

New detectors and laboratories Session:

Nucleon Decay Searches

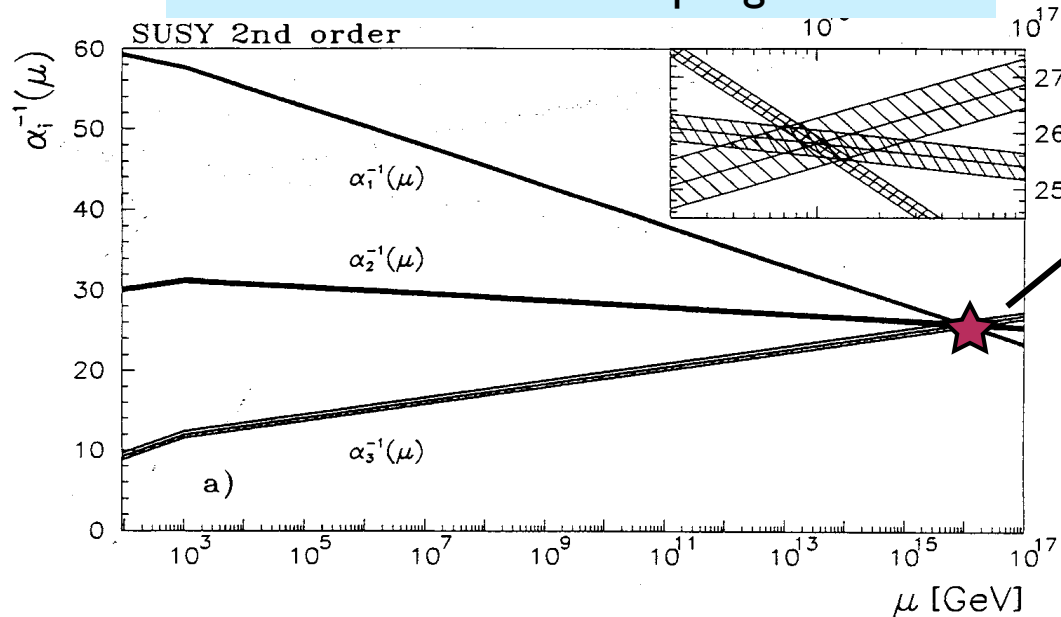
Masato Shiozawa

Kamioka Observatory, Institute for Cosmic Ray Research, U of Tokyo, and
Kamioka Satellite, Kavli Institute for the Physics and Mathematics of the Universe (WPI), U of Tokyo

*13th International Conference on
Topics in Astroparticle and Underground Physics
September 8 - 13, 2013*

Motivation of Nucleon Decay Searches

Unification of three coupling constants



Grand Unification?

Unification of Forces and Particles

$G \supset SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$

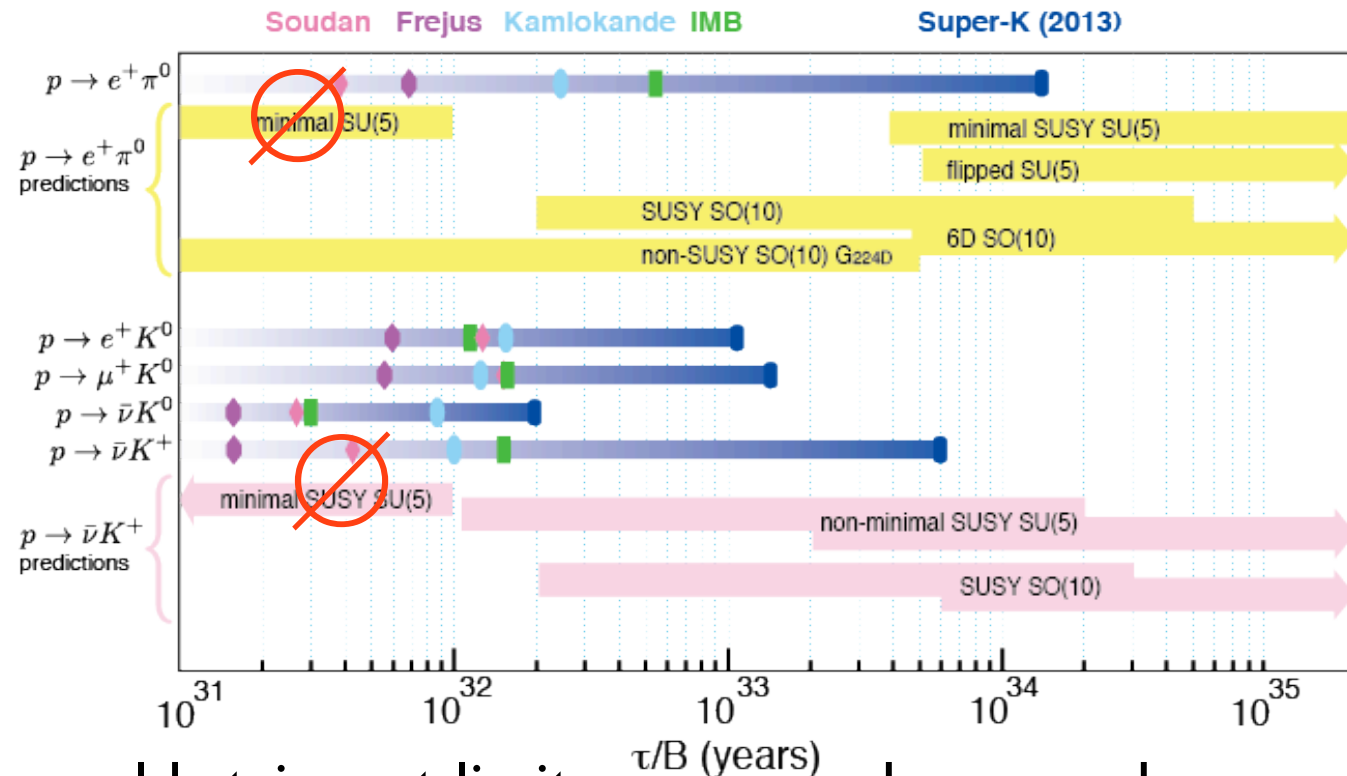
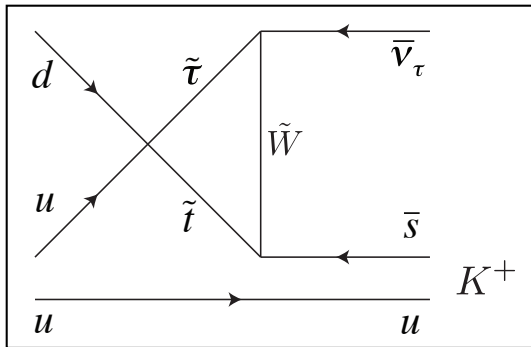
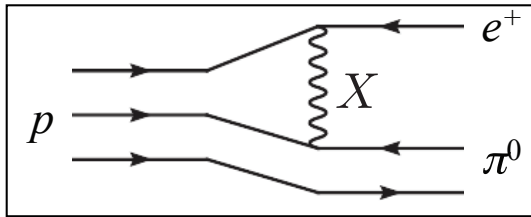
(Quark, Lepton) multiplets



Prediction *Spontaneous Nucleon Decay*

- ▶ **Tiny v masses** suggest physics with similar energy scale
- ▶ Understand **large v mixing** and small quark mixing in an unified way
- ▶ **Unique direct test of GUTs**
 - ▶ Nucleon decay searches have already provided **constraints on GUT models**
 - ▶ $O(10^{16})$ GeV is not **reachable by accelerators**
- ▶ **Baryon number violation** is required by cosmology
- ▶ B (and L) is an **unexplained conserved quantum number**

Upper bounds on the decay rates



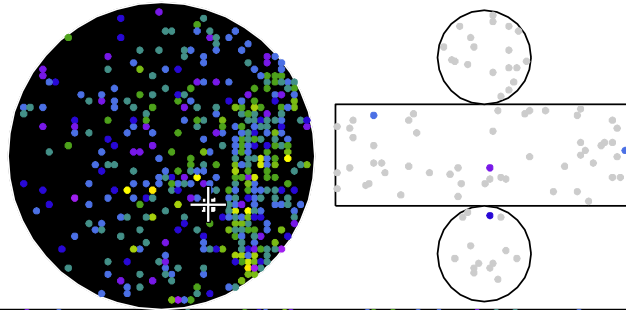
- ▶ Super-K provides world stringent limits on many decay modes
 - ▶ $\tau(p \rightarrow e^+ \pi^0) > 1.4 \times 10^{34}$ years (90% C.L., 260kton · years)
 - ▶ $\tau(p \rightarrow \bar{\nu} K^+) > 5.9 \times 10^{33}$ years (90% C.L., 260kton · years)
- ▶ No significant signal excess so far \Rightarrow Giving constraints on GUT models
 - ▶ Constraints on SUSY models (e.g. R-parity conservation)
 - ▶ minimal $SU(5)$ and minimal SUSY $SU(5)$ are considered to be excluded.

discovery might be around the corner

$p \rightarrow e^+ + \pi^0$ in water

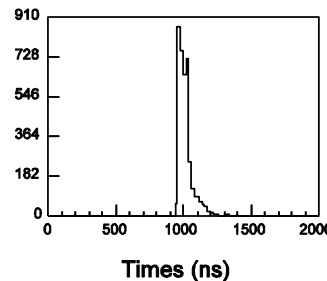
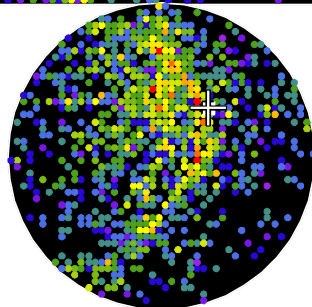
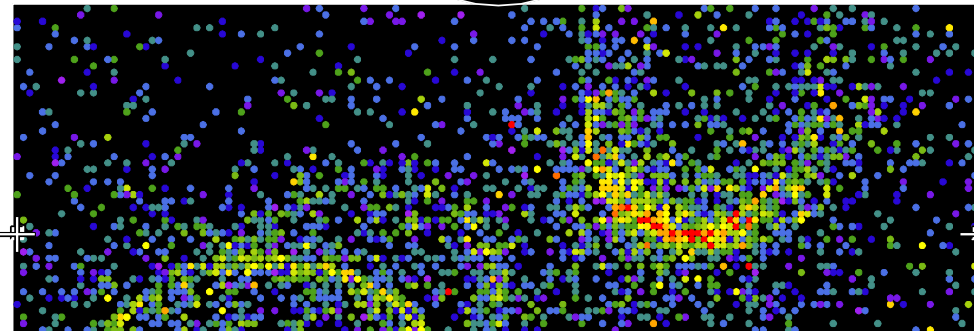
Super-Kamiokande

Run 999999 Event 294
 102-11-06:00:06:35
 Inner: 3849 hits, 8189 pE
 Outer: 4 hits, 2 pE (in-time)
 Trigger ID: 0x03
 D wall: 946.1 cm
 FC, mass = 909.0 MeV/c²

