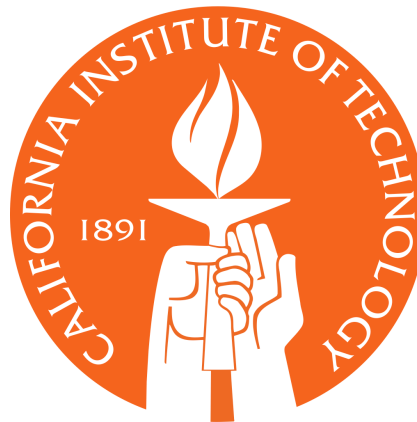


# Supernovae and Neutron Stars

**Christian D. Ott**

TAPIR, California Institute of Technology




## Rough Outline

### -- Lecture I --

- Supernova Basics
- Thermonuclear Supernovae
- Core Collapse of Massive Stars

### -- Lecture II --

- Core-Collapse Supernova Models and Mechanisms.
- Multi-Messenger Probes of Core-Collapse Supernova Physics.
- Neutron Stars and Astrophysical Constraints on the Nuclear Equation of State.

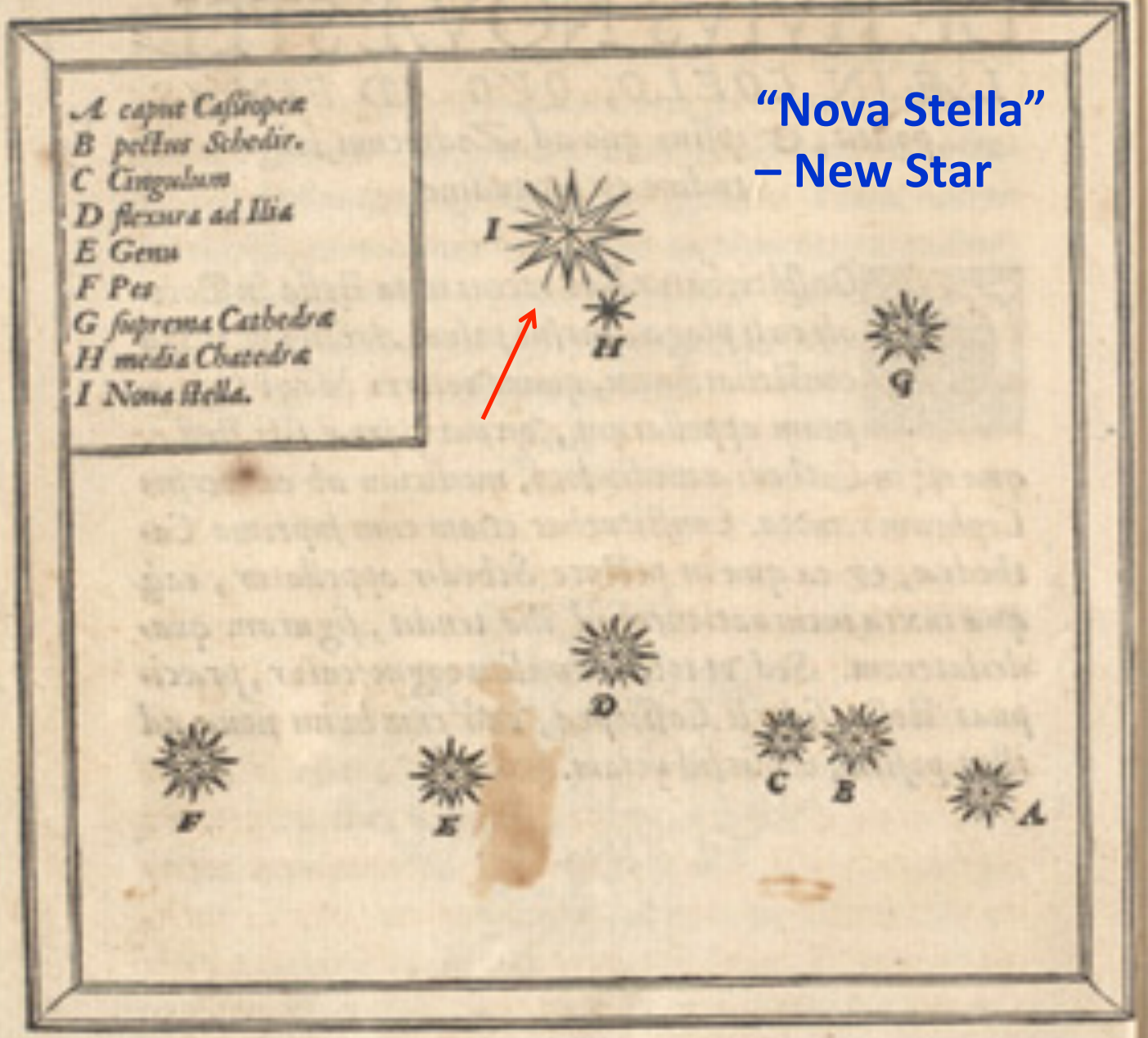


~1 SN / sec in the Universe.  
~1 SN / day discovered  
(many discovered by amateur astronomers!).  
~1 SN / 30-50 years in the Milky Way.

Supernova (SN) 1994D

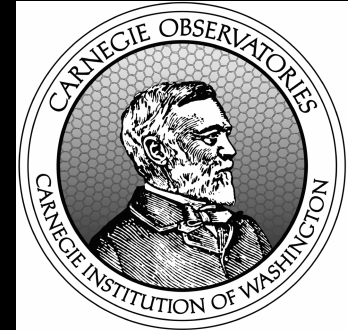
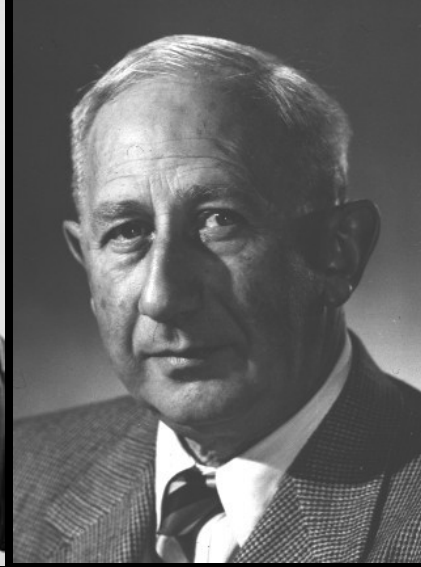
*A caput Cassiopei  
B pectus Schedir.  
C Cingulum  
D flexura ad Iliam  
E Genu  
F Pes  
G suprema Catbedra  
H media Catbedra  
I Nova Stella.*

**“Nova Stella”  
– New Star**



**Tycho Brahe (1572): „De Nova Stella“**

**Fritz Zwicky**  
1898-1974



**Walter Baade**  
1893-1960



**Palomar 18" Schmidt telescope**

Fritz Zwicky  
1898-1974



Walter Baade

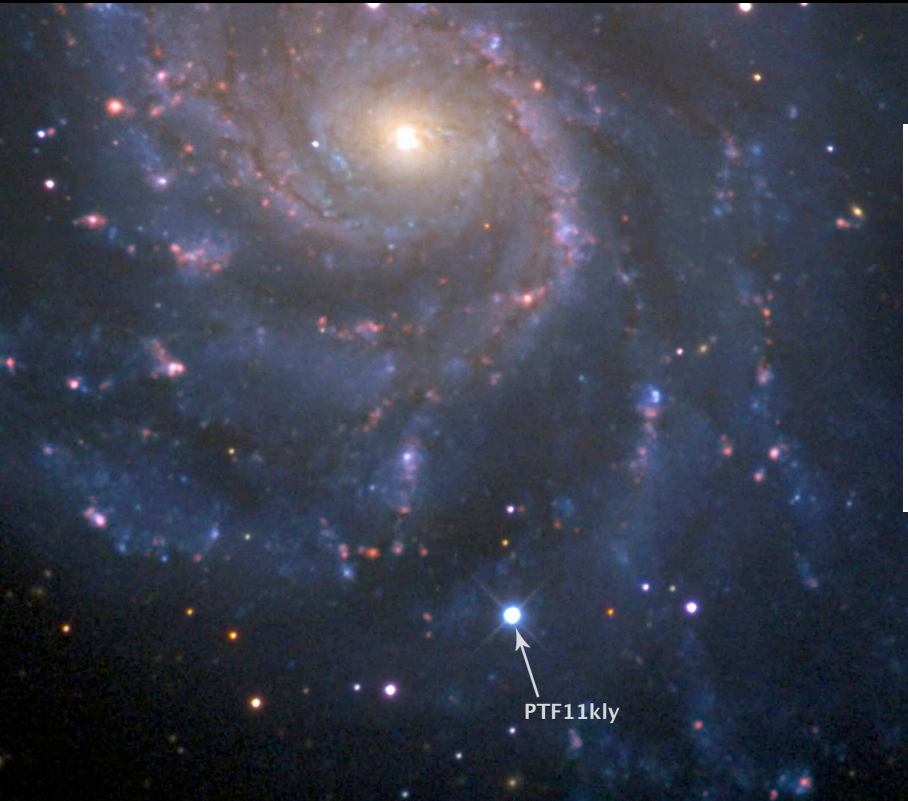


# "Supernova" (1934)

In addition, the new problem of developing a more detailed picture of the happenings in a super-nova now confronts us. With all reserve we advance the view that a super-nova represents the transition of an ordinary star into a *neutron star*, consisting mainly of neutrons. Such a star may possess a very small radius and an extremely high density. As neutrons can be packed much more closely than ordinary nuclei and electrons, the "gravitational packing" energy in a *cold* neutron star may become very large, and, under certain circumstances, may far exceed the ordinary nuclear packing fractions. A neutron star would therefore represent the most stable configuration of matter as such. The consequences of this hypothesis will be developed in another place, [PNAS, 20:259, 1934, APS 12/33]



# A Supernova Primer



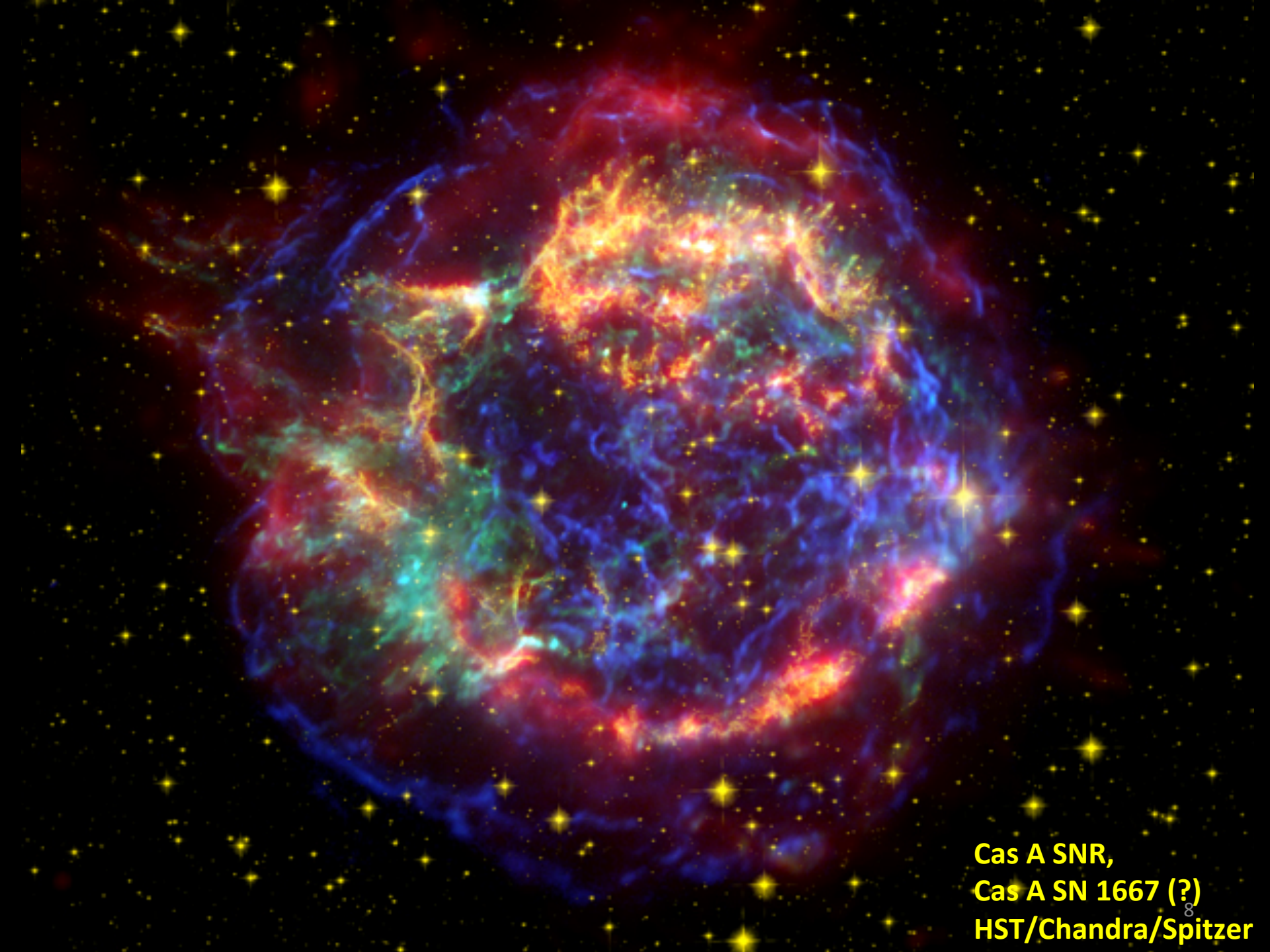
M101 -- Pinwheel Galaxy  
PTF11kly – SN 2011fe, 2011/08/24  
(Palomar Transient Factory)

## Thermonuclear Supernovae

- Thermonuclear explosion of a **White Dwarf** star (end stage of low-mass stellar evolution).
- “Type Ia” supernova.
- No compact remnant.

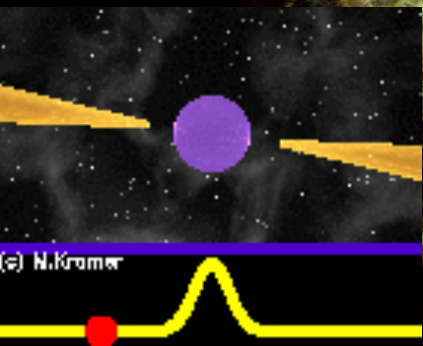
## Core-Collapse Supernovae

- **Gravitational collapse** of a **massive star’s core** ( $M > 8-10 M_{\text{Sun}}$ ).
- Supernova Type II, Ib, Ic.
- **Neutron Star** or **Black Hole** remnant.
- Related to Gamma-Ray Bursts (GRBs).



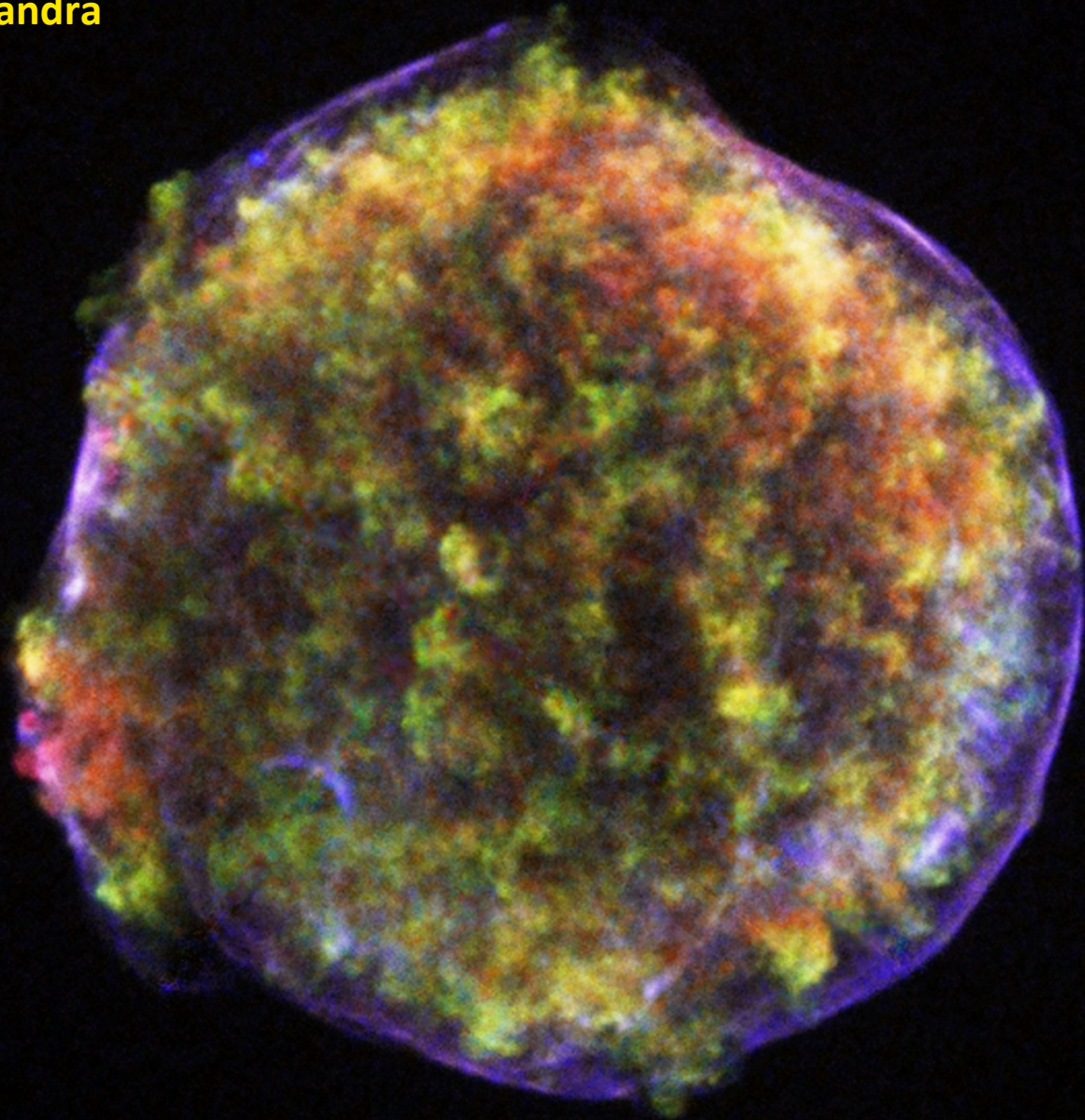
Cas A SNR,  
Cas A SN 1667 (?)  
HST/Chandra/Spitzer



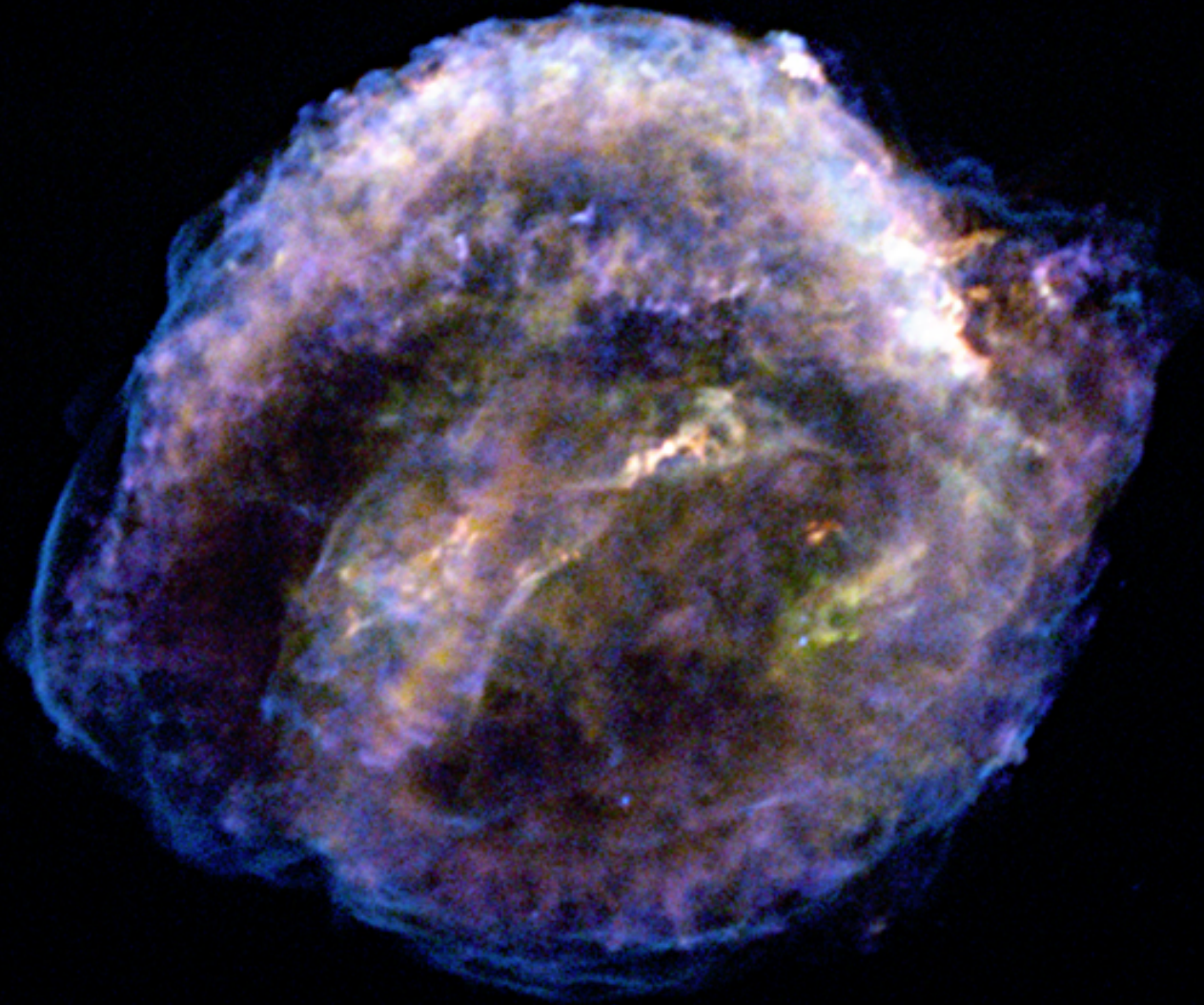


**Crab SNR,  
SN 1054  
HST/Chandra/Spitzer**

**Tycho's SN 1572**  
**NASA: Spitzer/Chandra**



**Kepler's SN 1604**  
**NASA: Chandra Teleskop**





Betelgeuse as seen by  
the HST,  $D \approx 200$  pc



Rigel,  $D \approx 240$  pc

# Supernova Explosion



SN1987A, LMC,  $D \approx 51.4$  kpc

Progenitor: BSG Sanduleak -69° 220a,  $18 M_{\text{SUN}}$



Betelgeuse as seen by  
the HST,  $D \approx 200$  pc

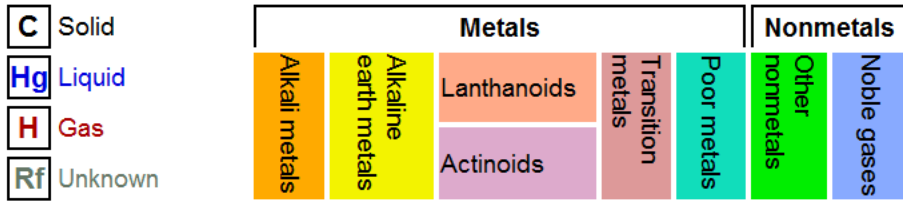


Rigel,  $D \approx 240$  pc

# Why do we care about Supernovae?

# Periodic Table of Elements

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1 <b>H</b> Hydrogen 1.00794	2 <b>He</b> Helium 4.002602	3 <b>Li</b> Lithium 6.941	4 <b>Be</b> Beryllium 9.012182	5 <b>B</b> Boron 10.811	6 <b>C</b> Carbon 12.0107	7 <b>N</b> Nitrogen 14.0067	8 <b>O</b> Oxygen 15.9994	9 <b>F</b> Fluorine 18.9984032	10 <b>Ne</b> Neon 20.1797	11 <b>Na</b> Sodium 22.98976	12 <b>Mg</b> Magnesium 24.304	13 <b>Al</b> Aluminum 26.981538	14 <b>Si</b> Silicon 28.0855	15 <b>P</b> Phosphorus 30.97376	16 <b>S</b> Sulfur 32.06	17 <b>Cl</b> Chlorine 35.45	18 <b>Ar</b> Argon 39.948



**Astronomy Fun Fact**  
 All elements heavier than H & He are called "metals".  
 Metallicity: Mass fraction of "metals".  
 $Z_{\odot} \sim 0.02$

**Distribution in the Universe:**

Hydrogen	73,90%
Helium	24,00%
Oxygen	1,04%
Carbon	0.46%
Neon	0.13%
Iron	0.11%
Nitrogen	0.09%
Silicon	0.06%
Magnesium	0.06%
Sulfur	0.04%
others	0.11%

