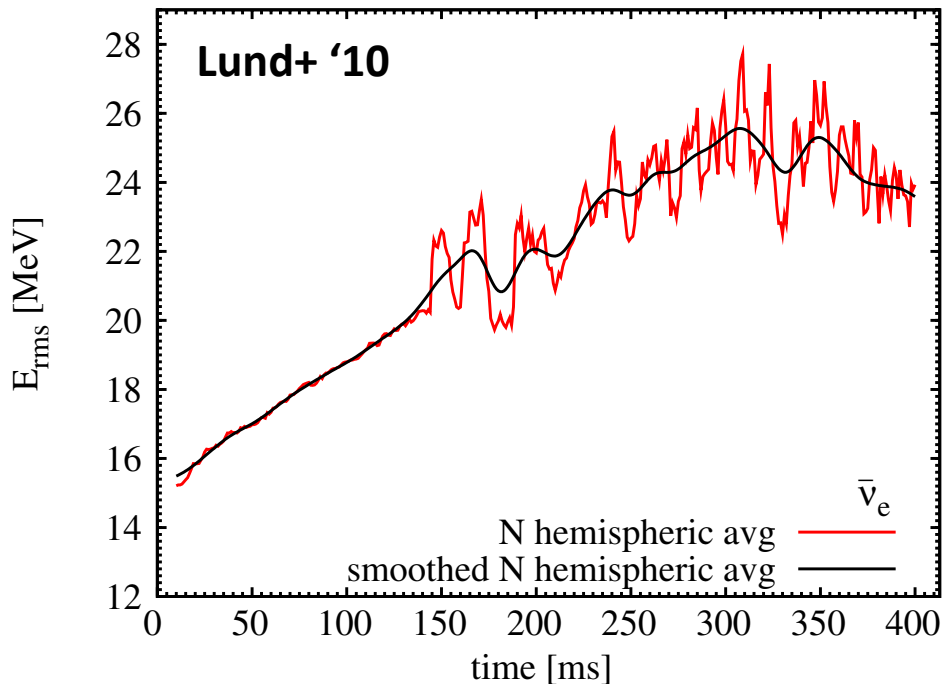
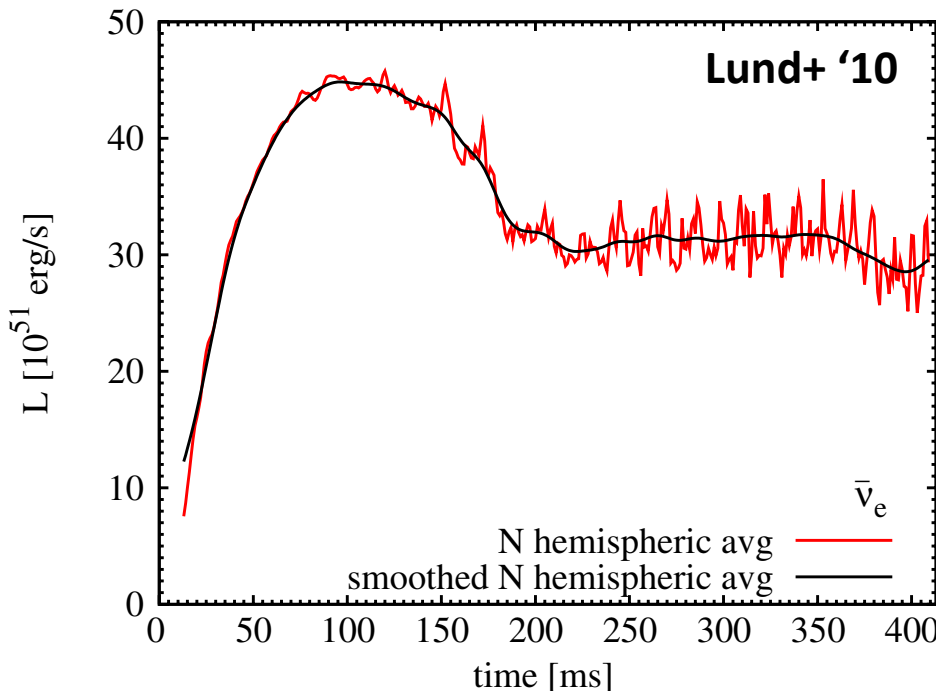
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LIGHT HEAVY

Like its si  
electron-ne  
muon-neu  
little devil  
TAU-NEI  
extremely  
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1  
tau-neutrino  
Wool, fel,  
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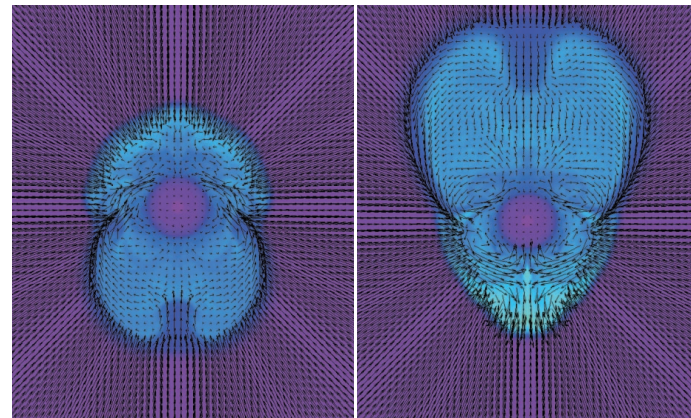


# Neutrino Signature of Convections/SASI



See: Ott+ '08, Marek & Janka '09, Lund+ '10, '12, Brandt + '11

- Neutrino signal can be used to probe supernova dynamics.
- **Lund et al. '10:** IceCube can detect SASI for galactic event.