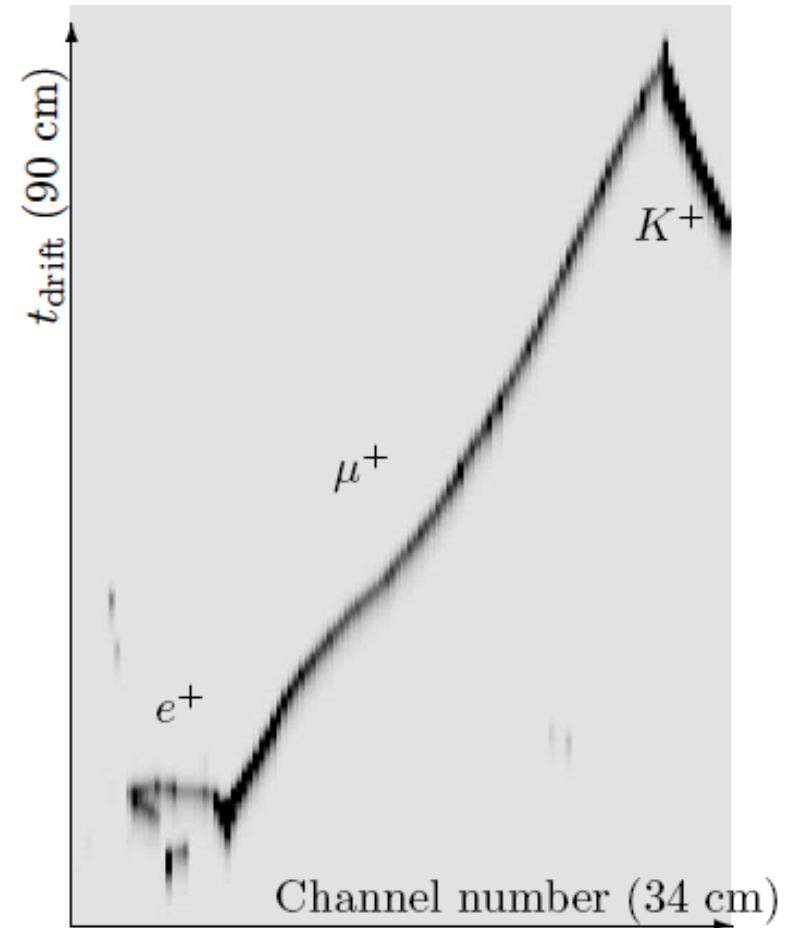


Charge (pe)

- >15.0
- 13.1-15.0
- 11.4-13.1
- 9.8-11.4
- 8.2- 9.8
- 6.9- 8.2
- 5.6- 6.9
- 4.5- 5.6
- 3.5- 4.5
- 2.6- 3.5
- 1.9- 2.6
- 1.2- 1.9
- 0.8- 1.2
- 0.4- 0.8
- 0.1- 0.4
- < 0.1



$p \rightarrow \nu + K^+$
 in Liq.Argon TPC



Single event discovery is possible for several decay modes.

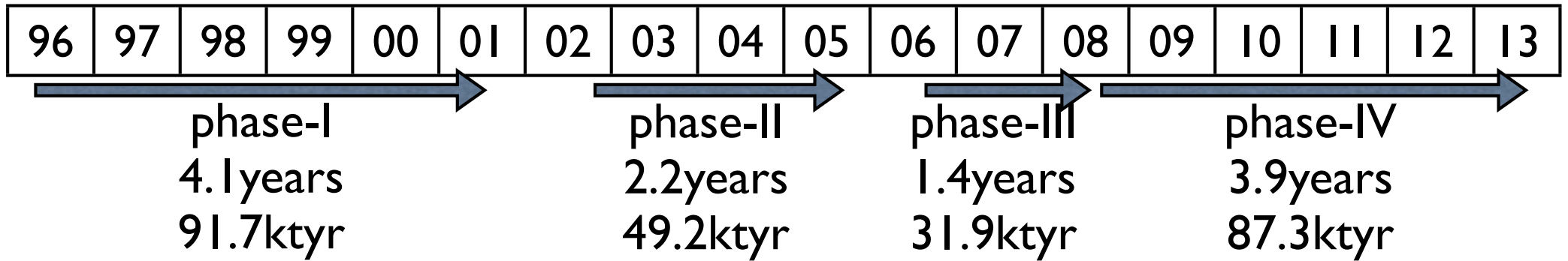
Experimental challenges

$$\text{lifetime limit} \propto \frac{\varepsilon VT}{N_{\text{limit}}} = \begin{cases} \frac{\varepsilon}{2.3} \times VT & \text{(BKG free)} \\ \frac{\varepsilon VT}{\sqrt{BVT}} = \frac{\varepsilon}{\sqrt{B}} \times \sqrt{VT} & \text{(BKG dominant)} \end{cases}$$

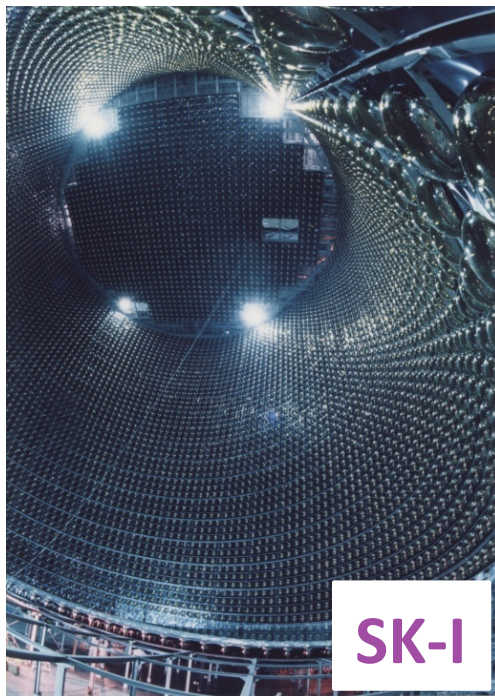
ε : efficiency
 V : detector volume
 T : data taking time (exposure)
 N_{limit} : limit on the number of signal

- ▶ Require big detector “**MASS**”
 - ▶ Next target volume is **10 kiloton ~ 1 Megaton** (Super-K=50 kiloton)
- ▶ **Background rate must be under control**
 - ▶ **Improved BG rejection** is required as size of detectors and exposure scale up (Super-K, next generation detectors)
 - ▶ Keep **signal efficiency high**
 - ▶ **Improved knowledge of BG** is required to extract convincing signal
- ▶ This talk will cover;
 - ▶ Super-K with efforts to improve searches
 - ▶ Next generation experiments to go beyond the Super-K

Super-Kamioka Nucleon Decay Experiment

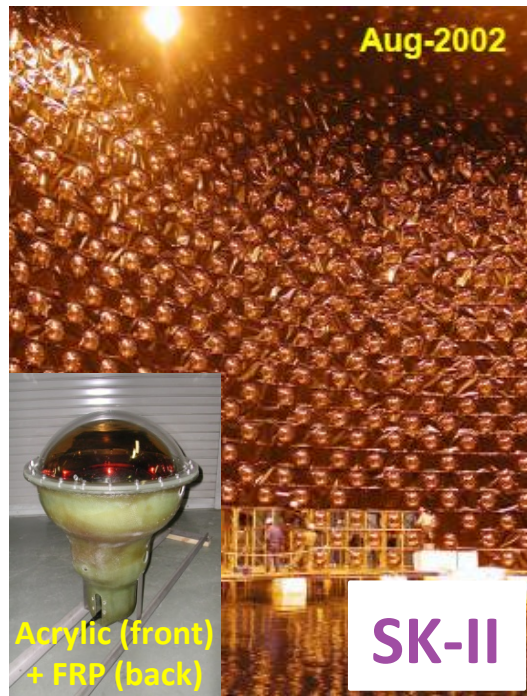


Super-K total ~12 years or 260 ktyr



SK-I

11,146 ID PMTs
(40% coverage)



Aug-2002

Acrylic (front)
+ FRP (back)

SK-II

5,182 ID PMTs
(19% coverage)



Apr-2006

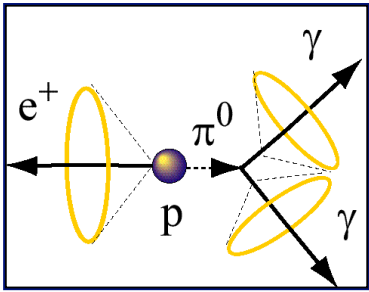
SK-III

11,129 ID PMTs
(40% coverage)