Big Bang

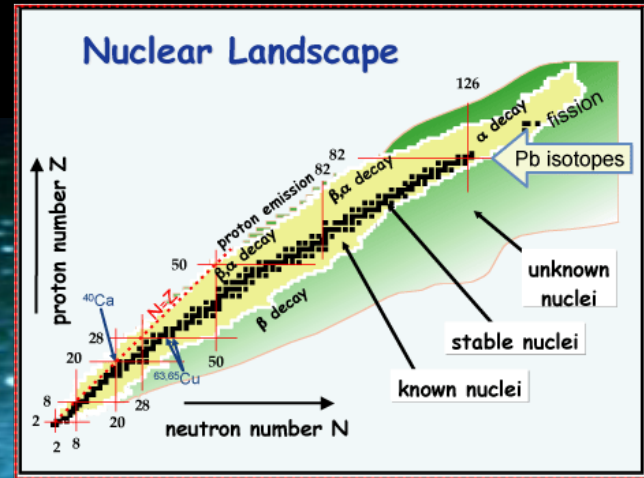
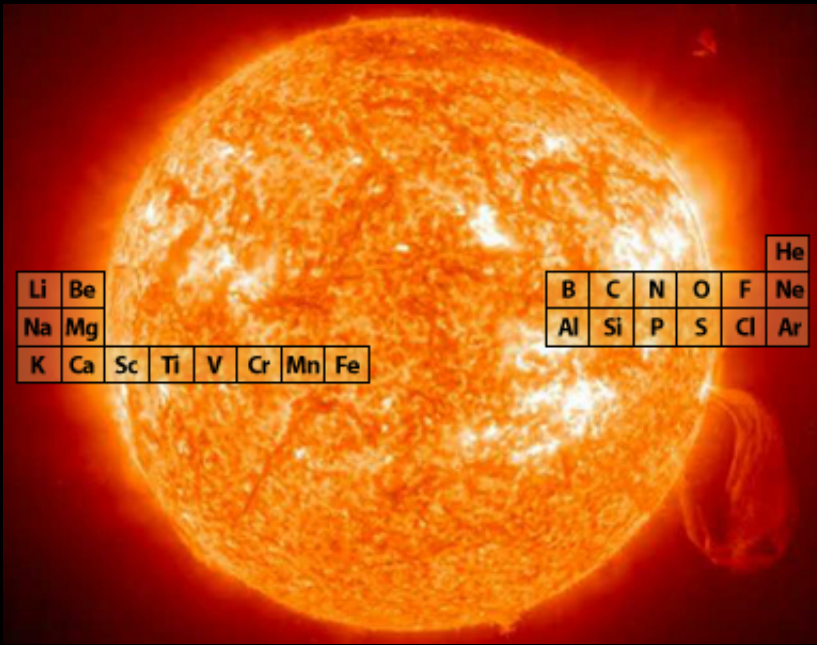
Stars / Supernovae

Design and Interface Copyright © 1997 M

57 <b>La</b> Lanthanum 138.90547	58 <b>Ce</b> Cerium 140.116	59 <b>Pr</b> Praseodymium 140.90765	60 <b>Nd</b> Neodymium 144.242	61 <b>Pm</b> Promethium (145)	62 <b>Sm</b> Samarium 150.36	63 <b>Eu</b> Europium 151.964	64 <b>Gd</b> Gadolinium 157.25	65 <b>Tb</b> Terbium 158.92532	66 <b>Dy</b> Dysprosium 162.5001	67 <b>Ho</b> Holmium 164.93032	68 <b>Er</b> Erbium 167.259	69 <b>Tm</b> Thulium 168.93032	70 <b>Yb</b> Ytterbium 173.054	71 <b>Lu</b> Lutetium 174.967	72 <b>Hf</b> Hafnium 178.49	73 <b>Ta</b> Tantalum 180.94788	74 <b>W</b> Tungsten 183.84	75 <b>Re</b> Rhenium 186.207	76 <b>Os</b> Osmium 190.23	77 <b>Ir</b> Iridium 192.222	78 <b>Pt</b> Platinum 195.084	79 <b>Au</b> Gold 196.96657	80 <b>Hg</b> Mercury 200.59	81 <b>Tl</b> Thallium 204.3833	82 <b>Pb</b> Lead 207.2	83 <b>Bi</b> Bismuth 208.9804	84 <b>Po</b> Polonium (209)	85 <b>At</b> Astatine (210)	86 <b>Rn</b> Radon (222)	87 <b>Fr</b> Francium (223)	88 <b>Ra</b> Radium (226)	89 <b>Ac</b> Actinium (227)	90 <b>Th</b> Thorium 232.03806	91 <b>Pa</b> Protactinium 231.03588	92 <b>U</b> Uranium 238.02891	93 <b>Np</b> Neptunium (237)	94 <b>Pu</b> Plutonium (244)	95 <b>Am</b> Americium (243)	96 <b>Cm</b> Curium (247)	97 <b>Bk</b> Berkelium (247)	98 <b>Cf</b> Californium (251)	99 <b>Es</b> Einsteinium (252)	100 <b>Fm</b> Fermium (257)	101 <b>Md</b> Mendelevium (258)	102 <b>No</b> Nobelium (259)	103 <b>Lr</b> Lawrencium (262)
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# Why do we care about Supernovae?

- SNe are the main cosmic polluters.



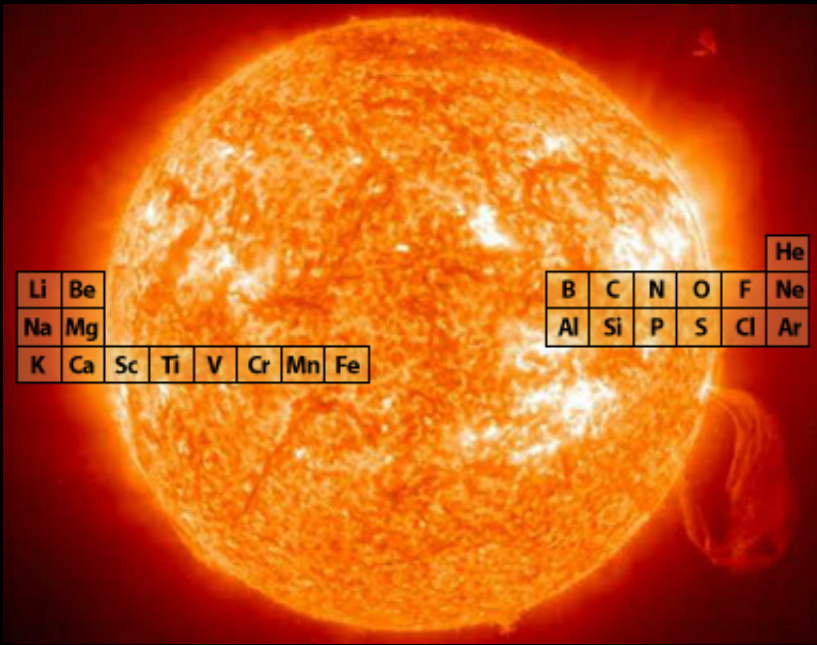
									Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	
Cs	Ba	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb			
		Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
Fr	Ra	Ac	Th	Pa	U													

Source: NASA



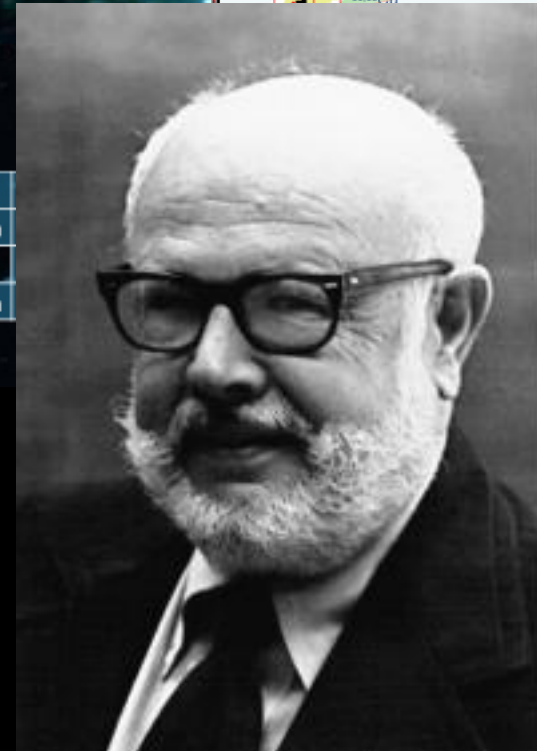
# Why do we care about Supernovae?

- SNe are the main cosmic polluters.

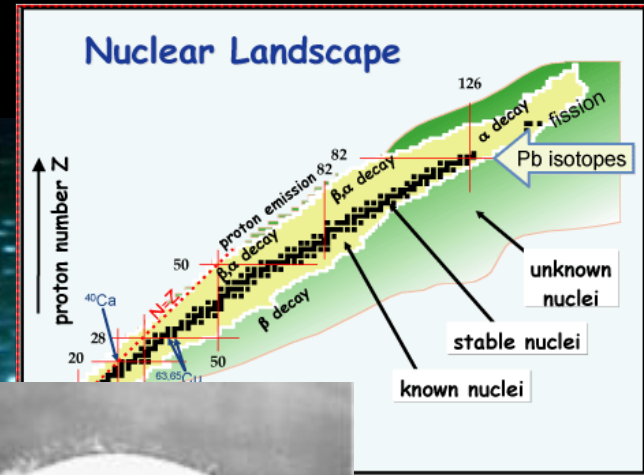


**Willy Fowler**  
1911-1995

**Burbidge, Burbidge, Fowler, Hoyle**  
“Synthesis of the Elements in Stars”  
1957



**Nobel Prize in Physics 1983**



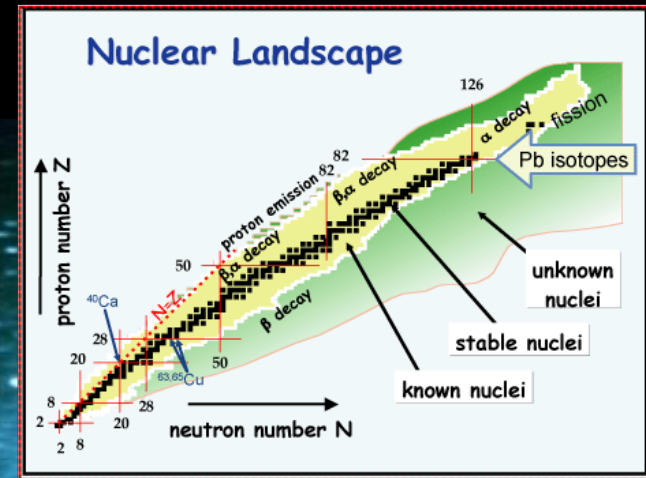
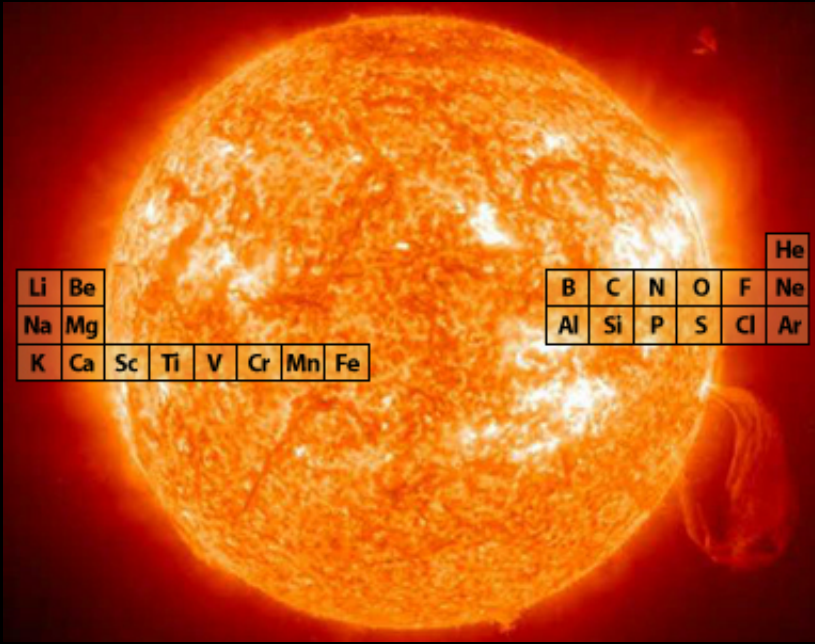
As	Se	Br	Kr
Sb	Te	I	Xe
Tm	Yb		
Bi	Po	At	Rn

Source: NASA



# Why do we care about Supernovae?

- SNe are the main cosmic polluters.



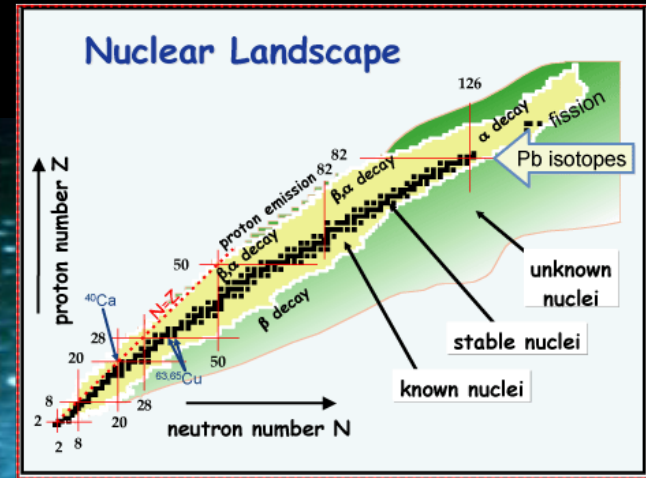
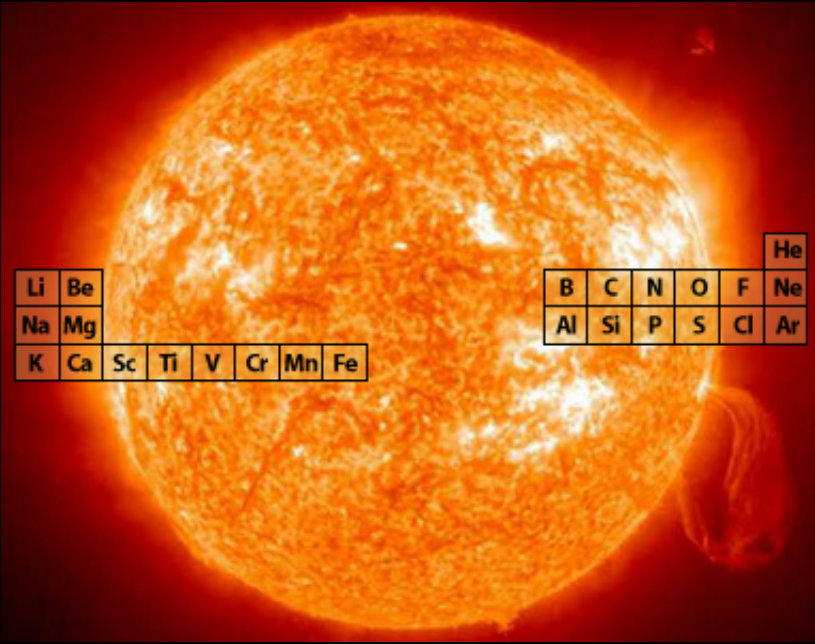
								Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb		
		Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Th	Pa	U												

Source: NASA

- Dynamical impact on galaxy evolution.

# Why do we care about Supernovae?

- SNe are the main cosmic polluters.



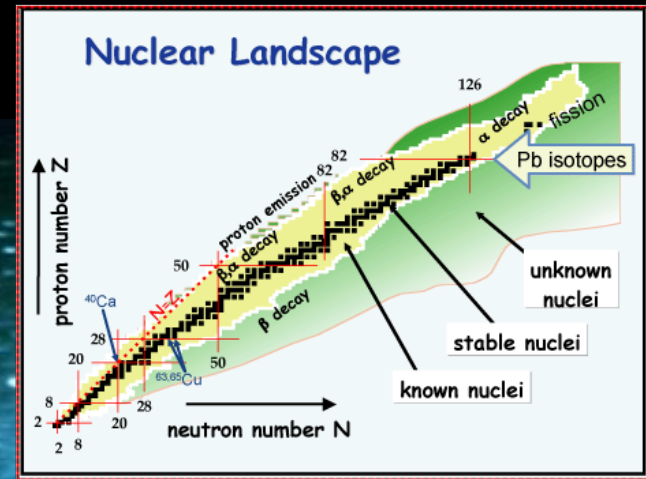
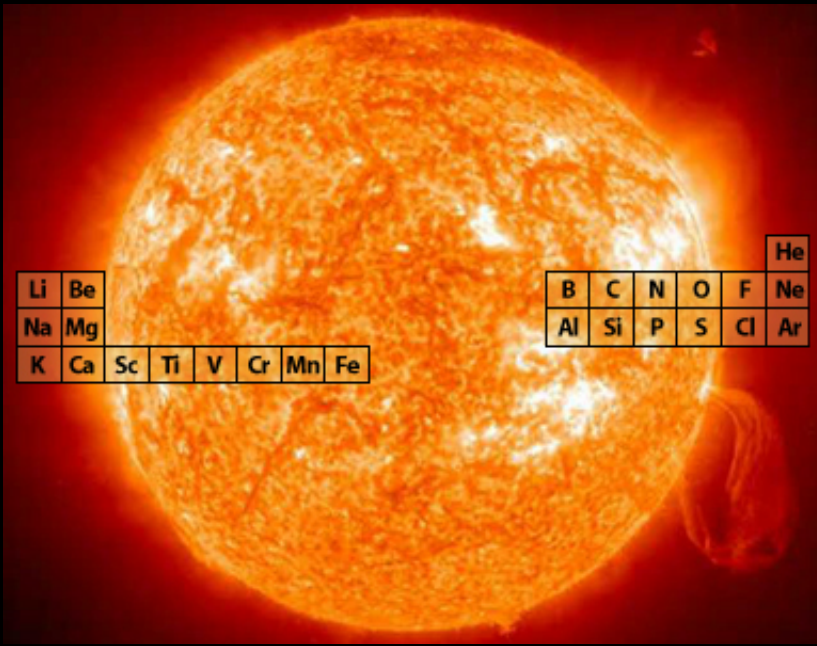
								Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb		
		Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Th	Pa	U												

Source: NASA

- Dynamical impact on galaxy evolution.
- Core-Collapse Supernovae:  
Birth sites of neutron stars and black holes.

# Why do we care about Supernovae?

- SNe are the main cosmic polluters.



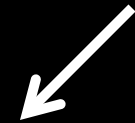
									Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe	
Cs	Ba	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb			
		Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn	
Fr	Ra	Ac	Th	Pa	U													

Source: NASA

- Dynamical impact on galaxy evolution.
- Core-Collapse Supernovae:  
Birth sites of neutron stars and black holes.
- Gigantic cosmic laboratories for fundamental physics.

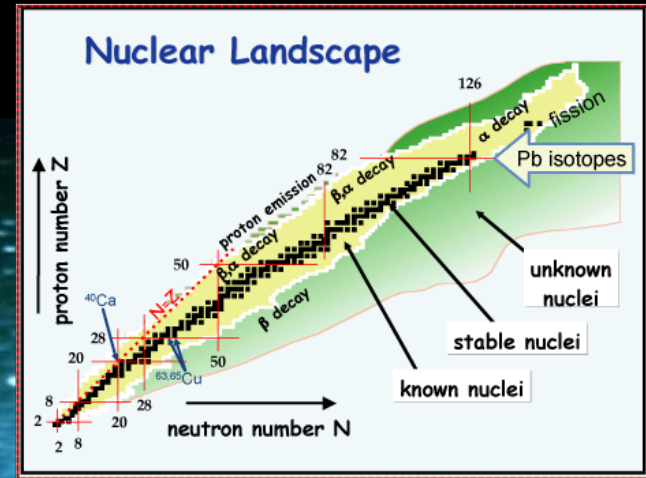
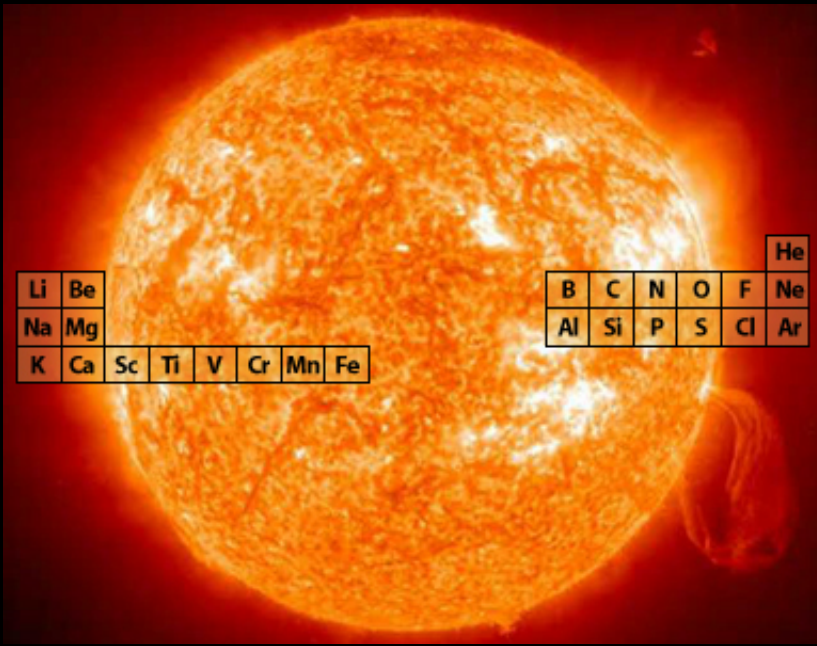
**Neutrinos!!!**

**Nuclear EOS**



# Why do we care about Supernovae?

- SNe are the main cosmic polluters.



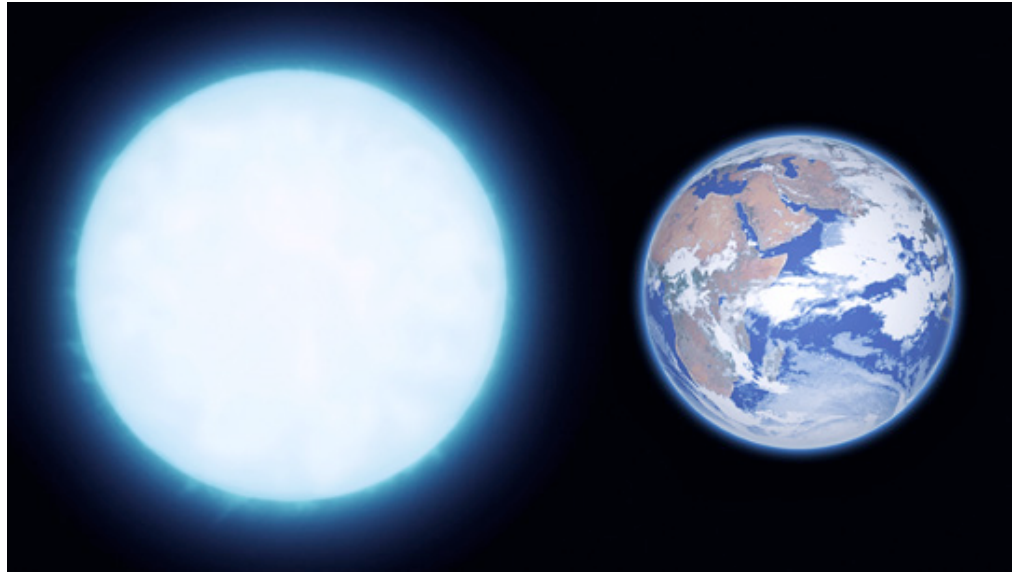
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Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb		
		Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac	Th	Pa	U												

Source: NASA

- Dynamical impact on galaxy evolution.
- Core-Collapse Supernovae: Birth sites of neutron stars and black holes.
- Gigantic cosmic laboratories for fundamental physics.
- Cosmic standard candles (-> Nobel Prize in Physics 2011).

