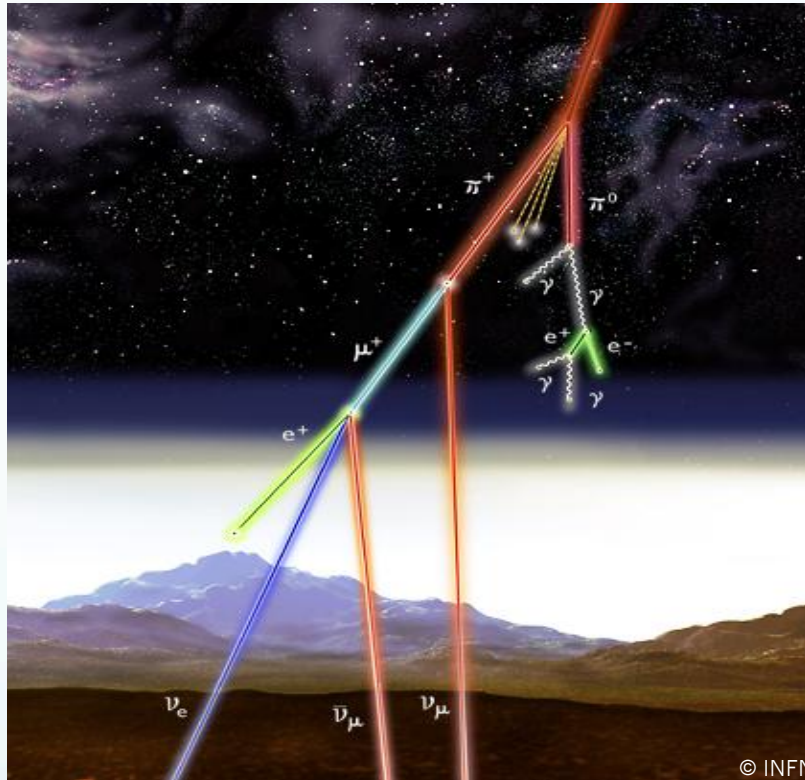


# Next-Generation Atmospheric Neutrino Experiments



[Focusing on the Neutrino Mass Hierarchy]

I. Atmospheric Neutrinos  
The PMNS Matrix  
Matter Effects  
Fluxes and Cross Sections

## II. Detectors



Water/Ice Cherenkov  
Magnetized Trackers  
Liquid Argon TPCs

Antoine Kouchner

University Paris 7 Diderot- AstroParticle and Cosmology

(Disclaimer : member of HE neutrino astronomy community)

### Credits - Acknowledgements

J. Brunner, A. Cabrera, A. de Gouvea, D. Grant, M. Nakahata, N. Mondal, S. Pascoli, E. Resconi, V. Van Elewyck, C. Walter, R. Wendell...



# Oscillations of Massive Neutrinos

- Neutrinos have distinct masses and mix (PMNS)

M.C. Gonzalez-Garcia

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Atmospheric  
 $\theta_A \sim 45^\circ$

Reactor  
 $\theta_{13} \sim 9^\circ$

Solar  
 $\theta_\odot \sim 30^\circ$

Majorana

CP violating phase  $\delta_{CP}$

Neutrino oscillations can be described with 6 parameters (3 Dirac neutrinos):

- 3 mixing angles
- 2 mass-squared differences

$$m_1^2 < m_2^2$$

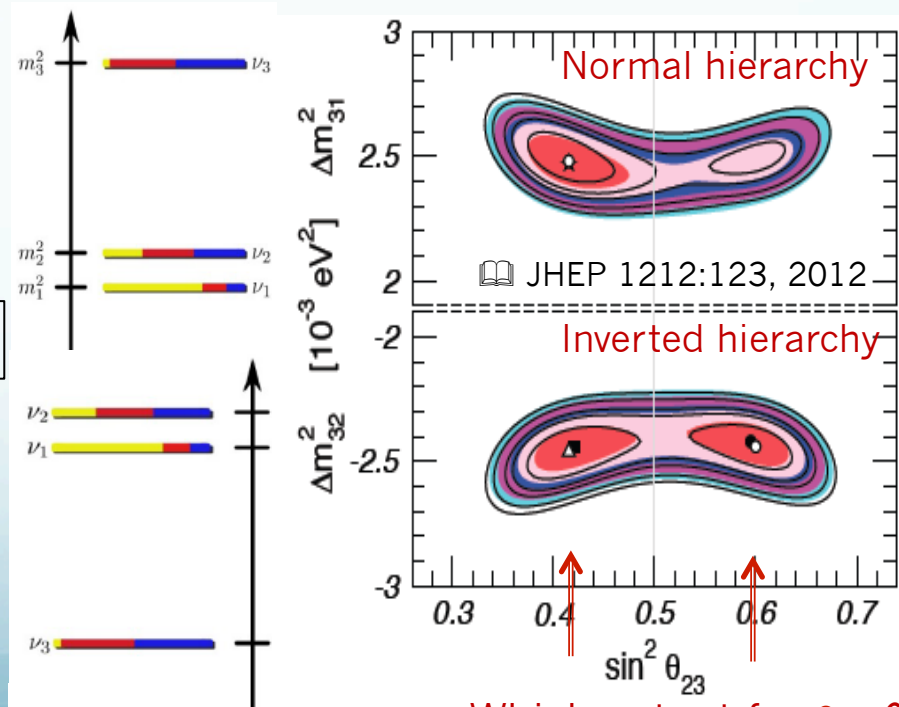
$$m_2^2 - m_1^2 \ll |m_3^2 - m_{1,2}^2|$$

MH

• CP phase

- Absolute mass scale
- Nature (Dirac vs Majorana)
- Origin of neutrino mass and flavor
- Core-Collapse Supernovae Physics

G.Fuller



Which octant for  $\theta_{23}$  ?

