

# Supernova Neutrinos and a little cosmology

TAUP Summer School

Asilomar, CA, September 5, 2013

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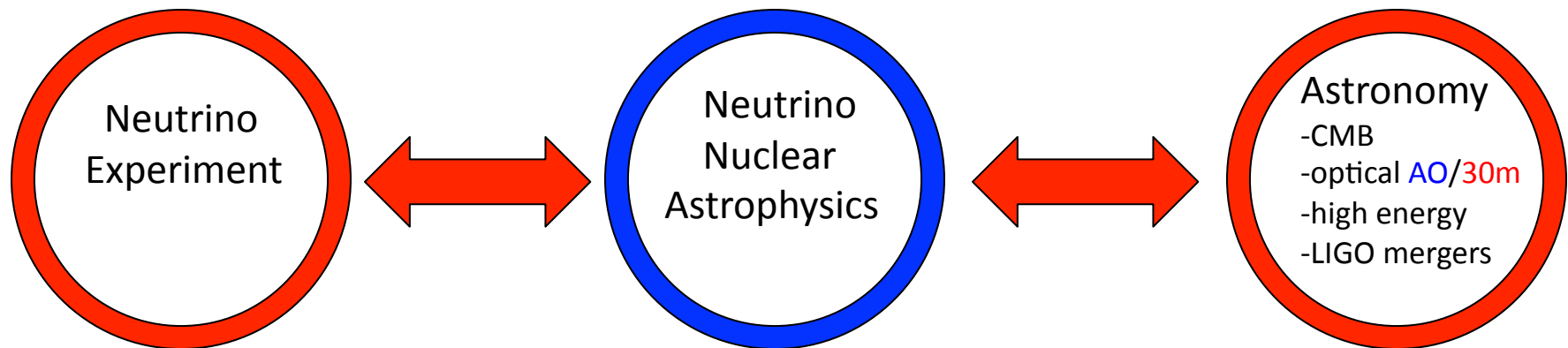
*Center for Astrophysics and Space Science*

University of California, San Diego

This is the golden age for both  
**Neutrino Physics** *and* **Observational Astronomy**

discoveries have been coming fast and thick  
and, for neutrinos, this is all ***Beyond Standard Model*** physics

***Neutrino/Nuclear Astrophysics*** is right in the middle of all this



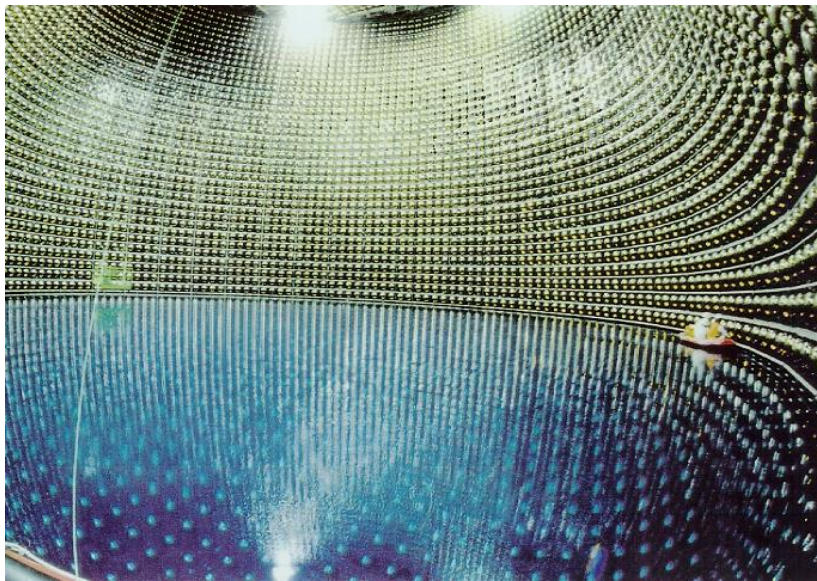
## **VERY EXCITING** future . . . because the advent of . . .

- (1) comprehensive cosmic microwave background (CMB) observations  
(e.g., high precision baryon number and cosmological parameter measurements,  $N_{\text{eff}}$ ,  ${}^4\text{He}$ ,  $\nu$  mass limits)
- (2) 10-meter class, adaptive optics, and orbiting telescopes  
(e.g., precision determinations of deuterium abundance, dark energy/matter content, structure history etc.)
- (3) Laboratory neutrino mass/mixing measurements – e.g., LBNE

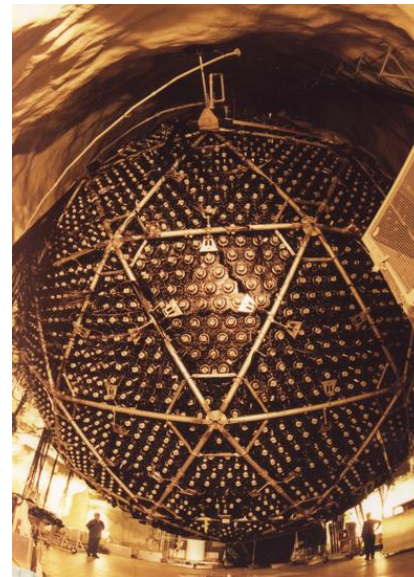
is setting up a nearly over-determined situation where new  
Beyond Standard Model neutrino physics  
likely *must* show itself!

The neutrino interaction strength we typically deal with in stars and the universe is **Twenty Orders of Magnitude** ( $10^{-20}$ ) *weaker* than the electromagnetic interaction that governs how photons (light) influence matter!

**We need really big detectors to “see” reactor, accelerator, solar, and supernova neutrinos!**



SuperK  
100 Ktons H<sub>2</sub>O



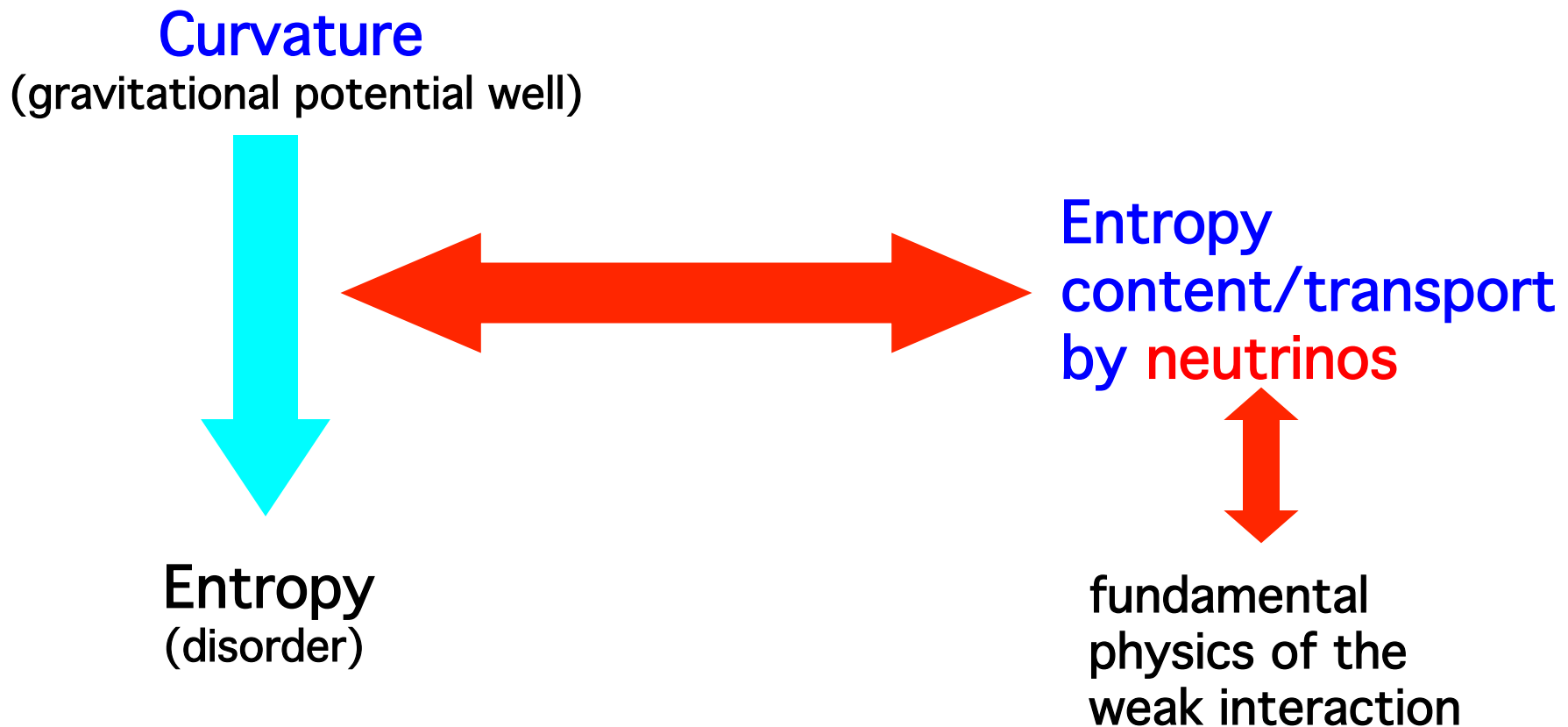
Sudbury Neutrino  
Observatory  
10 Ktons D<sub>2</sub>O

Neutrinos do most of the “*heavy lifting*” in exploding stars (supernovae) and in the early universe.

This is largely because they carry the bulk of the energy/entropy in these environments!

As we will see, neutrinos can more than make up for their feeble interactions with **huge** numbers!!

There is a deep connection between  
spacetime curvature and entropy (and neutrinos)



# Core Collapse Supernovae

Stealthy neutrinos undermine the stability of massive stars, setting up conditions that *guarantee* their collapse, and in so doing create the perfect engine for generating *titanic numbers* ( $10^{58}$ ) of neutrinos. These neutrinos then bring about the explosions that seed the universe with the elements necessary for planets and life.

So what is unique about core collapse supernovae as a lab for studying neutrinos?

In a nutshell:

Core collapse supernovae are cold, highly electron lepton number degenerate systems.

They are *exquisitely sensitive* to lepton number violating processes.



Figure of Merit:

a core collapse per galaxy every 30 years

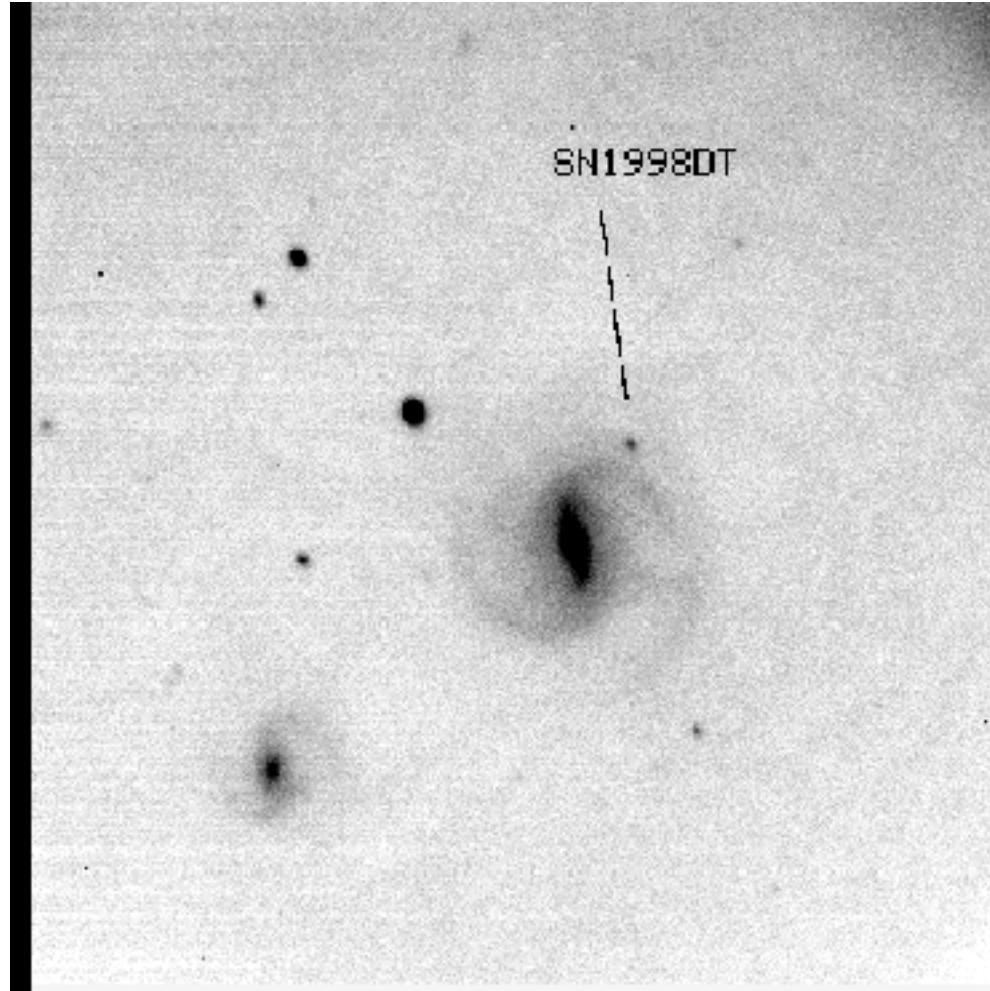
$$\text{SN rate} \sim 10^{-9} \text{ galaxy}^{-1} \text{ s}^{-1}$$