**Neutrinos!!!**  
**Nuclear EOS**

# Thermonuclear Supernovae: Explosions of White Dwarfs



BBC

# Stellar Evolution

Nuclear Burning:



$\sim 0.5 M_{\odot} < M < \sim 7 M_{\odot}$

Envelope  
ejection

C-O White Dwarf

O-Ne White Dwarf

$\sim 7 M_{\odot} < M < \sim 10 M_{\odot}$

Envelope ejection

(will talk about that later)

$M > \sim 10 M_{\odot}$



# White Dwarf ejecting its Envelope



Cat's Eye Nebula



# How can we explode a White Dwarf?



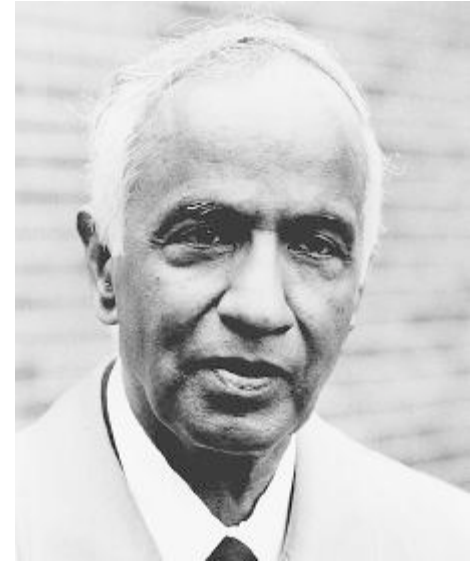
Carbon/Oxygen White Dwarf

# Chandrasekhar Limit

- White dwarfs -> supported by the degeneracy pressure of electrons.

$$P = K \rho^\Gamma \quad \Gamma = 4/3 \quad (\text{relativistic electrons})$$

$$M_{\text{max}} \approx (\hbar c / G)^{3/2} / m_p^2 \quad \frac{dP}{dr} = -\frac{GM\rho}{r^2}$$



- Chandrasekhar mass:

$$M_{\text{Ch}} \approx 1.44(2Y_e)^2 M_\odot$$

$$Y_e = 0.5 \quad (\text{in C/O white dwarfs})$$

- Radial instability if  $M > M_{\text{Ch}}$

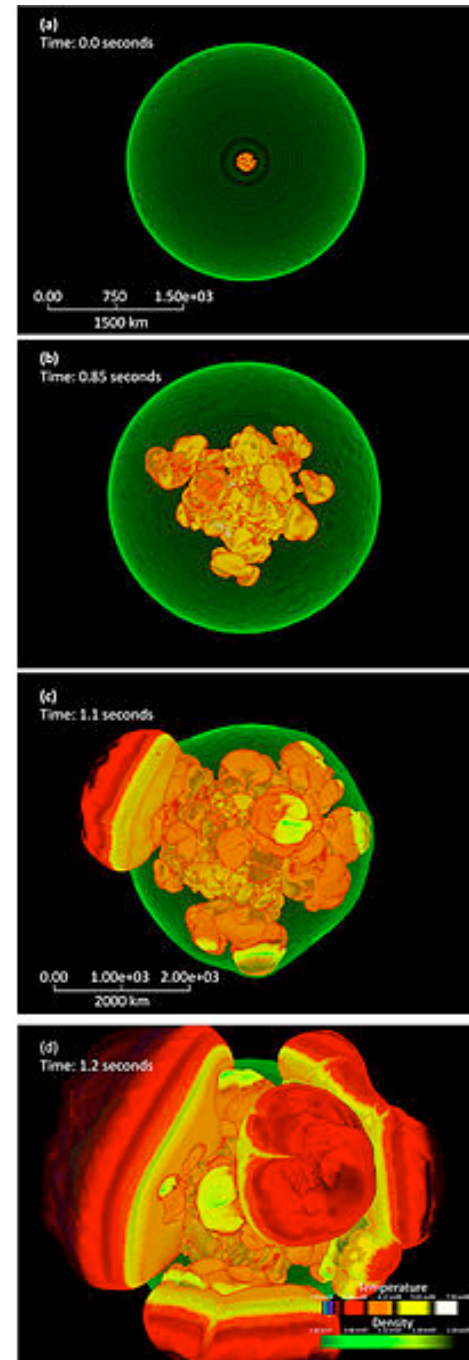
# Carbon Ignition

(see, e.g., Woosley+ 04)

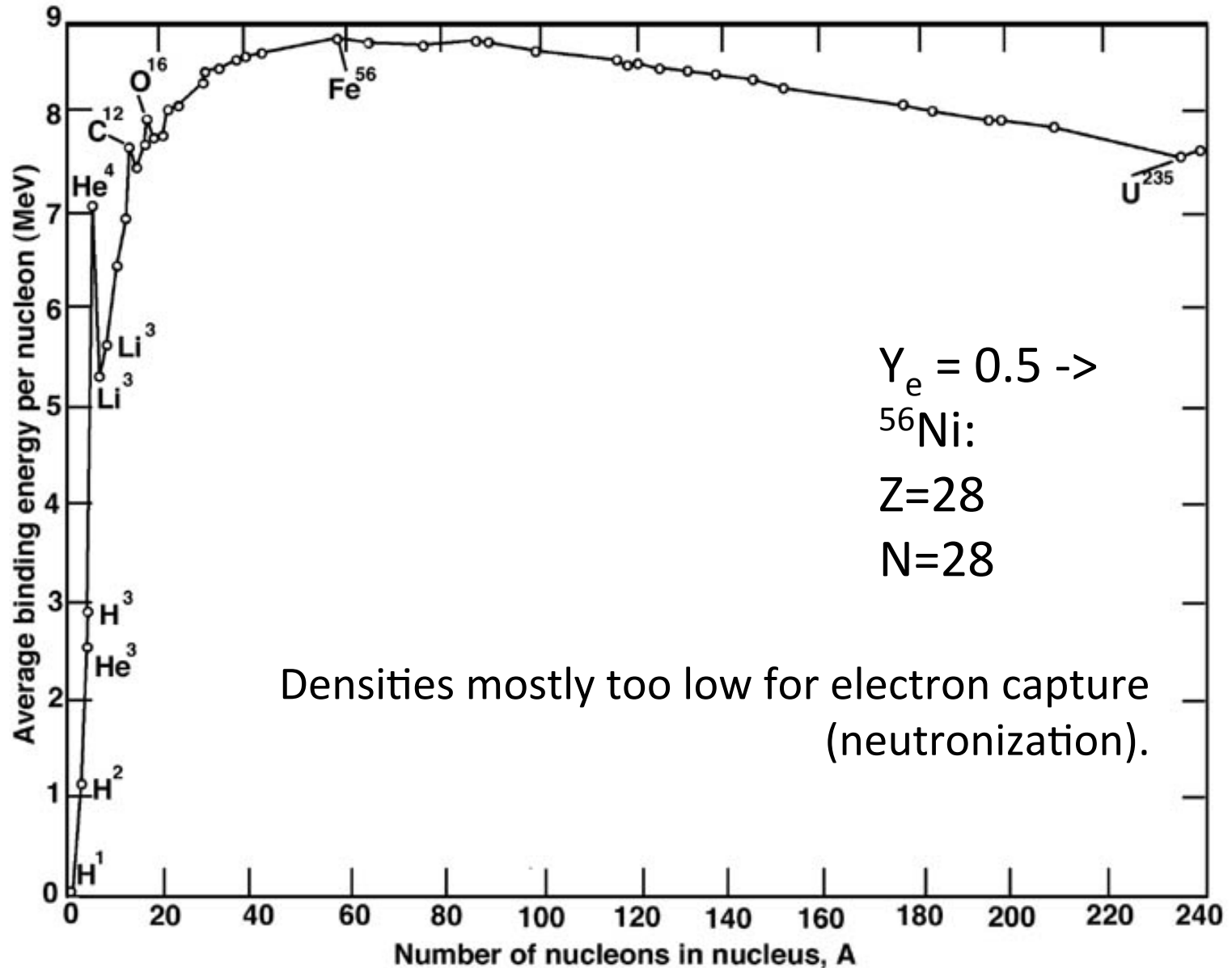
- $M > M_{\text{Ch}}$  WD contracts, heats up.

$$\lambda_{12,12} \approx 7.6 \times 10^{-16} \left( \frac{T_8}{7} \right)^{30} \quad \text{Carbon fusion rate} \quad \text{(Caughlan \& Fowler 88)}$$

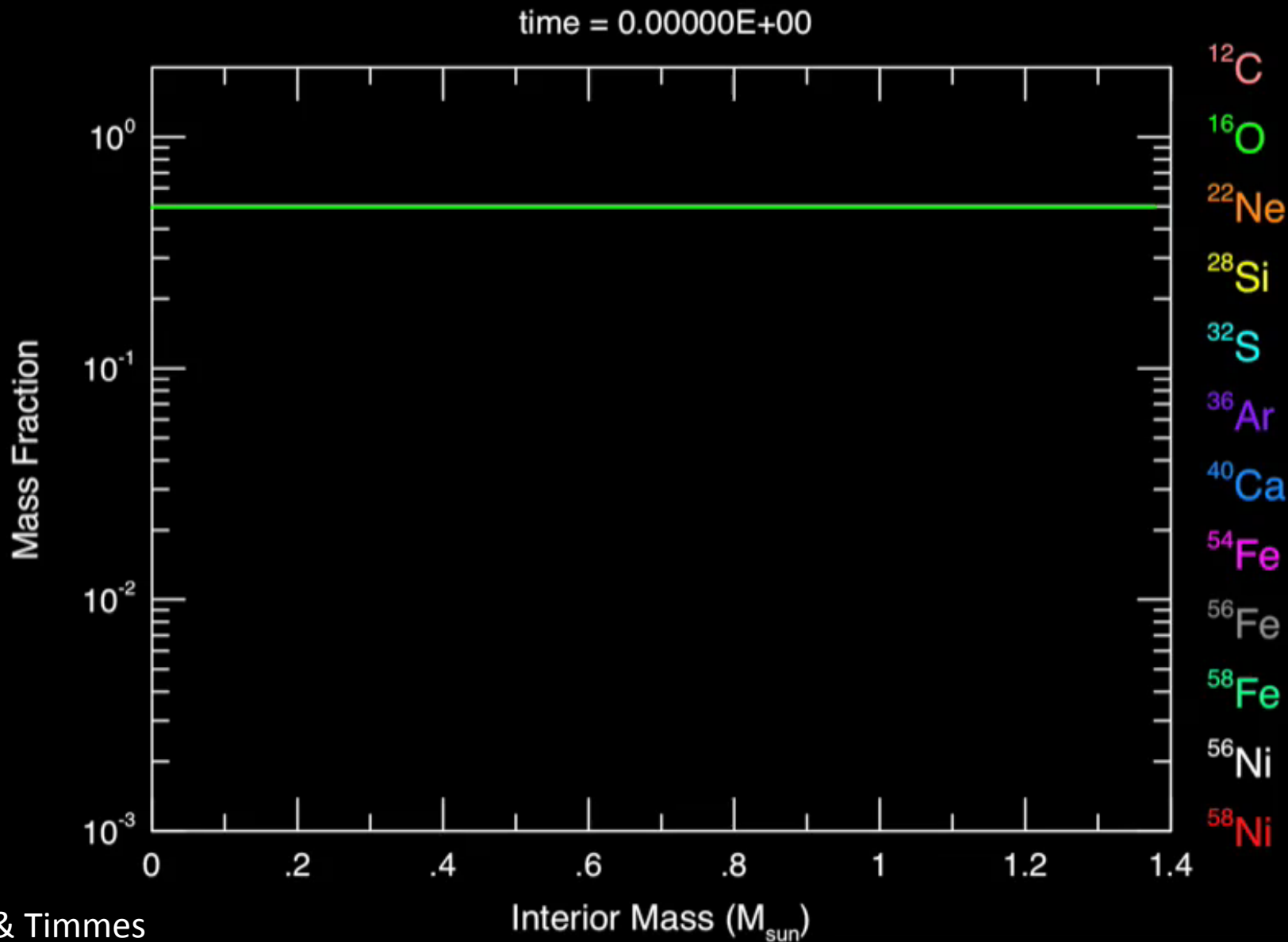
- WD degenerate
  - > increased T does not lead to expansion.
  - > thermonuclear runaway!
- Ignition details still uncertain. Most likely:  
First subsonic burning (*deflagration*) than  
supersonic flame propagation (*detonation*).
- Burning proceeds into nuclear statistical  
equilibrium (NSE,  $T \sim 5 \times 10^9$  K).  
Main product:  $^{56}\text{Ni}$ , typically  $0.6 M_{\odot}$



# Why stop at Nickel 56?



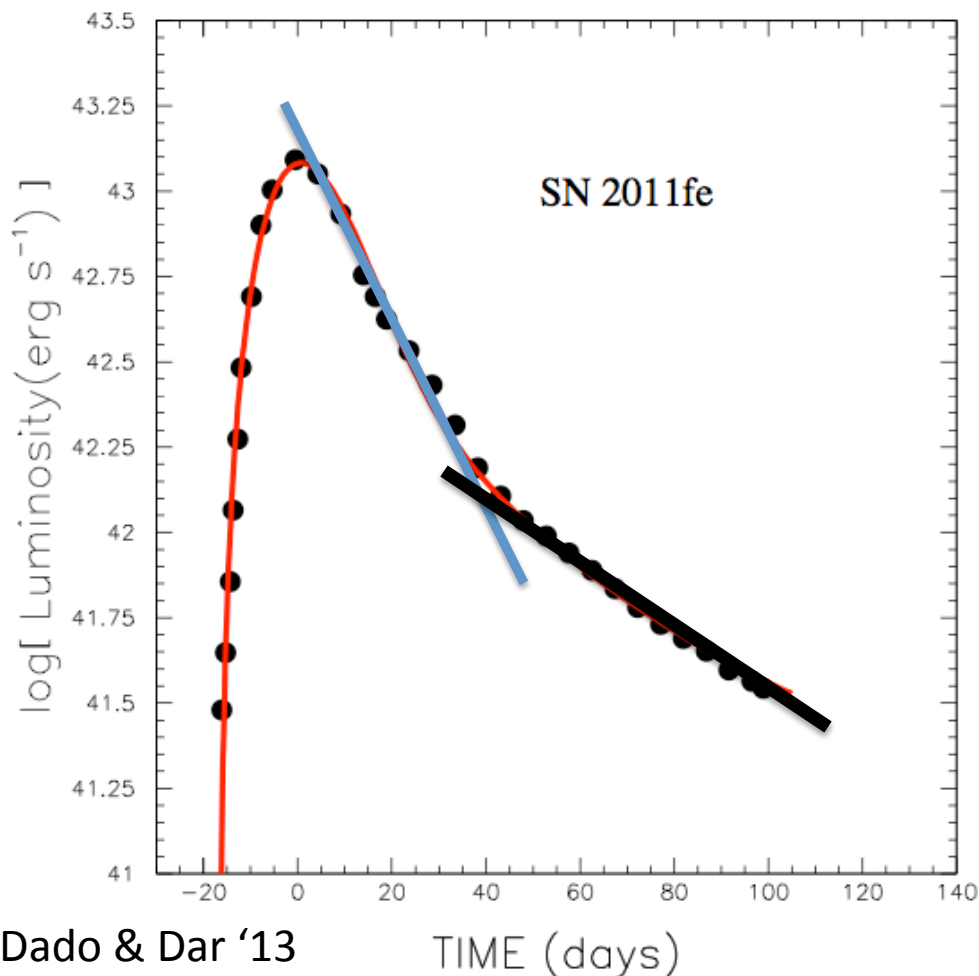
# Explosive Nucleosynthesis



Piro & Timmes

# Energetics and Lightcurve

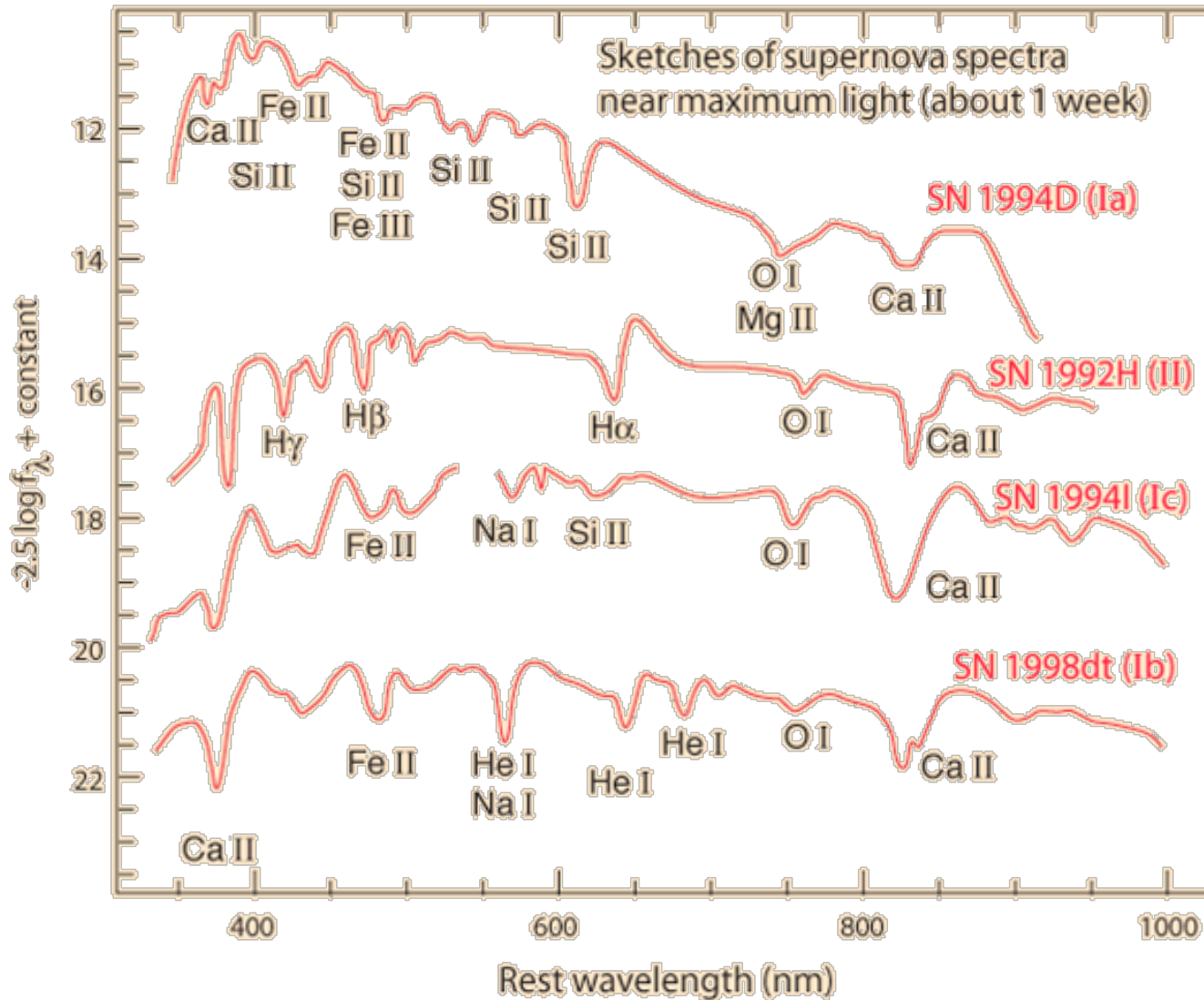
- Burning of C+O to Ni gives  $\sim 10^{18}$  erg/g ( $\sim 1$  MeV/nucleon).  
-> get  $\sim 10^{51}$  erg for burning 0.6-0.8  $M_{\odot}$ .



- Powered by radioactive decay!



# Supernova Spectra & Types



Sketches of spectra from Carroll & Ostlie, data attributed to Thomas Matheson of National Optical Astronomy Observatory.

## Thermonuclear:

Type Ia:  
no H, He,  
strong Si

## Core Collapse:

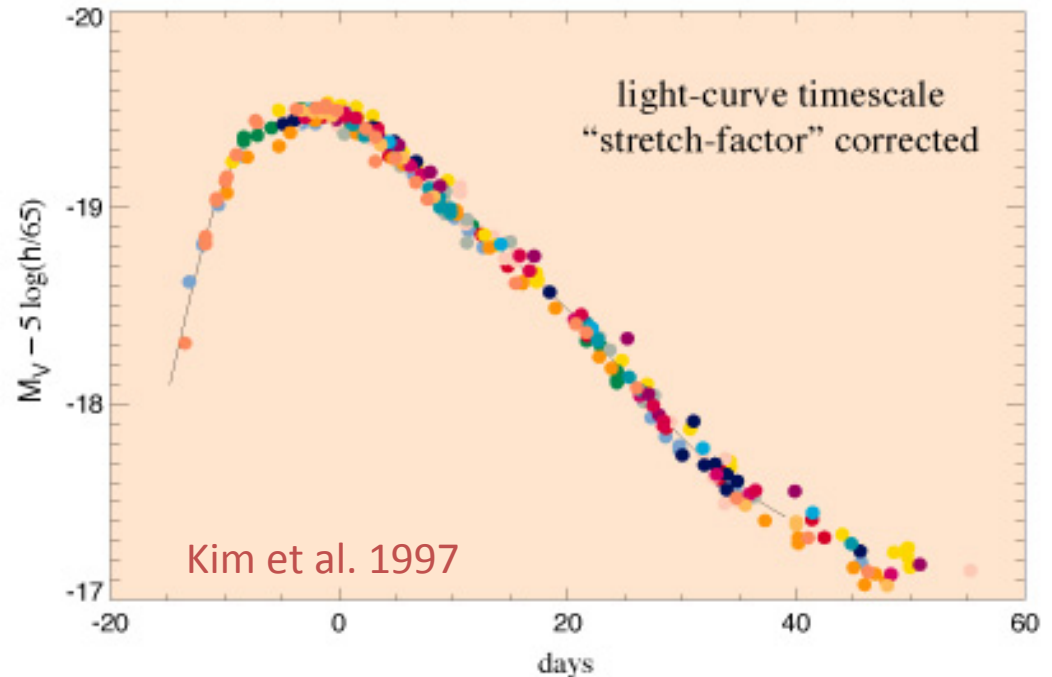
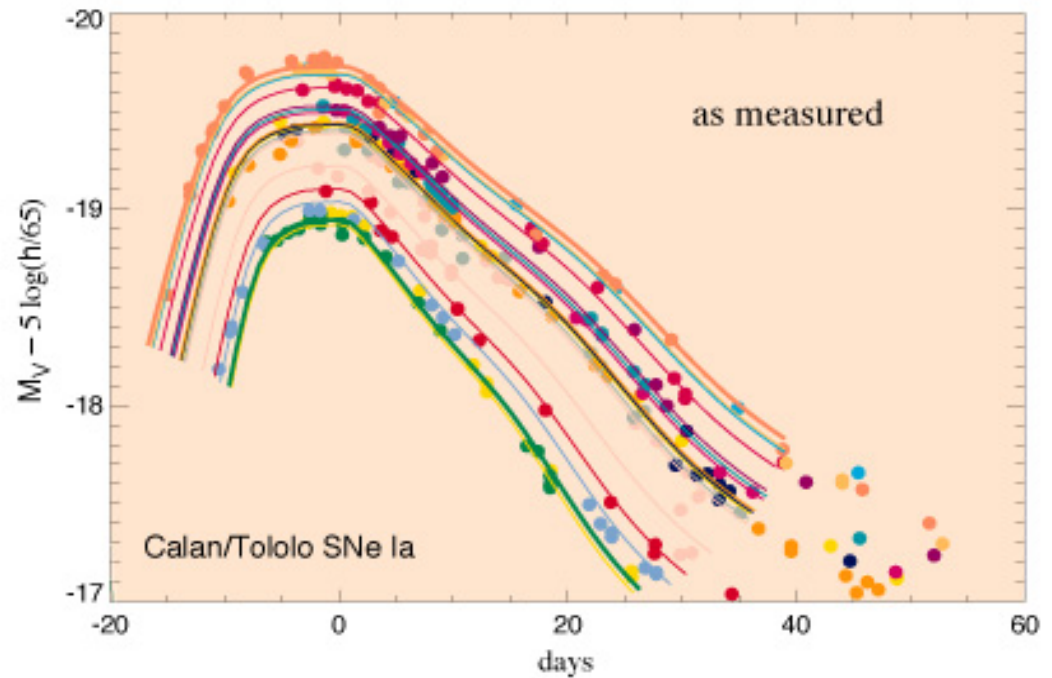
Type II: H  
Type Ib: no H  
Type Ic: no H, He,  
weak Si

# Type Ia SNe: Standard Candel

- “Width-Luminosity”  
Relation -> intrinsic  
luminosity of distant SNe

$$F = \frac{L}{4\pi r^2}$$

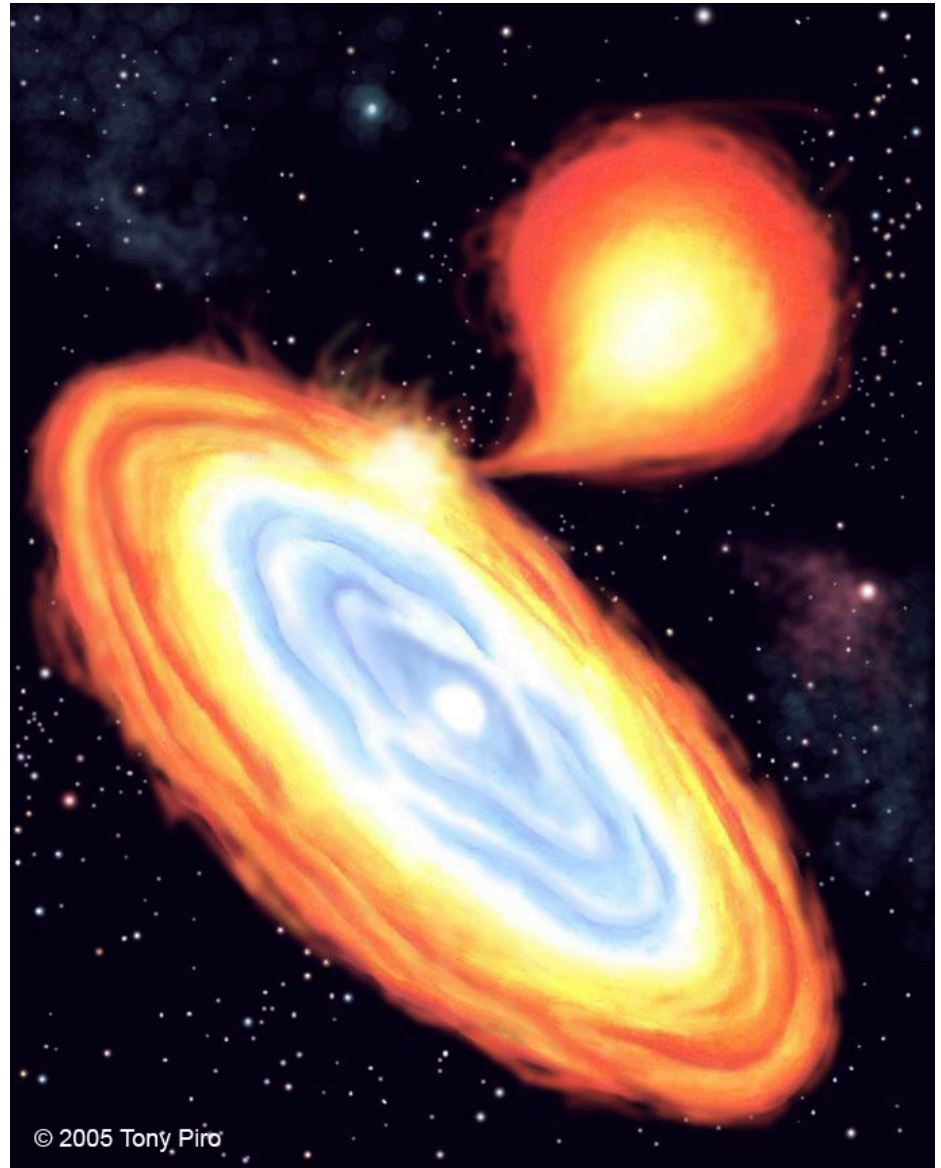
- 2011 Nobel Prize in Physics  
to Perlmutter, Riess, &  
Schmidt:  
The expansion of the  
universe is accelerating.





# Type Ia SN Progenitors?

- ~97% of all stars end their lives as C/O WDs.
- Typical WD mass:  $0.6 M_{\odot}$
- How is  $M_{\text{Ch}}$  reached?
- Explosions produce range of Nickel yields:  
Are **sub-Chandra** and **super-Chandra** explosions possible?
- Basic idea: a WD somehow gains mass from a companion.