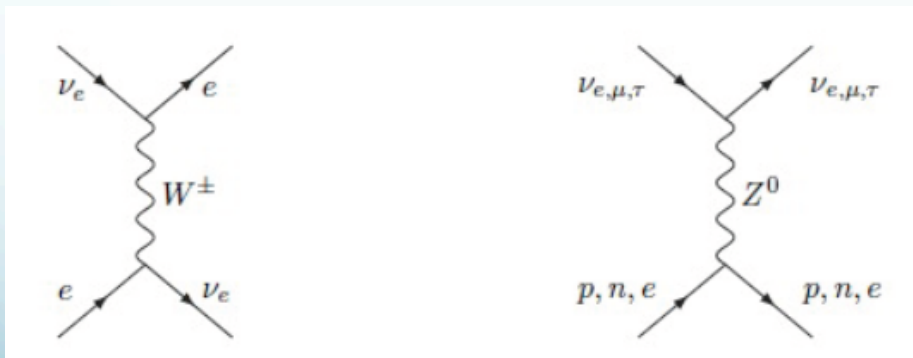
# MH with LBL experiments

- « Standard approach » : probe  $\nu_\mu \leftrightarrow \nu_e$  governed by  $\Delta m^2_{13}$  ( $\Delta_{13}$ )

$$P_{\mu e} = \sin^2 \theta_{23} \sin^2 2\theta_{13}^{\text{eff}} \sin^2 \left( \frac{\Delta m_{13}^2 L}{4E} \right) + \text{“subleading”}$$

[Neglecting solar (> a few GeV and >1000's km) and CP violation effects]

- Insensitive to the sign of  $\Delta m^2_{13}$  at leading order.
- Matter effects (MSW) come to the rescue



Through matter, neutrinos interact acquiring an effective mass (forward scattering)  
Only electron neutrinos interact through CC with electrons

→ Additional potential  $A$  in the Hamiltonian

$$A \equiv \pm \sqrt{2} G_F N_e \quad (-)+ \text{ for (anti-)neutrinos}$$

→ Modify the oscillation probability

- Earth density variations (e.g. mantle-core) also affect the oscillations (*parametric resonance*)

# (Constant Density) Matter Effects

$$P_{\mu e} \simeq P_{e\mu} \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13}^{\text{eff}} \sin^2 \left( \frac{\Delta_{13}^{\text{eff}} L}{2} \right),$$

$$\sin^2 2\theta_{13}^{\text{eff}} = \frac{\Delta_{13}^2 \sin^2 2\theta_{13}}{(\Delta_{13}^{\text{eff}})^2},$$

$$\Delta_{13}^{\text{eff}} = \sqrt{(\Delta_{13} \cos 2\theta_{13} - A)^2 + \Delta_{13}^2 \sin^2 2\theta_{13}}$$

$\Delta m_{13}^2 > 0$  – Normal Mass Hierarchy

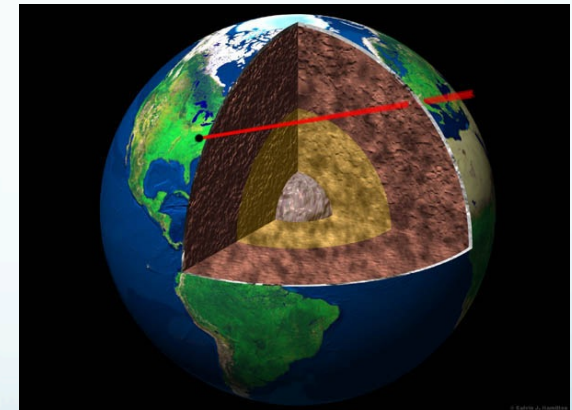
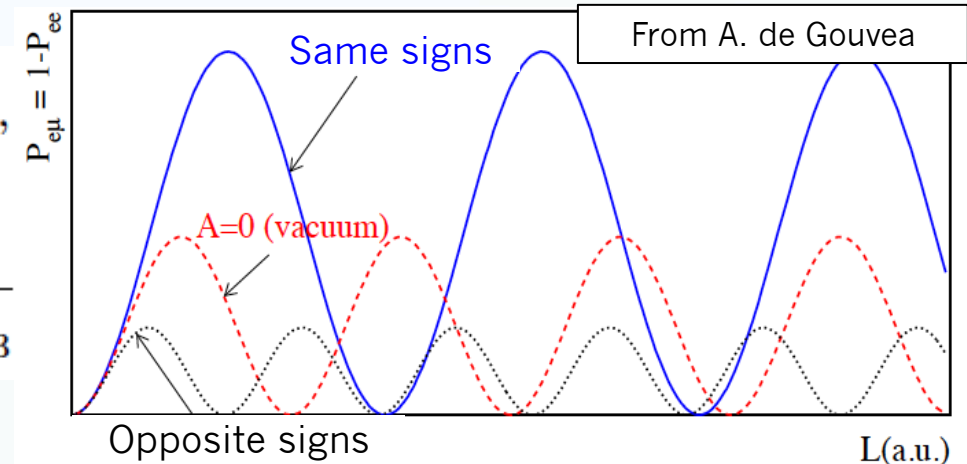
$\Delta m_{13}^2 < 0$  – Inverted Mass Hierarchy

Matter resonance:  $A \rightarrow \Delta_{13} \cos 2\theta_{13}$

- Effective mixing maximal
- Effective osc. frequency minimal

Resonance energy Earth:

- Mantle  $E_{\text{res}} \sim 7 \text{ GeV}$
- Core  $E_{\text{res}} \sim 3 \text{ GeV}$