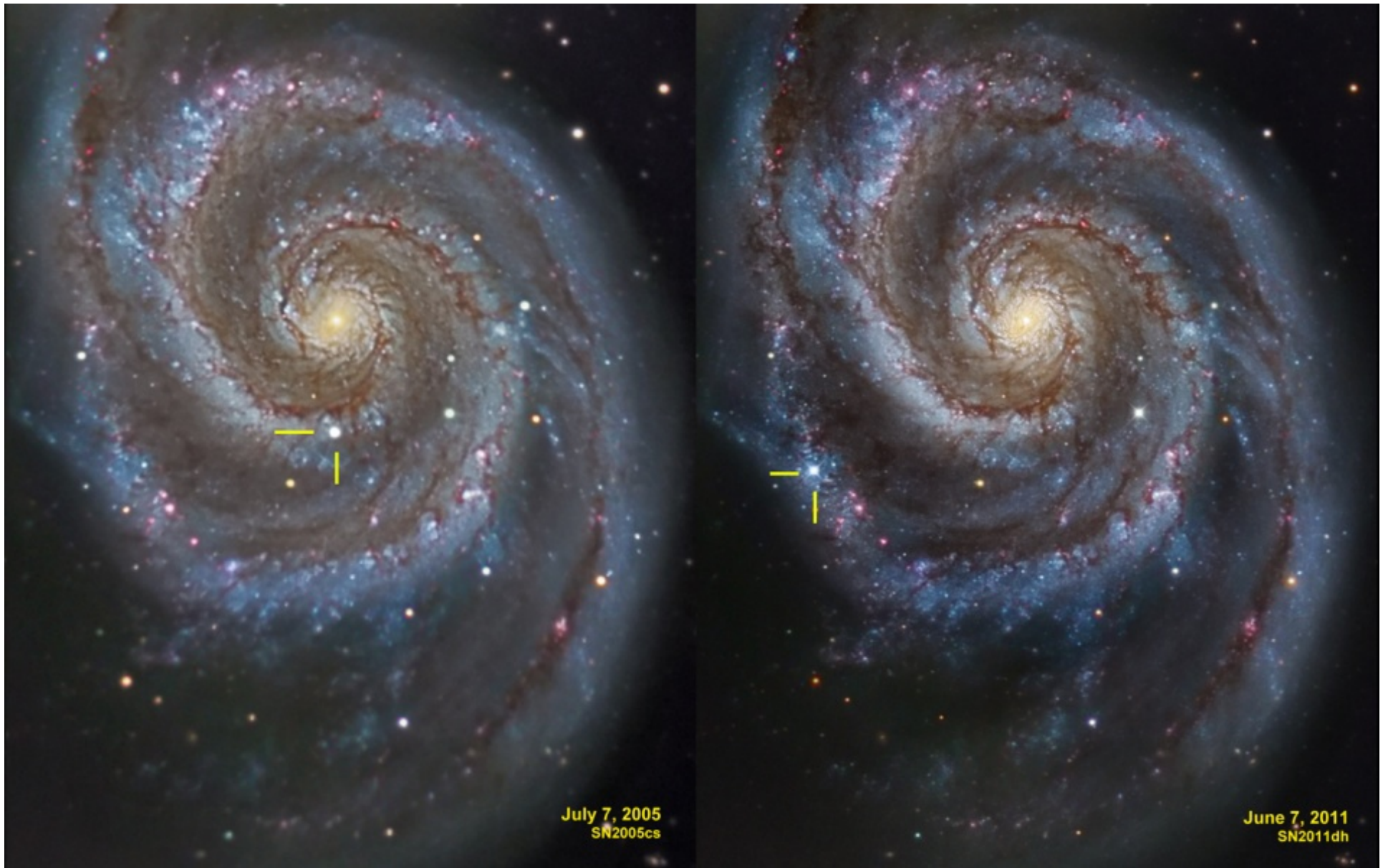
$$\sim 1 \text{ galaxy per Mpc}^3$$

$$\text{causal horizon size} \sim 3000 \text{ Mpc}$$

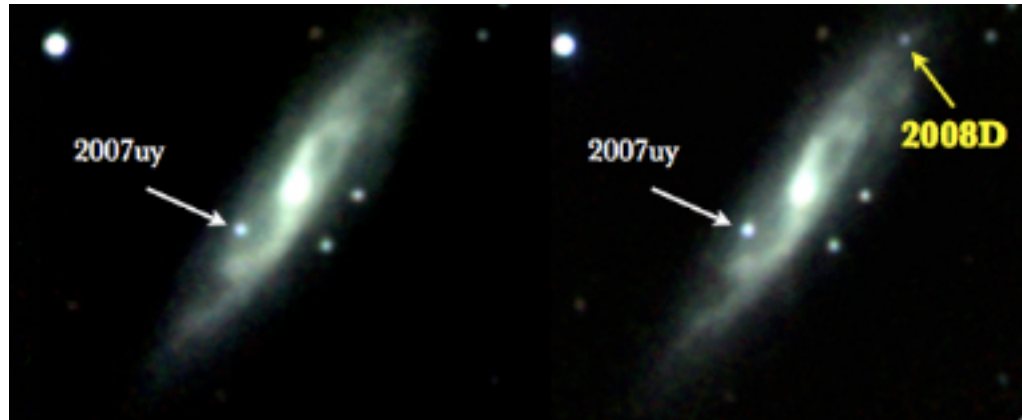
⇒ 10 core collapses per second in universe

## Type II supernova



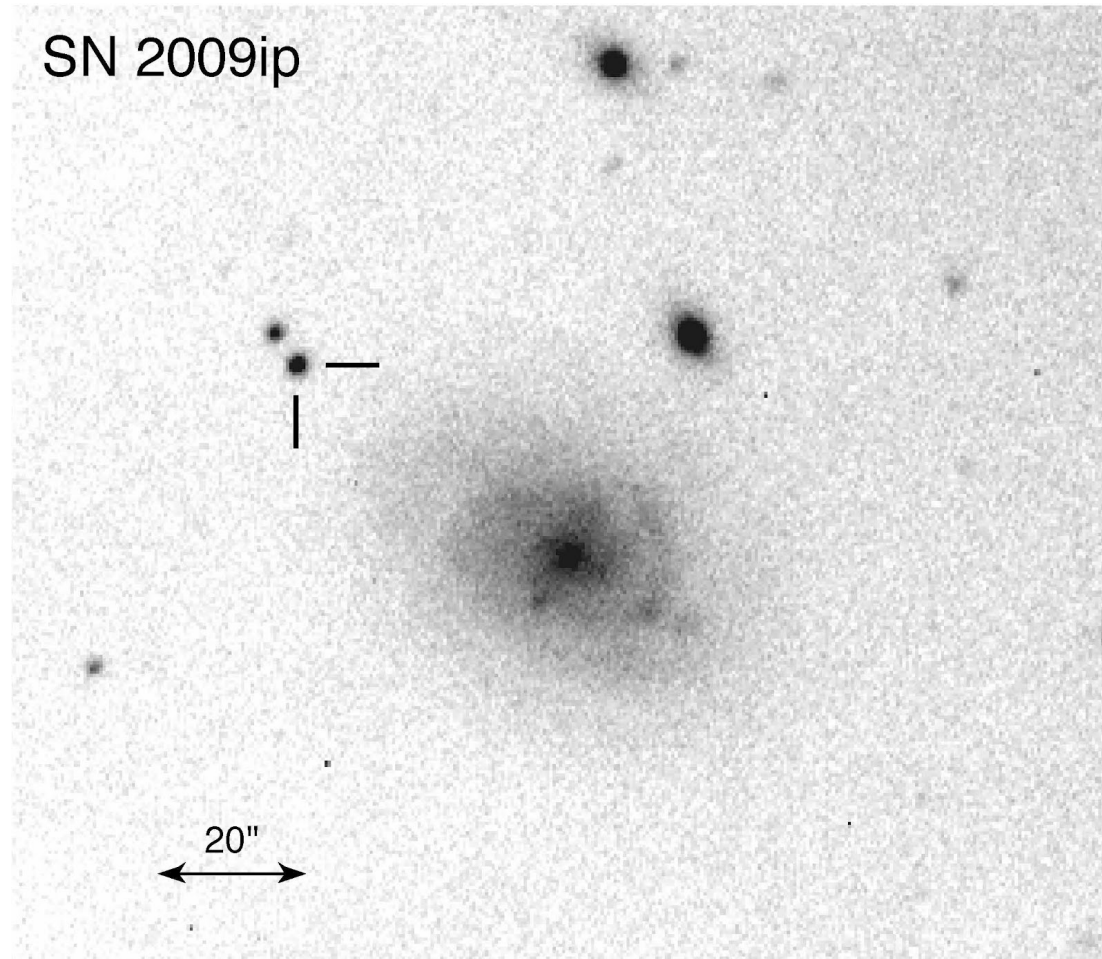


Lucky neutrino physicists in M51 - [Two core collapse \(Type II\) supernovae in 6 years!](#)



NGC 2770: a Type II (2007uy) and a Type Ib (2008D). **Two in a year!!**

[http://berkeley.edu/news/media/releases/2008/05/21\\_supernova.shtml](http://berkeley.edu/news/media/releases/2008/05/21_supernova.shtml)

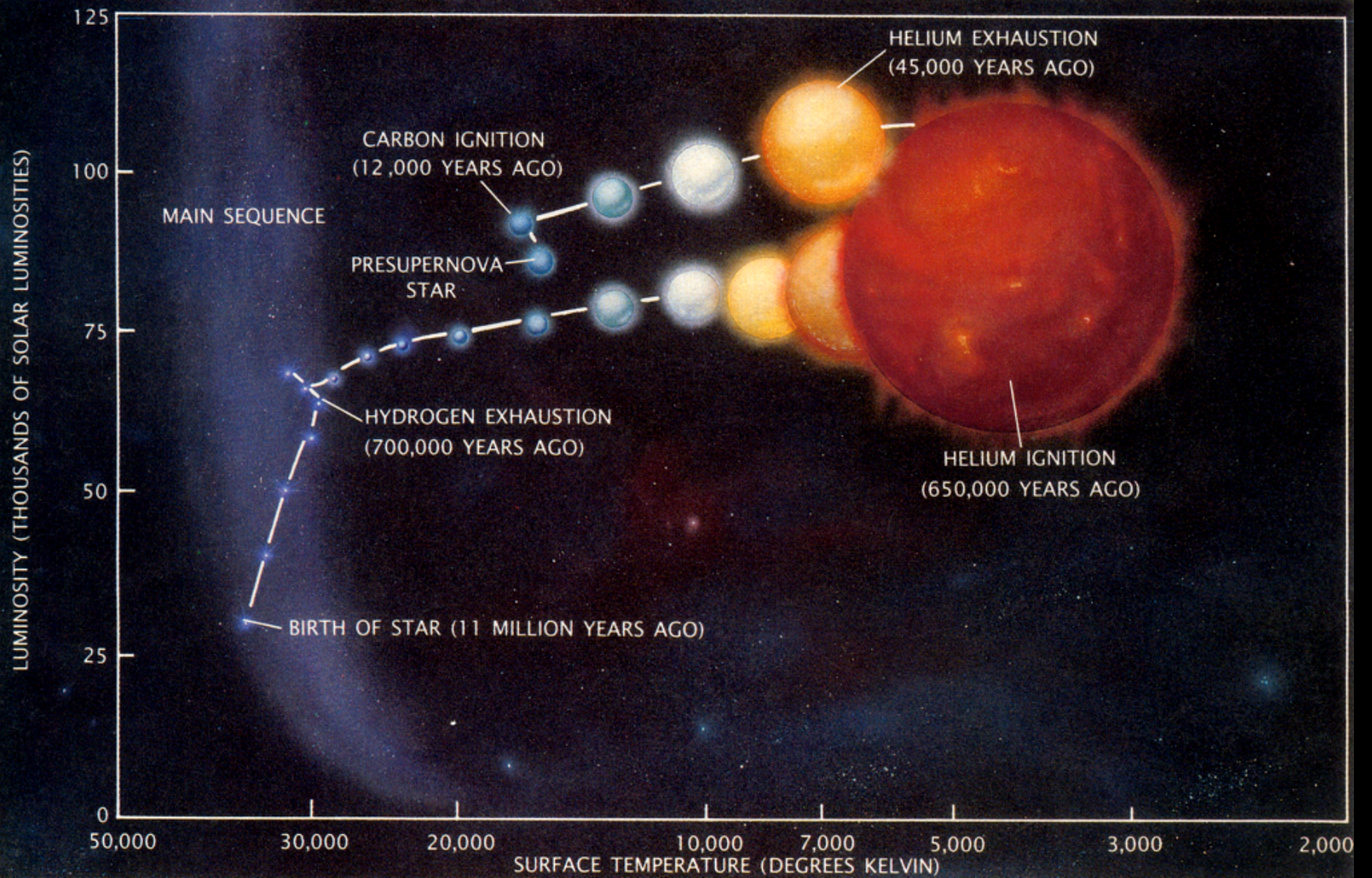


Supernova 2009ip in NGC 7559, July 24, 2012,

A pair-instability core collapse/explosion ??  
or a *merger* of a  $60\text{-}100 M_{\text{sun}}$  star and a  $\sim 50 M_{\text{sun}}$  star ??