SK-IV

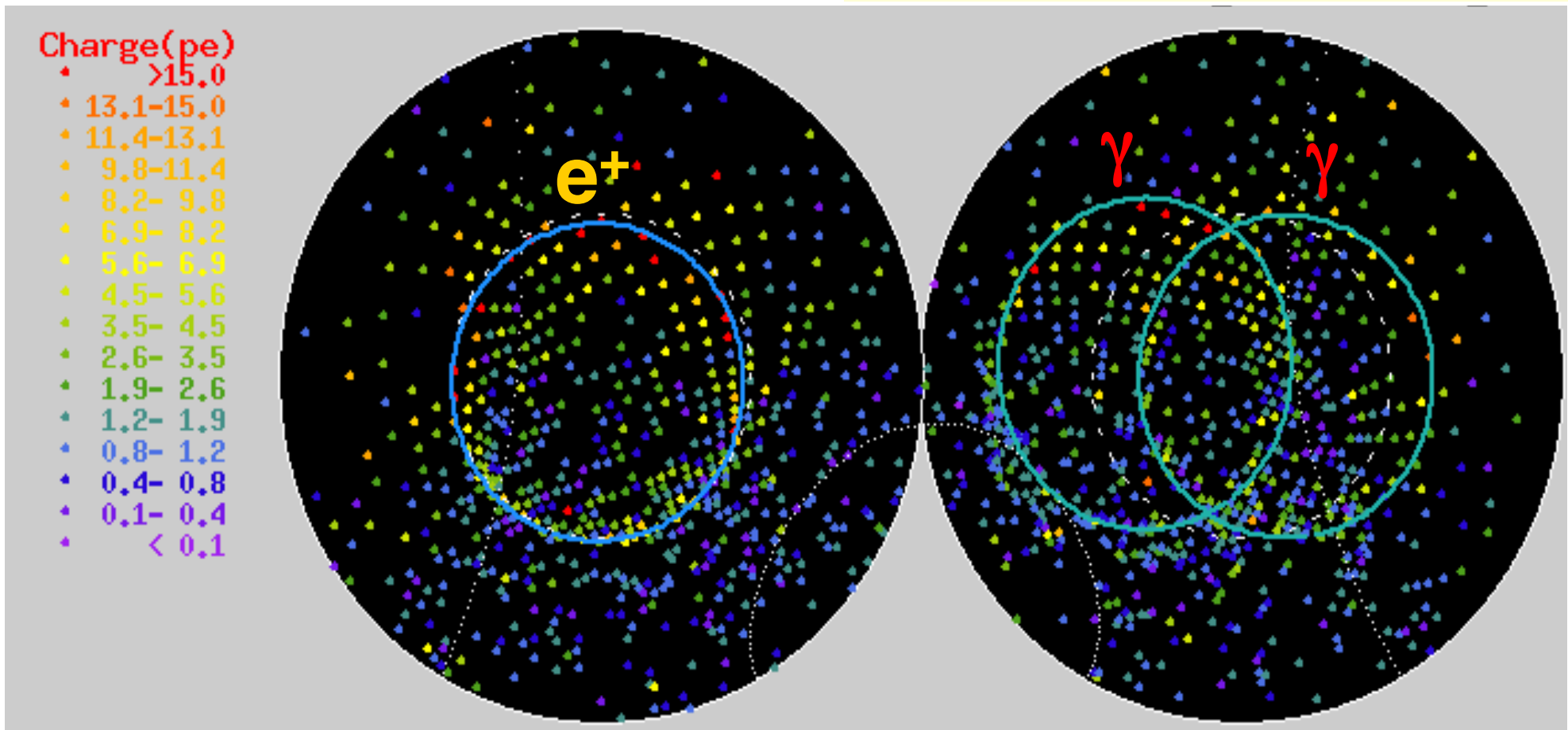
Electronics
Upgrade



$p \rightarrow e^+ + \pi^0$ searches

Super-K cut

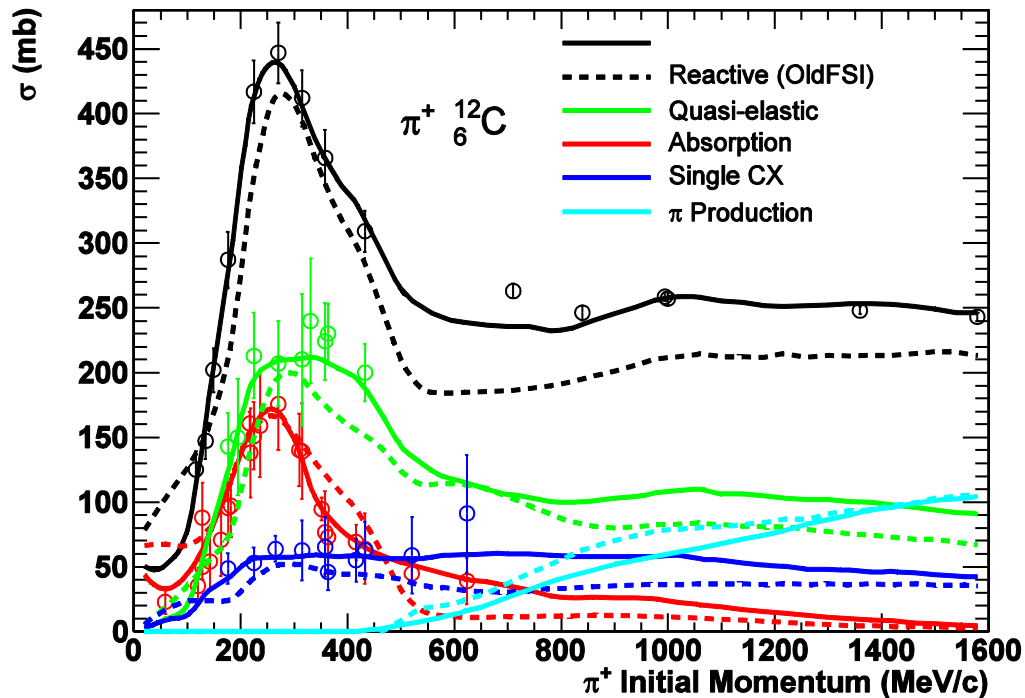
- 2 or 3 Cherenkov rings
- All rings are showering
- $85 < M_{\pi^0} < 185 \text{ MeV}/c^2$ (3-ring)
- No decay electron
- $800 < M_{\text{proton}} < 1050 \text{ MeV}/c^2$
 $P_{\text{total}} < 250 \text{ MeV}/c$



SK-II (half PMT) forward-backward display for $p \rightarrow e^+ + \pi^0$

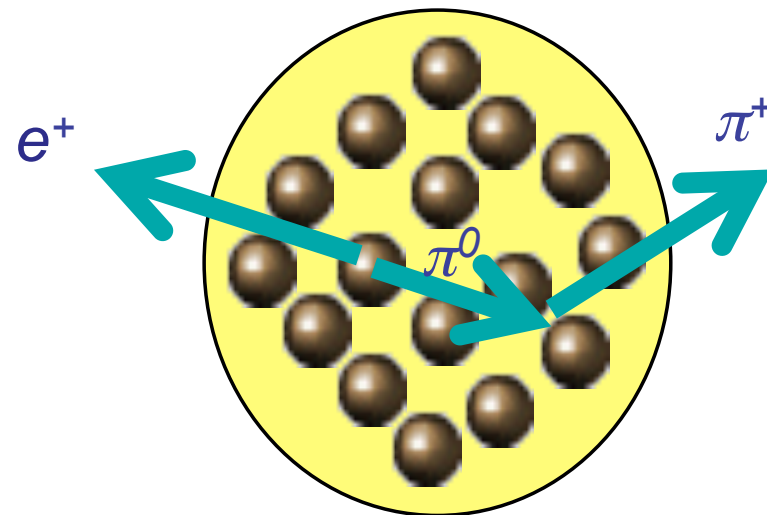
Nuclear effect

- ▶ For proton decays in ^{16}O nuclei
 - ▶ nuclear binding energy, Fermi momentum, nucleon-nucleon correlation, secondary interactions of decay mesons



Tuning of π cross sections in Carbon.

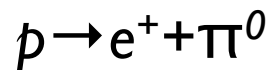
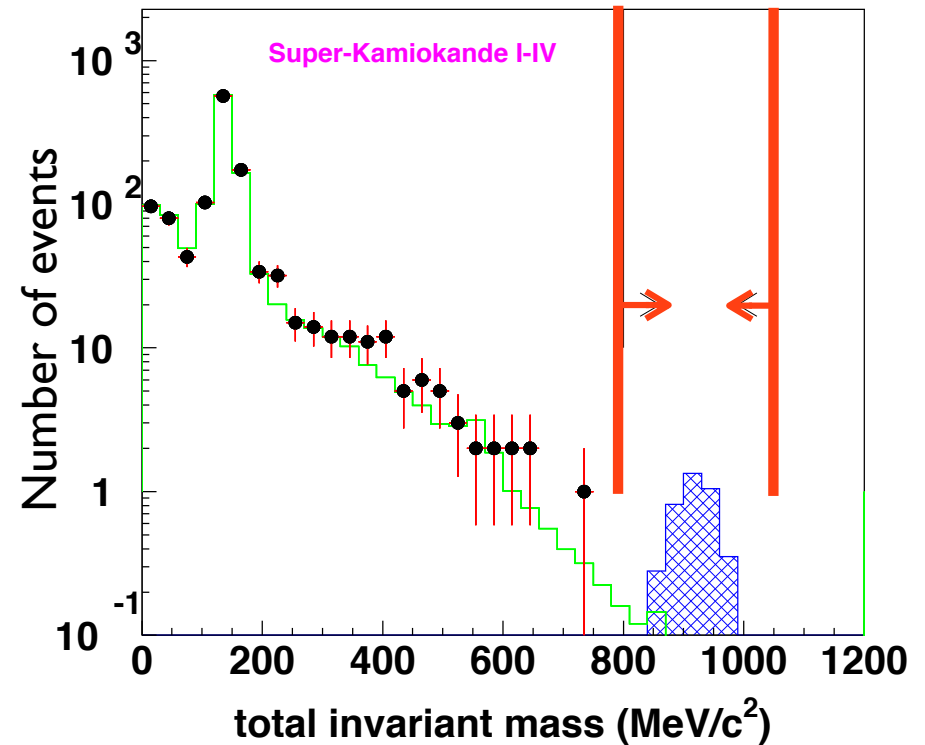
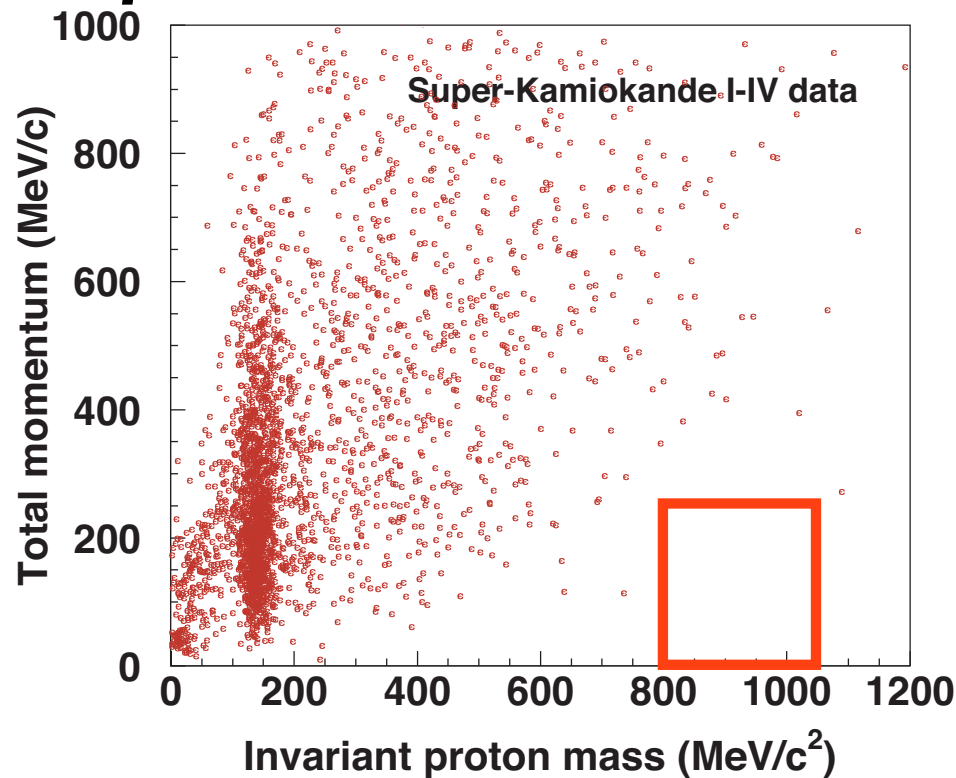
$p \rightarrow e^+ + \pi^0$ in ^{16}O



~40% π^0 escape w/o interactions
 → major source of inefficiency and systematics

- ▶ For free proton decays in hydrogen
 - ▶ NO nuclear effects
 - ▶ high & accurate signal efficiency is expected
 - e.g. 87% (28%) efficiencies for $p \rightarrow e^+ + \pi^0$ decays in H (^{16}O)

$p \rightarrow e^+ + \pi^0$ in SK I-IV (260kt×yrs)