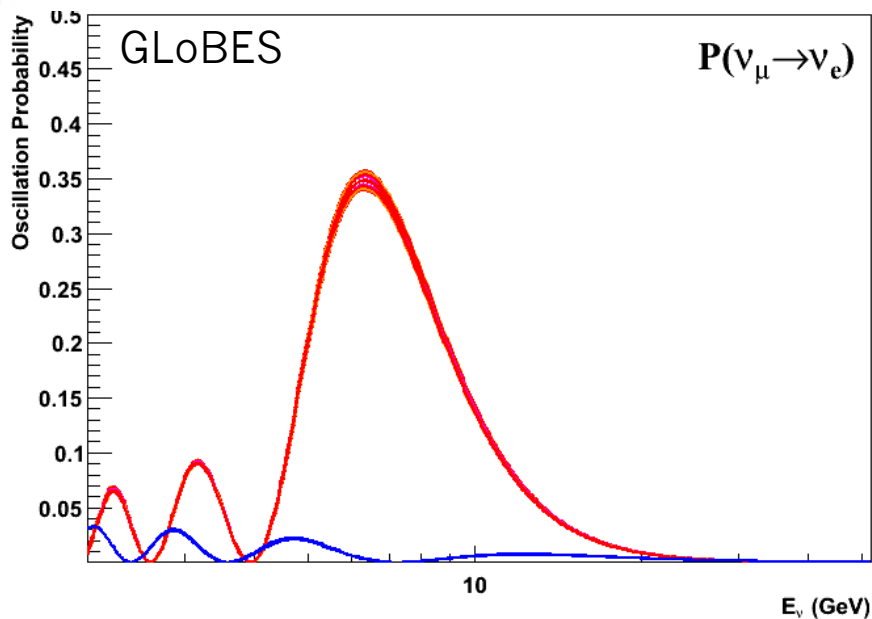
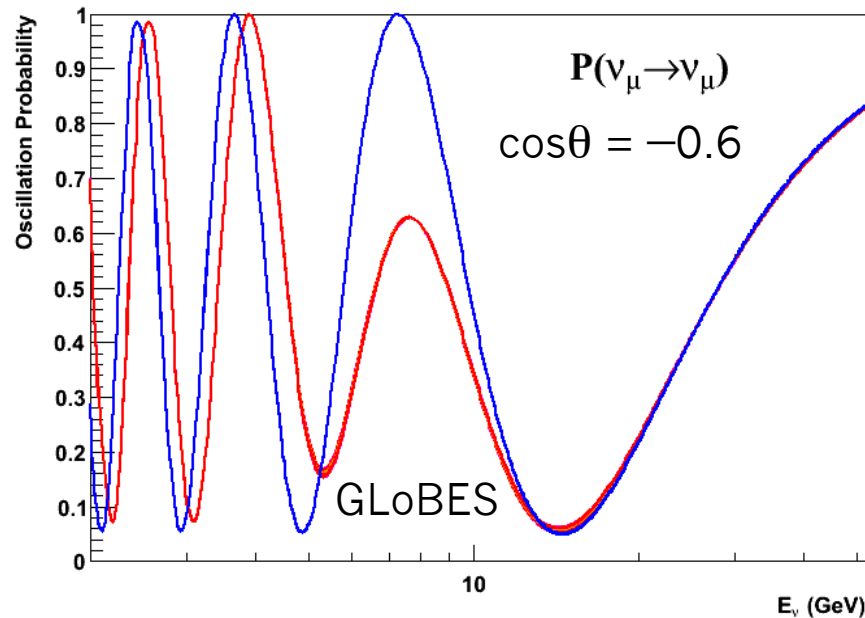


## Requirements:

- $\Delta_{13} \sim A$  matter potential must be significant but not overwhelming
- $L$  large enough – matter effects are absent near the origin
- Distinction between neutrinos and anti-neutrinos

→ different flux and cross-sections!

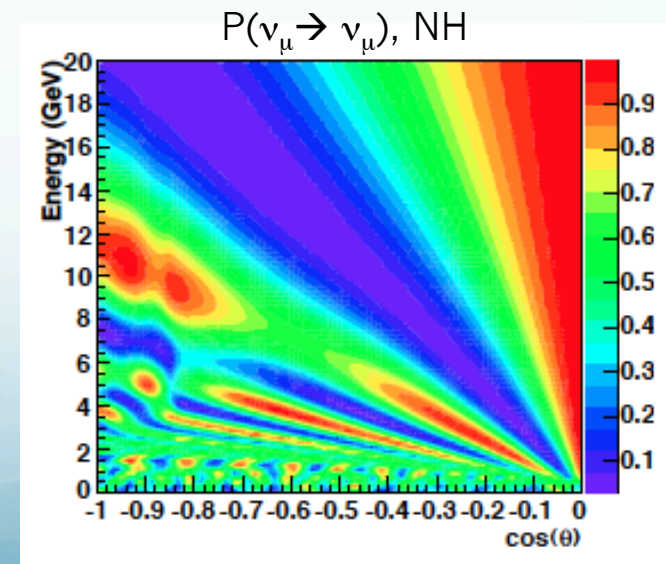
# Phenomenological Summary



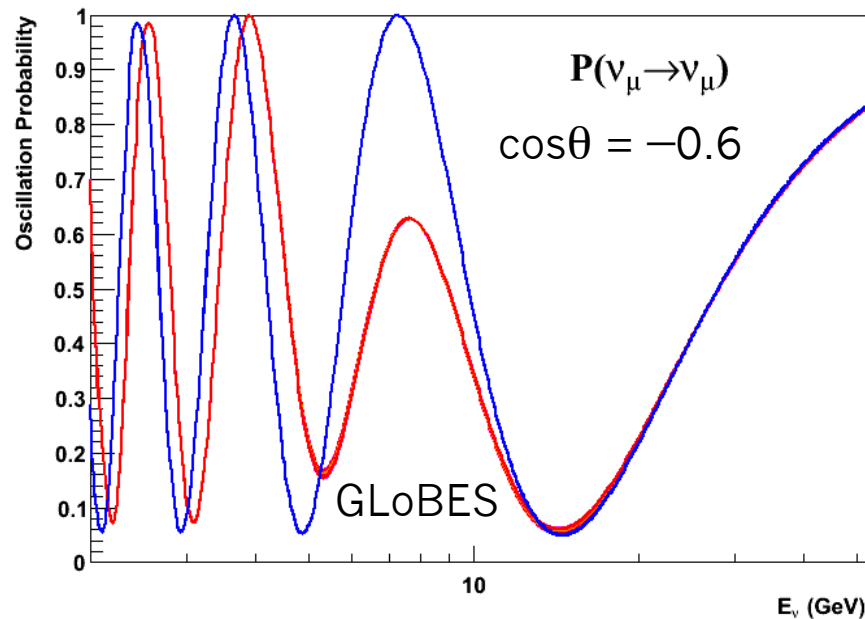
— Inverted Hierachy  
 — Normal Hierachy