N. Stoker & A. Kashi, *Astrophys. J. Lett.* **764**, L6 (2013)

J. Mauerhan, N. Smith, A. Filipenko, K. Blanchard, P. Blanchard, C. Casper, S. B. Cenko,  
K. Clubb, D. Cohen, K. Fuller, G. Li, J. Silverman, *MNRAS* **430**, 1801 (2013)



Weaver & Woosley, *Sci Am*, 1987

## Nuclear Burning Stages of a $25 M_{\text{sun}}$ Star

Burning Stage	Temperature	Density	Time Scale
Hydrogen	5 keV	$5 \text{ g cm}^{-3}$	$7 \times 10^6$ years
Helium	20 keV	$700 \text{ g cm}^{-3}$	$5 \times 10^5$ years
Carbon	80 keV	$2 \times 10^5 \text{ g cm}^{-3}$	600 years
Neon	150 keV	$4 \times 10^6 \text{ g cm}^{-3}$	1 year
Oxygen	200 keV	$10^7 \text{ g cm}^{-3}$	6 months
Silicon	350 keV	$3 \times 10^7 \text{ g cm}^{-3}$	1 day
Core Collapse	700 keV at instability point $\mu_e \sim 10 \text{ MeV}$ neutronization : $e^- + p \rightarrow n + \nu_e$	$4 \times 10^9 \text{ g cm}^{-3}$	$\sim$ seconds of order the free fall time
“Bounce”	$\sim 2 \text{ MeV}$	$\sim 10^{15} \text{ g cm}^{-3}$	$\sim$ milli-seconds
Neutron Star	$< 70 \text{ MeV}$ initial $\sim \text{keV}$ “cold”	$\sim 10^{15} \text{ g cm}^{-3}$	initial cooling $\sim 15\text{-}20$ seconds $\sim$ thousands of years

# Massive Stars are **Giant Refrigerators**

From core carbon/oxygen burning onward  
the neutrino luminosity exceeds the photon luminosity.

**Neutrinos carry energy/entropy away from the core!**

Core goes from  **$S/k \sim 10$**  on the Main Sequence (hydrogen burning)  
to a thermodynamically cold  **$S/k \sim 1$**  at the onset of collapse!

e.g., the collapsing core of a supernova can be a  
frozen (Coulomb) crystalline solid with a  
temperature  $\sim 1$  MeV!



# General Relativistic Instability

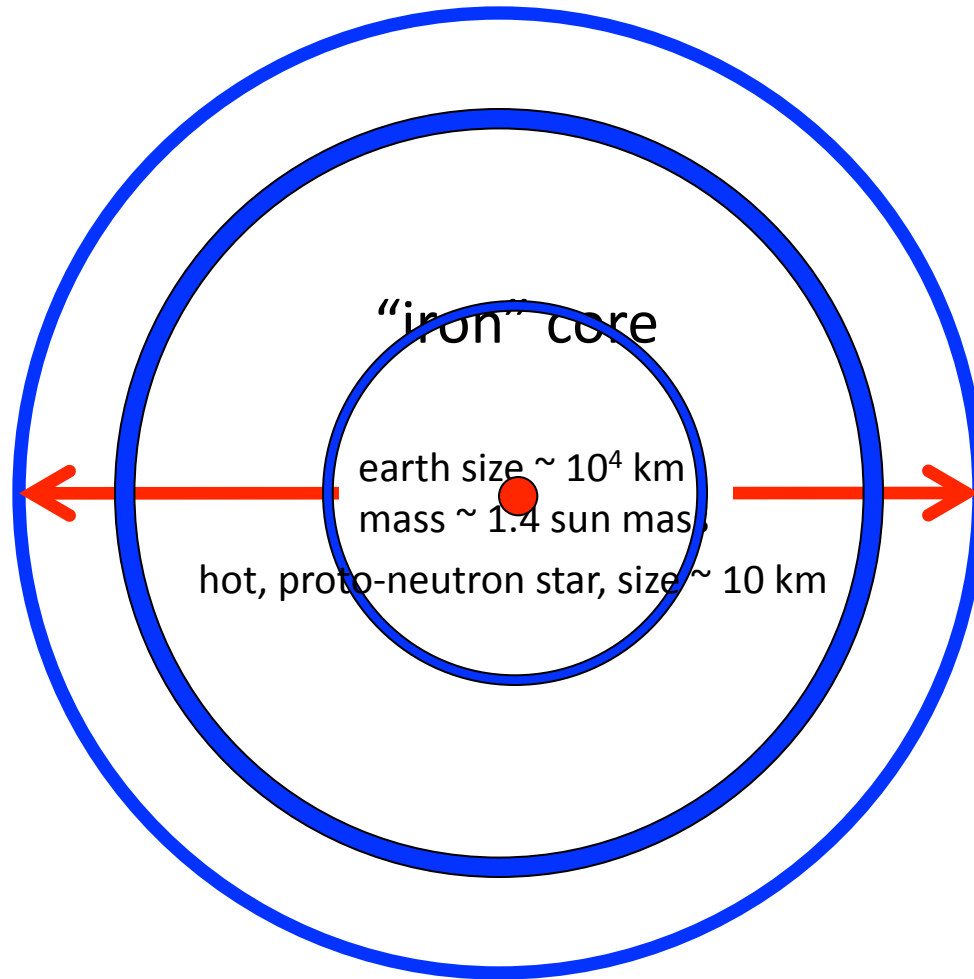
Star's support pressure coming from particles with relativistic kinematics  
(e.g., photons or relativistically-degenerate electrons)



**Newtonian Gravitation:** Zero total (gravitational + thermal) energy = *neutrally stable*,

**General Relativity:** nonlinear, so a little more curvature makes even more curvature  
= *non-restoring forces*; **unstable**

... and in about one second ...



# Neutrinos Dominate the Energetics of Core Collapse Supernovae

Explosion  
only ~1% of  
neutrino energy

→ Total optical + kinetic energy,  $10^{51}$  ergs

→ Total energy released in **Neutrinos**,  $10^{53}$  ergs

10% of star's  
rest mass!

→ 
$$E_{\text{GRAV}} \approx \frac{3}{5} \frac{G M_{\text{NS}}^2}{R_{\text{NS}}} \approx 3 \times 10^{53} \text{ ergs} \left[ \frac{M_{\text{NS}}}{1.4 M_{\text{sun}}} \right]^2 \left[ \frac{10 \text{ km}}{R_{\text{NS}}} \right]$$

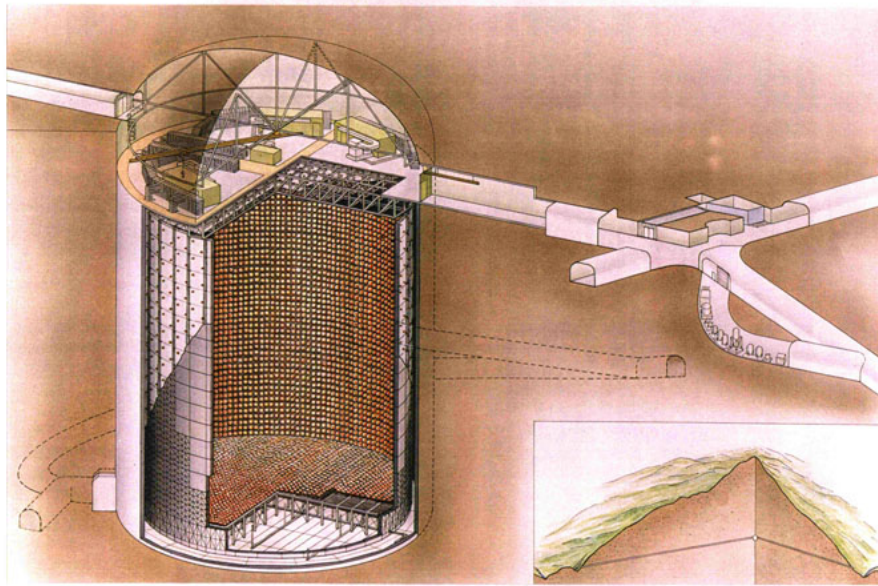
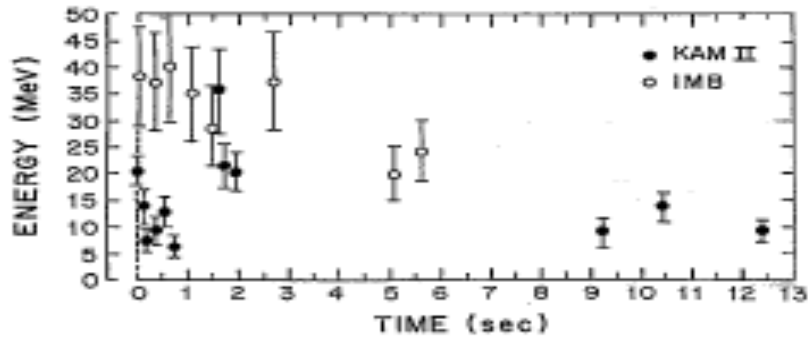
→ Neutrino diffusion time,  $\tau_{\nu} \approx 2 \text{ s to } 10 \text{ s}$



$$L_{\nu} \approx \frac{1}{6} \frac{G M_{\text{NS}}^2}{R_{\text{NS}}} \frac{1}{\tau_{\nu}} \approx 4 \times 10^{51} \text{ ergs s}^{-1}$$