# Neutrino Probes of Stellar Structure

- Pre-SN massive star structure is uncertain.
- Neutrino signal in the pre-explosion phase is determined by  
(1) the accretion rate of the stellar envelope and  
(2) by the core temperature of the collapsing star.

Parameter encapsulating both (1) and (2):

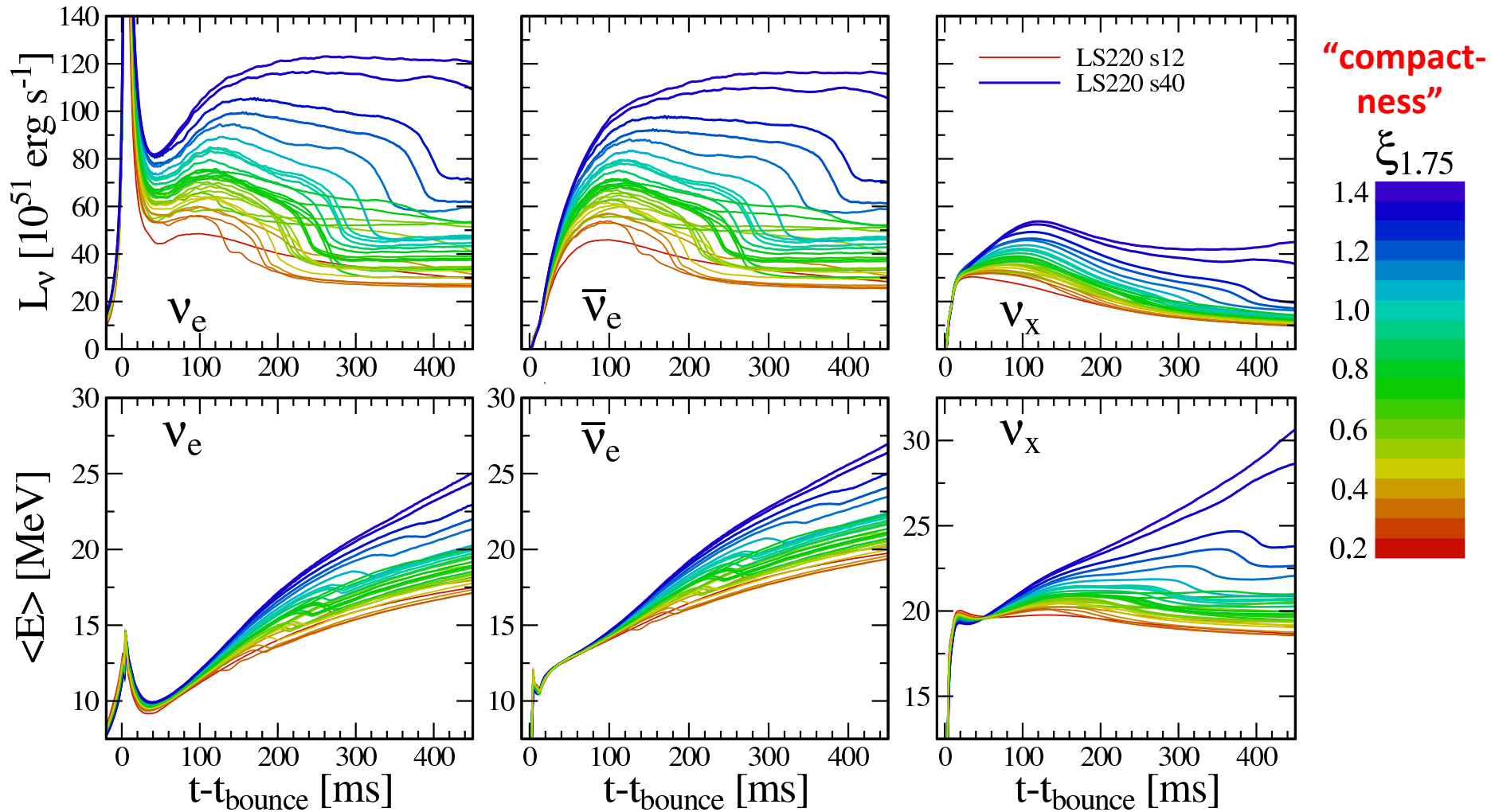
$$\xi_M = \frac{M / M_{\odot}}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