In each case, CP-phase is varied in steps of 30 degrees

- Hierarchy differences disappear at around 15 GeV
- $P(\nu_\mu \rightarrow \nu_e) < 2\%$  at 20 GeV



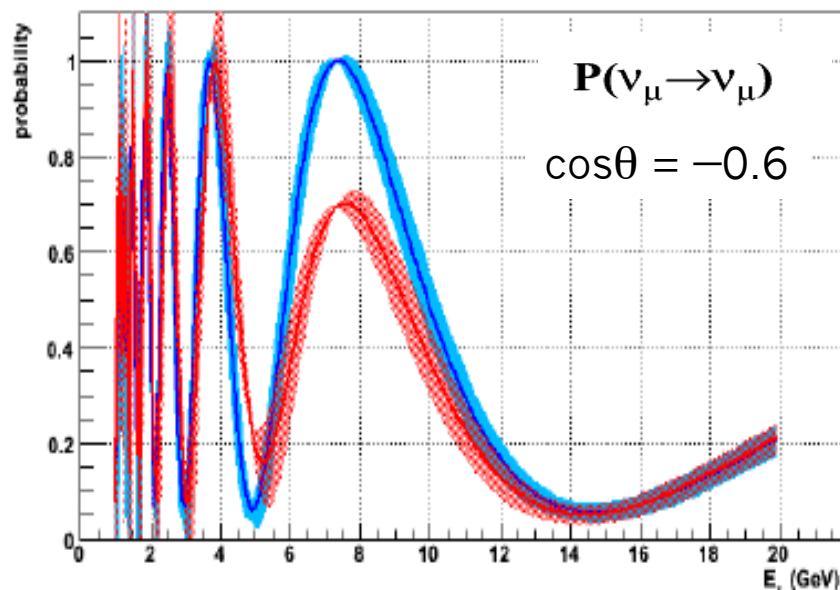
# Phenomenological Summary



— Inverted Hierachy  
— Normal Hierachy

In each case, CP-phase is varied in steps of 30 degrees

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Degeneracies due to parameter uncertainties must be carefully considered!

# Fluxes and cross sections

Cosmic Ray +  $A_{air} \rightarrow \pi^+ + \dots$   
 $\pi^+ \rightarrow \mu^+ + \nu_\mu$   
 $\mu^+ \rightarrow e^+ + \bar{\nu}_\mu + \nu_e$

A beam for free !

T. Gaisser, Id 51 (09/10/13)

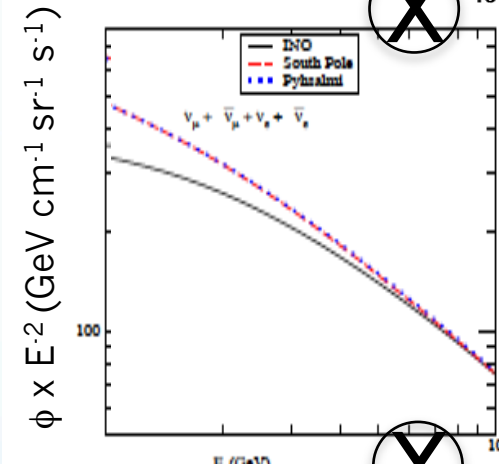
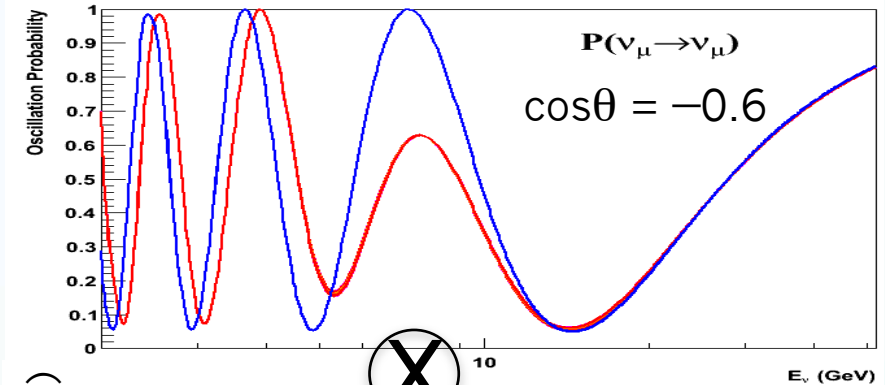
- Produce neutrinos and anti-neutrinos
- Broad energy range: **Steeply falling spectrum**  
 → Requires good energy resolution
- Broad path-length range  
 → Requires good direction resolution

Different cross sections for  $\nu$  and  $\bar{\nu}$  !

$$\sigma(\nu) \approx 2\sigma(\bar{\nu})$$

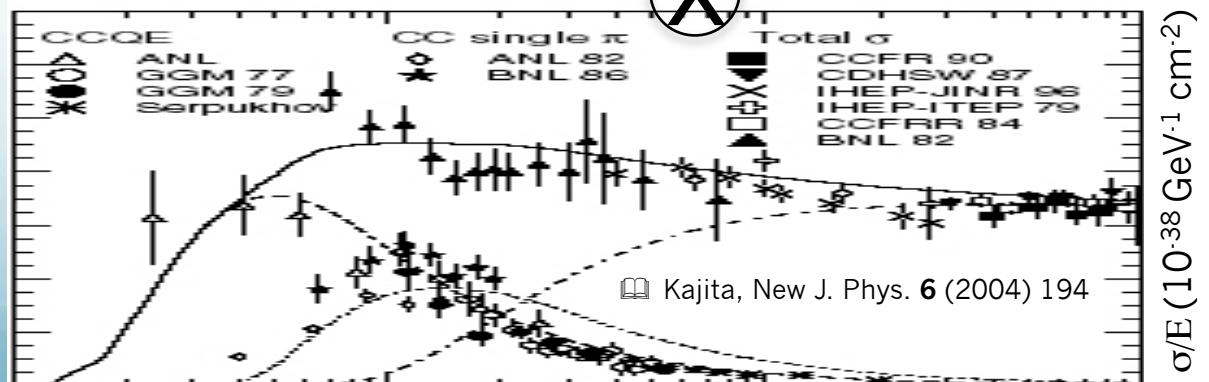
Three main contributions:  
 Quasi-elastic, Resonant, DIS