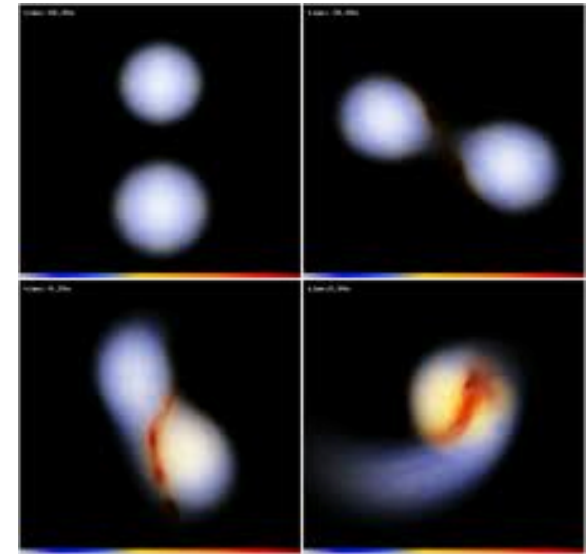


(slide by Tony Piro)

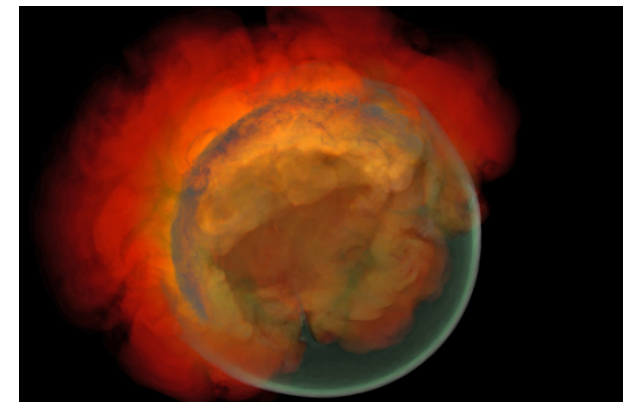
© 2005 Tony Piro

# Ia Progenitor Scenarios

- **Single Degenerate (SD):**  
WD accretes from normal star companion until  $M_{\text{ch}}$ .
- **Double Degenerate (DD):**  
Merger of two white dwarfs.  
Problem:  $0.6 + 0.6 = 1.2 < M_{\text{ch}}$   
Would need rare high-mass WDs.
- White dwarf (head-on) collisions & “violent” mergers  $\rightarrow$  ignition upon merger.
- Double detonation model:  
Detonation of surface He layer ignites C/O.

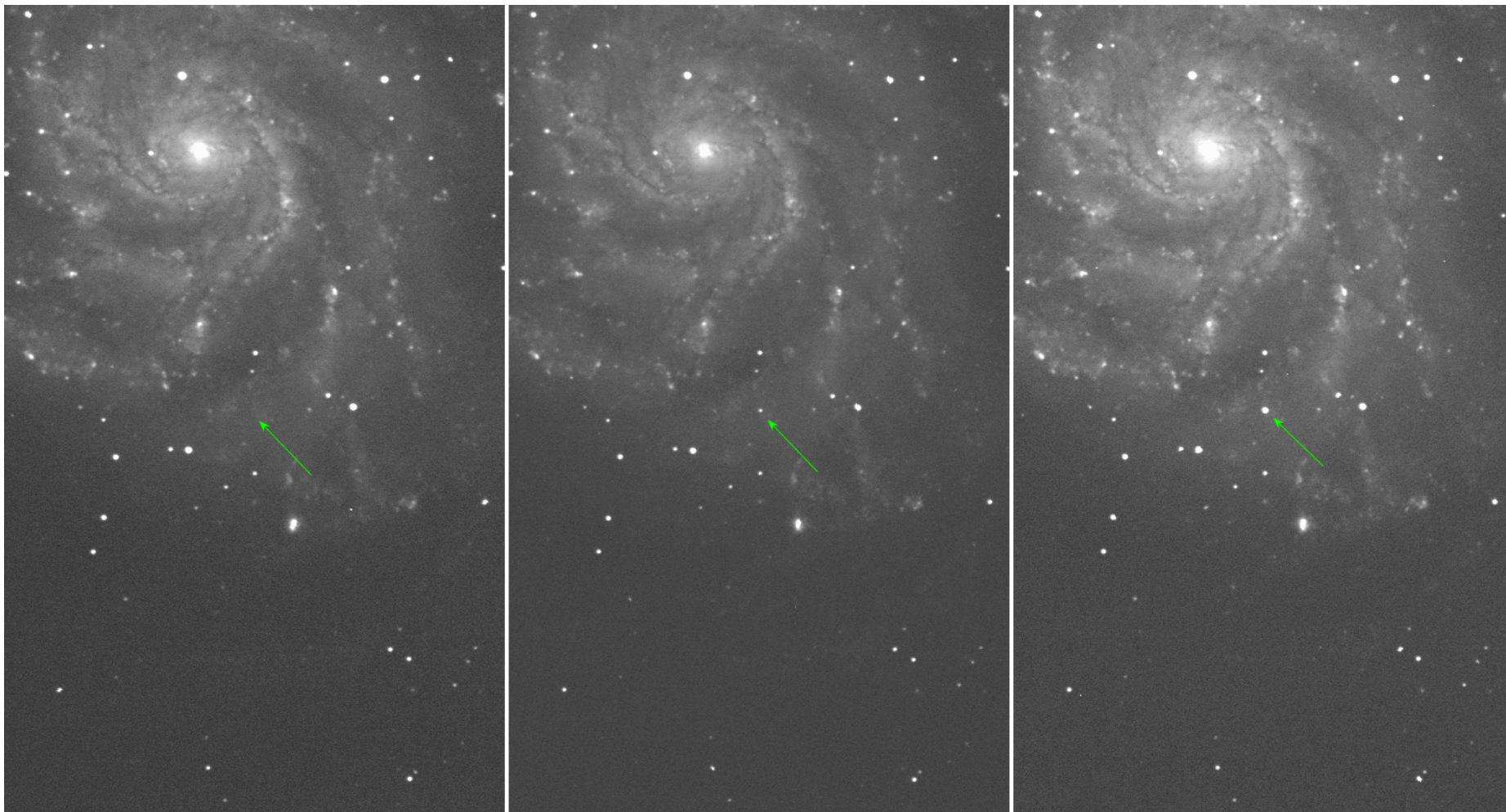


(slide by Tony Piro)



# SN 2011fe: A Nearby Type Ia SN

Review: Chomiuk '13, arXiv:1307.2721

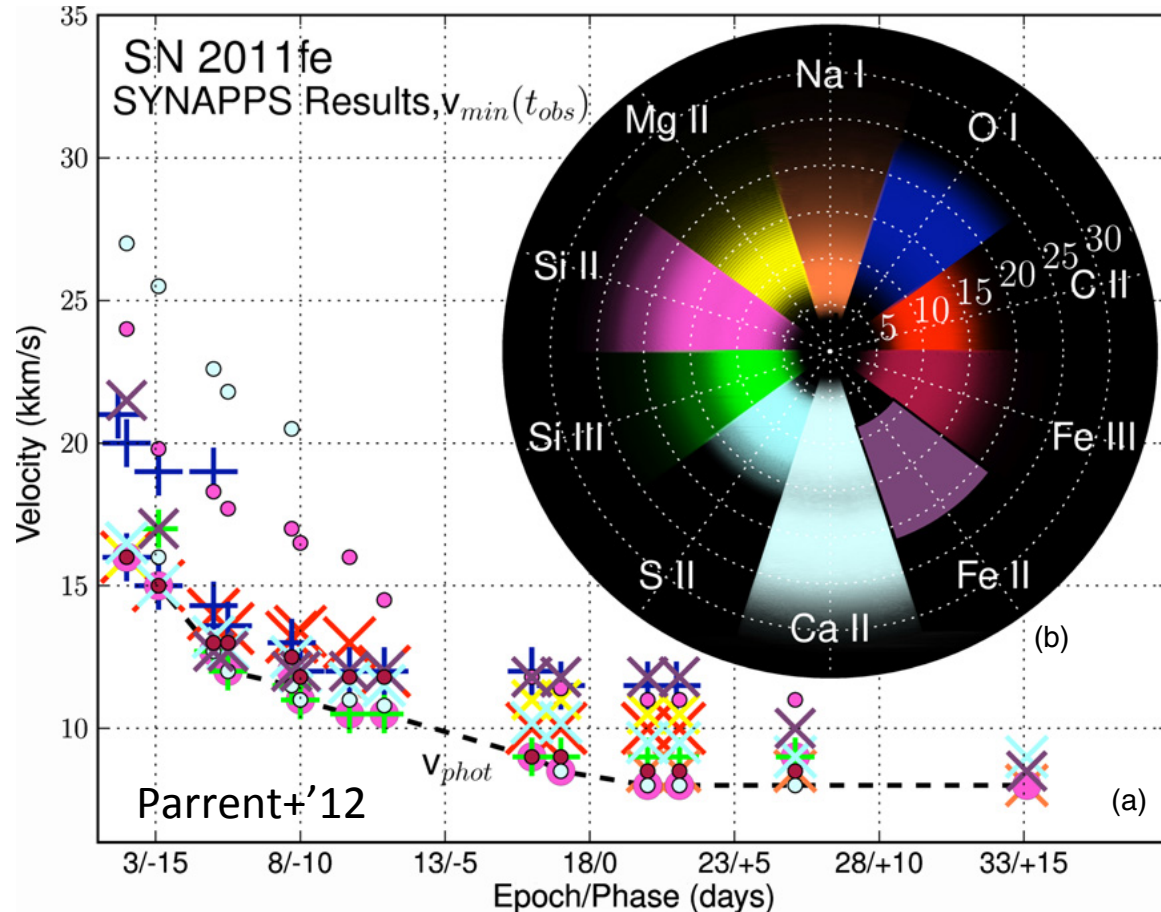


M101 Pinwheel Galaxy, August 24, 2011. Discovery by the Palomar Transient Factory (PTF)  
D ~ 7 Mpc.

# SN 2011fe: A Nearby Type Ia SN

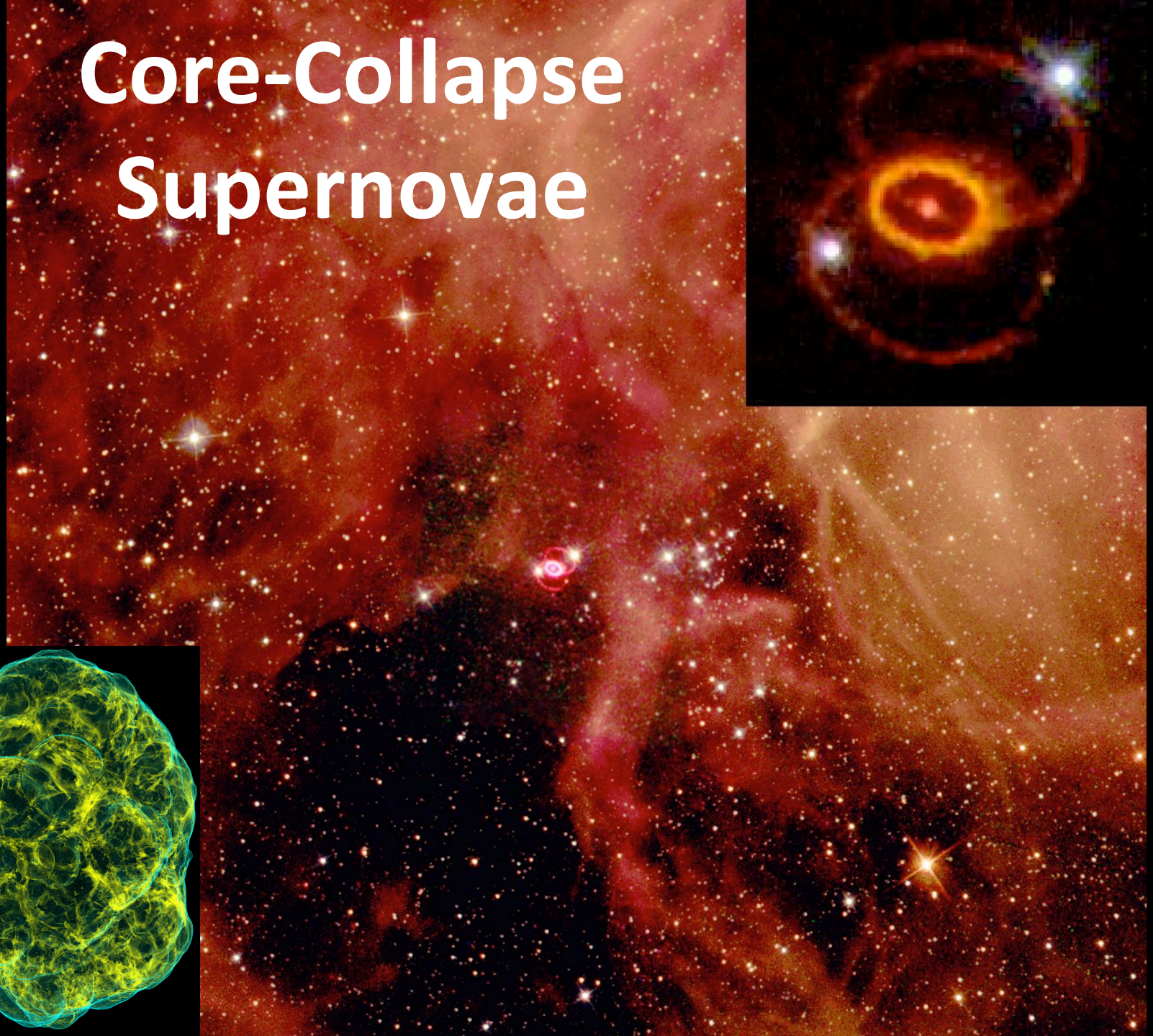
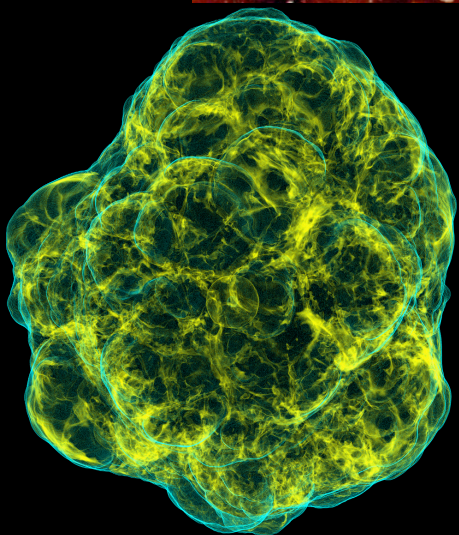
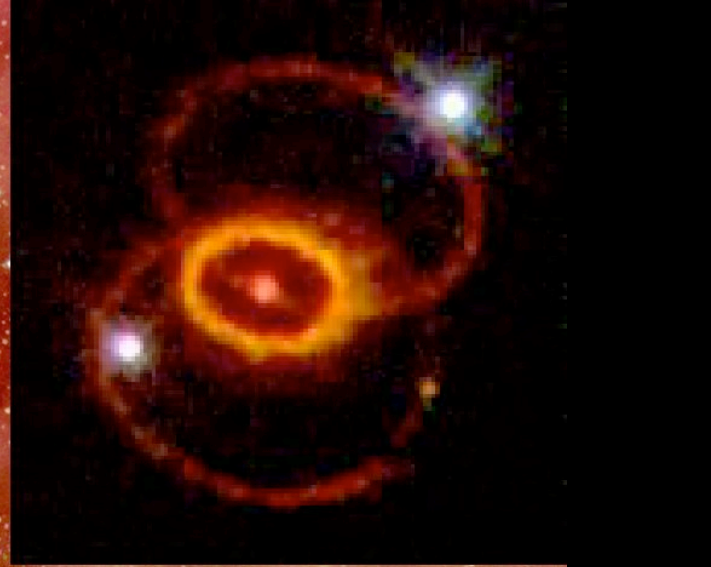
Review: Chomiuk '13, arXiv:1307.2721

- Typical type Ia SN.
- **NO companion star found.**
- $1.1 M_{\odot}$  visible ejecta,
- $0.5 M_{\odot}$  Ni.
- Consistent with explosion of C/O WD of sub-solar metallicity.



- **Observations disfavor single degenerate scenario, favor double degenerate scenario.**

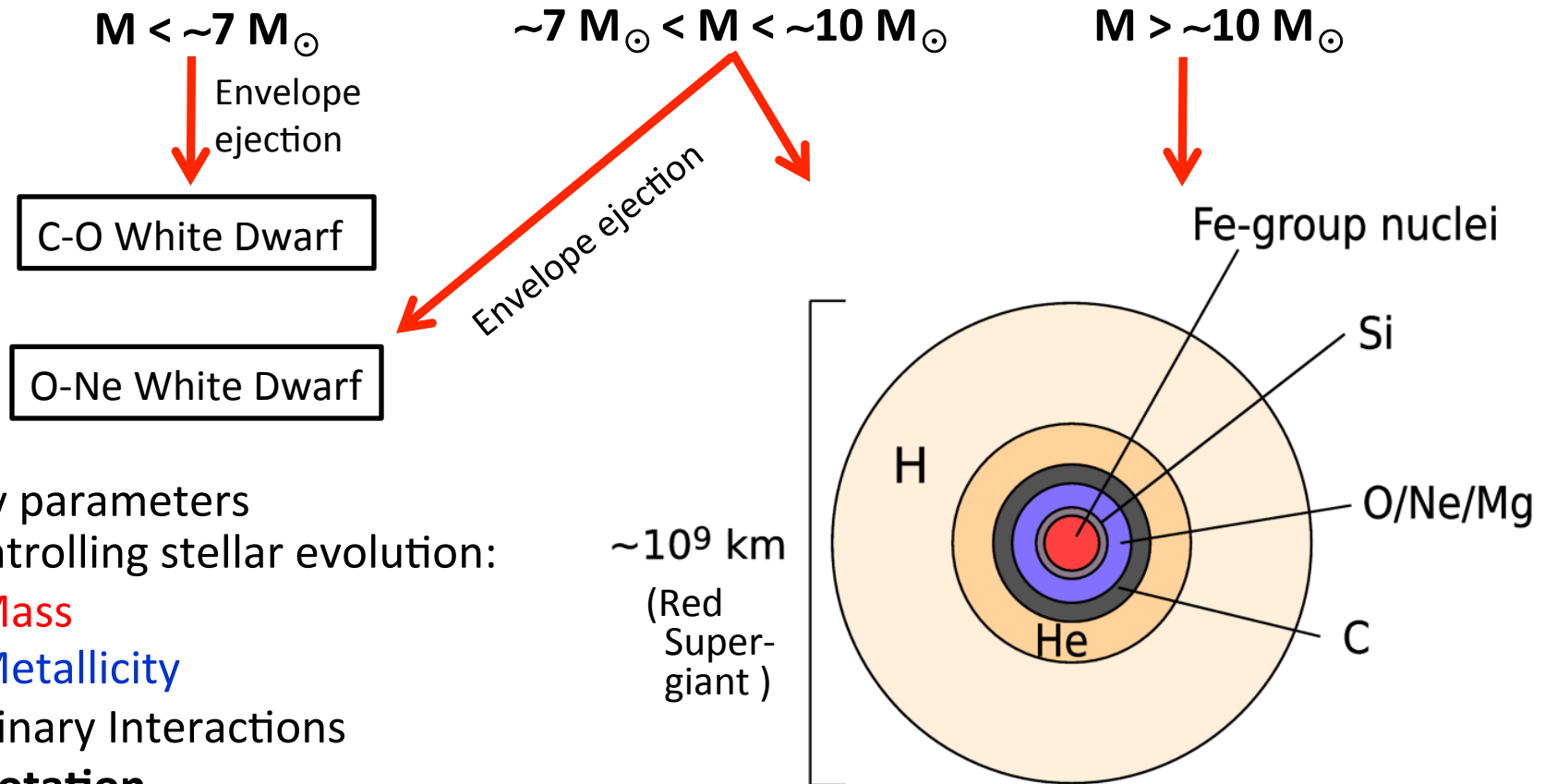
# Core-Collapse Supernovae



# Massive Stars and Their Evolution

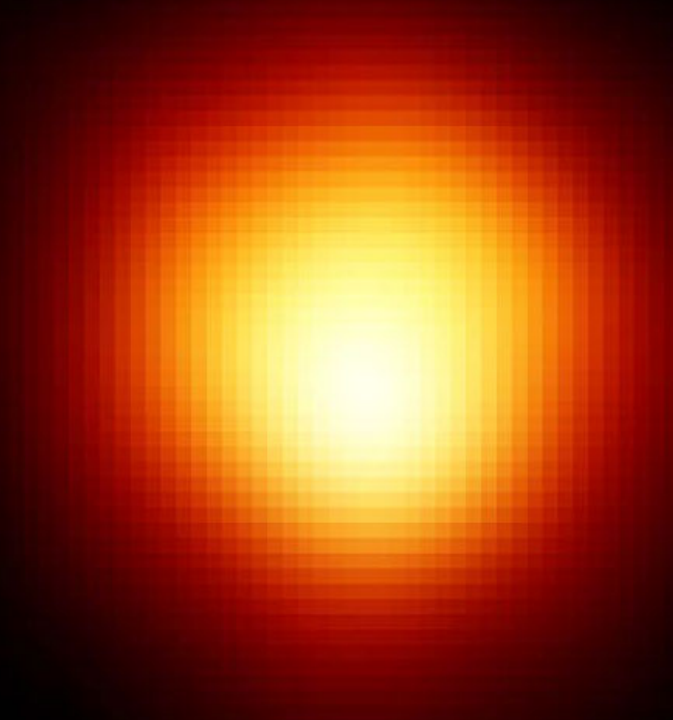
- Mass:  $\sim 7 M_{\odot} \leq M \leq \sim 130 M_{\odot}$ .

Nuclear Burning:

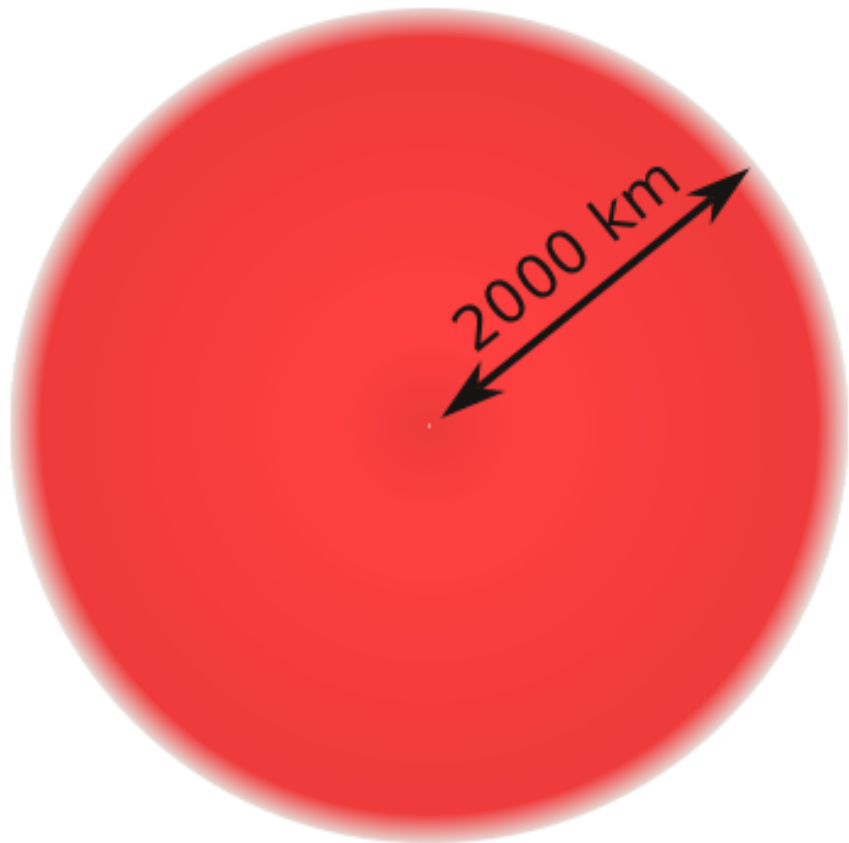


- Key parameters controlling stellar evolution:

- **Mass**
- **Metallicity**
- **Binary Interactions**
- **Rotation**



Betelgeuse,  $M \sim 20 M_{\odot}$ ,  $R \sim 8 \times 10^{13} \text{ cm} \sim 1000 R_{\odot}$   
(HST)



Iron Core

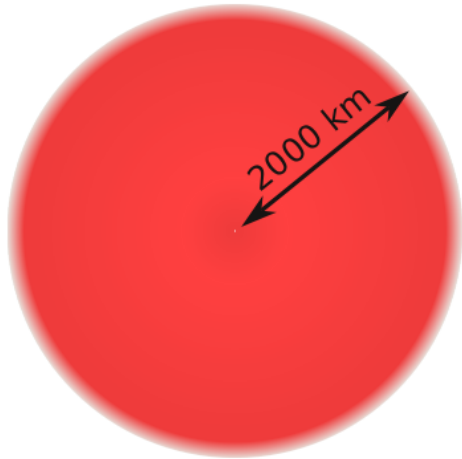
$$\rho_c \approx 10^{10} \text{ g/cm}^3$$
$$T \approx 1 \text{ MeV} = 1.16 \times 10^{10} \text{ K}$$
$$Y_e \approx 0.45$$

Hydrostatic Equilibrium:

$$\frac{dP}{dr} = -\frac{GM\rho}{r^2}$$



# Hydrostatics of the Iron Core and the Onset of Collapse



Iron Core

$$\rho_c \approx 10^{10} \text{ g/cm}^3$$

$$T \approx 1 \text{ MeV}$$

$$Y_e \approx 0.5$$

(in reality: T lower  
and  $Y_e$  slightly lower)

$$\frac{dP}{dr} = -\frac{GM\rho}{r^2}$$

$$P = P_{\text{ion}} + P_{\text{rad}} + P_e$$

**Ions:** Assume pure Fe 56 (not quite right, of course)

$$P_{\text{ion}} = Y_{\text{Fe}} N_A \rho k_B T \quad P_{\text{ion}} \approx 2 \times 10^{26} \text{ dyn/cm}^2$$

**Radiation pressure:**

$$P_{\text{rad}} = \frac{1}{3} a T^4 \quad P_{\text{rad}} \approx 3 \times 10^{25} \text{ dyn/cm}^2$$

**Electrons:** degenerate and relativistic

$$P_e \approx \frac{2\pi}{3} \frac{1}{c^3 h^3} \mu_e^4 \quad \mu_e \approx 1.11 (\rho_7 Y_e)^{1/3} \text{ MeV}$$

$$P_e \approx 10^{28} \text{ dyn/cm}^2$$

$$P_e \gg P_{\text{ion}} \gg P_{\text{rad}}$$

**Maximum mass for a relativistically degenerate object:**

$$M_{\text{Ch}} \approx 1.44(2Y_e)^2 M_{\odot}$$

+ GR, thermal, and other corrections.