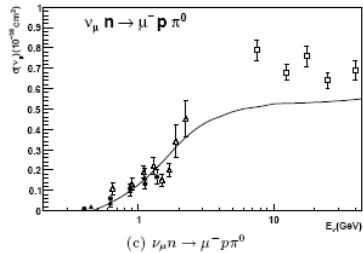
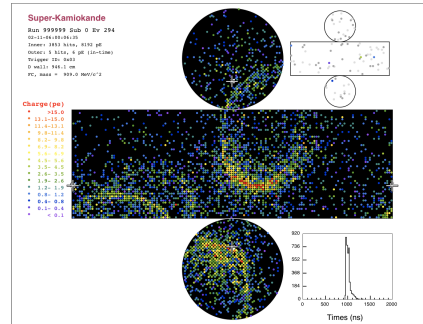
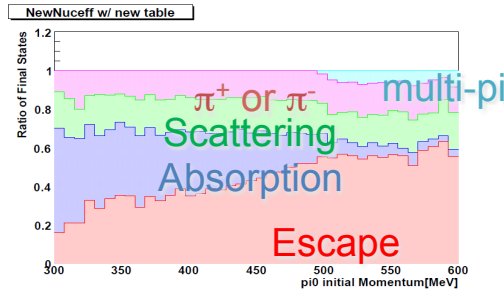
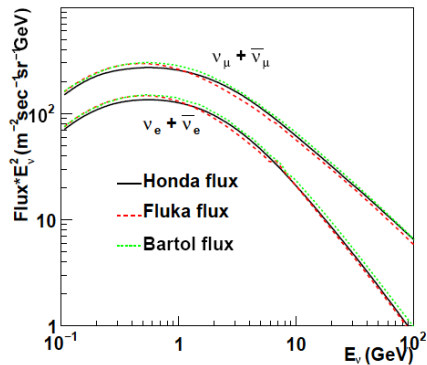


- detection efficiency = 40%
- atmospheric ν BG = 0.7 events in 260kton×years
 $2.7 \pm 0.3(\text{stat.}) \pm 1.2(\text{syst.}) (\text{Mton} \times \text{years})^{-1}$
- $\tau_{\text{proton}}/\text{Br} > 1.4 \times 10^{34}$ years @ 90% C.L.

NOTE: Accurate prediction of BG is getting more important.
 Further BG reduction is also desired.

$p \rightarrow e^+ + \pi^0$ background calculation

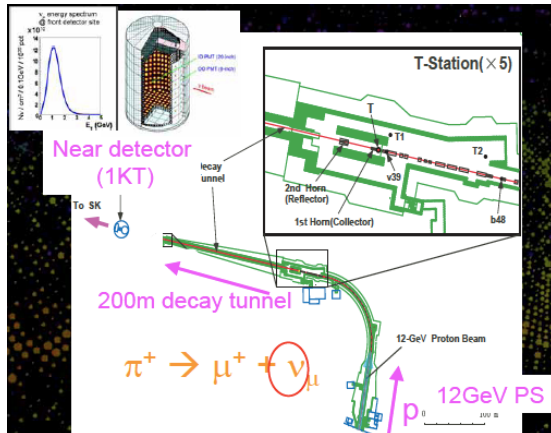
- BG calculation based on atm ν flux, cross sections, and detector response



- Atmospheric ν flux calculations
 - Spectrum shape ~8%
 - Flavor ratio <1%
- Neutrino interaction simulation (NEUT)
 - CC single π^0 10%
 - CC multi pion production 7%
 - CC QE 8%
 - NC 2%
- 2ndary pion interaction in water 25%
- 2ndary nucleon interaction in water 25%
- Detector resolution 22%

Total uncertainty 44%

- Confirmation by K2K accelerator ν



PRD77:032003,2008

- ▶ BG rate was confirmed by K2K accelerator ν beam
 - ▶ BG = $1.63^{+0.42/-0.33}(\text{stat.}) + 0.45^{+0.51}(\text{syst.}) (\text{Mt} \times \text{yrs})^{-1} (E_\nu < 3 \text{ GeV})$
 - ▶ Consistent w/ simulation $1.8 \pm 0.3(\text{stat.})$

- Further improvements are foreseen by future cross section measurements

Potential BG reduction by neutrons

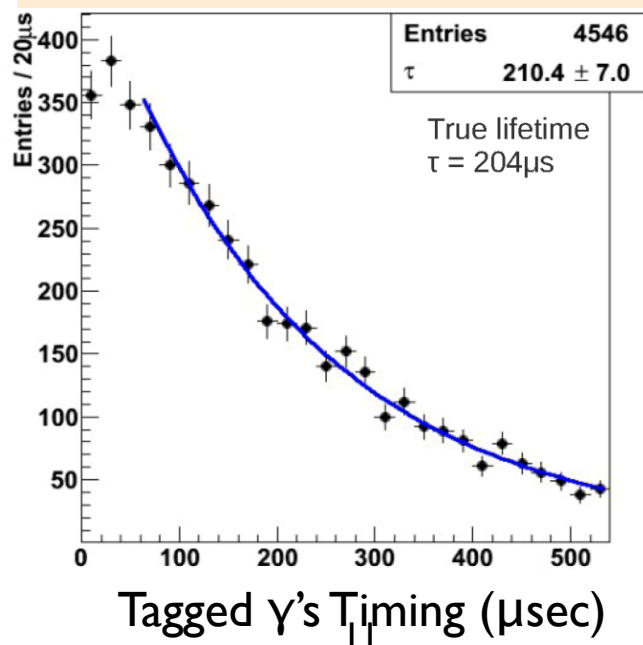
Beacom and Vagins PRL93:171101(2004)

- ▶ We expect that **neutrino events** are often accompanied with neutrons (e.g. $\bar{\nu}_e + p \rightarrow e^+ + \pi^0 + n$, recoiled protons kick **neutrons** in water etc.)
- ▶ neutron emission probability in **proton decay** is expected to be small.

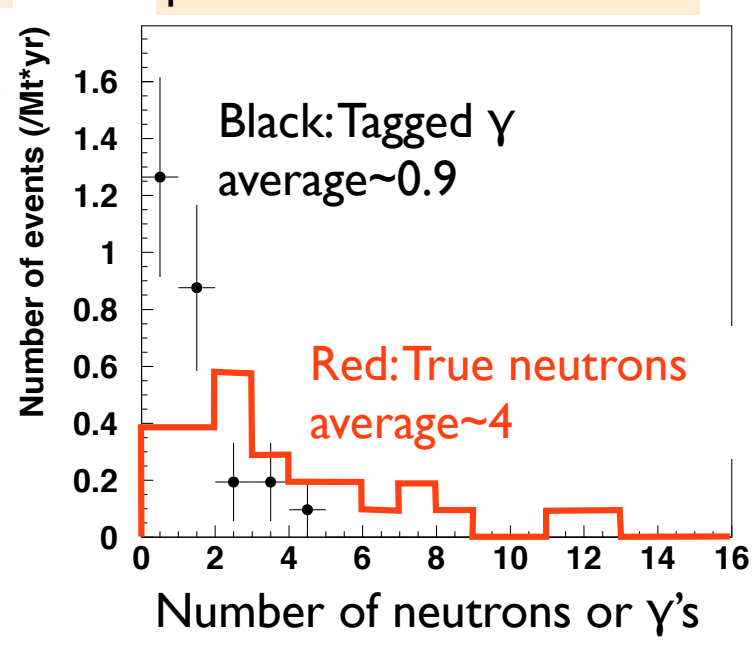
Since SK-IV we have started recording faint signature of neutrons;
 $n + p \rightarrow d + \gamma (2.2\text{MeV}, \tau \sim 200\mu\text{sec})$
by new high speed pipelined electronics. Gd study is on-going.



SK-IV 1297days atmν Data



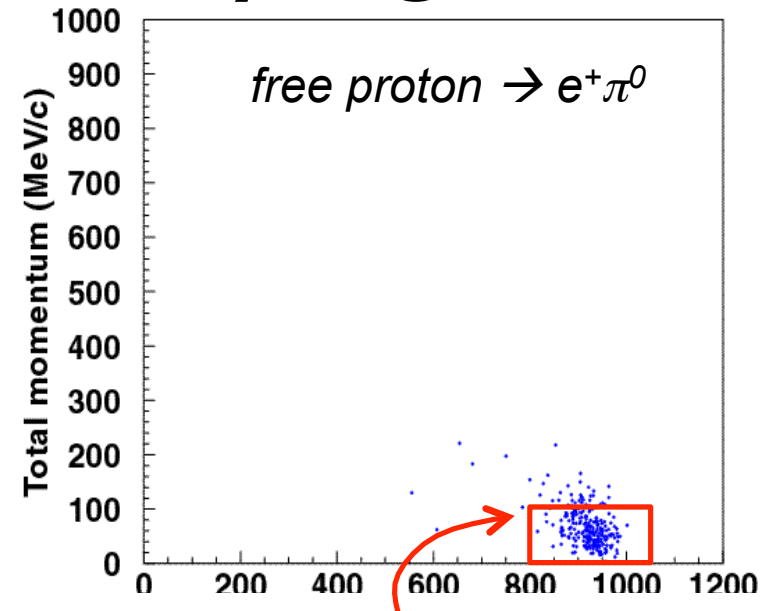
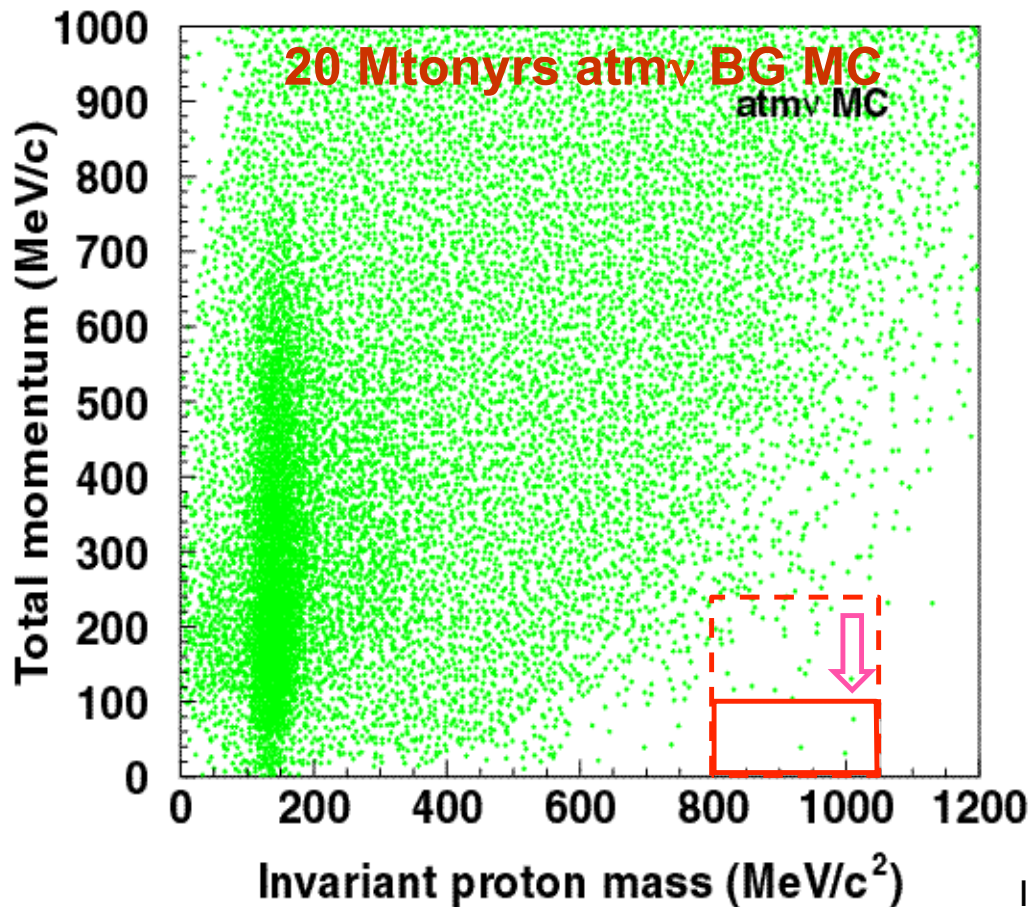
$p \rightarrow e^+ \pi^0$ BG Monte Carlo