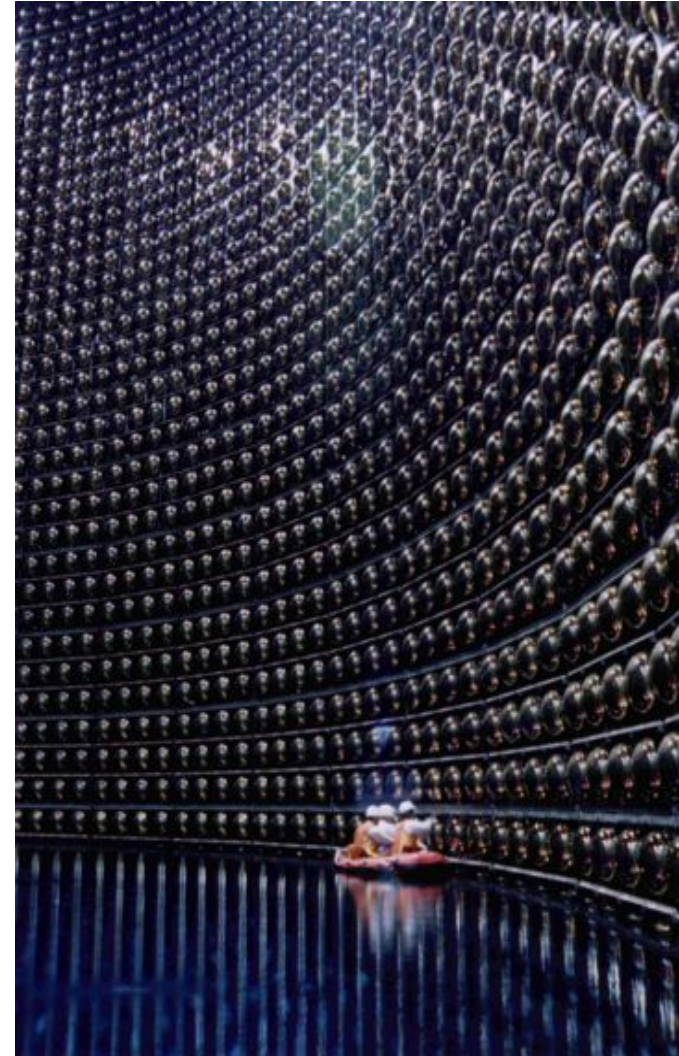
# Observing Supernova Neutrinos

AN 10091 NS



SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO

NIKKEN SEKKEI



# supernovae and neutron star mergers

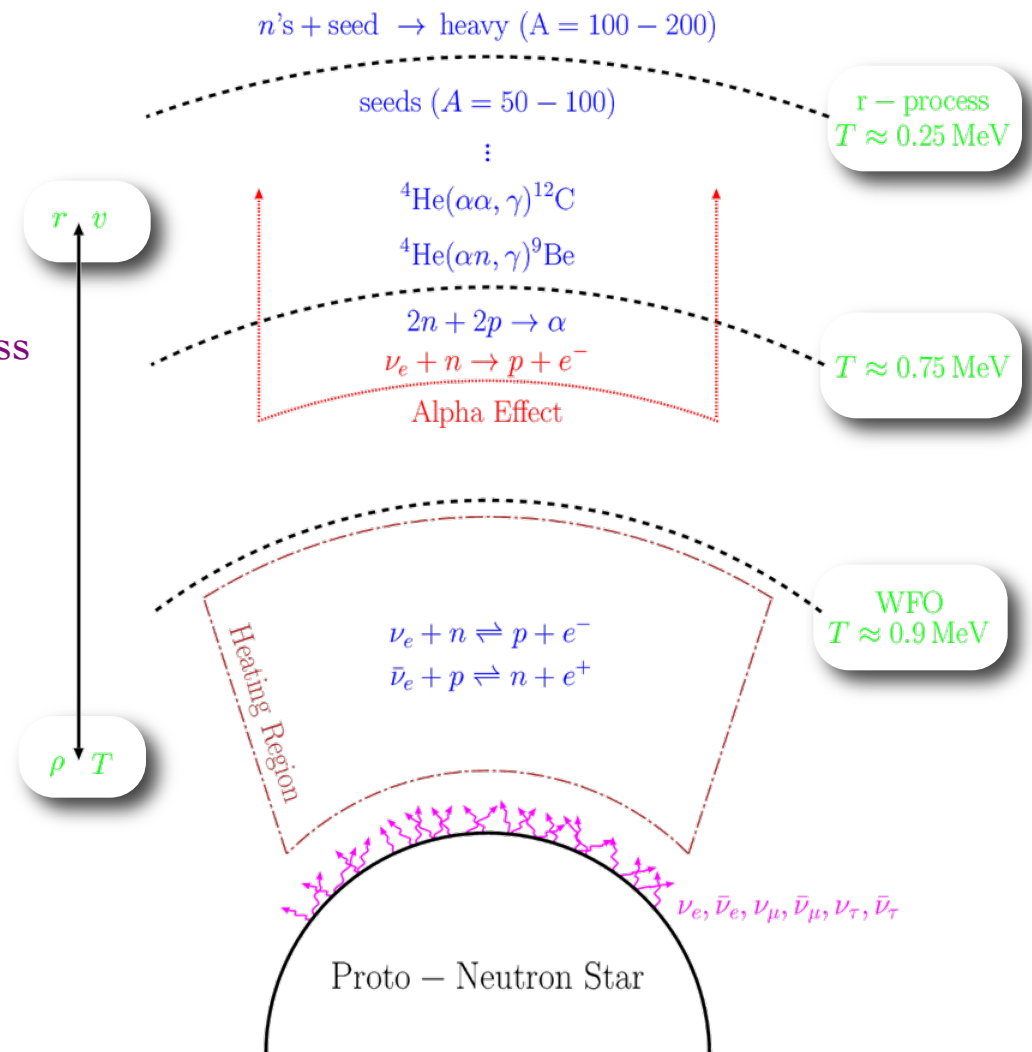
## the environment in broad brush

Supernova neutrino signals are sensitive to neutrino mixing parameters.

Neutrino flavor transformation in the “right” regions can help or hinder r-process nucleosynthesis and the explosion.

Charge current neutrino interactions set the composition (n/p ratio) and can be instrumental in energetics.

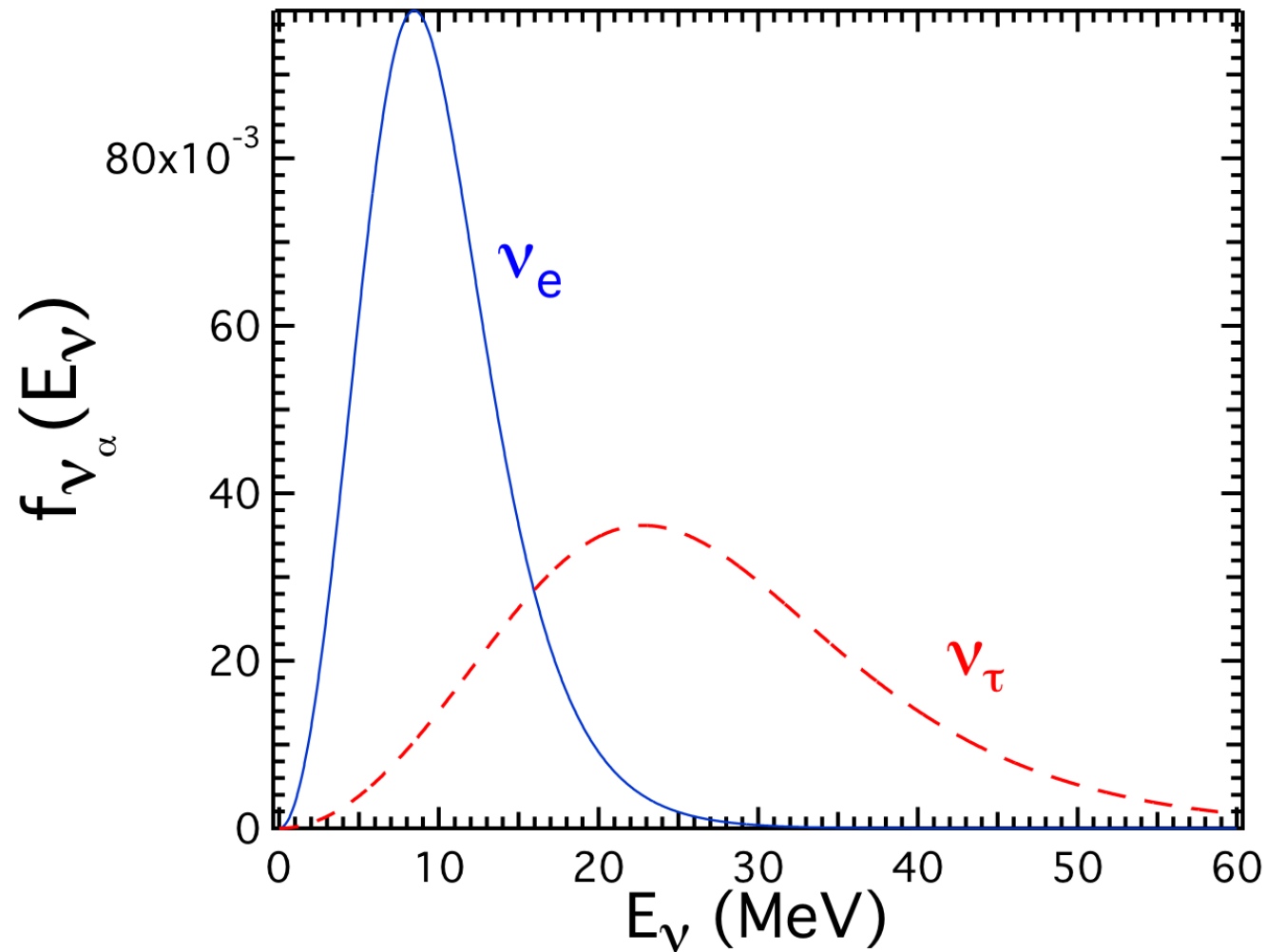
- Most of the gravitational binding energy (99%) is released in the form of neutrinos of all kinds.



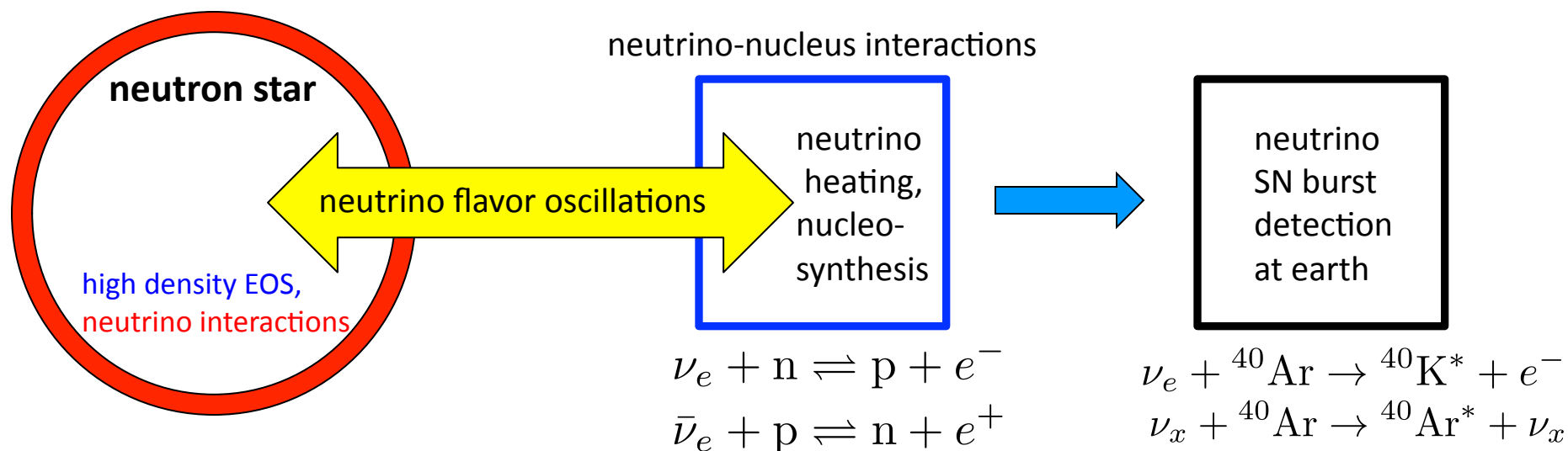
## Neutrino Distribution Functions $f_\nu$

At late times ( $t_{\text{pb}} > 10$  s) we expect an average energy hierarchy:

$$\langle E_{\nu_{\mu,\tau}} \rangle > \langle E_{\bar{\nu}_e} \rangle > \langle E_{\nu_e} \rangle$$



Calculating neutrino flavor transformation in the core collapse supernova environment is a vexing problem, but one whose solution may lie at the heart of many aspects of the nuclear physics of stellar collapse.



We need the fluxes and energy spectra of each flavor/type of neutrino at all epochs and at all radii.

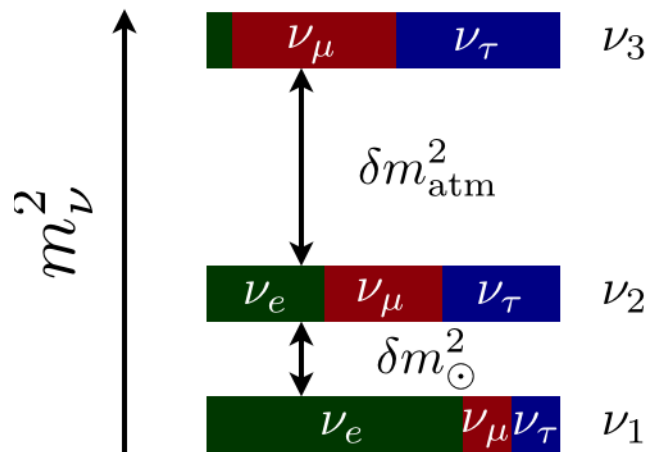
# Neutrino Mass: what we know and don't know

We know the *mass-squared* differences:  $\left\{ \begin{array}{l} \delta m_{\odot}^2 \approx 7.6 \times 10^{-5} \text{ eV}^2 \\ \delta m_{\text{atm}}^2 \approx 2.4 \times 10^{-3} \text{ eV}^2 \end{array} \right.$

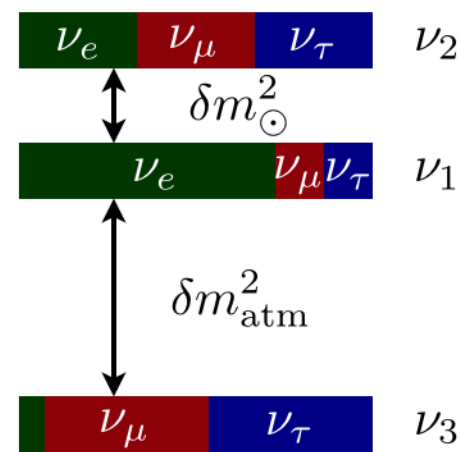
e.g.,  $\delta m_{21}^2 \equiv m_2^2 - m_1^2$

We *do not* know the *absolute masses* or the *mass hierarchy*:

normal mass hierarchy



inverted mass hierarchy





$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = U_m \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

P-Maki-Nakagawa-Sakata matrix

$$U_m = U_{23} U_{13} U_{12} M$$

$$U_{23} \equiv \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

$$U_{13} \equiv \begin{pmatrix} \cos \theta_{13} & 0 & e^{i\delta} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix}$$

$$U_{12} \equiv \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

**4 parameters**

$$\theta_{12}, \theta_{23}, \theta_{13}, \delta$$

$$\theta_{12} \approx 0.59^{+0.02}_{-0.015}$$

$$\theta_{23} \approx 0.785^{+0.124}_{-0.124} \approx \frac{\pi}{4}$$

$$\theta_{13} \approx 0.154^{+0.065}_{-0.065}$$

$\delta = CP$  violating phase =?