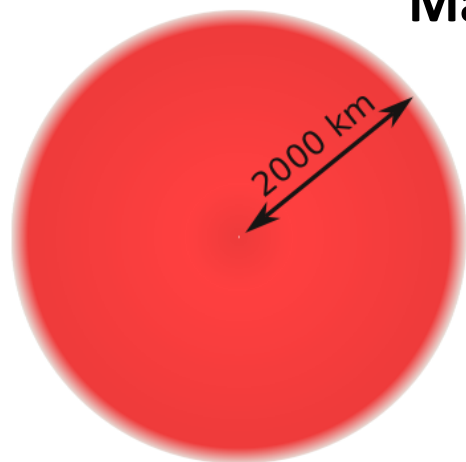
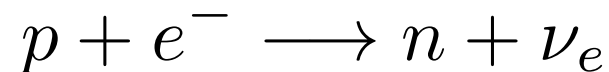
(at  $Y_e = 0.5 \rightarrow M_{\text{Ch}} \approx 1.45 M_{\text{Sun}}$ )

**$M \geq M_{\text{Ch}} \rightarrow$  radial instability  $\rightarrow$  collapse**

Two ways to get there:

- (1) Silicon shell burning adding mass to the core.
- (2) Reduction of  $Y_e$ .

**$\rightarrow$  electron capture**



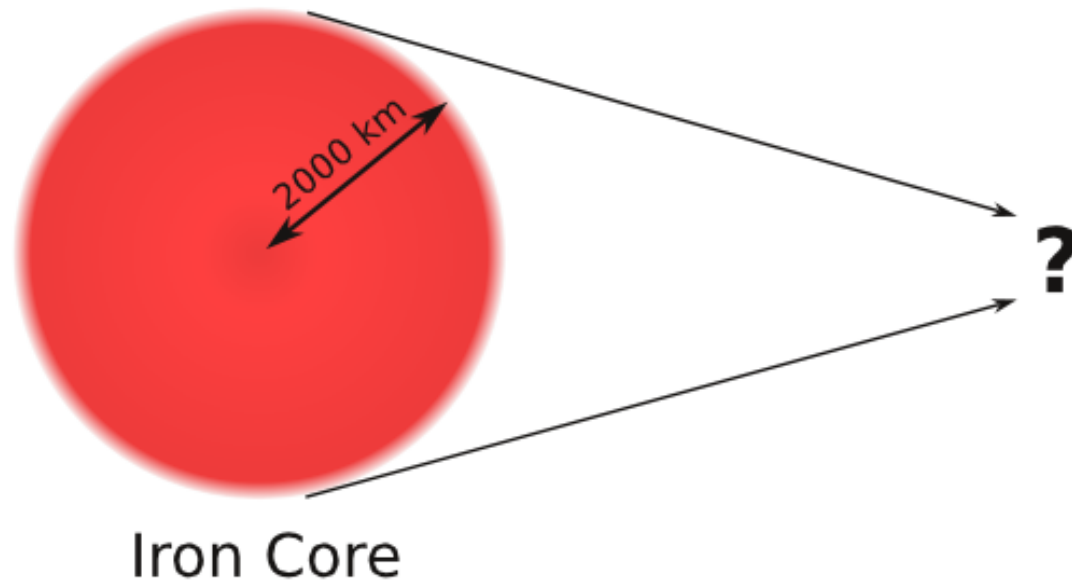
Iron Core

$$\rho_c \approx 10^{10} \text{ g/cm}^3$$

$$T \approx 1 \text{ MeV}$$

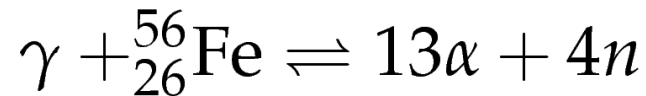
$$Y_e \approx 0.5$$

(in reality:  $T$  lower  
and  $Y_e$  slightly lower)



## In collapse, pressure support is reduced by

- **Photodissociation** of heavy nuclei:  $\sim 125$  MeV/reaction

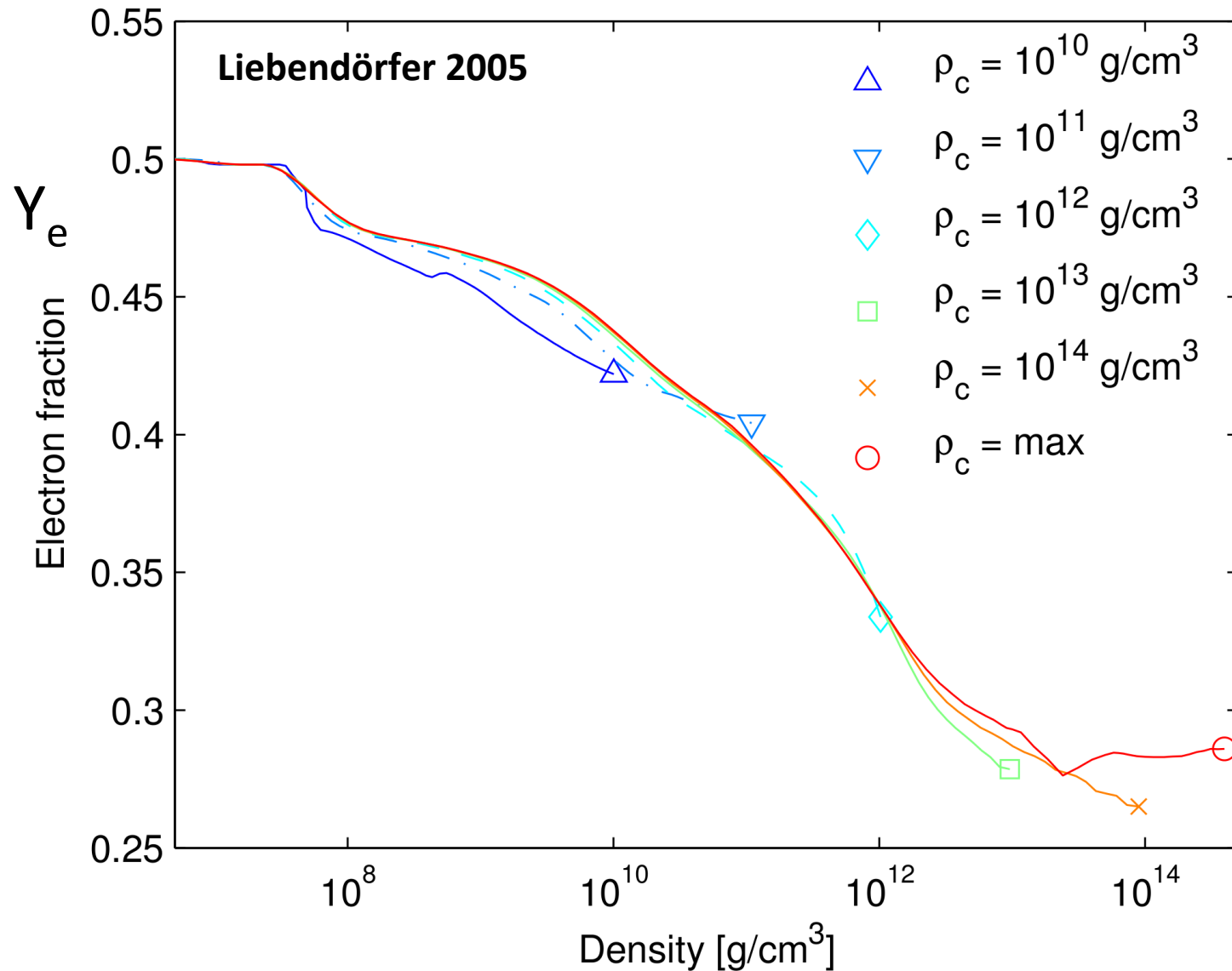


- **Electron Capture**  $e^- + (Z, A) \xrightarrow{(W)} \nu_e + (Z - 1, A)$

$$\frac{\partial}{\partial t} Y_e \propto \mu_e^5 \propto \rho^{5/3} \quad e^- + p \xrightarrow{(W)} \nu_e + n .$$

- Neutrinos stream off almost freely at densities below  $\sim 10^{12}$  g/cm<sup>3</sup>.  
-> core “deleptonizes” during collapse.
- Net entropy change is small,  
-> **collapse proceeds practically adiabatically.**

# More Collapse Physics: Deleptonization



## Neutrino Trapping

- Collapse phase: Neutrino opacity dominated by coherent neutrino-nucleus scattering:  $\nu + (A, Z) \longleftrightarrow \nu + (A, Z)$

Neutrino mean-free path: 
$$\lambda_\nu \approx 10^7 \text{ cm} \left( \frac{10^{12} \text{ g cm}^{-3}}{\rho} \right) \frac{A}{N^2} \left( \frac{10 \text{ MeV}}{\epsilon_\nu^2} \right)$$

- For  $\rho \geq 3 \times 10^{12} \text{ g/cm}^3$ , diffusion time  $\tau_{\text{diff}} \gg$  collapse time  
 -> **neutrinos become dynamically trapped in the collapsing core.**

- **Consequences:**

**Deleptonization stopped**

$$Y_{\text{lep}} = Y_e + Y_\nu = \text{const.}$$

Detailed simulations:

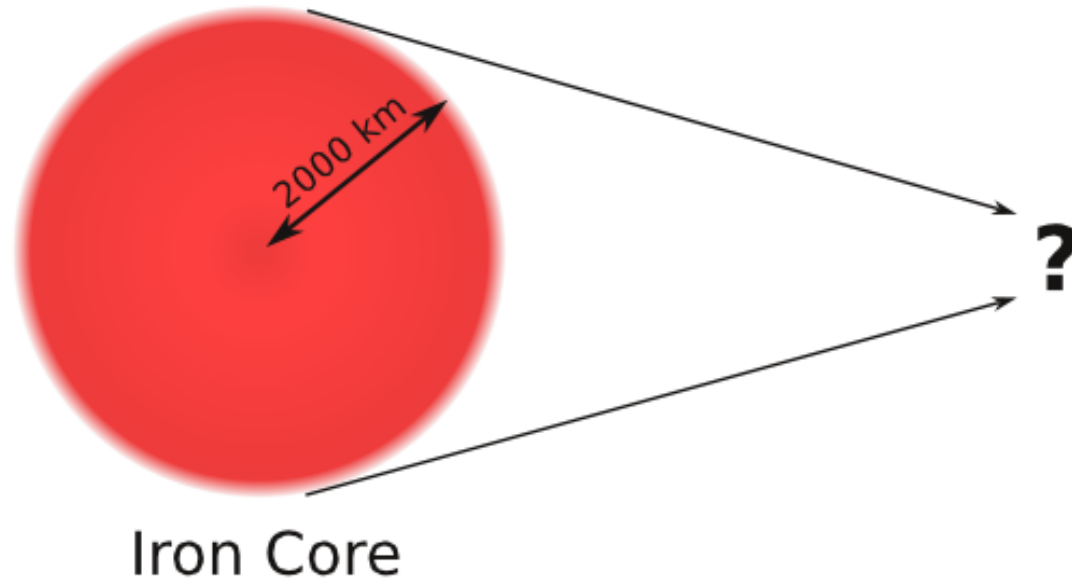
$$Y_{\text{lep}} \approx 0.32$$

**Beta Equilibrium**

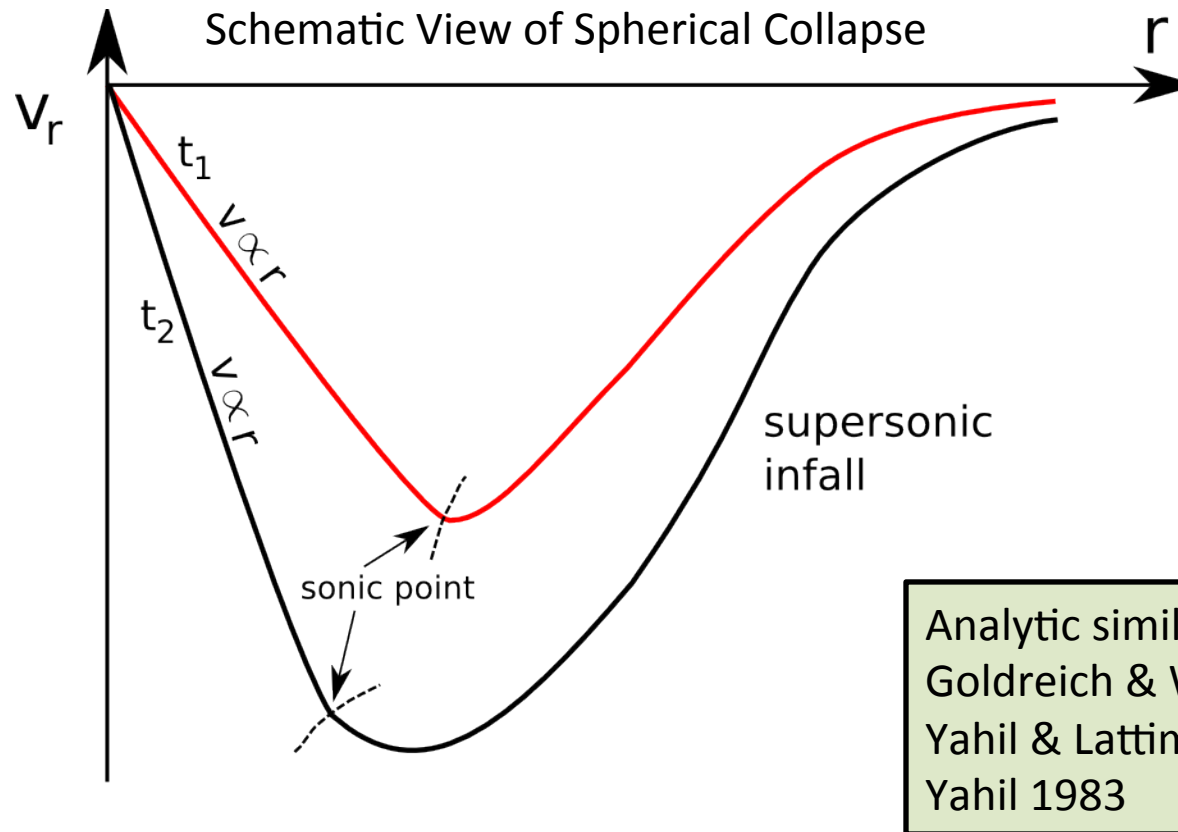
$$e^- + p \longleftrightarrow \nu_e + n$$

$$\mu_e + \mu_p = \mu_\nu + \mu_n$$

still collapsing...



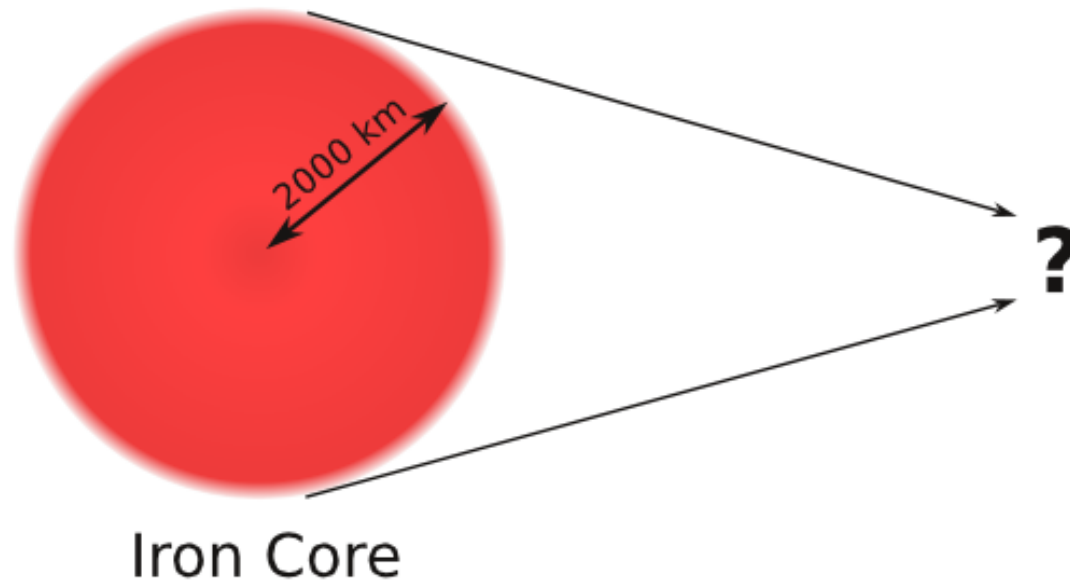
# Self-Similarity in Stellar Collapse



- Separation into **homologously ( $v \propto r$ ) collapsing inner core** and **supersonically collapsing outer core**.



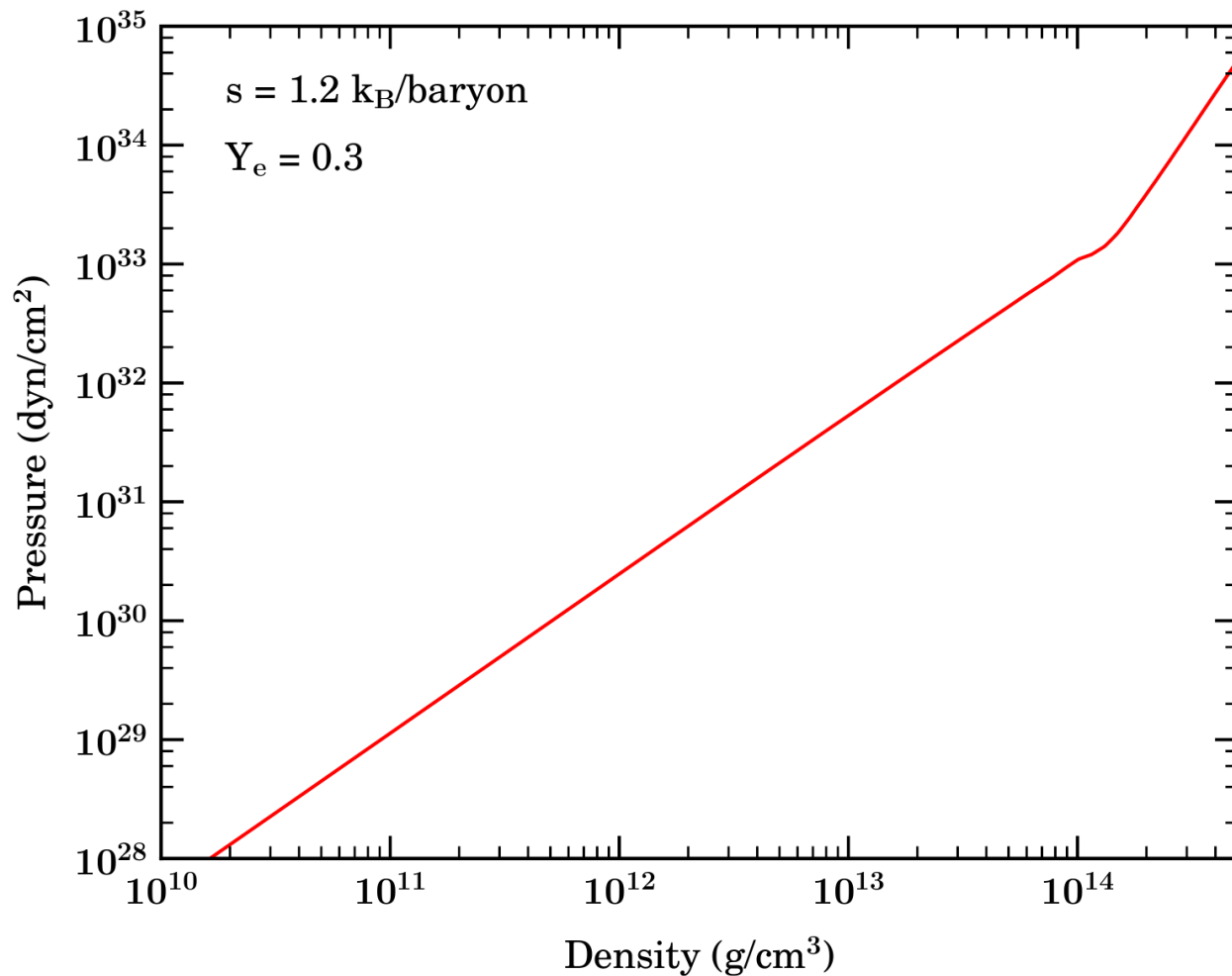
Still collapsing... is there an end?



# The Nuclear Equation of State (EOS)

**Nuclear Statistical Equilibrium** ( $\rho > 10^7 \text{ g/cm}^3$ ,  $T > 0.5 \text{ MeV}$ )

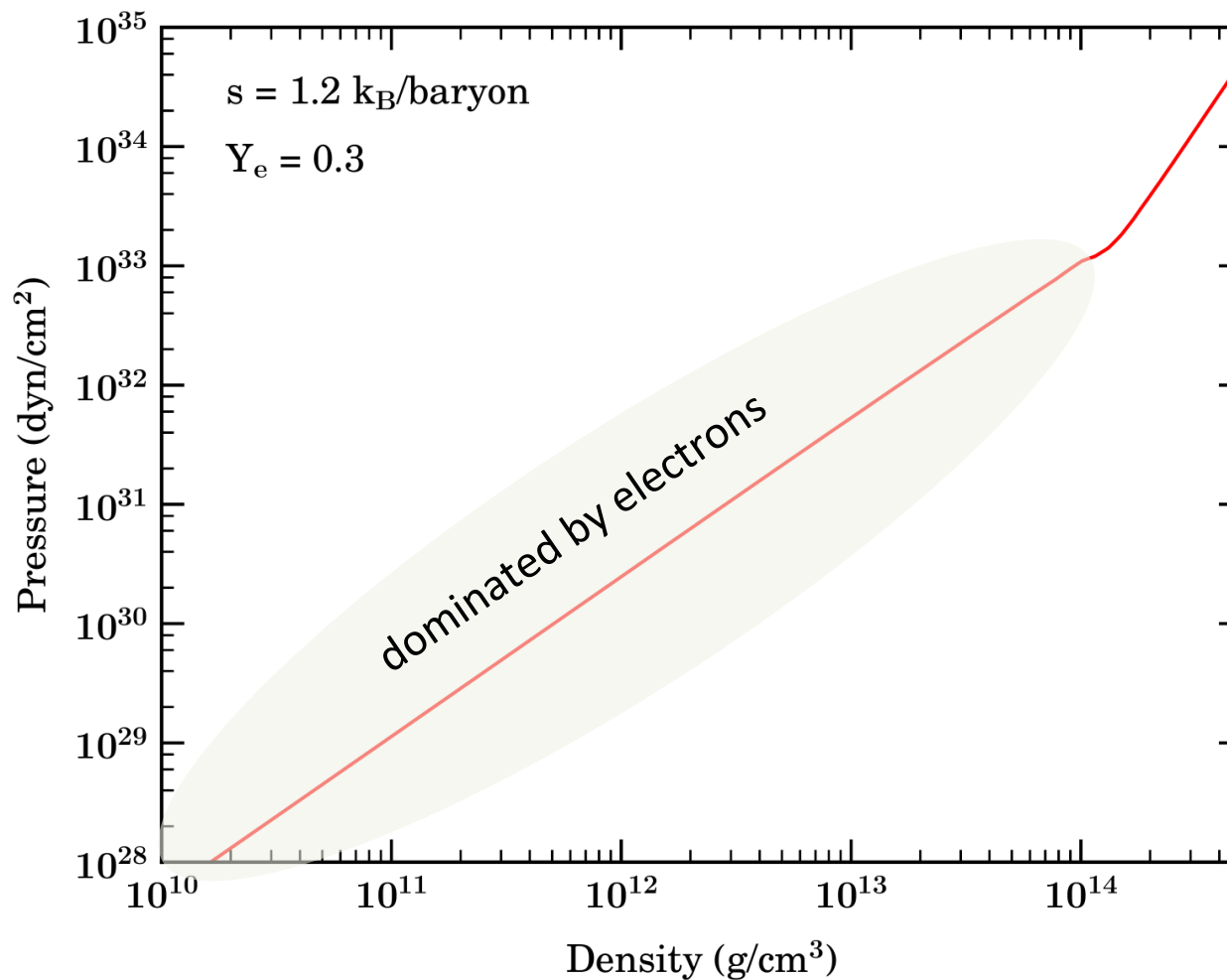
->  $P = P(\rho, T, Y_e)$



# The Nuclear Equation of State (EOS)

**Nuclear Statistical Equilibrium** ( $\rho > 10^7 \text{ g/cm}^3$ ,  $T > 0.5 \text{ MeV}$ )

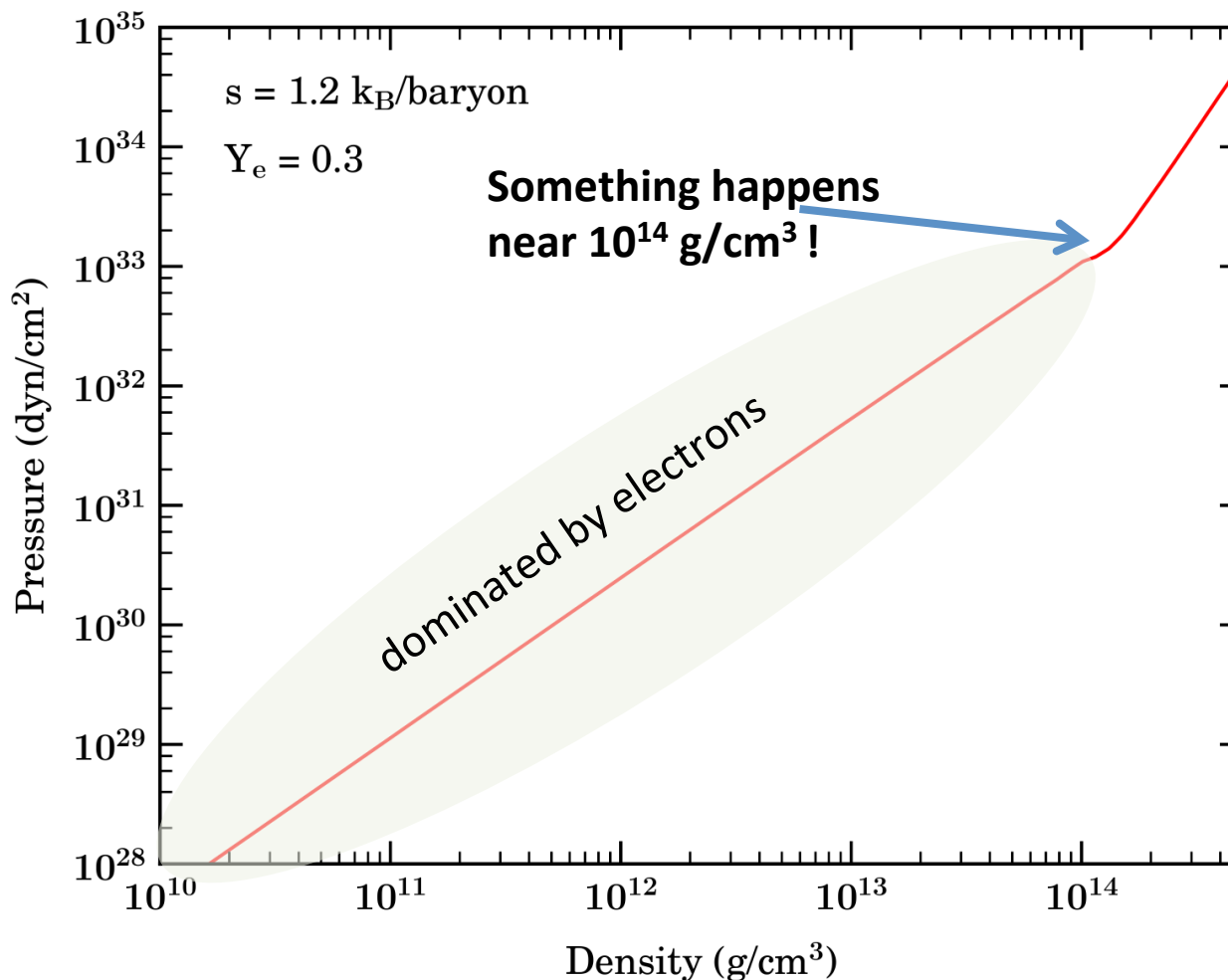
->  $P = P(\rho, T, Y_e)$



# The Nuclear Equation of State (EOS)

**Nuclear Statistical Equilibrium** ( $\rho > 10^7 \text{ g/cm}^3$ ,  $T > 0.5 \text{ MeV}$ )

->  $P = P(\rho, T, Y_e)$



# The Nuclear Equation of State (EOS)

Nuclear Physics:

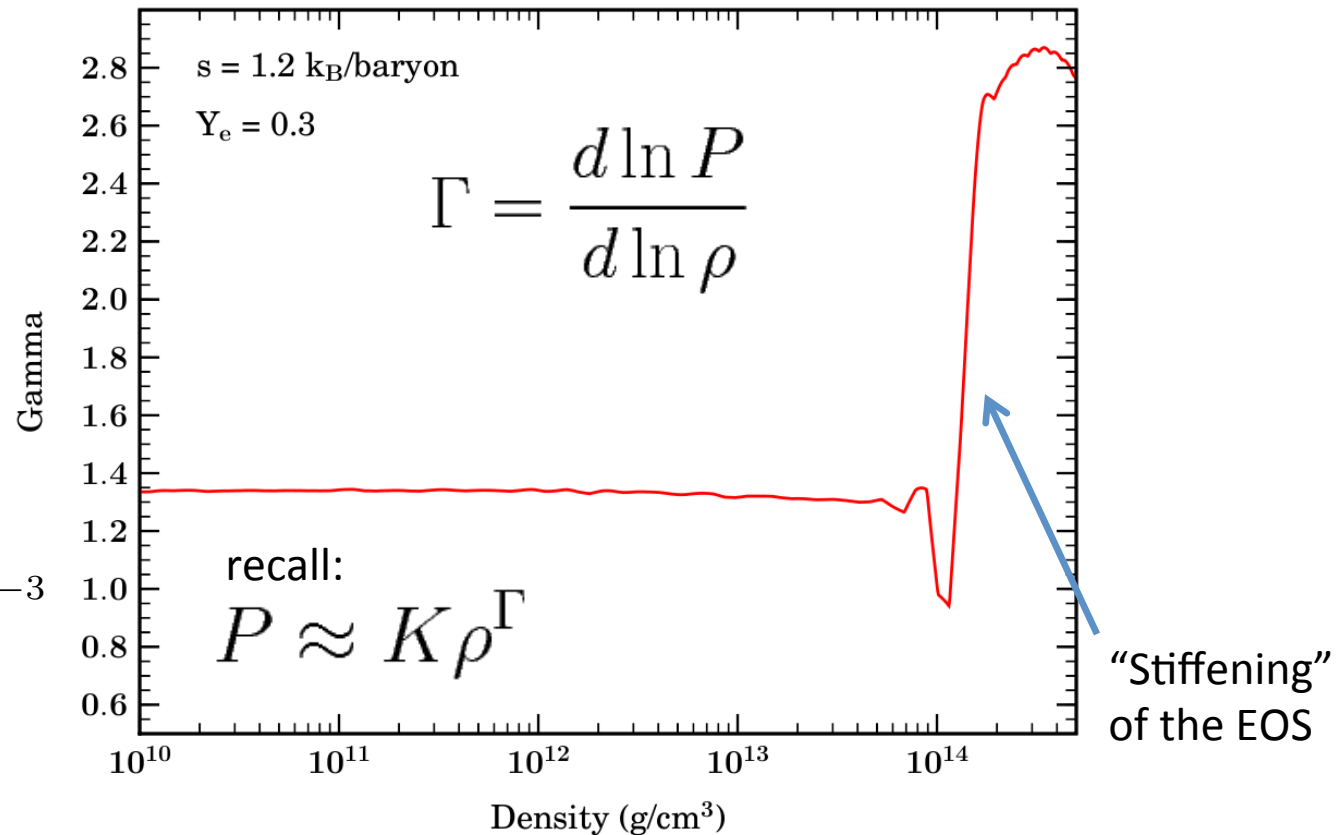
$$R_{\text{nuc}} = A^{1/3} r_0$$

$$r_0 = 1.25 \text{ fm}$$

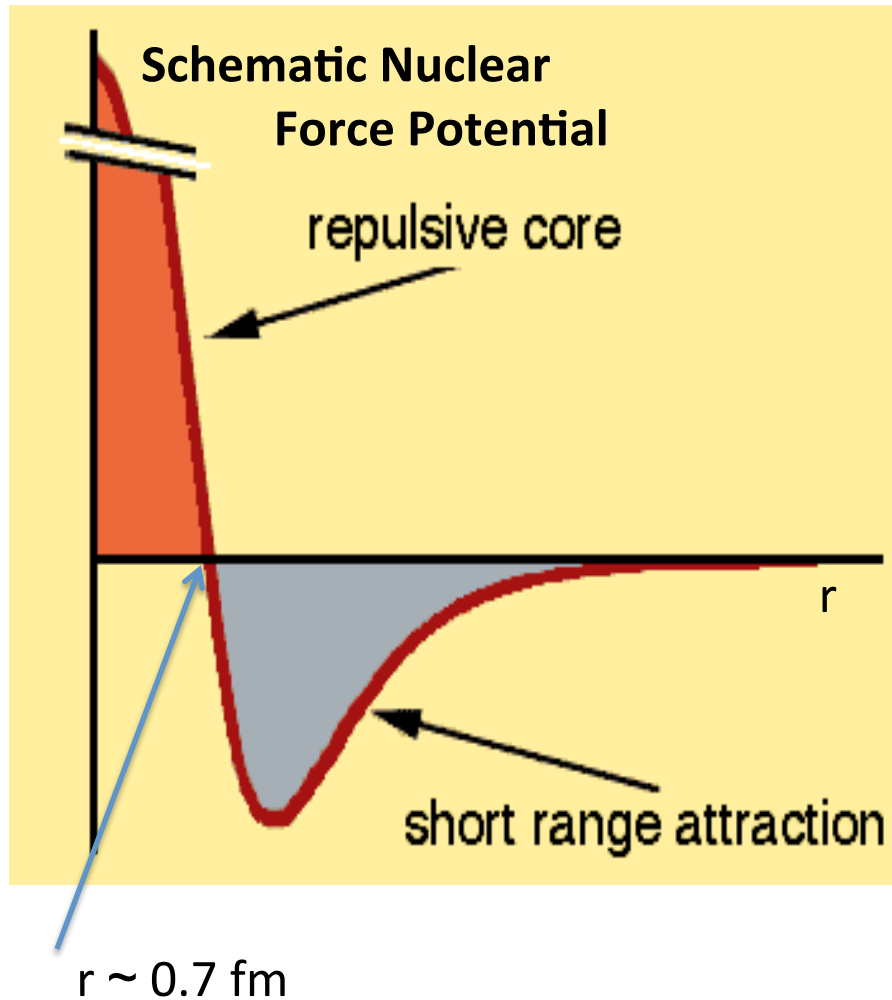
Nuclear Density:

$$\bar{\rho}_{\text{nuc}} = \frac{A m_b}{\frac{4}{3} \pi R_{\text{nuc}}^3}$$

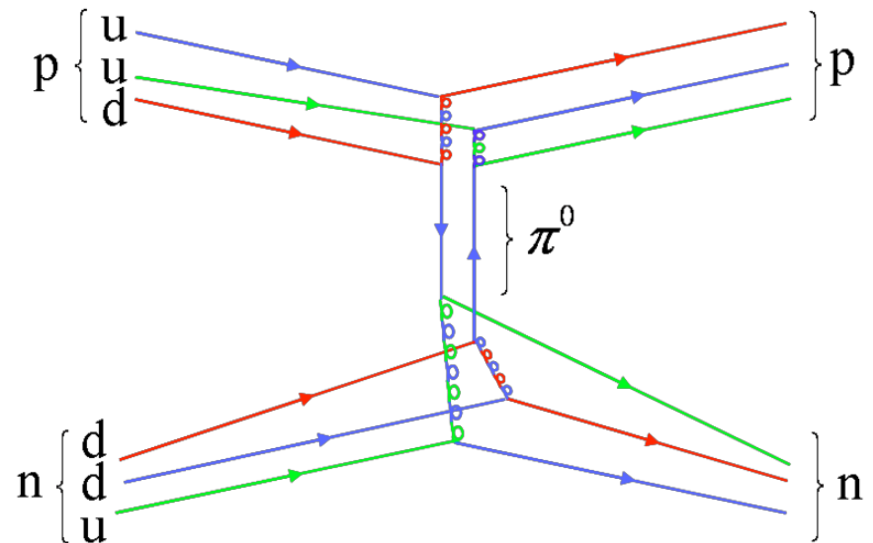
$$\rho_{\text{nuc}} \sim 2.7 \times 10^{14} \text{ g cm}^{-3}$$



# Nuclear Force -> Nuclear Equation of State



- Nucleon-nucleon many-body interaction.
- Consequence of strong force.
- Details unknown.



# Nuclear EOS: What happens near $\rho_{\text{nuc}}$ ?

Nuclear Physics:

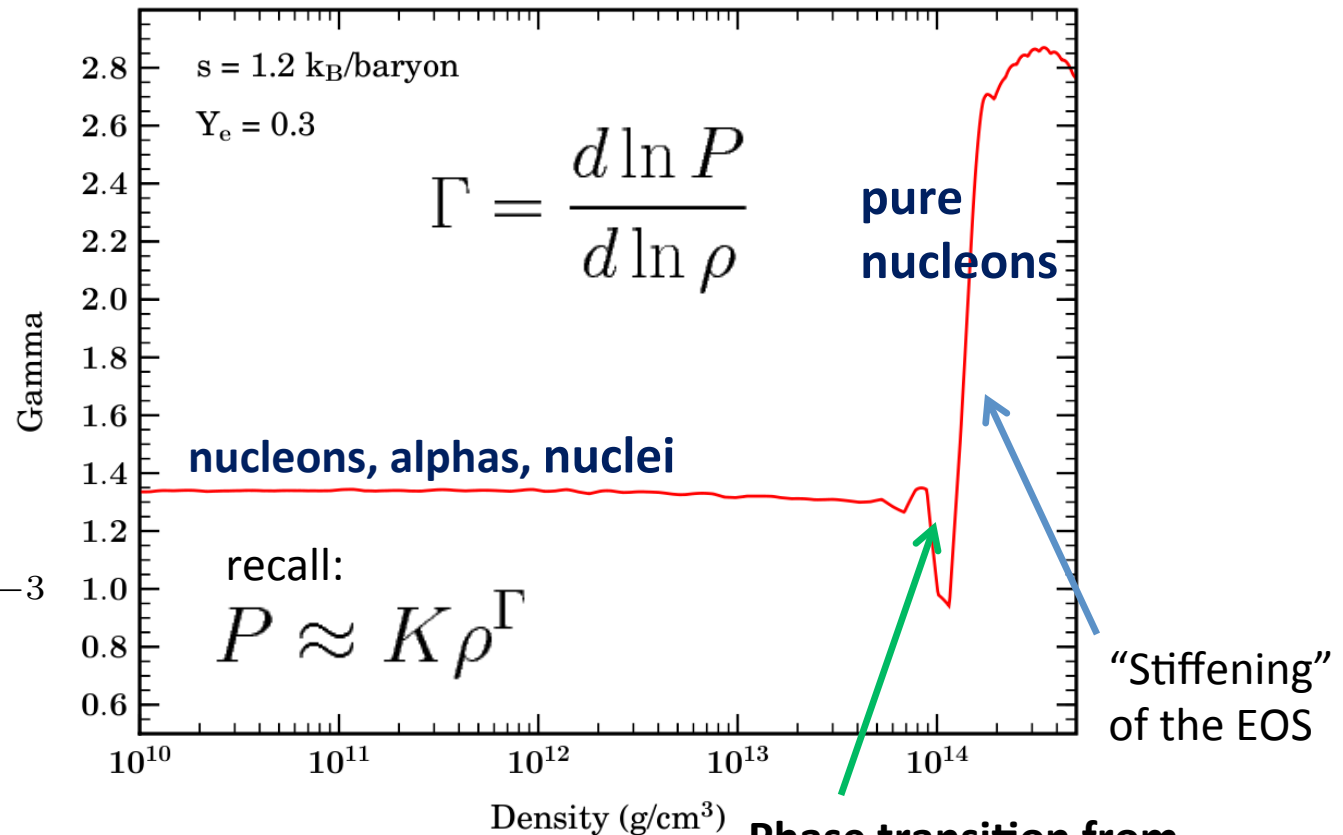
$$R_{\text{nuc}} = A^{1/3} r_0$$

$$r_0 = 1.25 \text{ fm}$$

Nuclear Density:

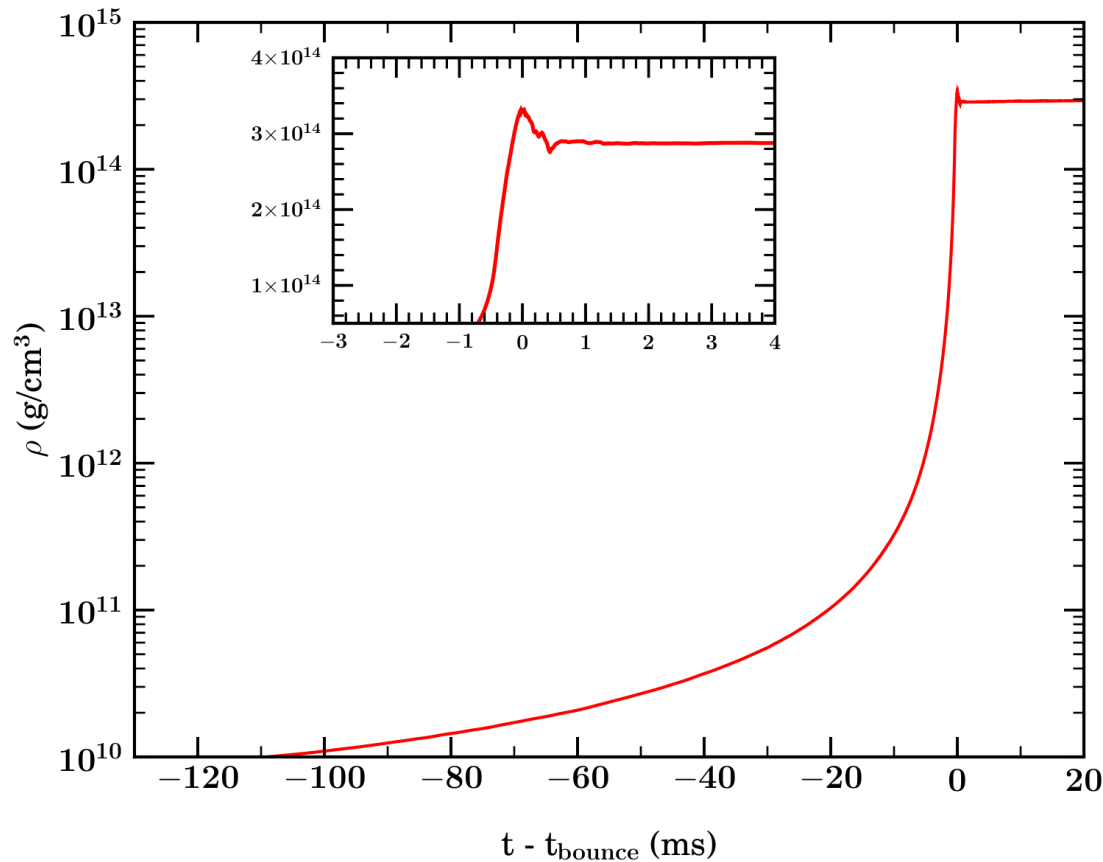
$$\bar{\rho}_{\text{nuc}} = \frac{A m_b}{\frac{4}{3} \pi R_{\text{nuc}}^3}$$

$$\rho_{\text{nuc}} \sim 2.7 \times 10^{14} \text{ g cm}^{-3}$$



- Above  $\approx \rho_{\text{nuc}}$  : repulsive core

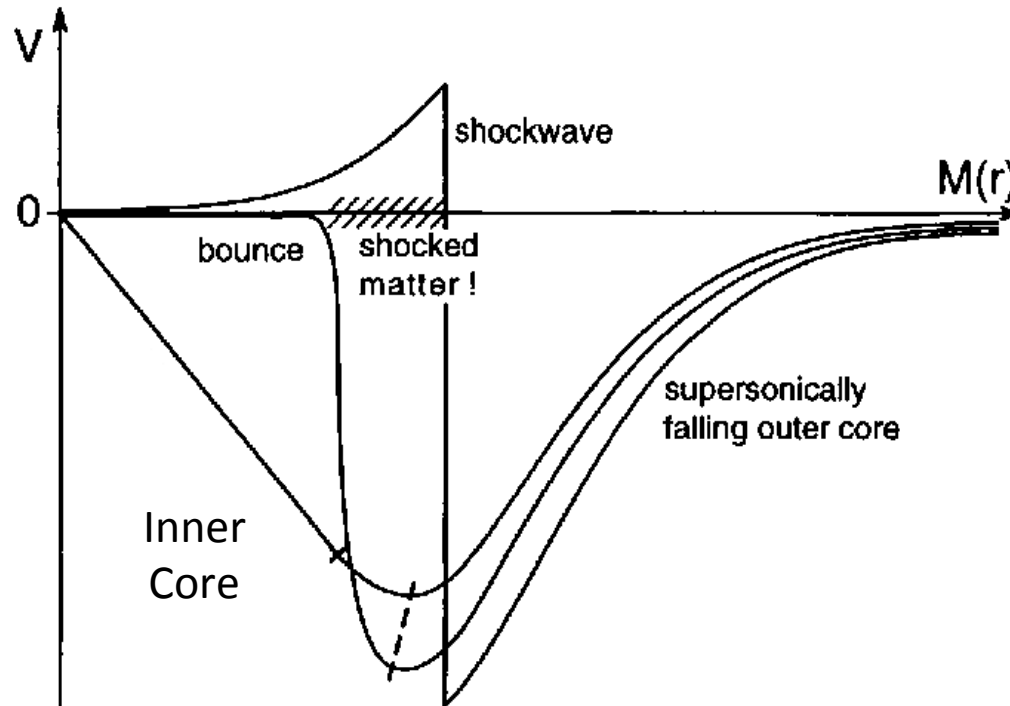
# Collapse and Bounce



- **Inner Core** reaches  $\rho_{\text{nuc}}$ , rebounds (“bounces”) into still infalling outer core.



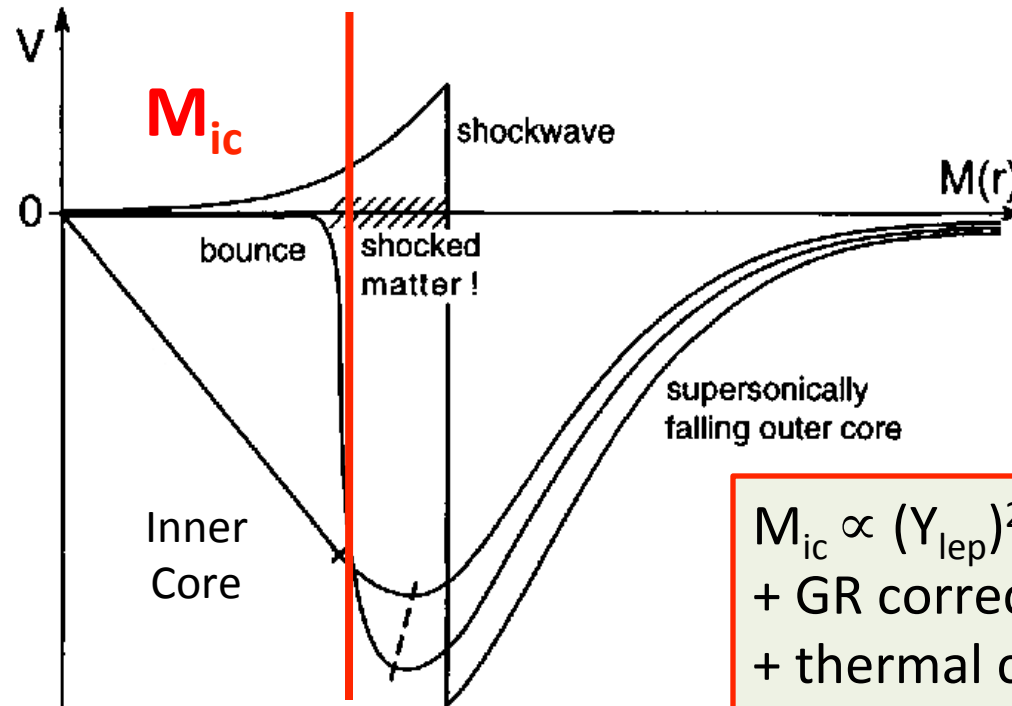
# Shock Formation



Credit:  
E. Müller  
Saas-Fee Lectures 1998

- Stiffening of EOS leads to sound wave that propagates through the inner core and steepens to a shock at the sonic point.