“compactness parameter” measured at bounce.  
(O’Connor & Ott ‘11)



# Probing Stellar Structure with Pre-Explosion Neutrinos

O'Connor & Ott '13, ApJ

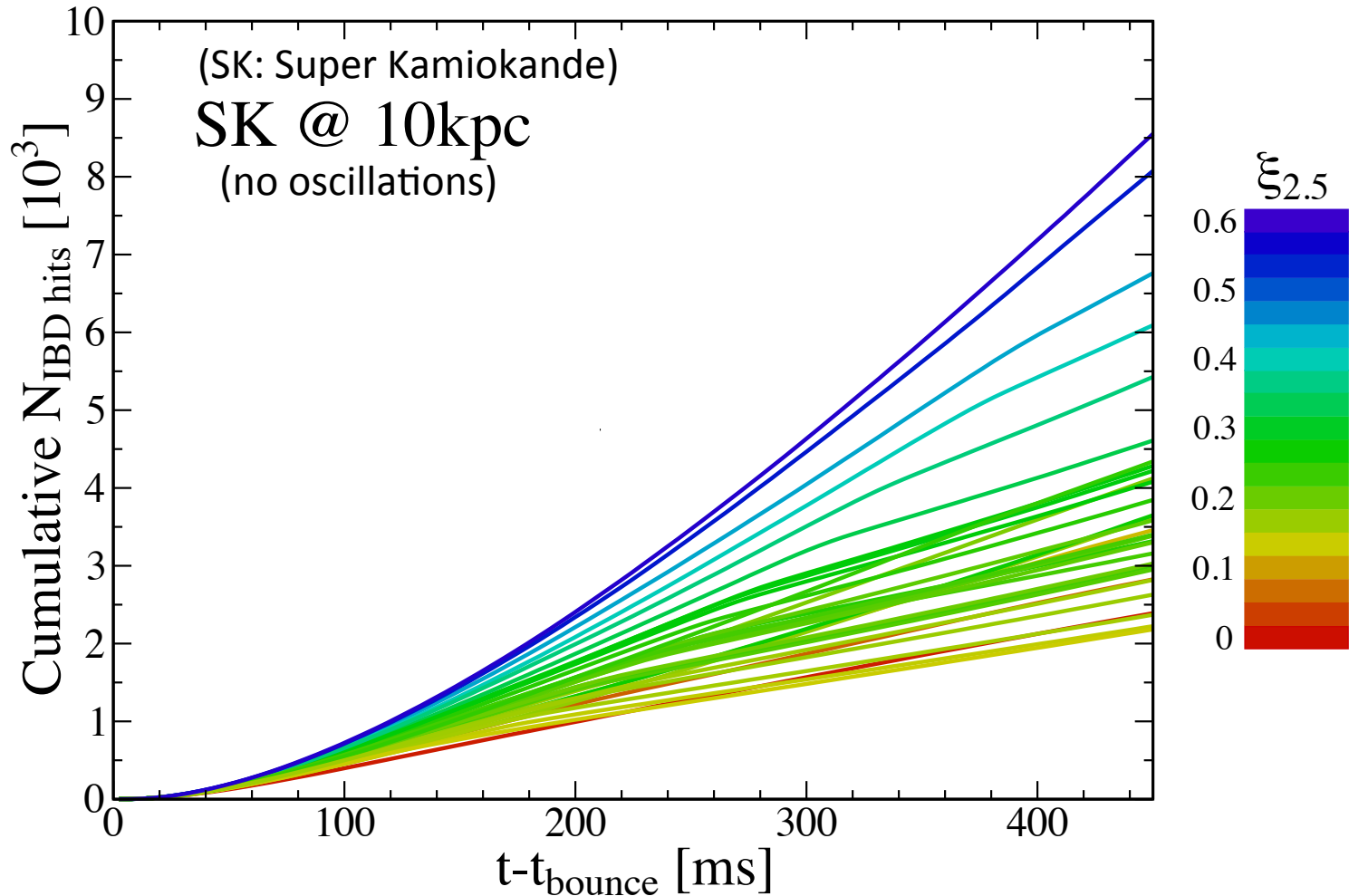


- Consider pre-explosion phase: clean, “collective oscillations” suppressed(?)

$$\xi_M = \frac{M / M_\odot}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

# Probing Stellar Structure with Pre-Explosion Neutrinos

O'Connor & Ott '13, ApJ



- Expected inverse beta decay events in Super-K using SNOwGLoBES (Scholberg '12).  
<http://www.phy.duke.edu/~schol/snowglobes>

$$\xi_M = \frac{M / M_{\odot}}{R(M_{\text{bary}} = M) / 1000 \text{ km}} \Big|_{t=t_{\text{bounce}}}$$

# Progenitor Structure of SN 1987A

O'Connor & Ott '13

Comparison with early phase of the observed SN 1987A neutrino signal.

-> Potential Conclusion: **early explosion OR low-compactness progenitor core!**

**But: beware of small-number statistics!!**