*in medium* it's a different story . . .

neutrinos can scatter on *any* particles that carry weak charge, including *other neutrinos*, and this generates potentials can make the neutrinos change flavors

*like photons acquire an index of refraction when traveling through glass*

*But, unlike for photons . . .*

Potentials that govern how a neutrino changes its flavor depend on the flavor states of neutrino: **NONLINEAR**

# As we saw, each Neutrino is a Quantum System

In quantum mechanics a system can be in two or more seemingly mutually exclusive states at the same time!  
(e.g., *Schroedinger's Cat* is both alive *and* dead)

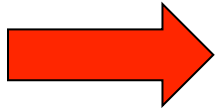
As it propagates along a neutrino can be in a superposition of different flavors, and the *medium* around it can influence the relative mix of these flavors.

But (some of) this medium the neutrino moves through consists of *other neutrinos*.

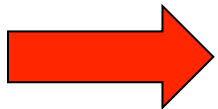
The upshot is that how neutrinos *change their flavor* depends on the *flavor states* of the neutrinos in the “medium”.

**NONLINEAR !!!**

## How Quantum Mechanical Systems Evolve – The Rules



when you make a measurement you have to get an eigenvalue and system is “collapsed” into the corresponding eigenstate



two ways system can evolve in time:

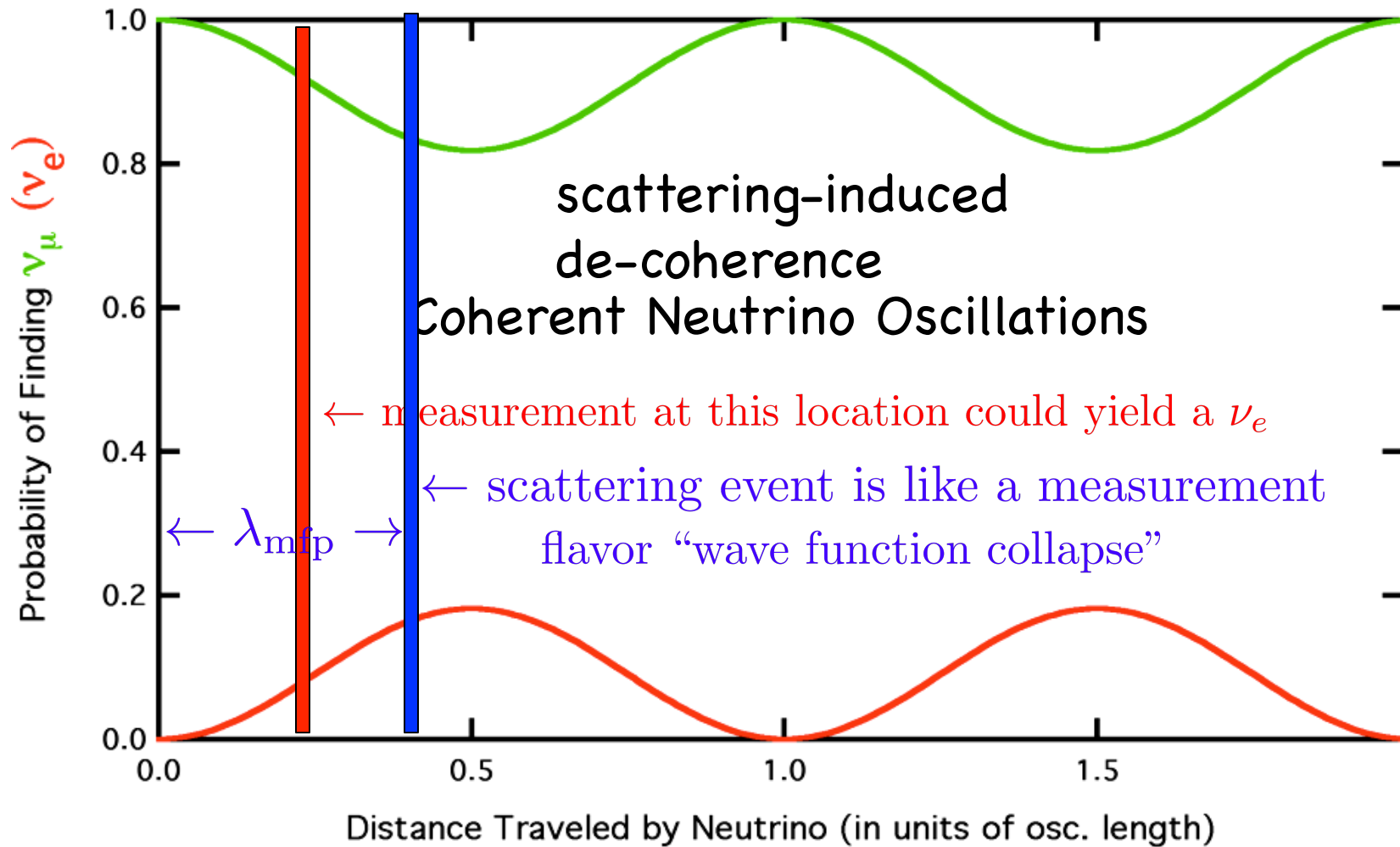
Schroedinger-like evolution

state reduction (“wave function collapse”) because of a “measurement”

Simple Example: two-by-two  
vacuum neutrino oscillations

$$|\nu_e\rangle = \cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle$$

$$|\nu_\mu\rangle = -\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle$$



$$|\Psi(t=0)\rangle = |\nu_\mu\rangle = -\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle$$

$$|\Psi(t)\rangle = -\sin \theta e^{-iE_1 t} |\nu_1\rangle + \cos \theta e^{-iE_2 t} |\nu_2\rangle$$

# Quantum Kinetic Equations

$$i D \hat{f} - [\hat{\mathcal{H}}, \hat{f}] - \hat{U} [\hat{\phi}] = \text{collision terms} (\hat{f}, \hat{f})$$

where  $\hat{f}$  and  $\hat{f}$  are  $3 \times 3$  Hermitian density operators for neutrinos and antineutrinos, respectively, and  $\hat{\phi}$  is a  $3 \times 3$  complex matrix encoding spin coherence.

and where  $\hat{\mathcal{H}}$  &  $\hat{U}$  give neutrino interactions with matter and other neutrinos

separation of scales ??

Schroedinger-like:

$$i \frac{\partial |\Psi\rangle}{\partial t} = \hat{H} |\Psi\rangle \text{ with } |\Psi\rangle = (\psi_e, \psi_\mu, \psi_\tau)$$

@ low density where  
neutrinos propagate coherently

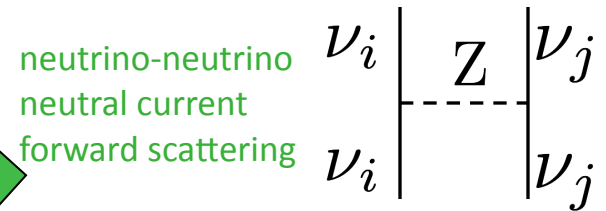
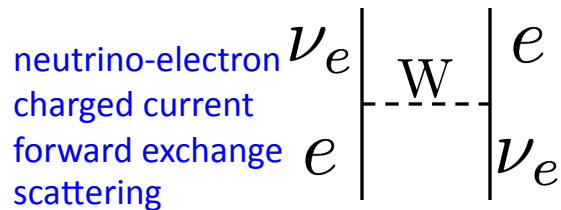
Boltzmann equation

@ high density where  
inelastic scattering dominates

**Coherent Flavor Evolution  
for Neutrino  $i$**

$$\psi_{\nu,i} = \begin{pmatrix} \text{amplitude to be } \nu_e \\ \text{amplitude to be } \nu_\mu \\ \text{amplitude to be } \nu_\tau \end{pmatrix}$$

$$i \frac{\partial}{\partial t} \psi_{\nu,i} = (\mathcal{H}_{\text{vac},i} + \mathcal{H}_{e,i} + \mathcal{H}_{\nu\nu,i}) \psi_{\nu,i}$$

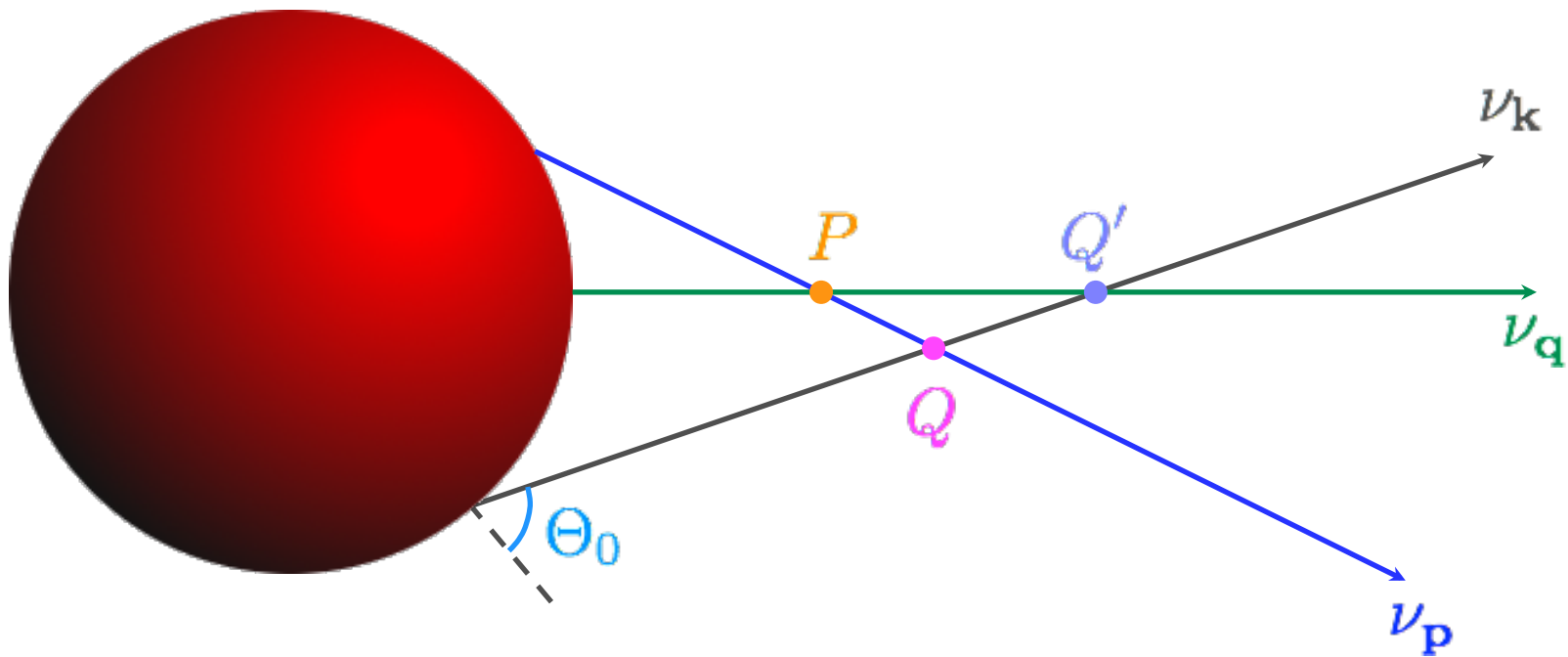


**Neutrino Self Coupling - the source of nonlinearity**

$$\mathcal{H}_{\nu\nu,i} \equiv \sqrt{2}G_F \sum_j (1 - \hat{\mathbf{k}}_i \cdot \hat{\mathbf{k}}_j) n_{\nu,j} \psi_{\nu,j} \psi_{\nu,j}^\dagger$$

$$- \sqrt{2}G_F \sum_j (1 - \hat{\mathbf{k}}_i \cdot \hat{\mathbf{k}}_j) n_{\bar{\nu},j} \psi_{\bar{\nu},j} \psi_{\bar{\nu},j}^\dagger$$

- Anisotropic, nonlinear quantum coupling of all neutrino flavor evolution histories



Must solve many *millions* of coupled, nonlinear partial differential equations!!



The advent of supercomputers has allowed us in the last few years to follow neutrino flavor transformation in core collapse supernovae, including the first self-consistent treatment of **nonlinearity** stemming from neutrino-neutrino forward scattering.