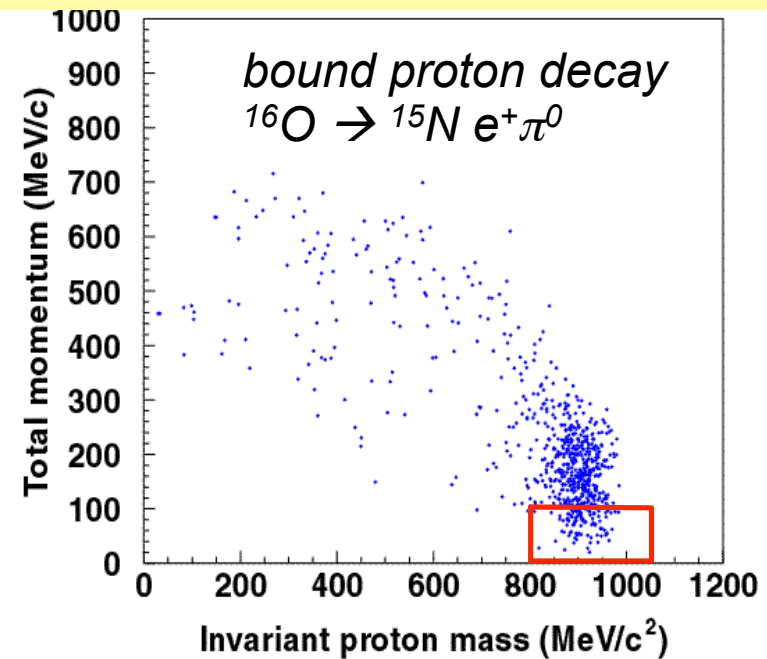
Potential BG reduction by tighter cut

Shiozawa, talk@NNN00-Fermilab

- $P_{tot} < 250$ MeV/c (SK cut)
 BG=2.2 ev/Mtonyrs, eff.=44%
 ↓ BG reduction by ~15
- $P_{tot} < 100$ MeV/c (tighter cut)
 BG=0.15ev/Mtonyrs, eff.=17.4%

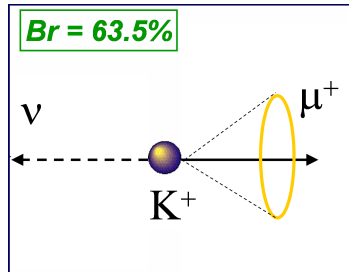


main target is *free proton decays*



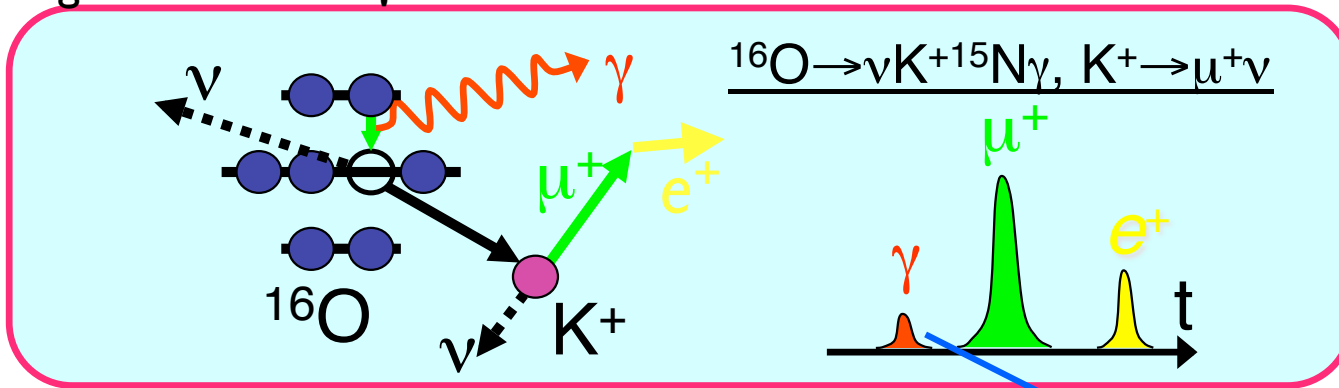
$p \rightarrow \nu + K^+$ searches

(I) $K^+ \rightarrow \mu^+ \nu$, $\mu^+ \rightarrow e^+ \nu \nu$



K^+ is below Cherenkov threshold
 $\rightarrow 236 \text{ MeV}/c$ μ and muon decay electrons

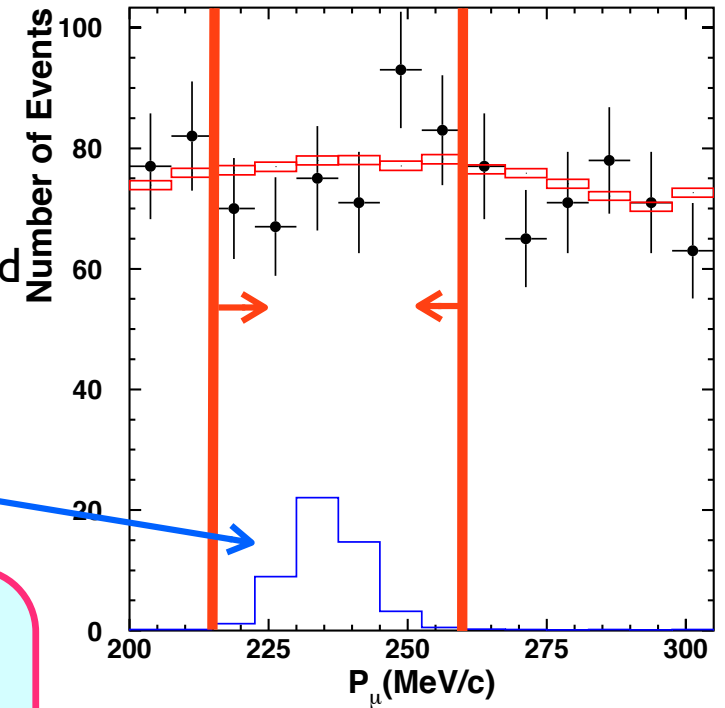
Tag de-excitation γ from $^{15}\text{N}^*$ to reduce BG



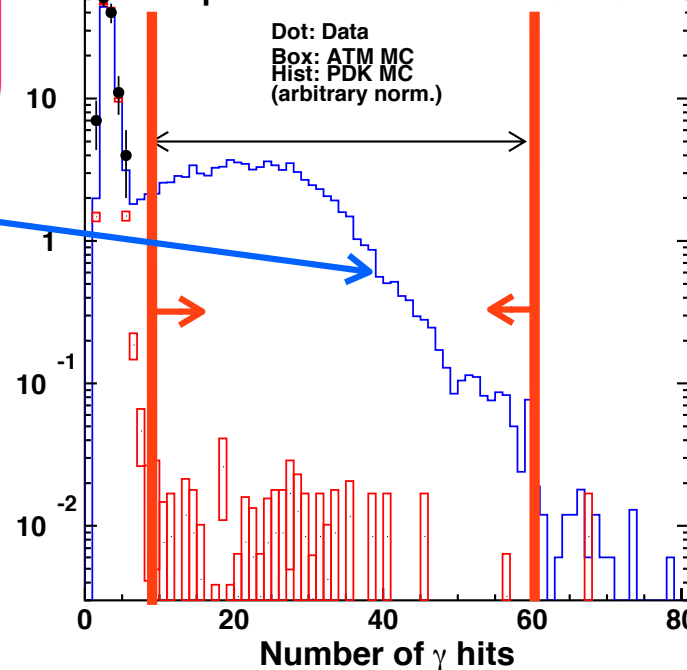
Many efforts to improve analyses

1. γ tagging efficiency has been improved.
2. high muon decay electrons efficiency in SK-IV.
3. better momentum reconstruction is employed.

Super-Kamiokande I-IV

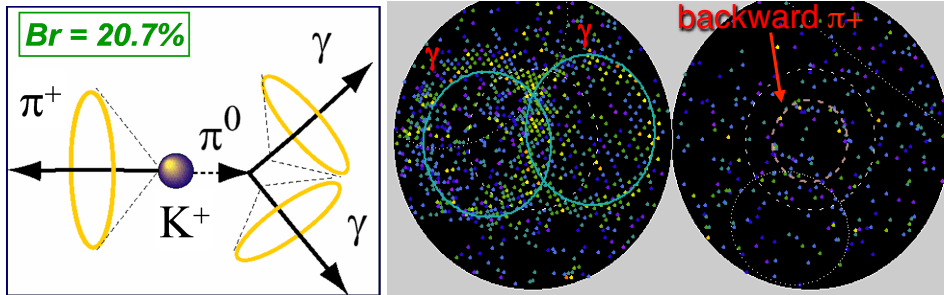


Super-Kamiokande I, III, IV



$p \rightarrow \nu + K^+$ searches

(II) $K^+ \rightarrow \pi^+ \pi^0$



▶ π^0 efficiency was improved by dedicated π^0 finding algorithm

▶ Shape information of π^+ hits for BG reduction

$p \rightarrow \nu + K^+$

- 260 kton \times years exposure (SK-I+II+III+IV)

- $\tau_{\text{proton}}/\text{Br} > 5.9 \times 10^{33}$ years @ 90%CL

- Summary of prompt γ and $\pi\pi$ searches -

	data lifetime	$p \rightarrow \nu K^+$ signal efficiency	atmos. ν estimated bkg.	atmos. ν bkg. rate (evts/Mt/y)
SK-I	91.7 kt y	$15.7 \pm 0.2\%$	0.3 evts.	2.8 ± 0.4
SK-II	49.2 kt y	$13.0 \pm 0.2\%$	0.3 evts.	6.2 ± 0.8
SK-III	31.9 kt y	$15.6 \pm 0.2\%$	0.1 evts.	3.1 ± 0.5
SK-IV	87.3 kt y	$19.1 \pm 0.2\%$	0.3 evts.	3.5 ± 0.4

PRD72,052007

SK-I paper in 2005 91.7 kt y

14.6%

1.3 evts.