→ Use external measurements and regions without oscillations



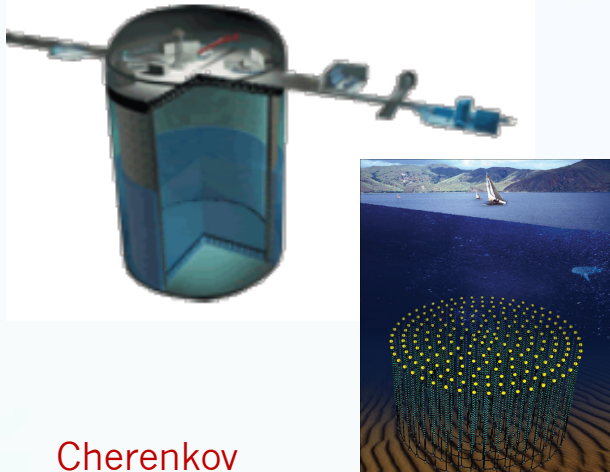
M.S. Athar et al.  
 arXiv:1210.5154

Calculations now made as a function of the position on Earth and the time in year



Kajita, New J. Phys. 6 (2004) 194

# Atmospheric detectors

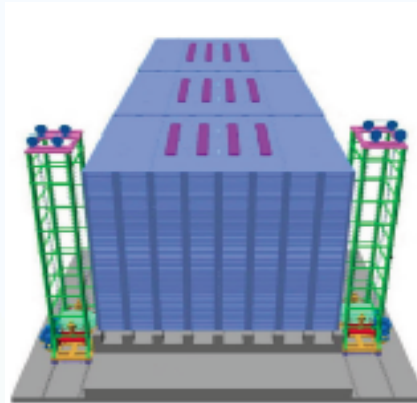


## Cherenkov - Underground

- SuperK → HyperK, MEMPHYS?
- 500 kton
- Low threshold
- No charge ID

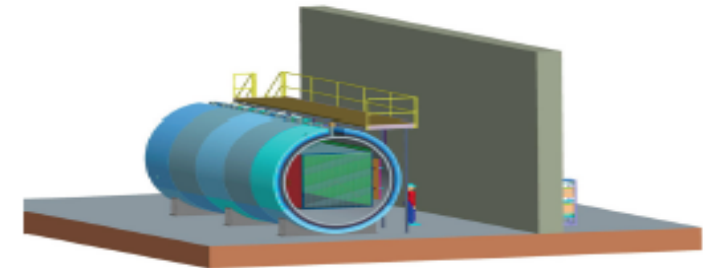
- Deep-sea/ice
- Antares/Icecube  
→ ORCA/PINGU

- Multi-Mton !
- Relatively poor E resolution
- No charge ID
- Relatively high threshold ~ GeV



## Magnetized Iron Calorimeters

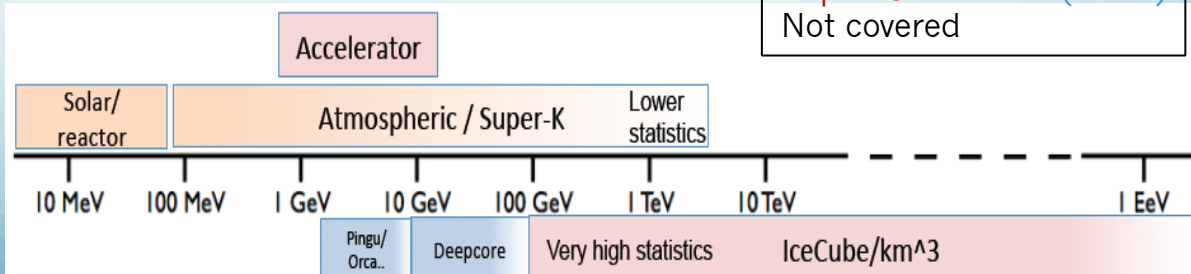
- SOUDAN, MINOS → ICAL, MIND?
- 50-100 kton
- Charge separation
- Good tracking
- Hadronic shower
- Poor electron sensitivity
- Relatively high threshold ~ GeV



## Liquid Argon

- Icarus → GLACIER
- 20 – 100 kton
- Excellent  $\mu$  and  $e$  tracking
- Hadronic shower
- Low threshold
- Magnetization?

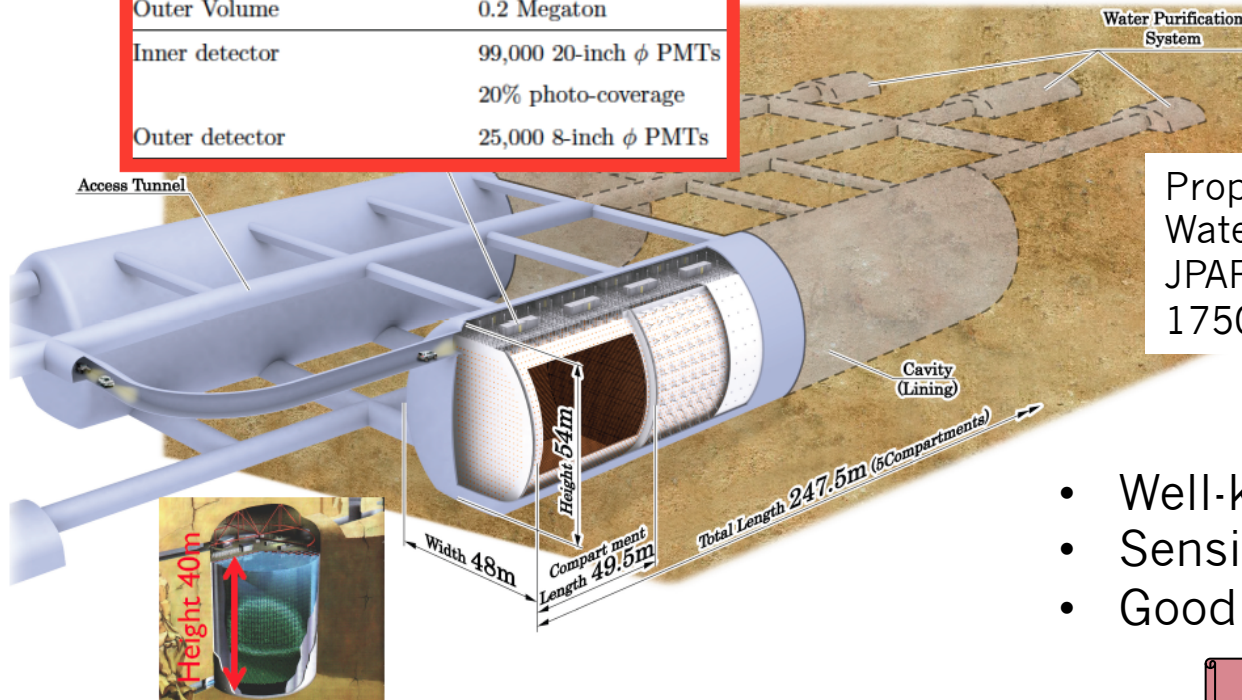
Liquid Scintillator (LENA)  
Not covered