**The results are startling.** Despite the small measured neutrino mass-squared differences, **collective** neutrino flavor transformation can take place deep in the supernova envelope

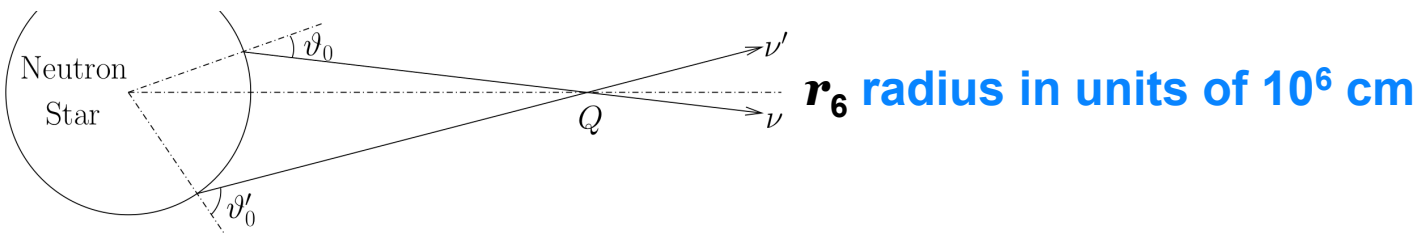
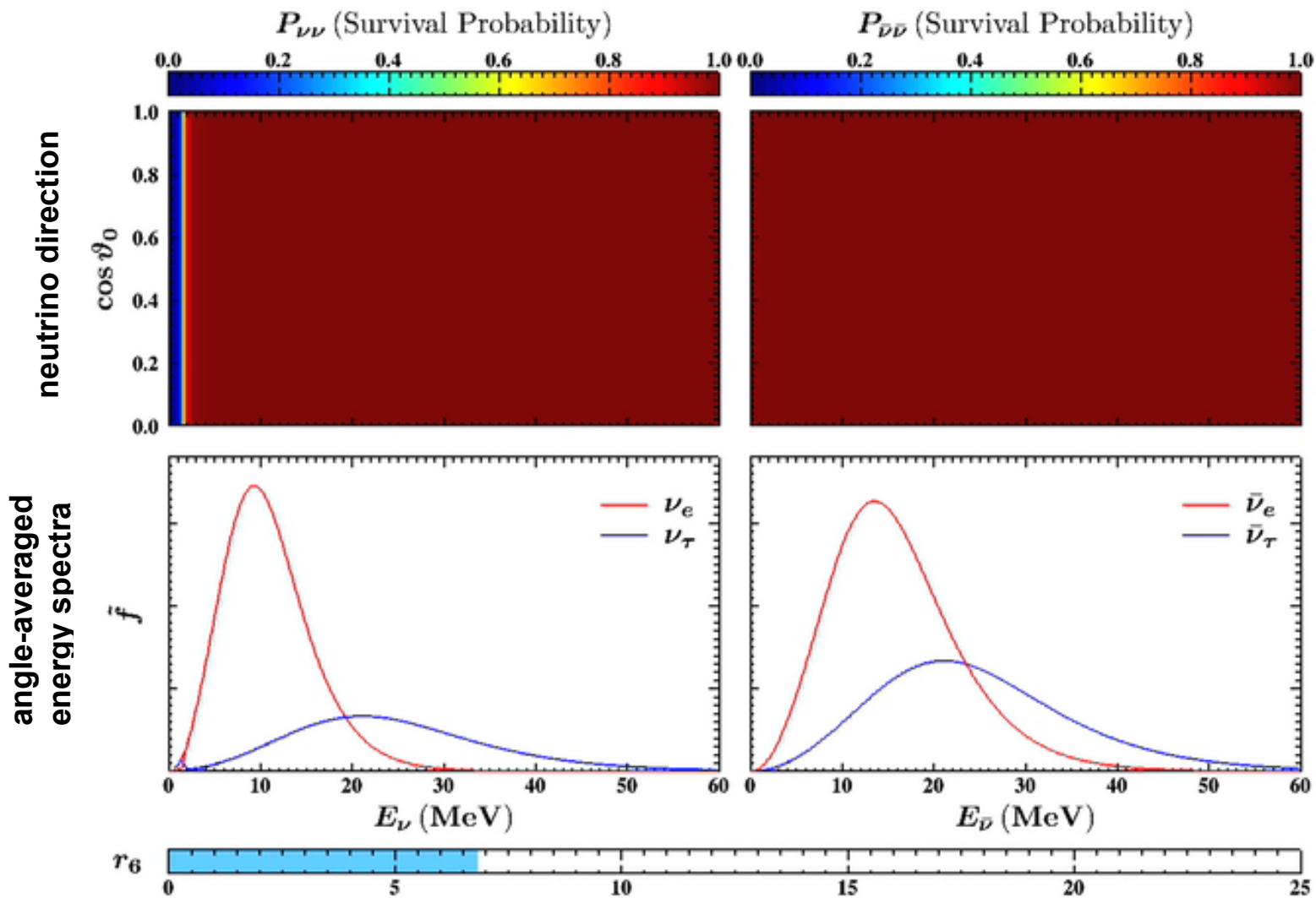
**Pushing the frontier of high performance computing with a unique new kind of transport problem**

$$I_{\nu} = 0$$

NORMAL MASS HIERARCHY

neutrinos  $\nu_e \rightleftharpoons \nu_{\tau}$

antineutrinos  $\bar{\nu}_e \rightleftharpoons \bar{\nu}_{\tau}$

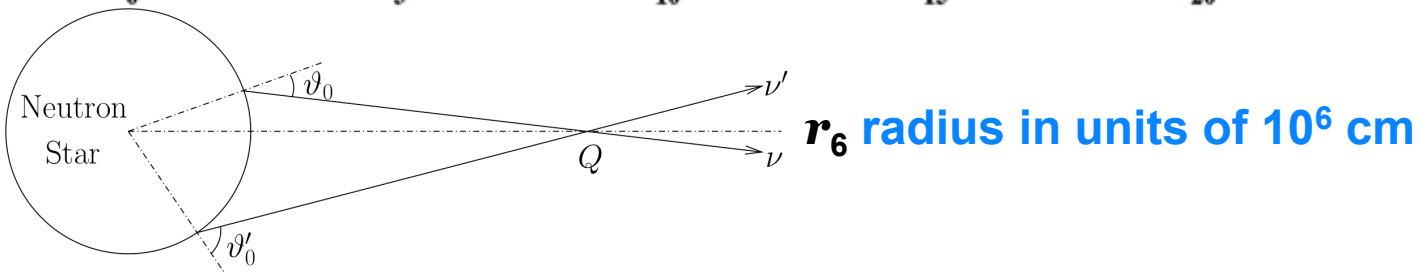
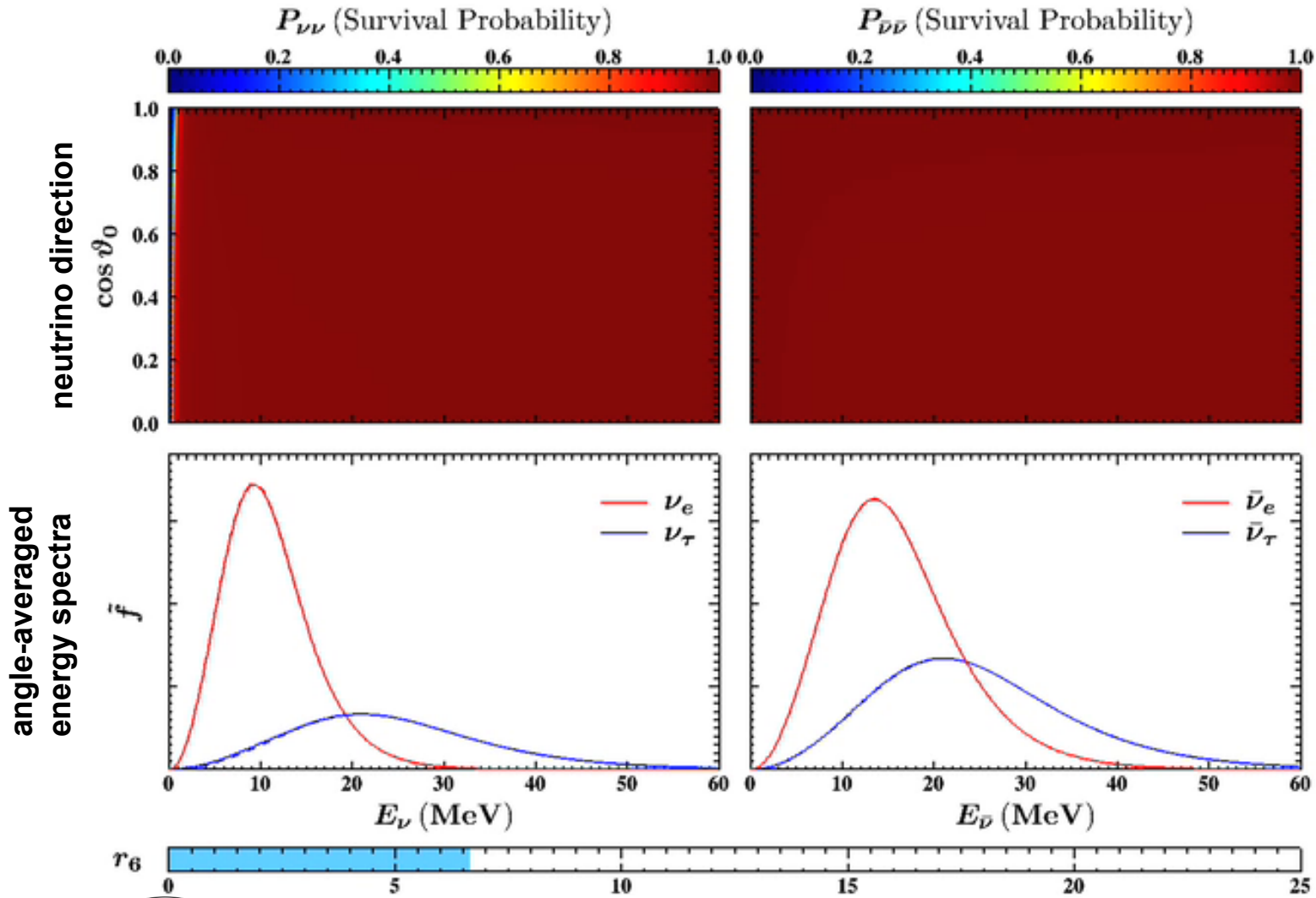


$$L_\nu = 10^{51} \text{ erg s}^{-1}$$

# NORMAL MASS HIERARCHY

neutrinos  $\nu_e \rightleftharpoons \nu_\tau$

antineutrinos  $\bar{\nu}_e \rightleftharpoons \bar{\nu}_\tau$

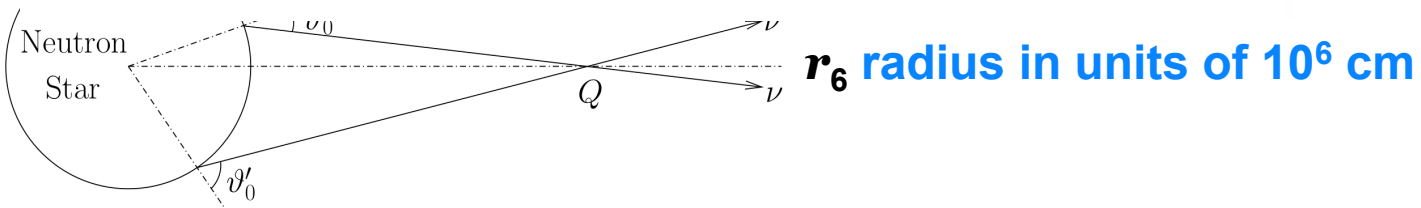
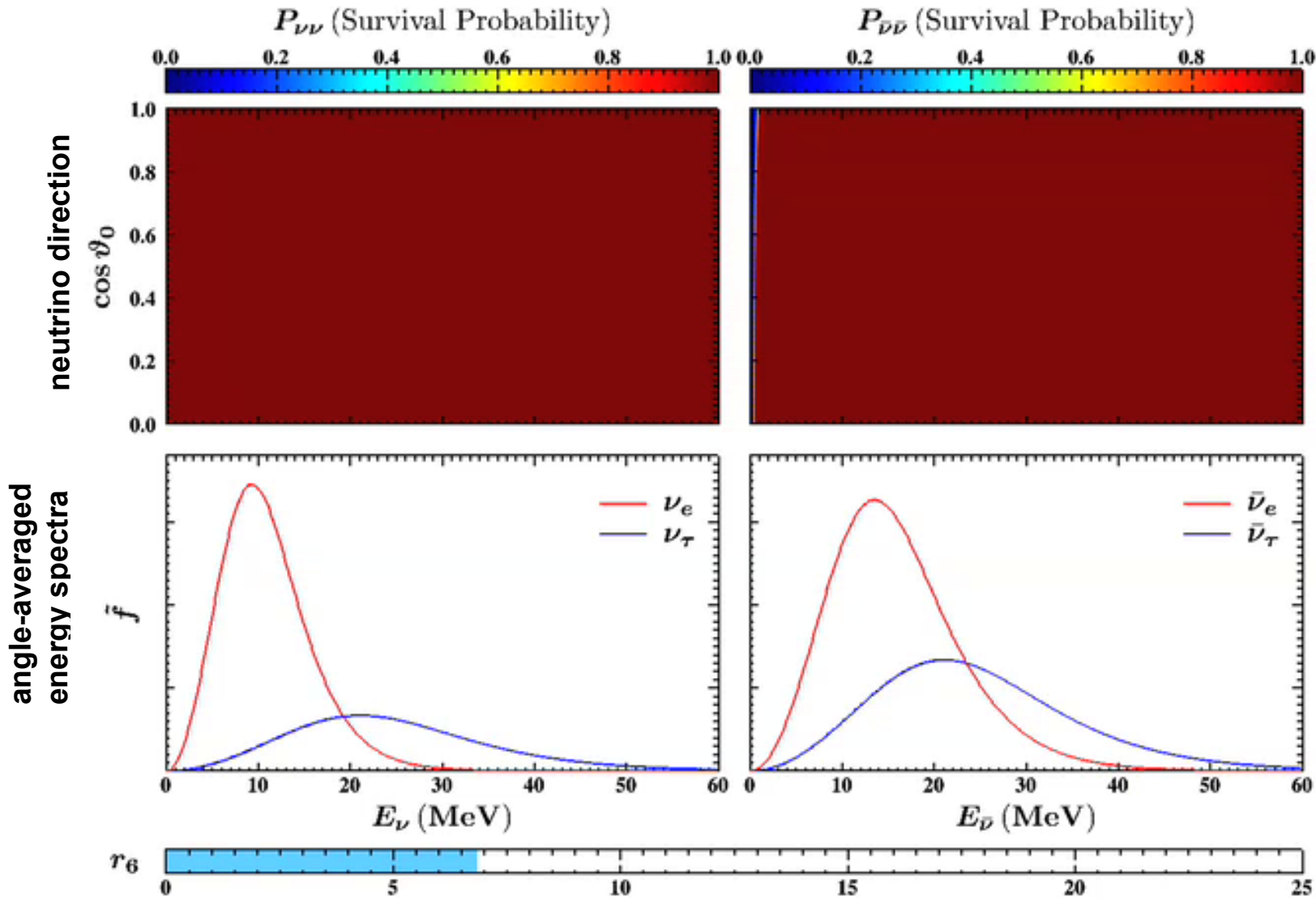