Summary of Super-K

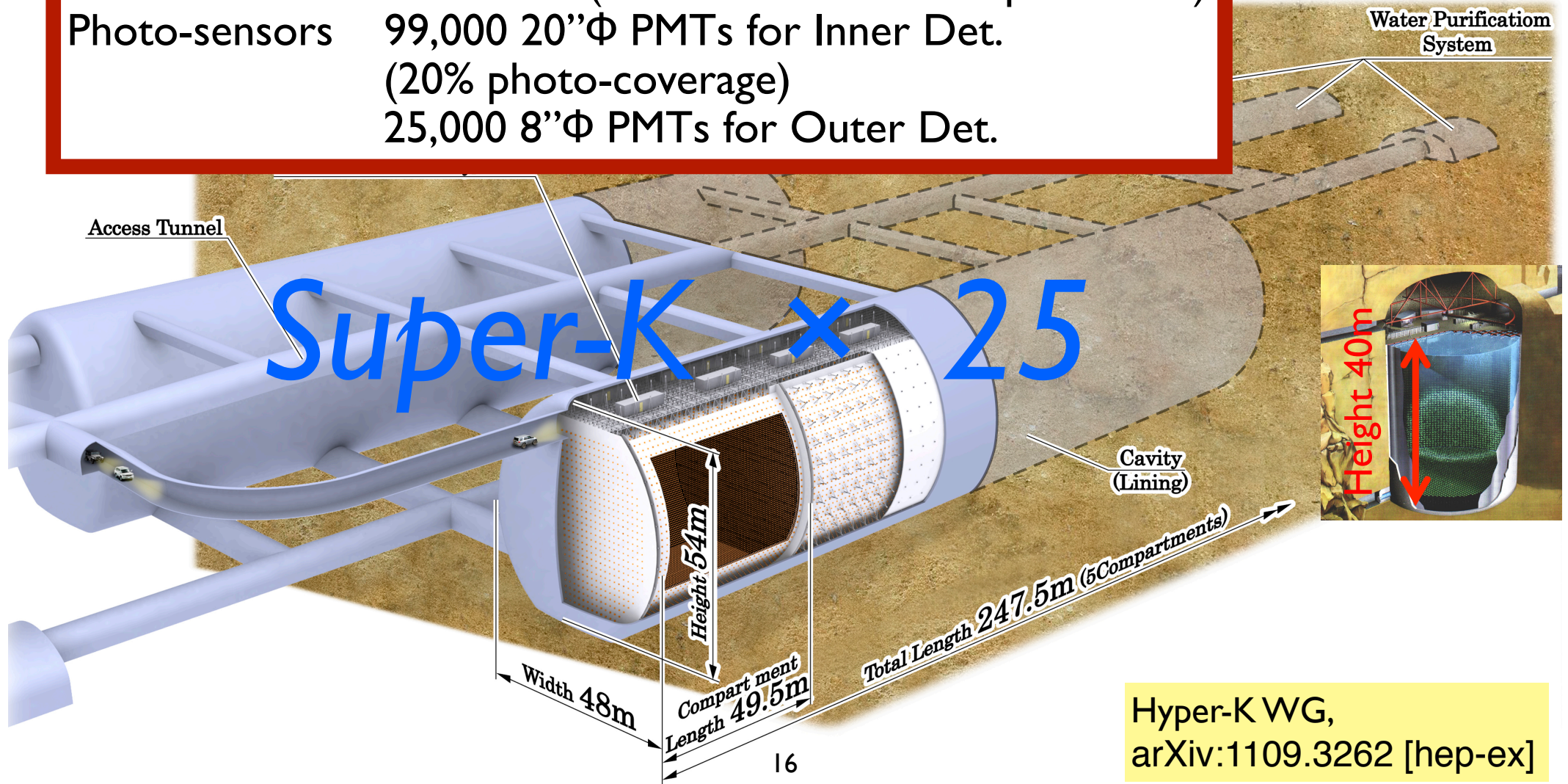
- $p \rightarrow e^+ + \pi^0$ reached to 10^{34} years
- $(p, n) \rightarrow (e^+, \mu^+) + (\pi, \eta, \rho, \omega)$ $10^{32} \sim 10^{33}$ years
- SUSY favored $p \rightarrow \nu K^+ > 5.9 \times 10^{33}$ years
- No excess in $K^0_S, K^0_L, \nu\pi^0, \nu\pi^+$
- It is important to test many decay modes
 - di-nucleon decays ($|\Delta B|=2$)
 - $pp \rightarrow K^+K^+ > 1.7 \times 10^{32}$ years
 - $pp \rightarrow e^+e^+ > 10^{33}$ years
 - neutron-antineutron oscillations
 - radiative decays $p \rightarrow (e^+, \mu^+) + \gamma$
 - invisible decays $p \rightarrow \nu\nu\nu$

No nucleon decay evidence so far.

Sensitivity(limit) improvement of Super-K is now moderate.

Gigantic Water Cherenkov Detector: Hyper-Kamiokande

Total Volume	0.99 Megaton
Inner Volume	0.74 Mton
Fiducial Volume	0.56 Mton (0.056 Mton × 10 compartments)
Photo-sensors	99,000 20"Φ PMTs for Inner Det. (20% photo-coverage) 25,000 8"Φ PMTs for Outer Det.

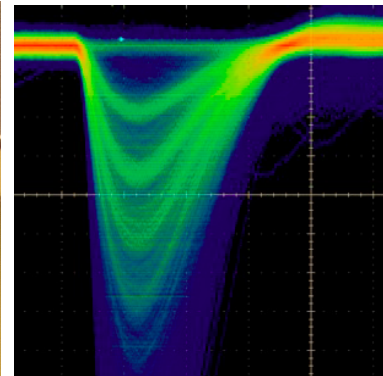


Hyper-K WG,
arXiv:1109.3262 [hep-ex]

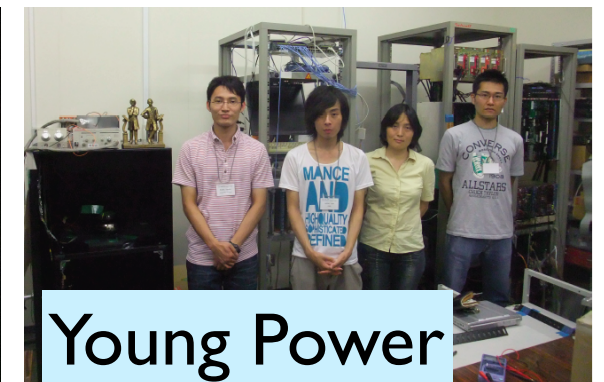
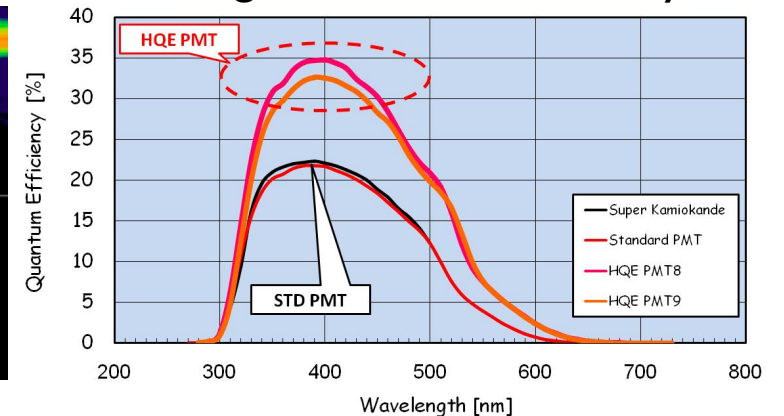
Photo-detector R&D

- Candidates
 - 50cm Super-K PMT
 - [NEW] High QE 50cm Φ Hybrid Photo Detector (HPD)
 - [NEW] High QE re-designed 50cm PMT
- Test of 20cm HPD and 50cm HQE PMT in water tank from August 2013
- 50cm HPD prototype expected in August 2013

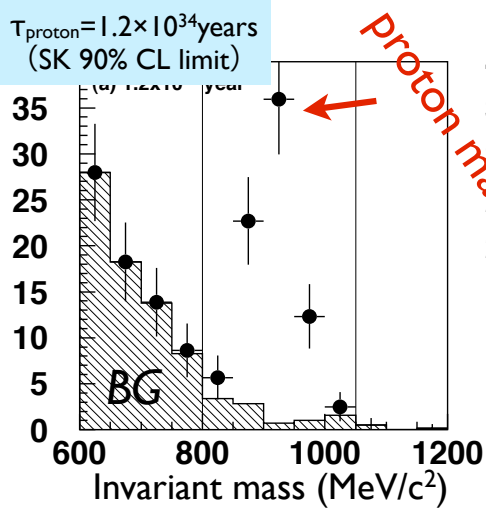
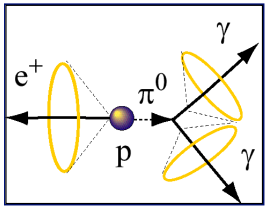
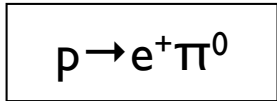
20cm HPD Prototype



High Quantum Efficiency

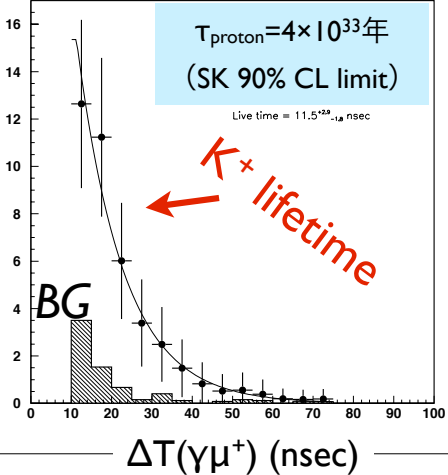
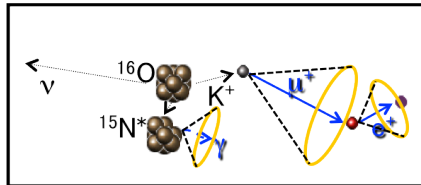
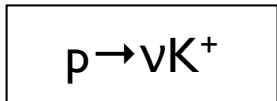