# Prospects with HyperKamiokande

Total Volume	0.99 Megaton
Inner Volume (Fiducial Volume)	0.74 (0.56) Megaton
Outer Volume	0.2 Megaton
Inner detector	99,000 20-inch $\phi$ PMTs 20% photo-coverage
Outer detector	25,000 8-inch $\phi$ PMTs



Proposal for  $\sim 500$  kT multi-purpose Water Cherenkov facility 295 km from JPARC and 8km off from SK. 1750 mwe overburden.

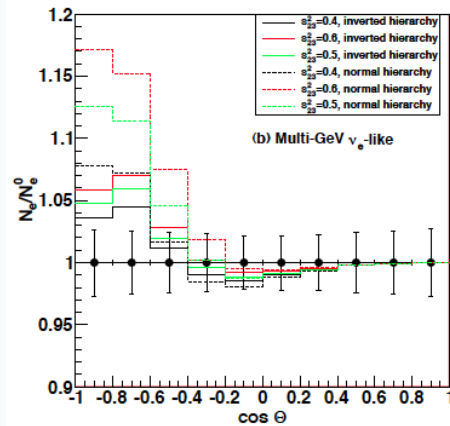
- Well-known technology
- Sensitive to  $\nu_e$  and  $\nu_\mu$  (and  $\nu_\tau$ )
- Good control of systematics

 K. Okumura, Id 66 (09/12/13)

## Status:

- Among top priorities in Japan (with ILC) : 800 M\$ estimated cost (without beam)
- If funded, access tunnel work should start in 2016
- Excavation works in 2018
- Detector operation in 2023

# Prospect for the MH (atm)



Ratio of oscillated  $\nu_e$  rate over no oscillation case in sub-GeV and Multi-GeV channels.

$$\frac{N_e}{N_e^0} \cong \Delta_1(\theta_{13}) \leftarrow \text{Matter effect}$$

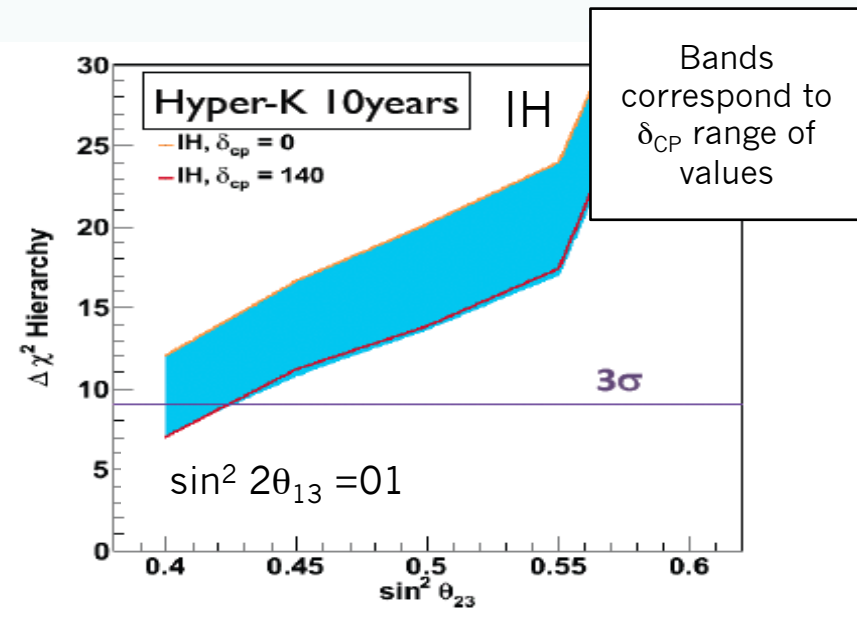
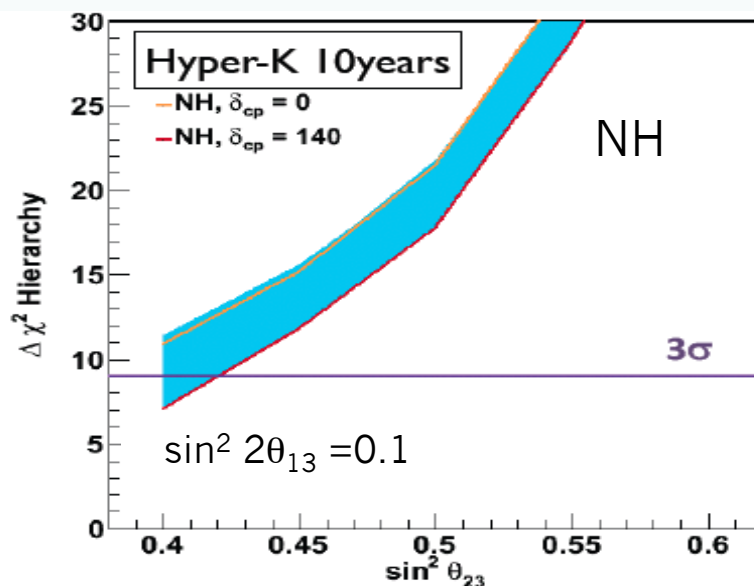
$$+ \Delta_2(\Delta m_{12}^2) \leftarrow \text{Solar term}$$

$$+ \Delta_3(\theta_{13}, \Delta m_{12}^2, \delta) \leftarrow \text{Interference}$$

Statistical separation of  $\nu_e$  and anti- $\nu_e$ .