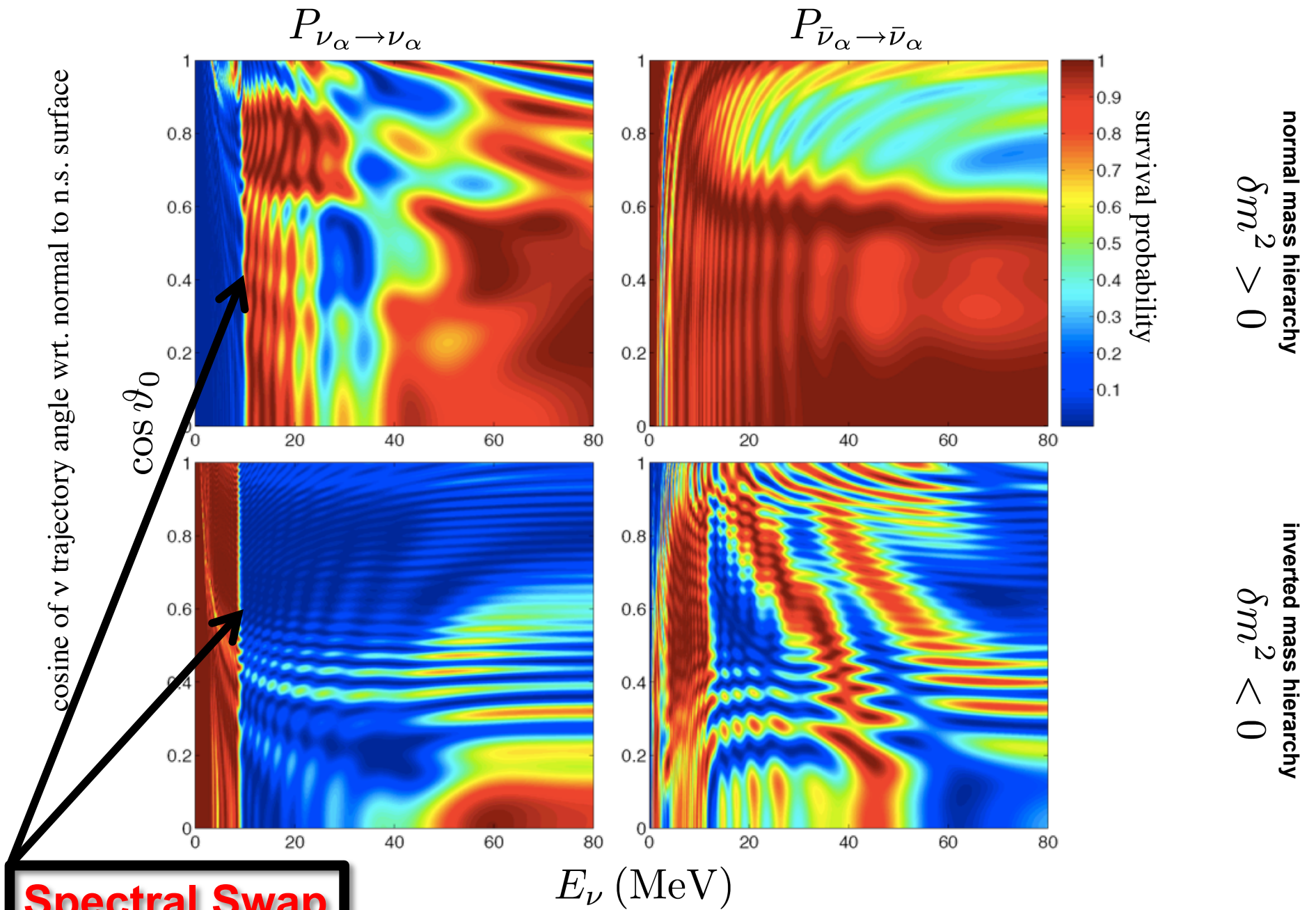
$$L_\nu = 10^{51} \text{ erg s}^{-1}$$

**INVERTED MASS HIERARCHY**

neutrinos  $\nu_e \rightleftharpoons \nu_\tau$

antineutrinos  $\bar{\nu}_e \rightleftharpoons \bar{\nu}_\tau$

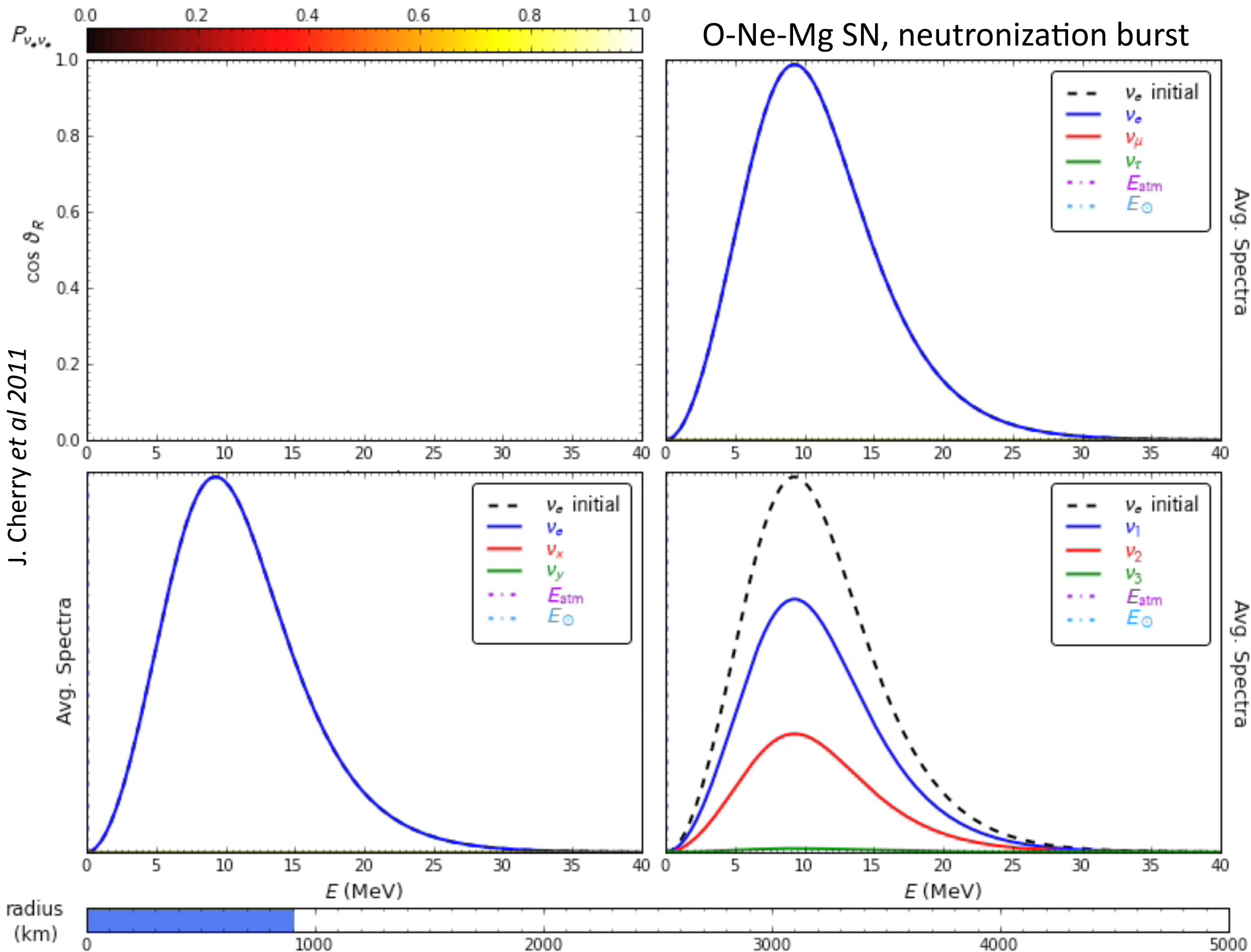




consequences of neutrino mass and quantum coherence in supernovae

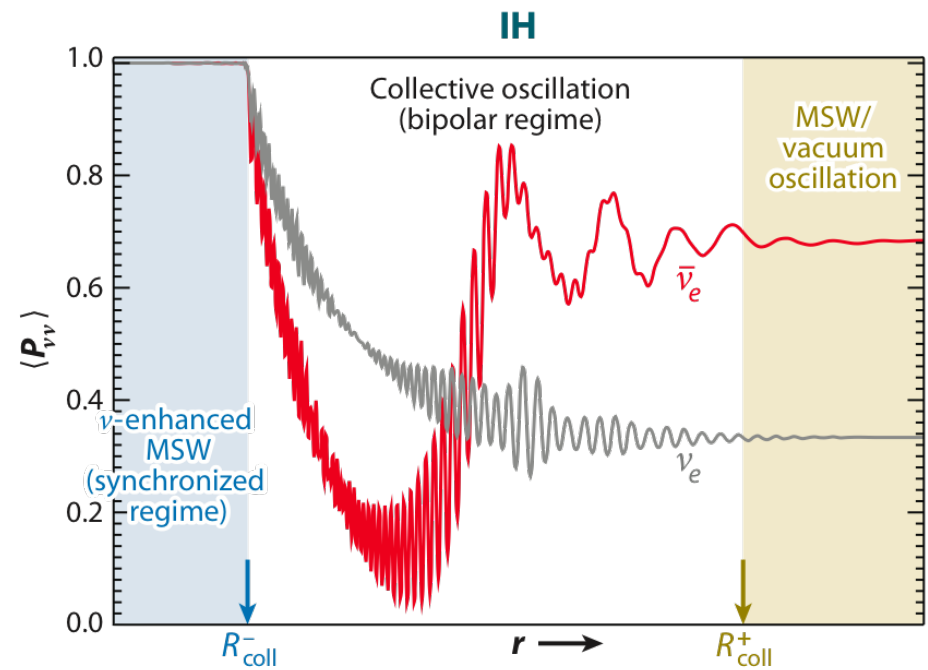
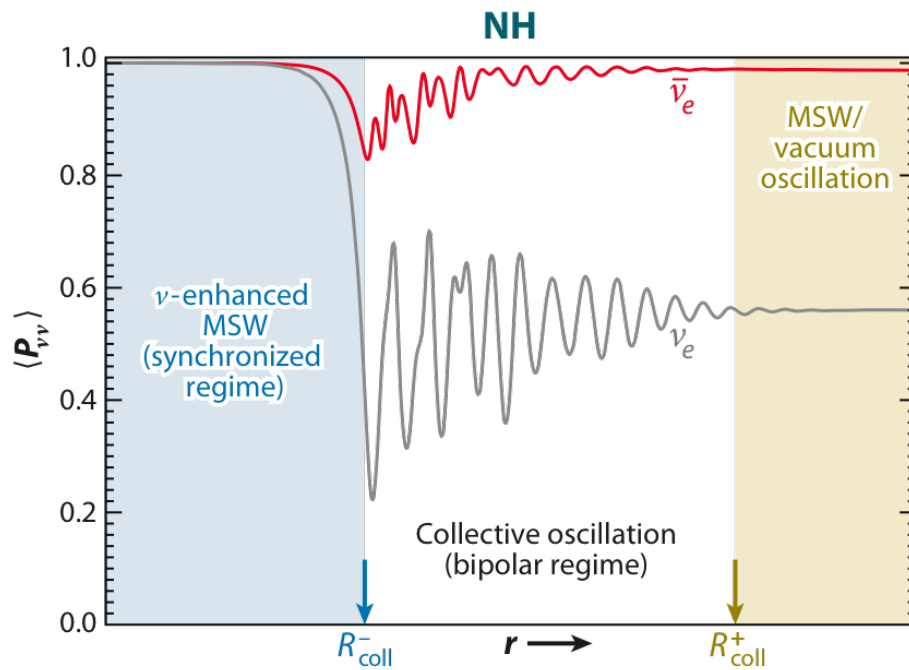
H. Duan, G. M. Fuller, J. Carlson, Y.-Z. Qian, Phys. Rev. Lett. **97**, 241101 (2006) astro-ph/0606616