GUT tests by Nucleon Decay Searches

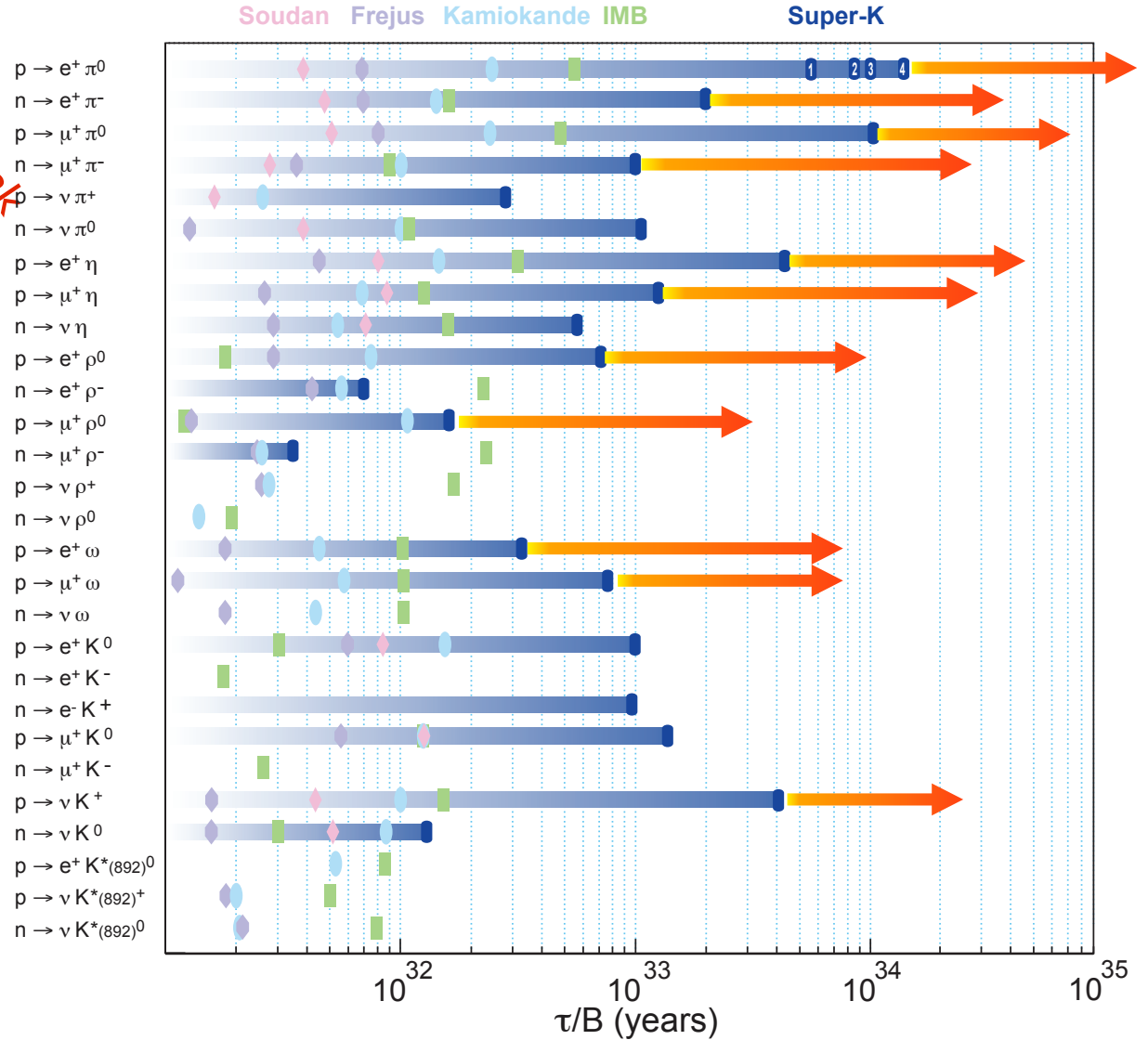


Proton mass peak

- ▶ Discovery reach (3σ) $\tau(p \rightarrow e^+ \pi^0) \sim 5.4 \times 10^{34}$ years (HK 10 years)
- ▶ Limit (90%CL) $\tau(p \rightarrow e^+ \pi^0) > 1.3 \times 10^{35}$ years (HK 10 years)



- ▶ Discovery reach (3σ) $\tau(p \rightarrow \nu K^+) \sim 1.2 \times 10^{34}$ years (HK 10 years)
- ▶ Limit (90%CL) $\tau(p \rightarrow \nu K^+) > 3.2 \times 10^{34}$ years (HK 10 years)



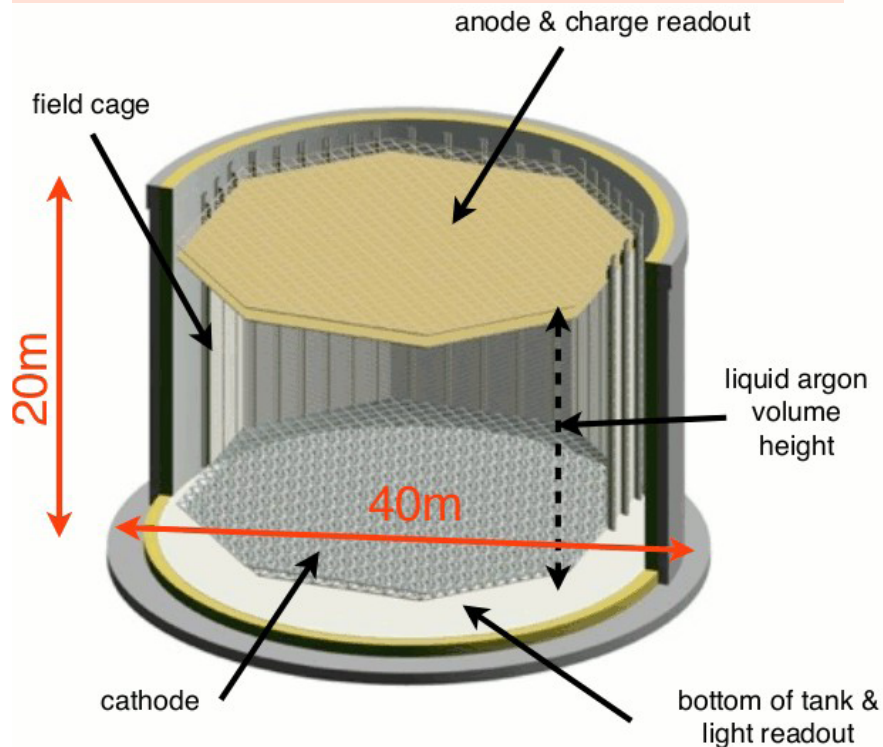
Hyper-K's reaches are $10^{34} \sim 10^{35}$ yrs

Large Liq. Argon TPC detector: LBNO (GLACIER) and LBNE

LBNO

Stage 1: >20kt Liq.Ar (+Liq. scintillator?)
underground (1,500m)

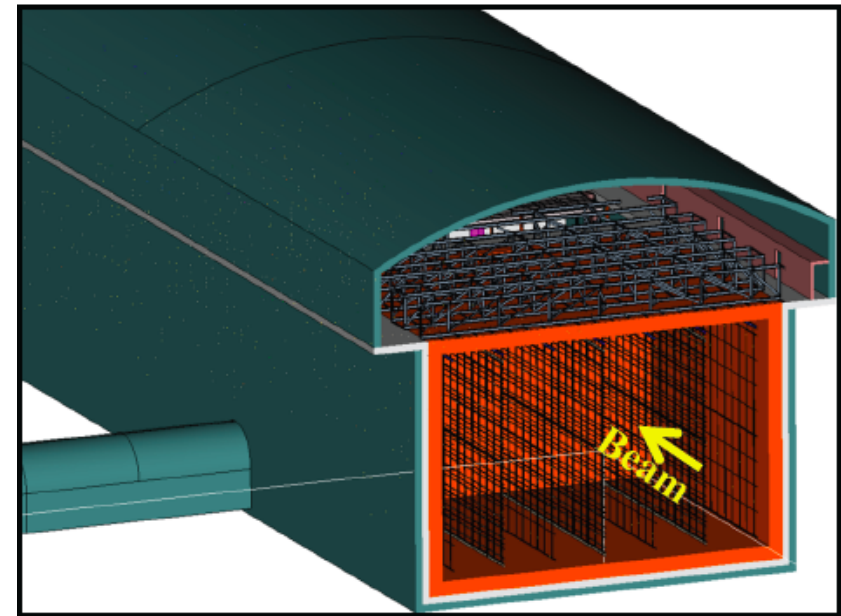
Stage 2: →100kt



LBNE

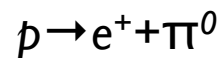
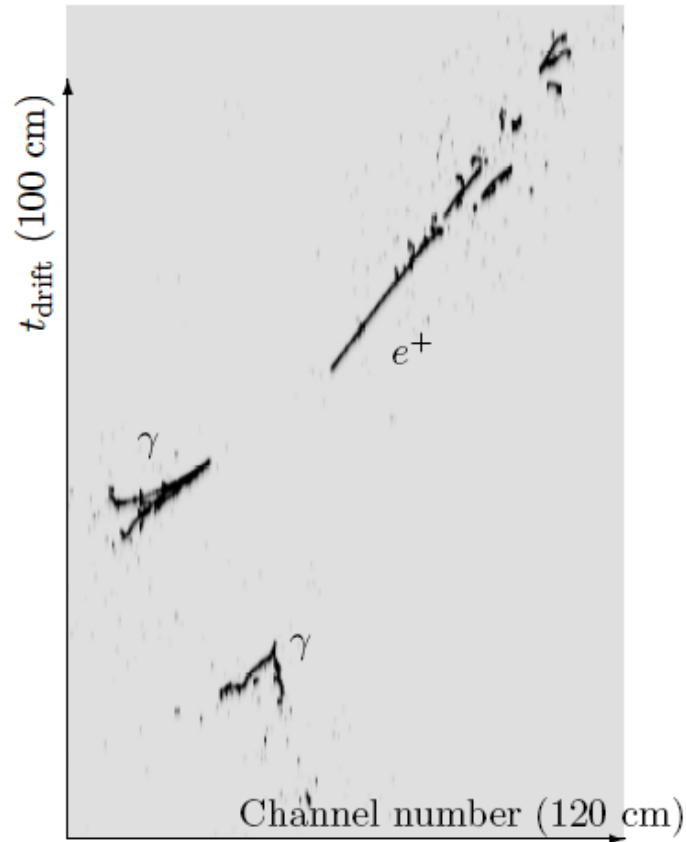
Stage 1: 17kton Liq.Ar TPC surface
(please go to underground for
proton decays)

Stage 2: Additional 20-30kt

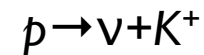
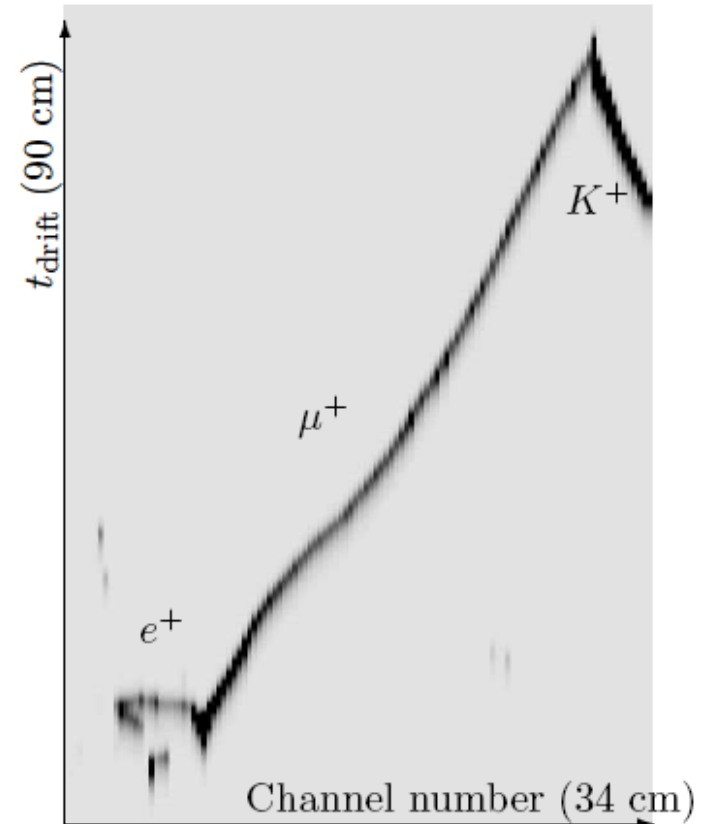