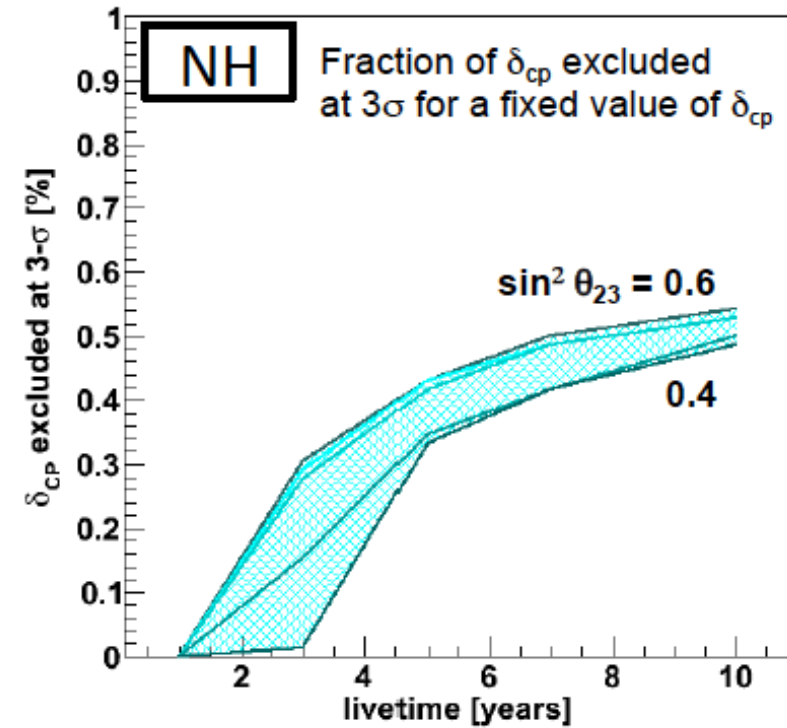
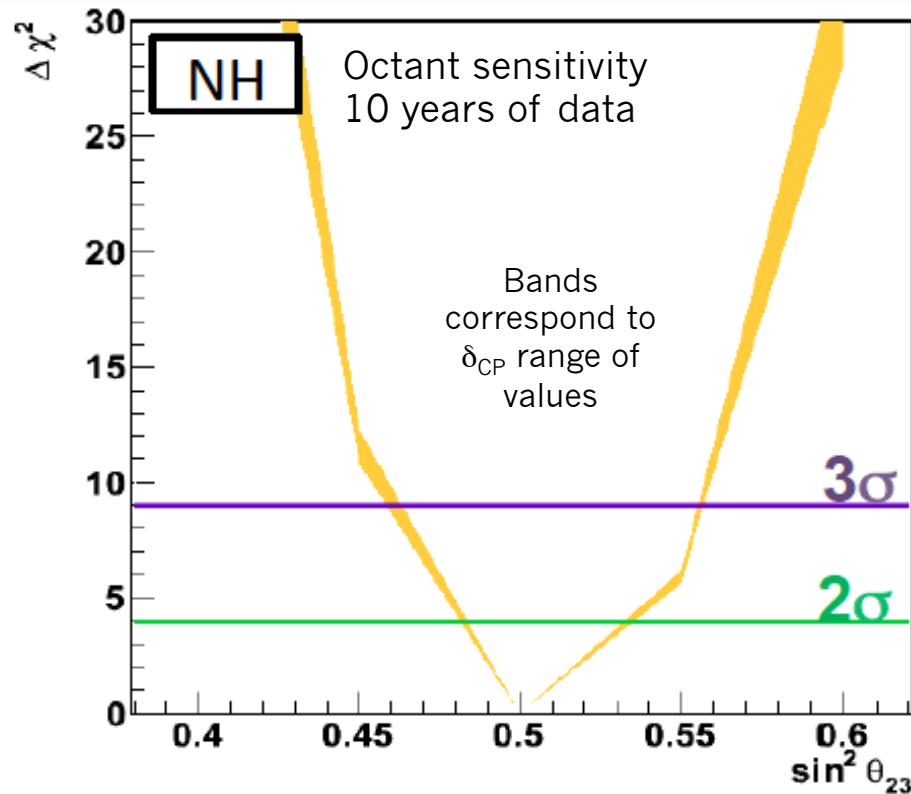
HK letter of Intent, arXiv:1109.3262v1

SK Sensitivity ( $\sigma$ )		
$\sin^2 \theta_{23}$	now	+10 yrs
0.4	0.70	0.98
0.6	1.50	2.10



After 10 years, determine MH at  $>3\sigma$  for values of  $\sin^2 \theta_{23} > 0.4$ . Improved sensitivity is expected by adding beam data ( $>1\sigma$  sensitivity alone, depending on  $\delta_{CP}$ )  $\rightarrow >3\sigma$  in all cases.