# Universality of Core Collapse



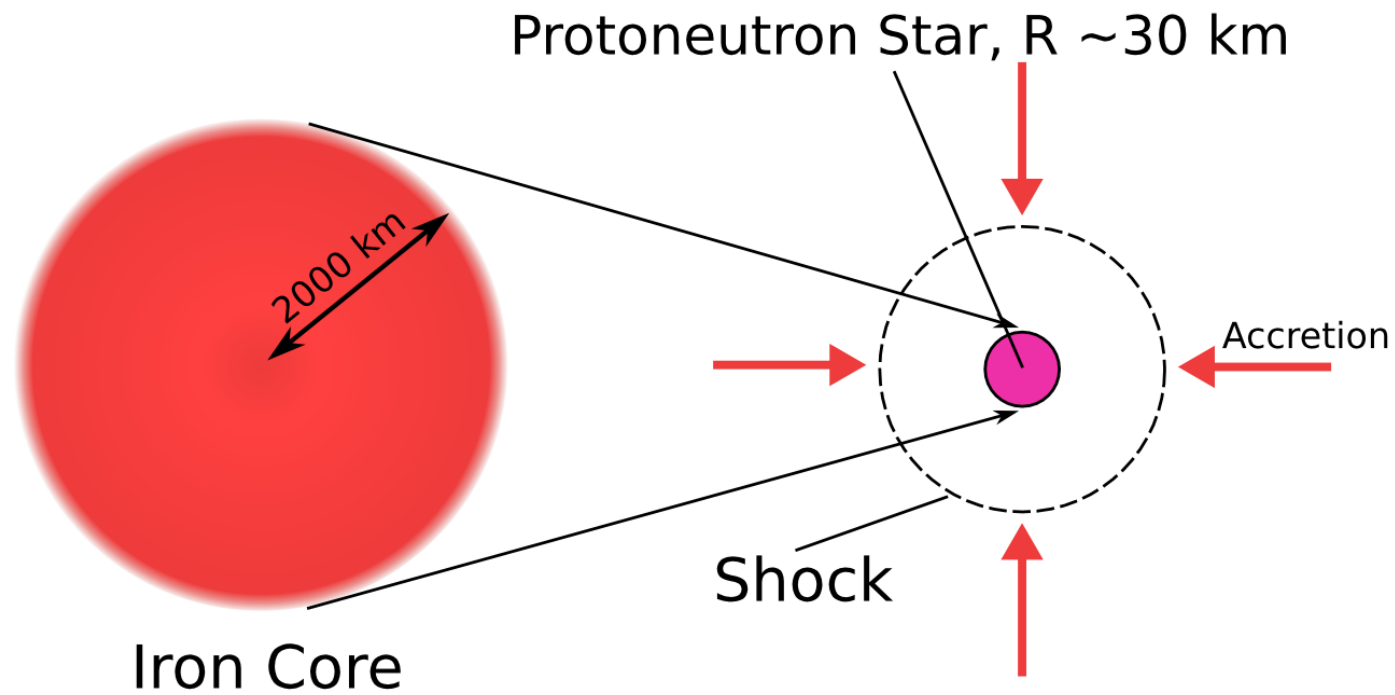
Credit:  
E. Müller  
Saas-Fee Lectures 1998

$$M_{ic} \propto (Y_{lep})^2$$

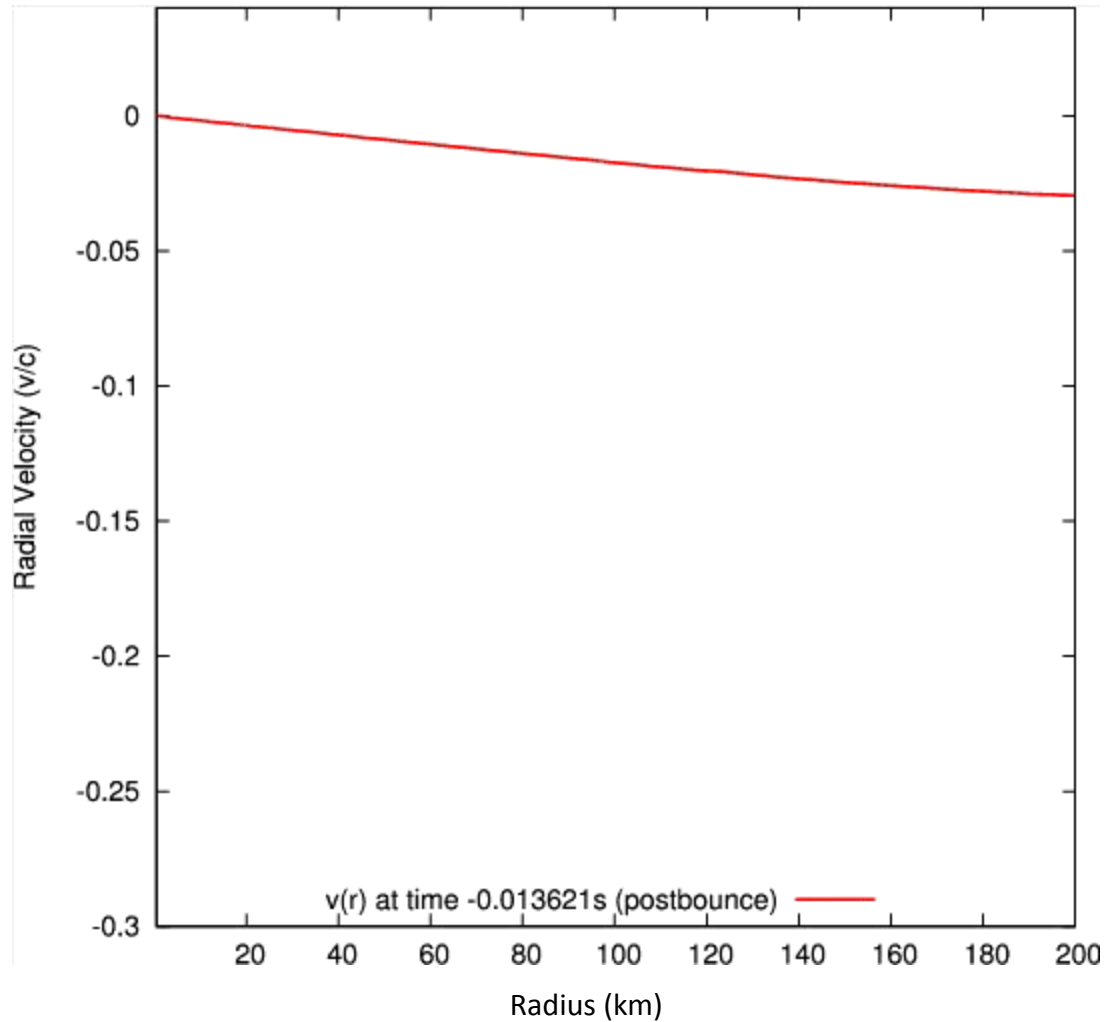
- + GR correction (-)
- + thermal correction (+)
- + rotation (+)

The Mass  $M_{ic}$  of the **inner core** at bounce is determined by nuclear physics and weak interactions, is  $\sim 0.5 M_{SUN}$ , and is practically independent of progenitor star mass and structure.

# Core Bounce and Shock Formation



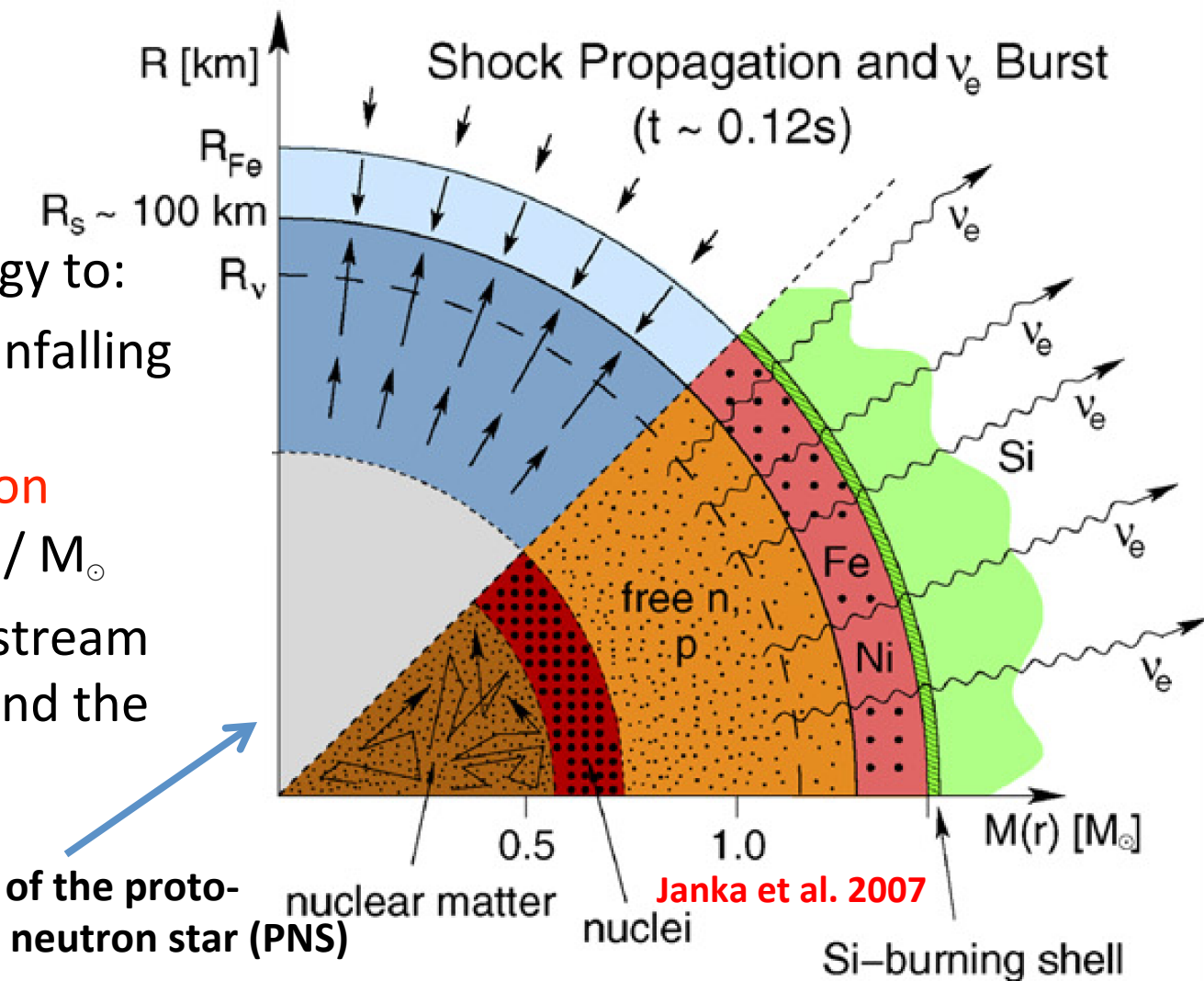
## The Supernova Problem



Movie by  
Evan O'Connor

# Why Does the Shock stall?

- Shock loses energy to:
  - Dissociation of infalling heavy nuclei:  
 $\sim 8.8 \text{ MeV/baryon}$   
 $\rightarrow 17 \times 10^{51} \text{ erg} / M_{\odot}$
  - Neutrinos that stream away from behind the shock.



Inner core -> Core of the proto-neutron star (PNS)

Janka et al. 2007

# Neutrino Burst

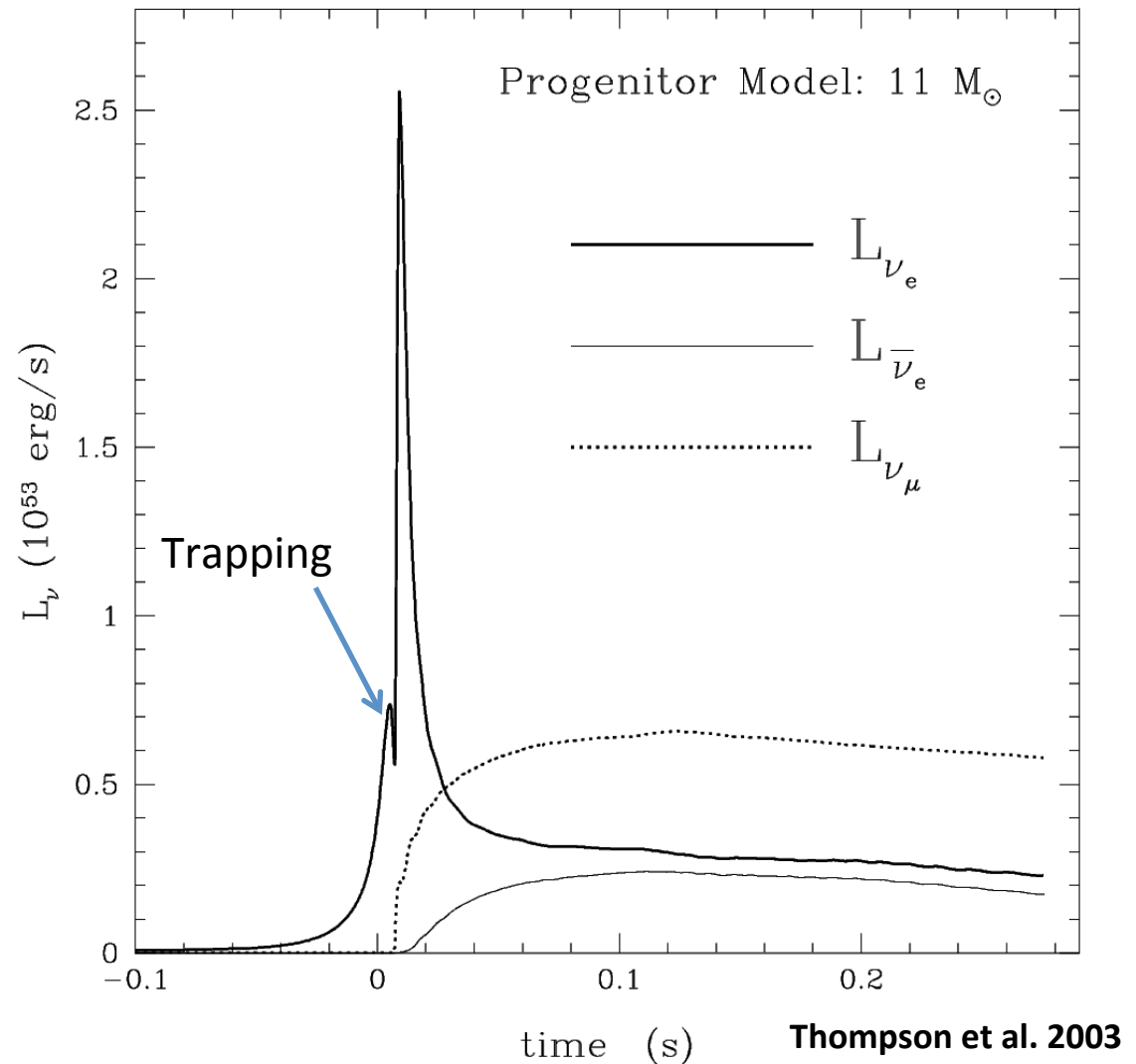
- Optical depth

$$\tau_\nu(r) = \int_\infty^r \frac{1}{\lambda_\nu} dr'$$

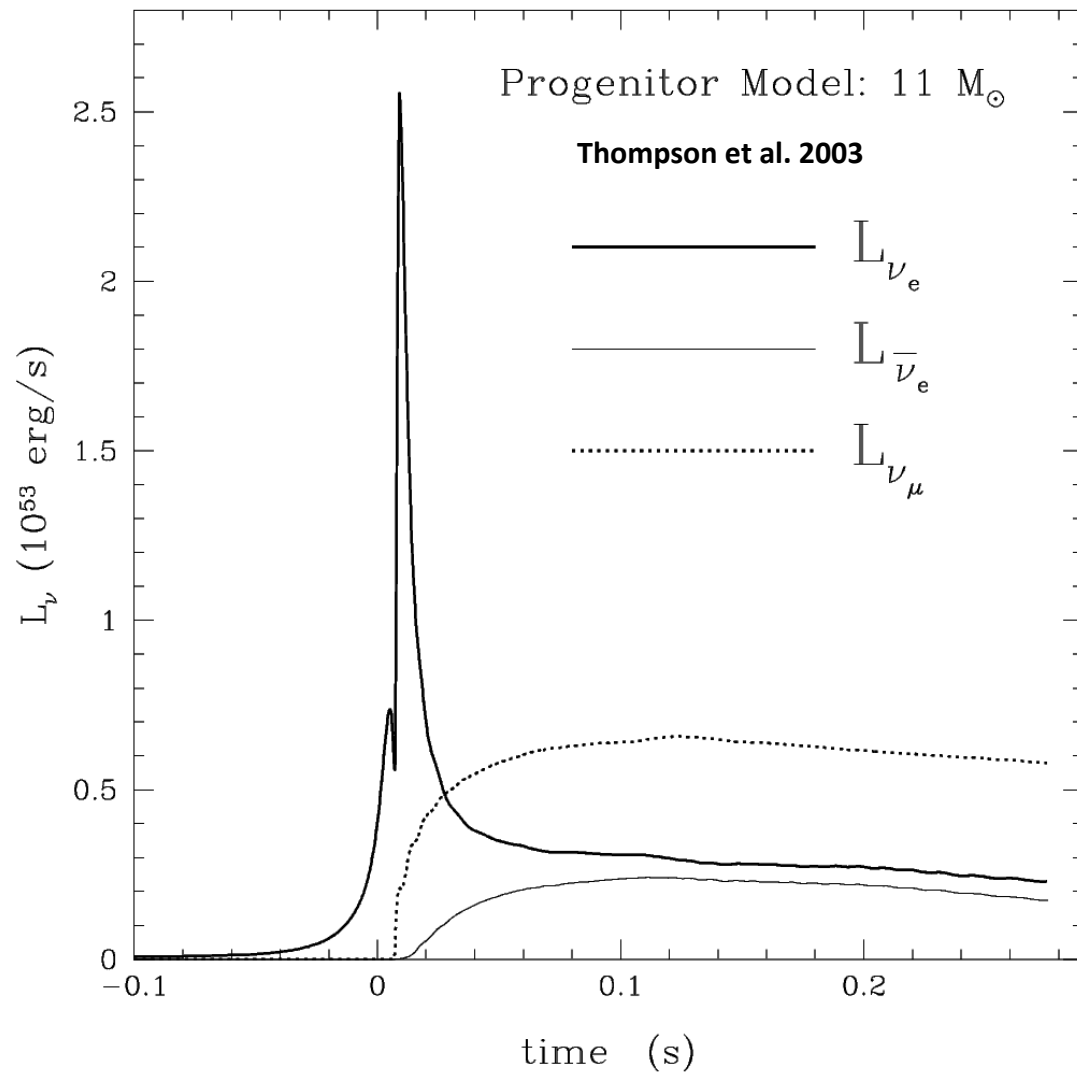
- Neutrinosphere:

$$R_\nu = R \left( \tau_\nu = \frac{2}{3} \right)$$

Depends on  $(\epsilon_\nu)^2$



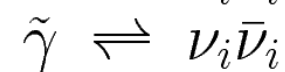
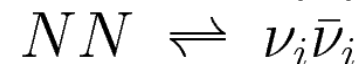
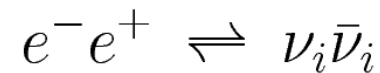
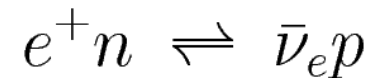
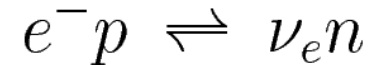
# Postbounce Neutrino Emission



- Neutrinos and Anti-neutrinos of ALL species:  
 $\nu_e, \bar{\nu}_e, \text{“}\nu_\mu\text{”} = \{\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau\}$

Don't participate in charged-current reactions. Can be treated as 'one'.

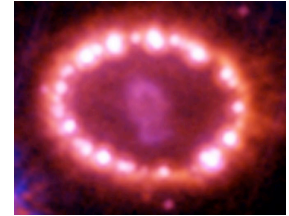
- Emission:



Pair processes:  
hot & dense  
environment  
needed

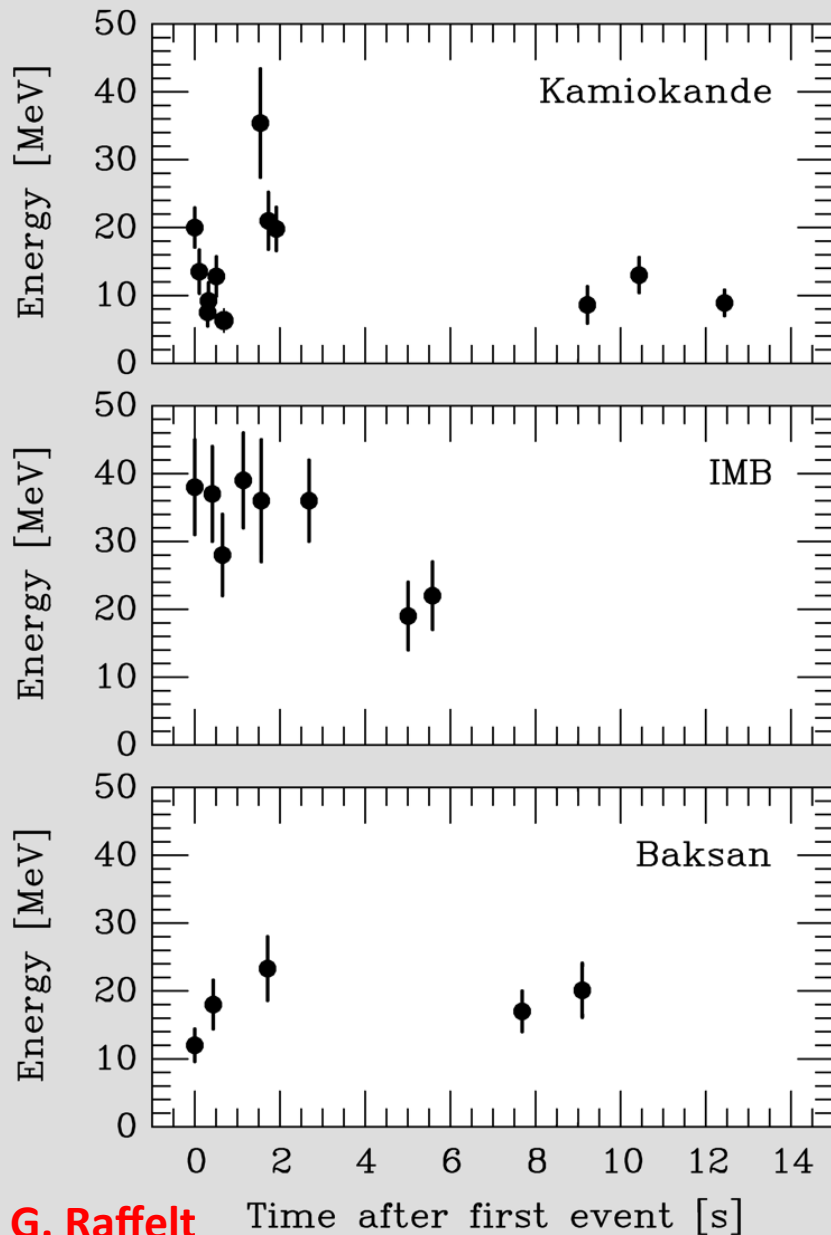
- Accretion luminosity and diffusive luminosity.

## Neutrinos from SN 1987A



Observed about 20 neutrinos from SN 1987A in the LMC in Kamiokande II (Japan) and IMB (US) experiments.

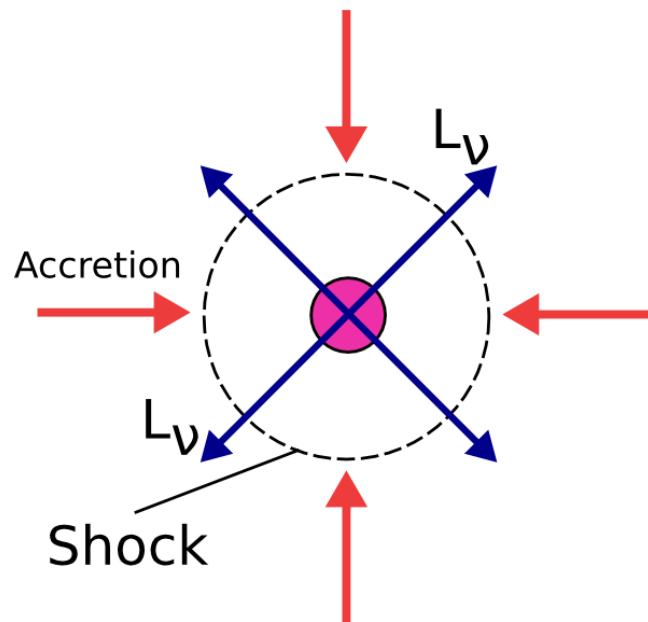
Confirmation of the basics of core-collapse supernova theory.



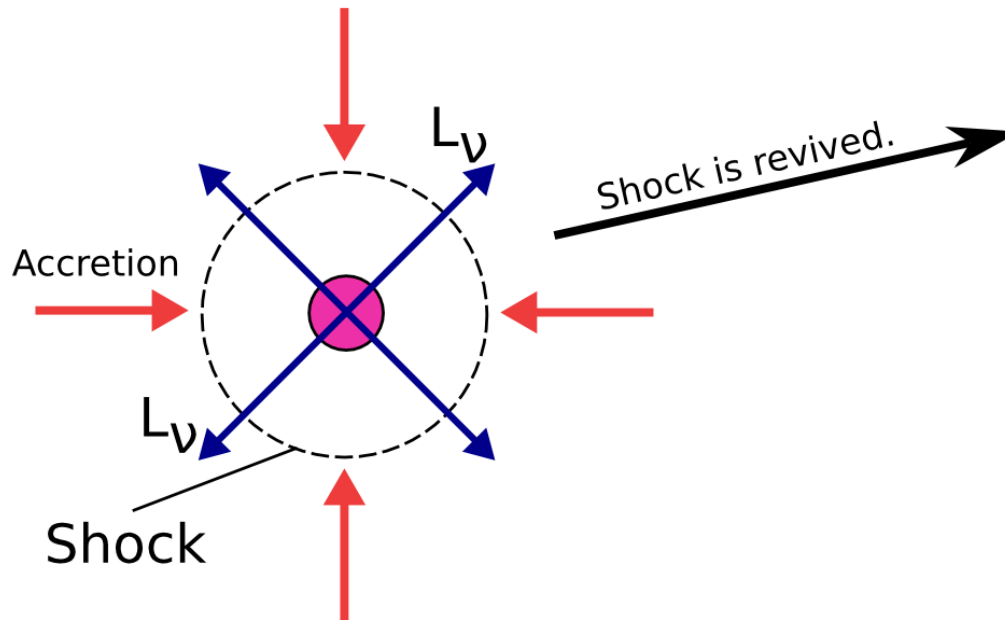
**G. Raffelt** Time after first event [s]



Protoneutron Star,  $R \sim 30$  km



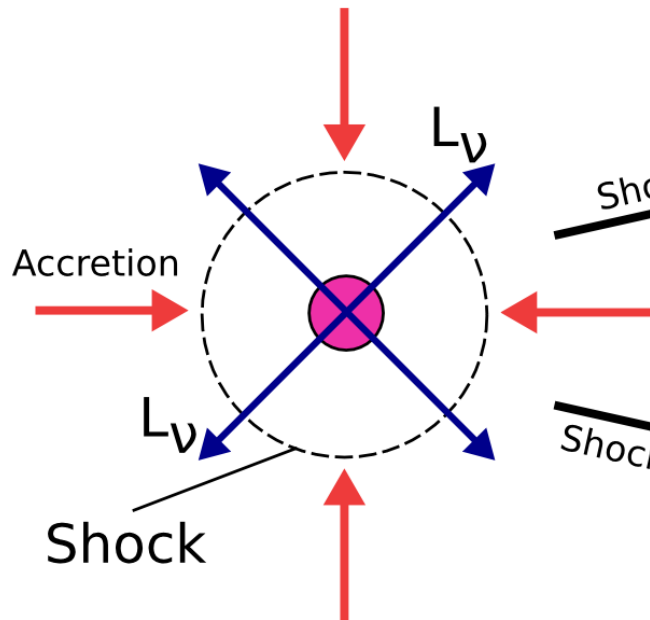
Protoneutron Star,  $R \sim 30$  km



Supernova Explosion



Protoneutron Star,  $R \sim 30$  km



Shock is revived.