Large Liq. Argon TPC detector

- high granularity
- high tracking capability
- good E resolution



- efficiency = 45%, 1BG/Mtyr
- 1×10^{34} years w/ 34kton \times 10yrs



- efficiency = 97%, 2BG/Mtyr
- 3×10^{34} years w/ 34kton \times 10yrs

LENA: Liquid Scintillator Detector

DETECTOR LAYOUT

Cavern

height: 115 m, diameter: 50 m
shielding from cosmic rays: ~4,000 m.w

Muon Veto

plastic scintillator panels (on top)
Water Cherenkov Detector
1,500 phototubes
100 kt of water
reduction of fast
neutron background

Steel Cylinder

height: 100 m, diameter: 30 m
70 kt of organic liquid
13,500 phototubes

Buffer

thickness: 2 m
non-scintillating organic liquid
shielding external radioactivity

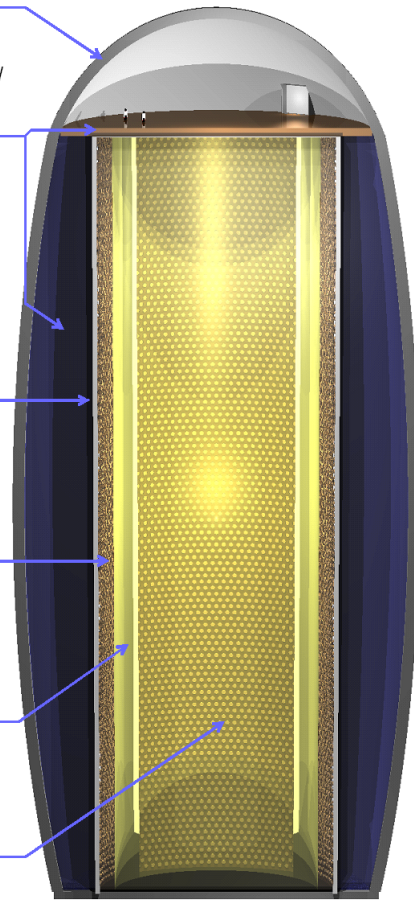
Nylon Vessel

parting buffer liquid
from liquid scintillator

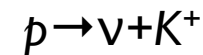
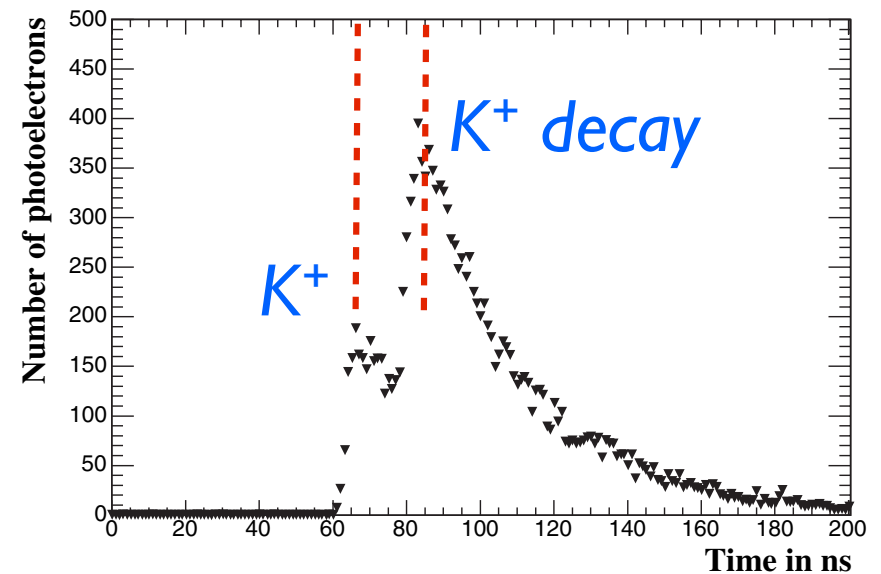
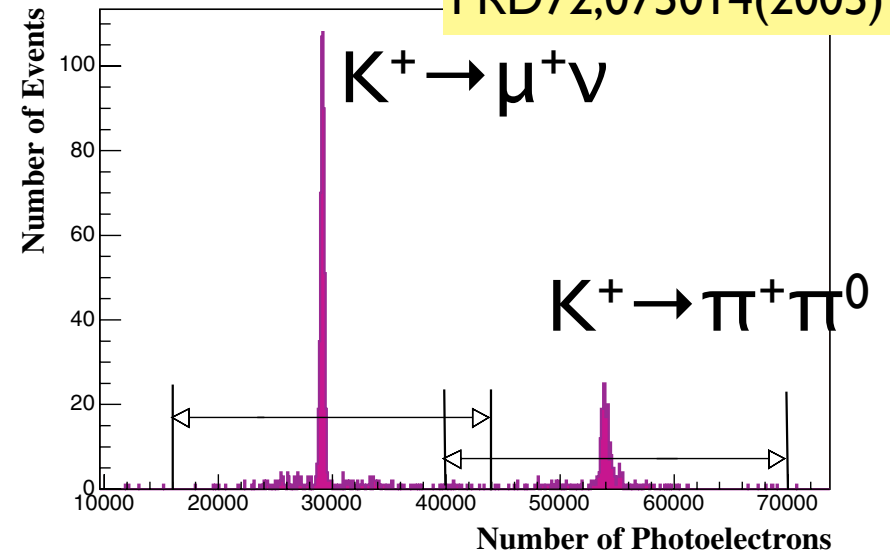
Target Volume

height: 100 m, diameter: 26 m
50 kt of liquid scintillator

vertical design is favourable in terms of rock pressure and buoyancy forces



PRD72,0750 | 4(2005)



- efficiency = 65%, 2BG/Mtyr

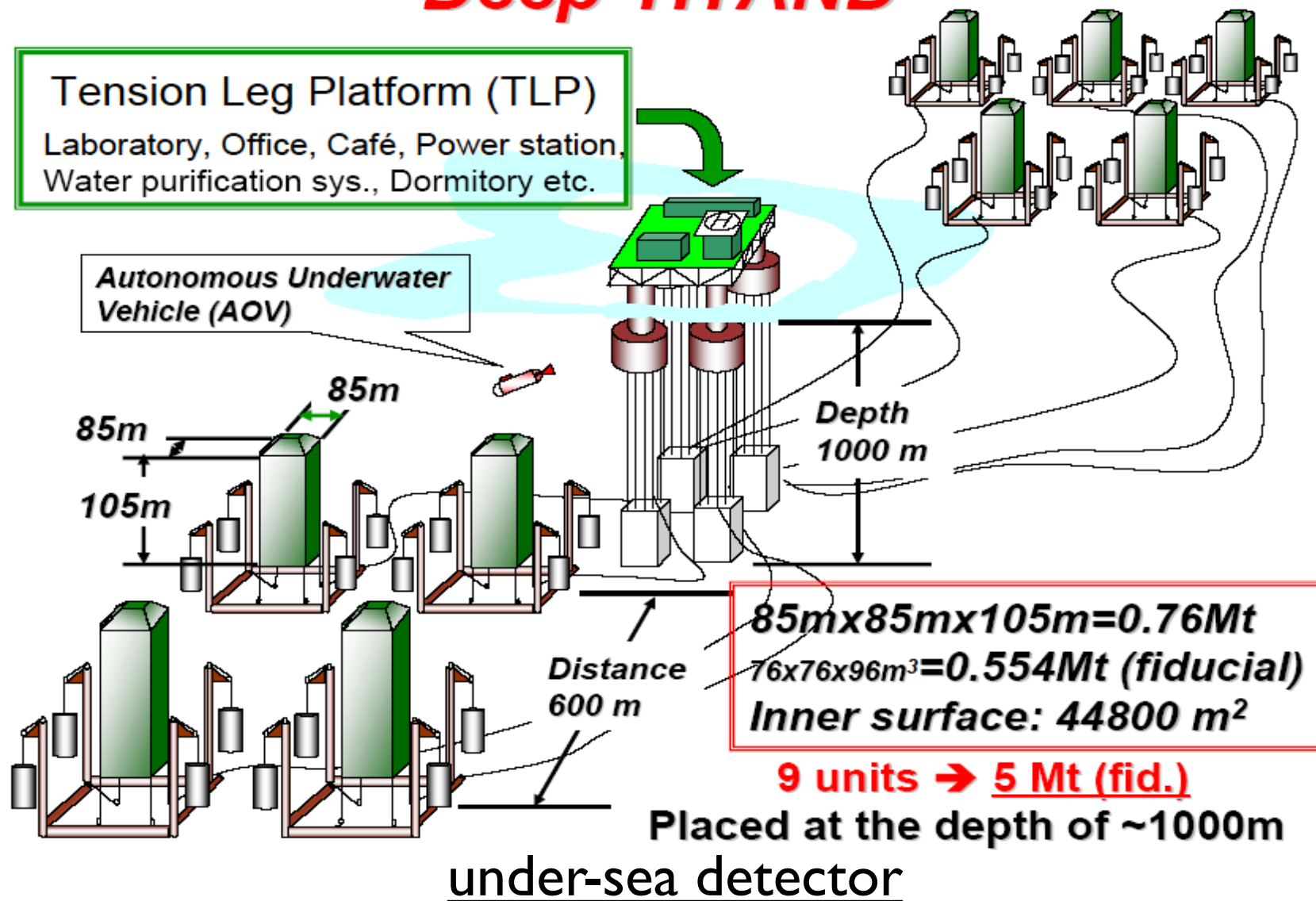
- 4×10^{34} years w/ 10yrs exposure

Also R&D of water-based
Liq. Scintillator

Minfang Yeh (BNL),
Snowmass whitepaper

Ideas to go beyond 10^{36} years

Deep-TITAND



Idea of an under-ice detector (MIKA) under study

Summary

- **Ongoing proton decay experiment**

- continuous efforts to enhance signal, reduce background neutrino events
- No evidence so far

- **Next generation nucleon decay detectors are seriously considered.**

- Extend nucleon decay search sensitivity by a order of magnitude (Water)

- $\tau_{\text{proton}} = 10^{34} \sim 10^{35}$ years

- Excellent tracking of many particles including K^+ (Argon)

- $\tau_{\text{proton}} = \text{a few} \times 10^{34}$ years by 34kton \times 10 years

- similar sensitivity for $p \rightarrow \nu K^+$ in scintillator detectors

- Aiming to start operation around 2023

- Having two or more experiments could be crucial to establish proton decays in future.