# O-Ne-Mg SN, neutronization burst



J. Cherry et al 2011

# Neutrino Oscillation Regimes in Core Collapse Supernovae



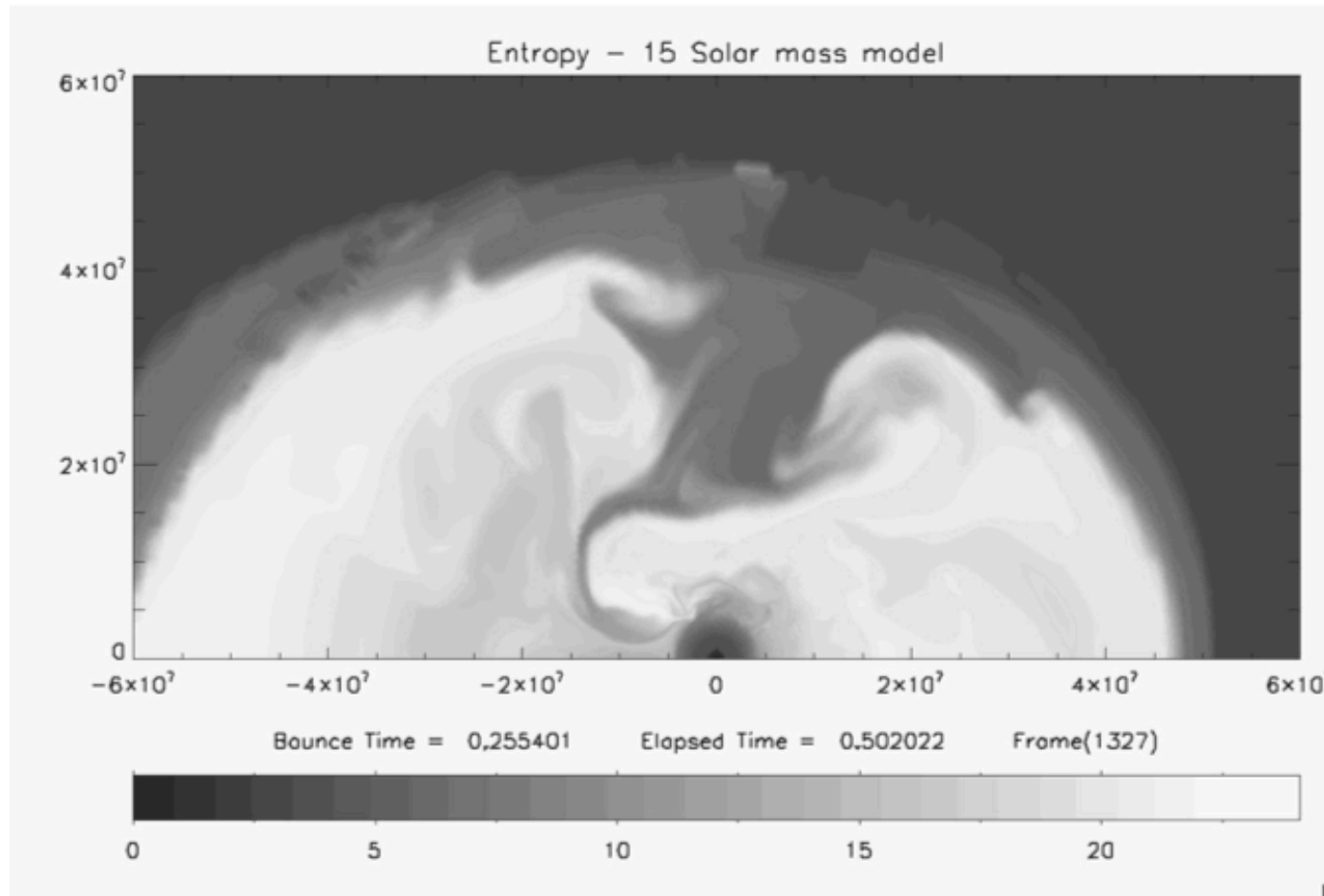
 Duan, Huaiyu, et al. 2010.  
Annu. Rev. Nucl. Part. Sci 60:569–594.

## Effects that can modify or even wash-out the swap signal

- the supernova shock
- turbulence & density fluctuations
- neutrino direction-changing scattering  
(quantum kinetic effects)



The region above the neutron star can be quite inhomogeneous



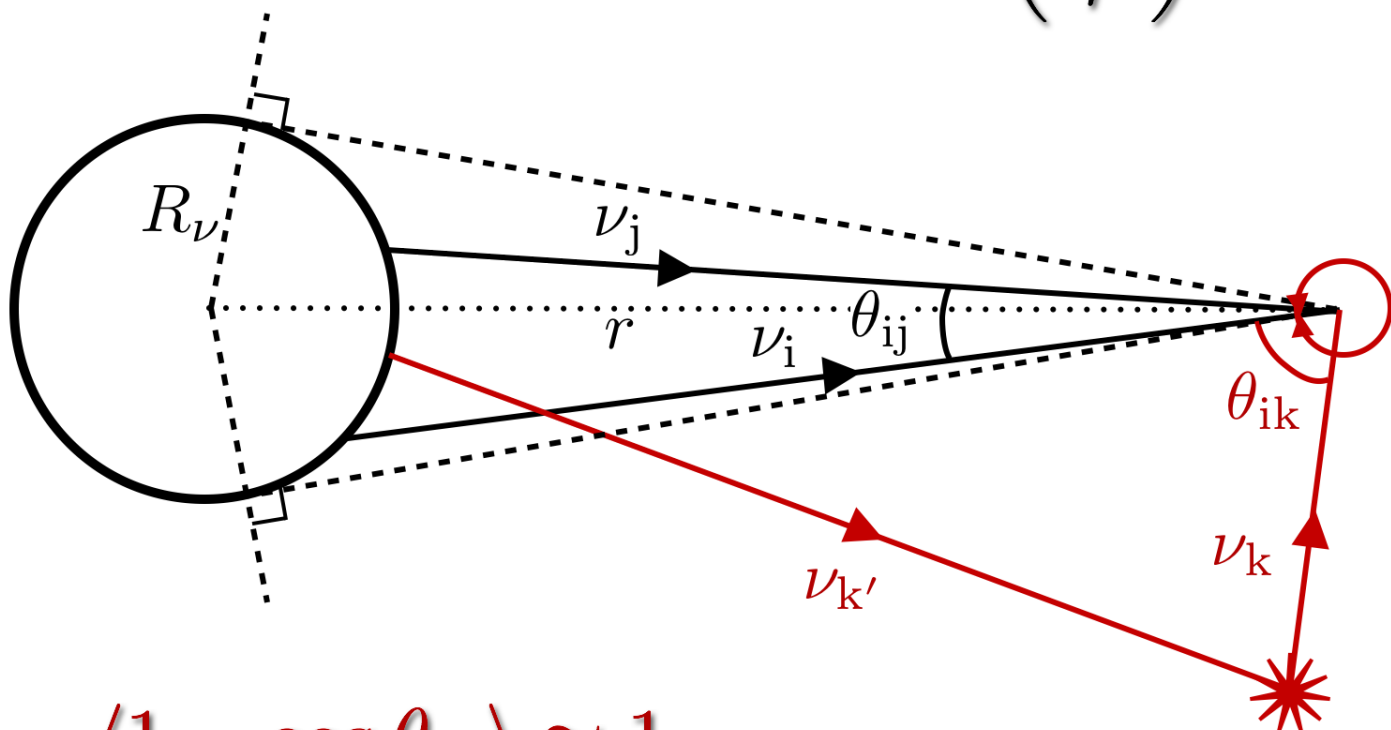
turbulence: (see, e.g., Friedland; Volpe & Kneller 2011)

# Toward Quantum Kinetics

*i.e.*, what effect does direction-changing scattering have on the neutrino flavor transformation

# The Neutrino Halo

$$r \gg R_\nu \Rightarrow \langle 1 - \cos \theta_{ij} \rangle \propto \left( \frac{R_\nu}{r} \right)^2$$

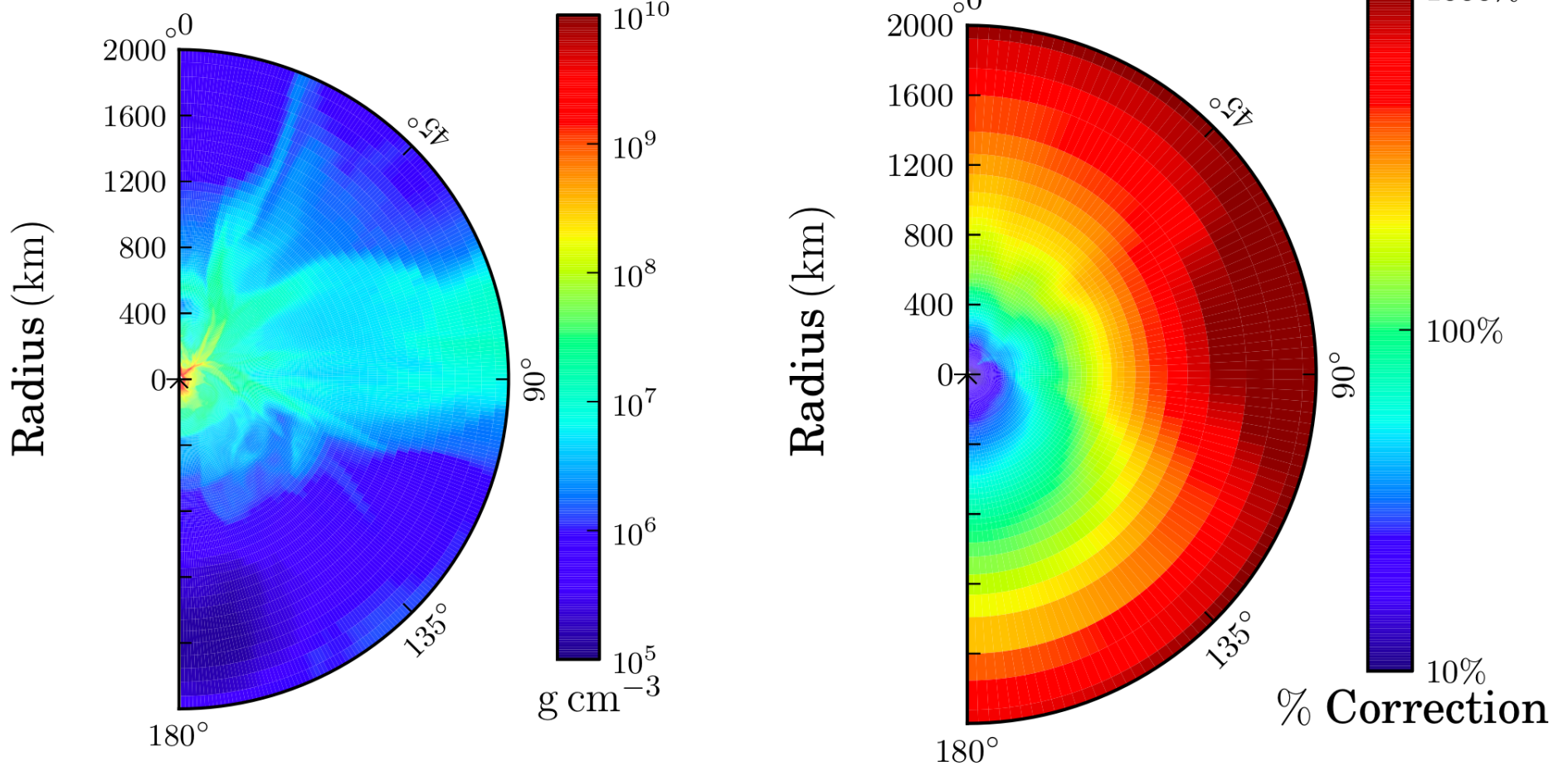


$$\langle 1 - \cos \theta_{ik} \rangle \approx 1$$

$\sim 10^{-3}$  of all  $\nu$ 's

# How large is the Halo effect for free nucleons?

$$\sigma_{\text{coherent}} \propto A^2 \Rightarrow \mathcal{H}_{\text{halo}} \propto \langle A \rangle$$



the **Halo** converts the  
neutrino flavor evolution problem  
from an *initial value problem* into  
a *boundary value problem*

(quantum flavor information *coming down* from outer regions of star)

and moreover couples in nuclear composition  
in a completely new way