Shock is not revived.

Supernova Explosion

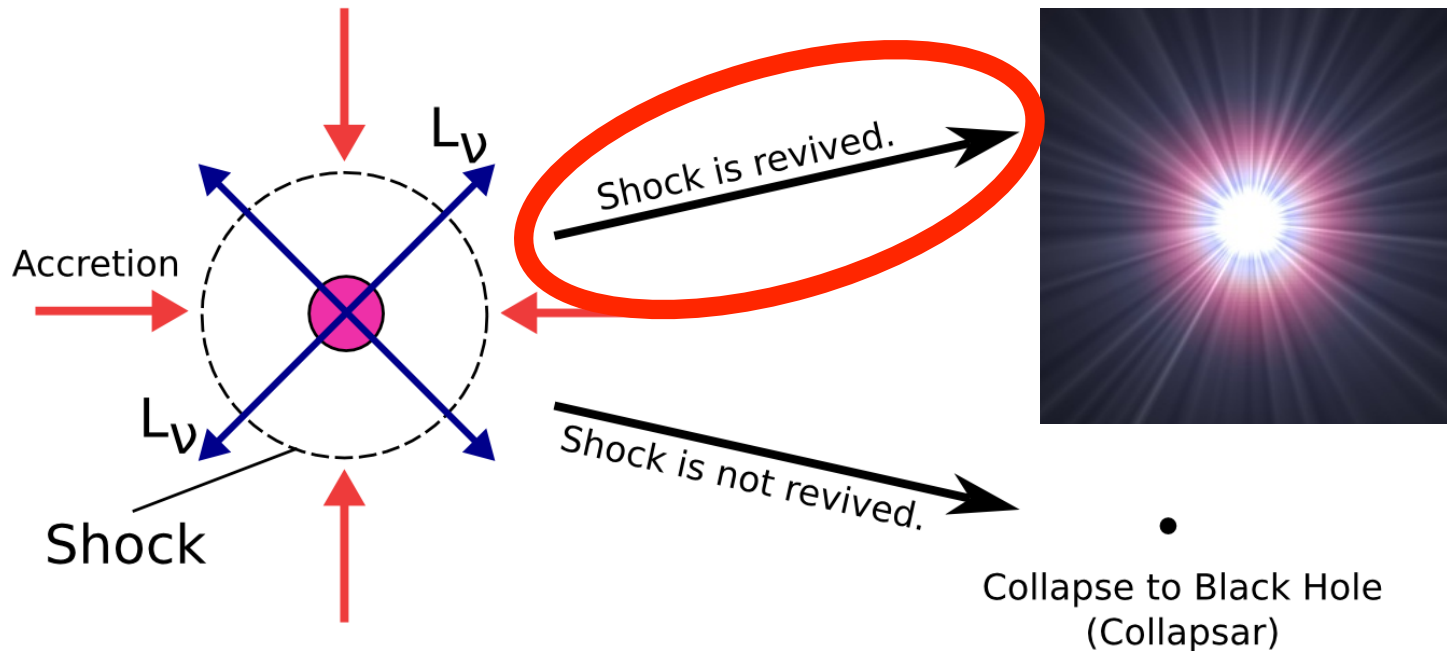


Collapse to Black Hole  
(Collapsar)

# The Supernova Problem

Protoneutron Star,  $R \sim 30$  km

Supernova Explosion

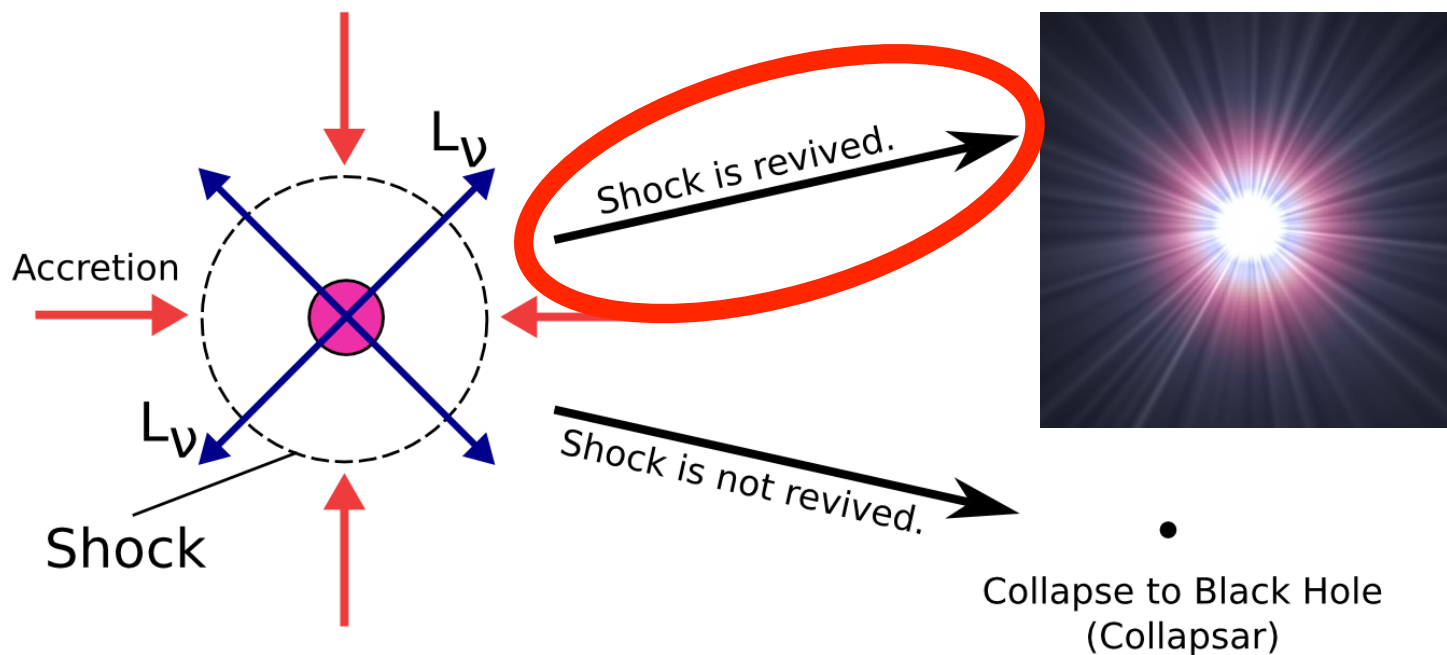


**What is the Mechanism of shock revival?**

# The Supernova Problem

Protoneutron Star,  $R \sim 30$  km

Supernova Explosion



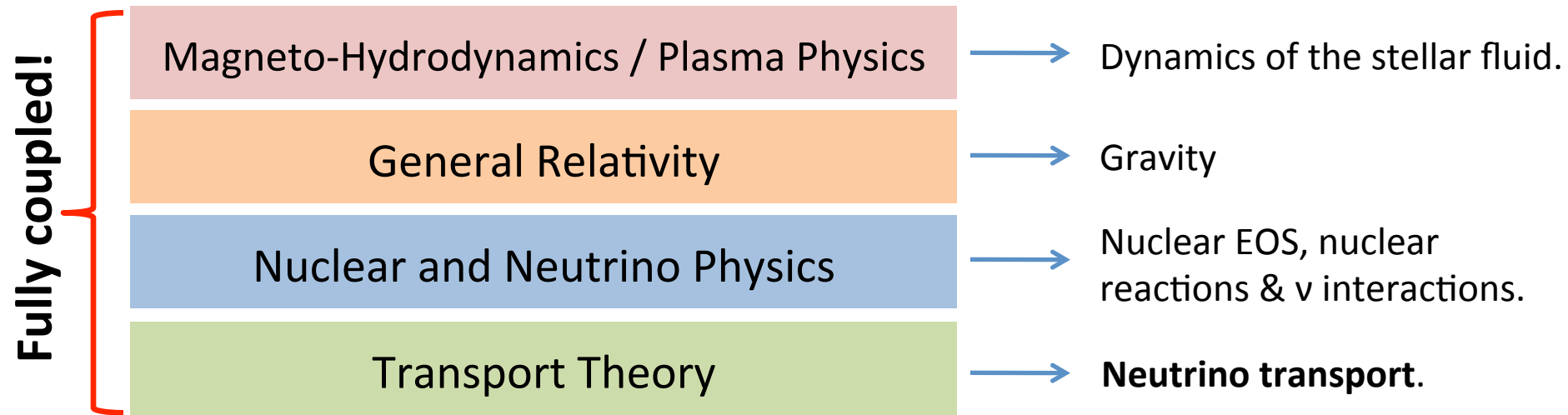
**What is the Mechanism of shock revival?**

## The Essence of any Supernova Mechanism

- Collapse to neutron star:  
 $\sim 3 \times 10^{53}$  erg = 300 Bethe [B] gravitational energy.
- $\sim 10^{51}$  erg = 1 B kinetic and internal energy of the ejecta.  
(Extreme cases:  $10^{52}$  erg; “hypernova”)
- 99% of the energy is radiated as neutrinos over hundreds of seconds as the protoneutron star (PNS) cools.

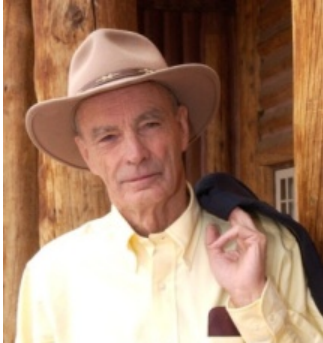
**Explosion mechanism must tap the gravitational energy reservoir and convert the necessary fraction into energy of the explosion.**

## Core-Collapse Supernova Models



- Additional Complication: **Supernovae are 3D**
  - Rotation, **fluid instabilities** (convection, turbulence, advective-acoustic, rotational), **MHD dynamos**, precollapse multi-D perturbations.  
-> **Need multi-D (ideally 3D) treatment.**
- Route of Attack: **Computational Modeling**
  - First 1D computations in the late 1960's: **Colgate & White, Arnett, Wilson**
  - Best current simulations still 1D.
  - **Good 2D Models (with various approximations [Gravity/Transport]).**
  - **First 3D Models.**

## Supernova Mechanism: First Simulations



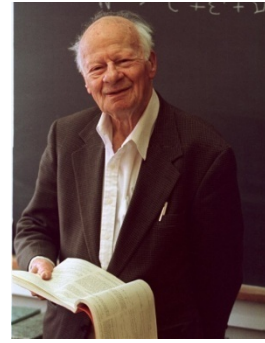
Stirling Colgate

Colgate & White 1966



Dave Arnett

Arnett 1966



Hans Bethe

Bethe & Wilson 1985



Jim Wilson

- No supercomputers yet (Cray-1 only in 1976!): Limited to spherical symmetry, low resolution, poor neutrino transport.

- Nevertheless: Very important discovery ->

**Energy deposition by neutrinos may revive/drive the shock.**





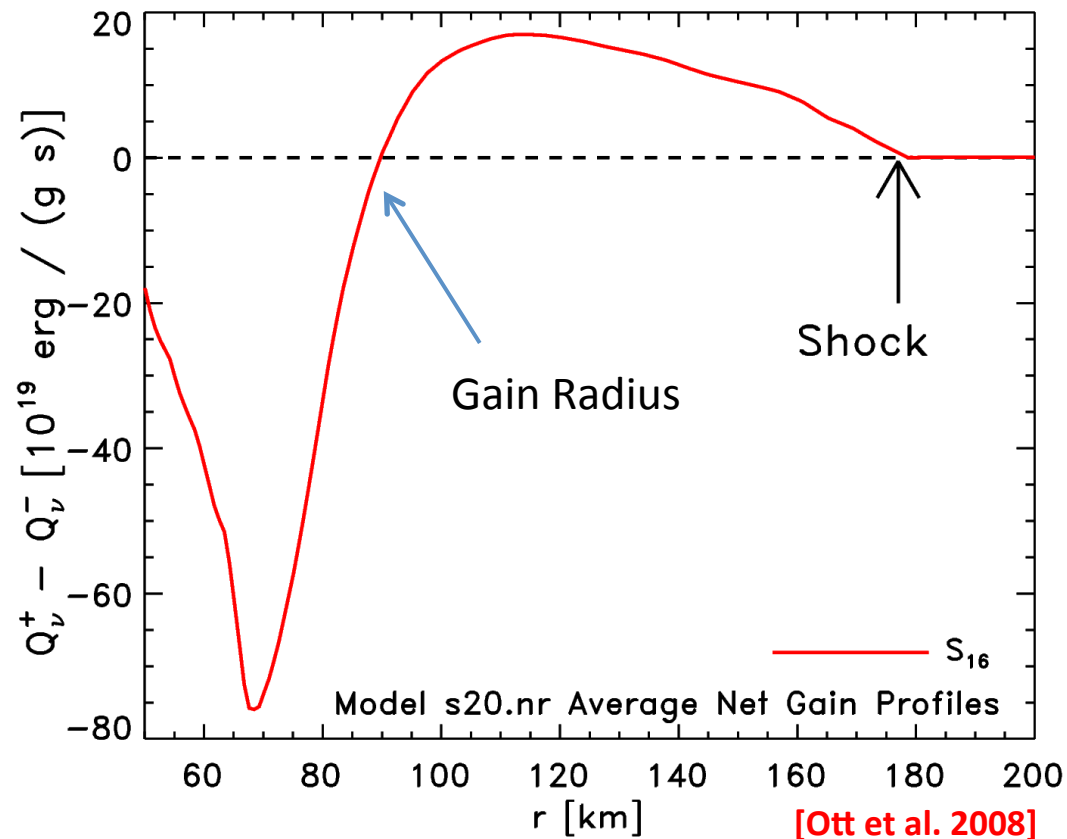
## The Neutrino Mechanism

Neutrino cooling:  $Q_{\nu}^{-} \propto T^6$

Net heating where:

Neutrino heating:  $Q_{\nu}^{+} \propto L_{\nu} r^{-2} \langle \epsilon_{\nu}^2 \rangle$        $Q_{\nu}^{+} > Q_{\nu}^{-}$

- **Neutrino-driven mechanism:**  
Based on subtle imbalance between neutrino heating and cooling in postshock region.

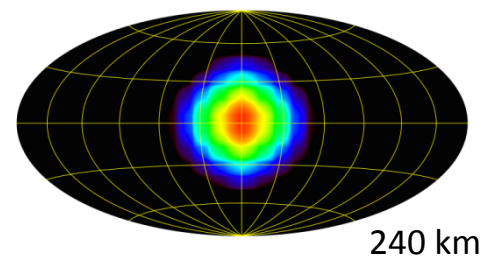
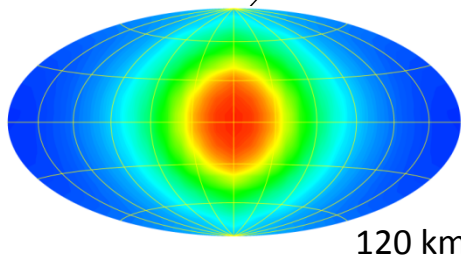
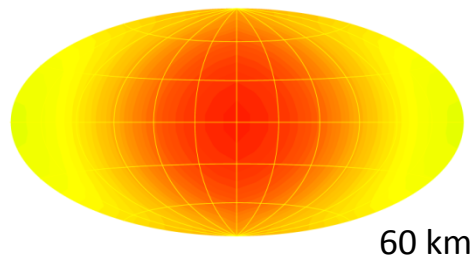
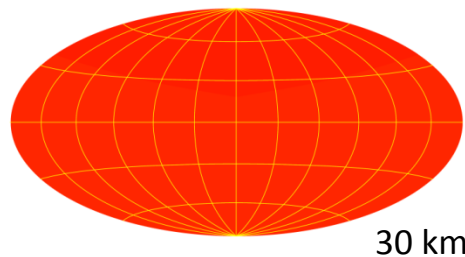
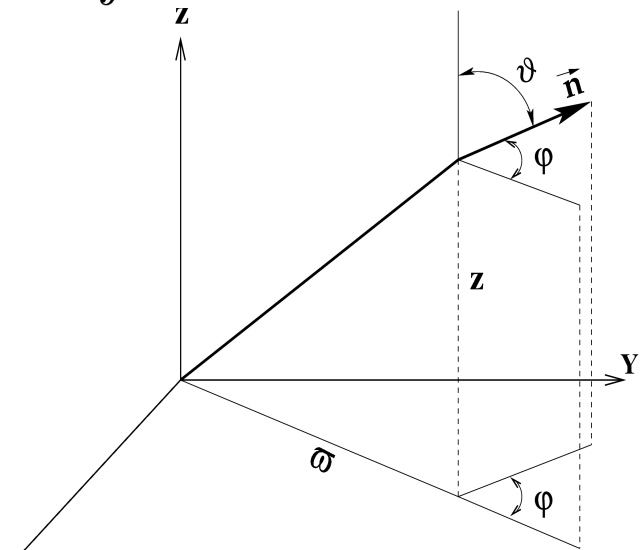


## A few Words on Neutrino Transport

$$\frac{1}{c} \frac{\partial I(\vec{r}, \vec{n}, \epsilon_\nu)}{\partial t} + \vec{n} \cdot \vec{\nabla} I(\vec{r}, \vec{n}, \epsilon_\nu) = \Xi[I(\vec{r}, \vec{n}, \epsilon_\nu), \rho, T, Y_e]$$

$$J = \frac{1}{4\pi} \oint I d\Omega \quad \vec{H} = \frac{1}{4\pi} \oint \vec{n} I d\Omega \quad \mathbf{K} = \frac{1}{4\pi} \oint \vec{n} \cdot \vec{n} I d\Omega$$

- 6D problem: 3D space,  
3D ( $\epsilon, \theta, \phi$ ) momentum space.
- Limiting cases – easy to handle:
  - (1) Diffusion (isotropic radiation field)
  - (2) Free streaming  
("forward-peaked" radiation field)



## Does it work?

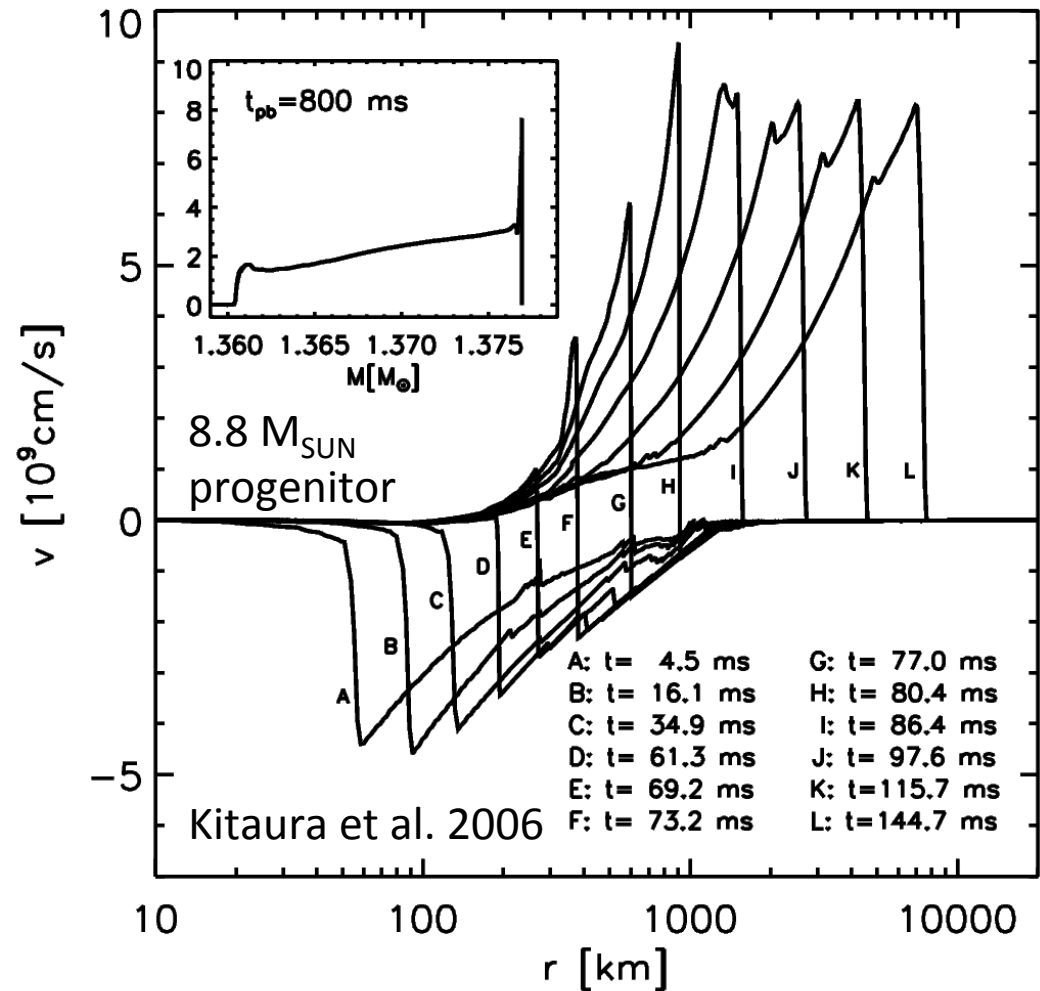
- **Yes!**

BUT:

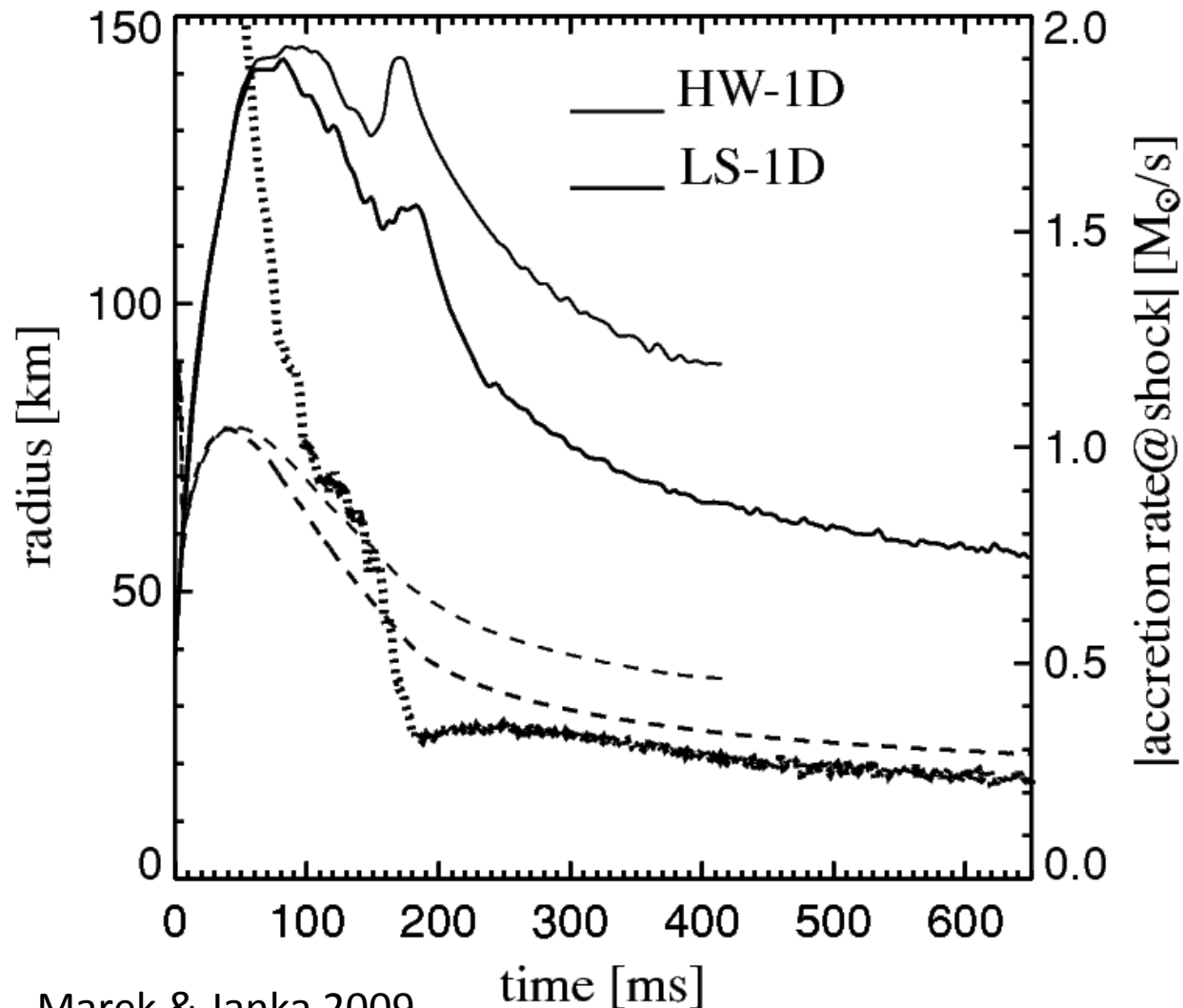
Only for lowest-mass massive stars.

(Kitaura et al. 2006, Burrows 1988, Burrows, Livne, Dessart 2007)

- **FAILS** in spherical symmetry (1D) for more massive stars in simulations with best neutrino physics and neutrino transport



# Failure of the Neutrino Mechanism in 1D



Marek &amp; Janka 2009

# End of Lecture I

This Afternoon:

- Supernova Models & Mechanisms
- Probes of the Mechanism and Supernova Physics: Neutrinos and Gravitational Waves
- Neutron stars and Astrophysical Constraints on the Nuclear Equation of State.