# Prospects for $\theta_{23}$ octant and $\delta_{CP}$

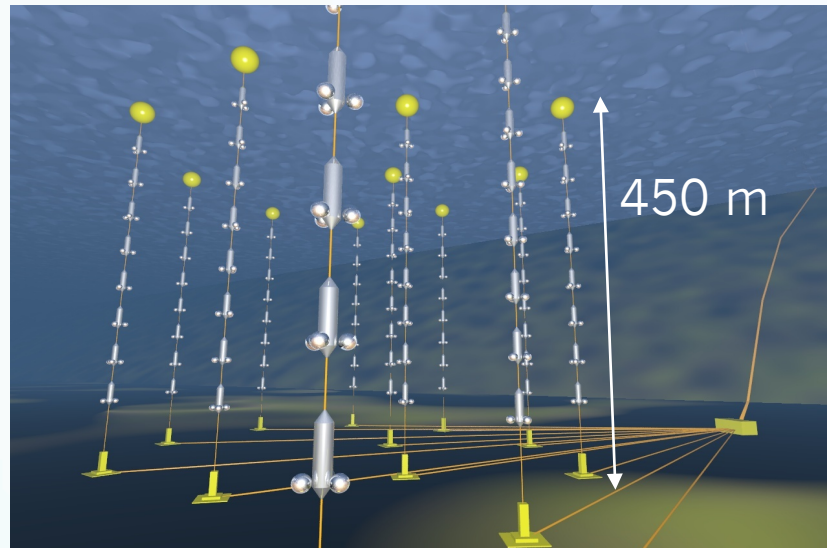


50%  $\delta_{CP}$  fraction can be excluded  
at  $3\sigma$  after 10 yrs

SK Sensitivity ( $\sigma$ )		
$\sin^2\theta_{23}$	now	+10 yrs
0.4	2.00	2.60
0.6	1.61	2.10

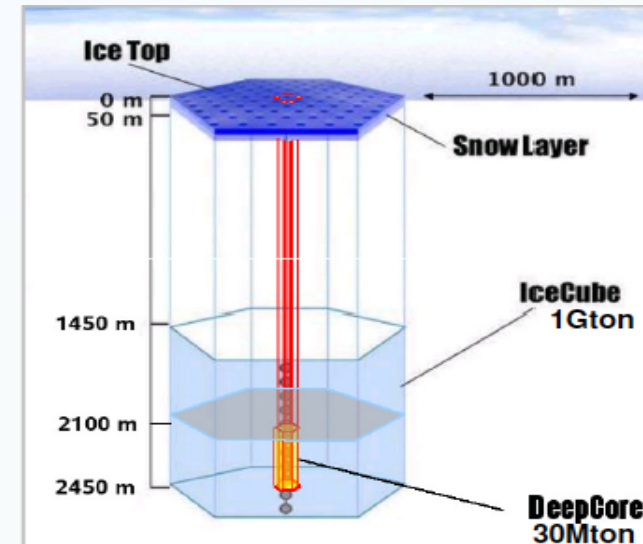
# First achievements with Neutrino Telescopes

40 km Off Shore, French Riviera



12 line detector completed 2008

South Pole



IceCube (86 strings)+ DeepCore (8 strings)

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{1.27\Delta m_{32}^2 L}{E_{\nu}}\right) = 1 - \sin^2 2\theta_{32} \sin^2\left(\frac{16200 \Delta m_{32}^2 \cos \Theta}{E_{\nu}}\right)$$

Oscillations maximal at 24 GeV for vertical neutrinos (muon range~120m)

Larger effect on

Single lines ← low energy → DeepCore  
 than  
 Multi lines ← higher energy events → IceCube

📖 Phys. Lett. B 714 (2012) 22.

📖 Phys. Rev. Lett. 111, 081801 (2013)

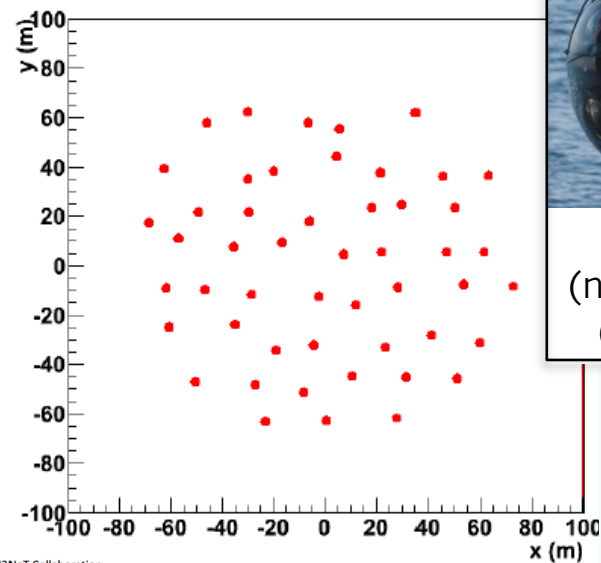
👉 M. Spurio, Id 58 (09/10/13)

👉 S. Euler, Id 217 (09/12/13)

# Proposed Low Energy Extensions

## ORCA

50 strings - PMT pos



31 3" PMTs  
(now taking data  
@ ANTARES)

KM3NeT Collaboration



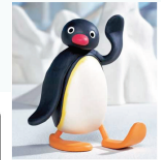
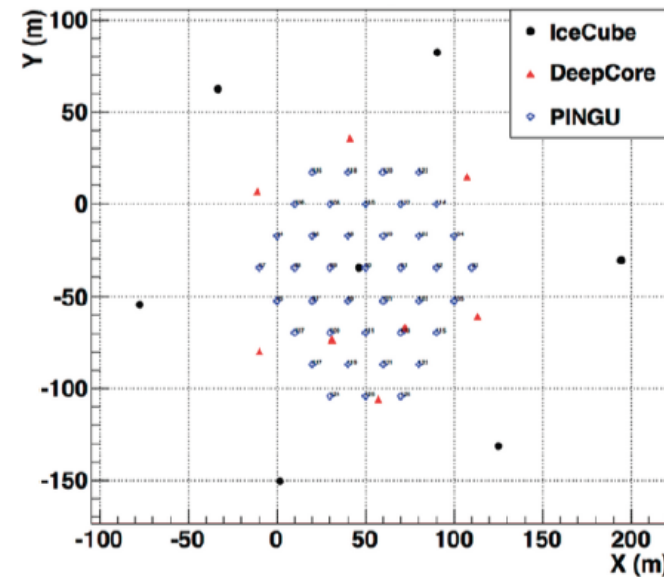
50 lines, 20m spaced,  
20 OM/line 6m spaced

Instrumented volume ~2 Mt

Could be deployed in <5 years  
40 M€ available in KM3NeT phase-1

👉 R. Coniglione, Id 104 (09/12/13)

## PINGU



PRECISION ICECUBE NEXT  
GENERATION UPGRADE

20-40 strings, 26-20m spaced,  
60 OM/string 5m spaced

Instrumented volume ~ 6 Mt

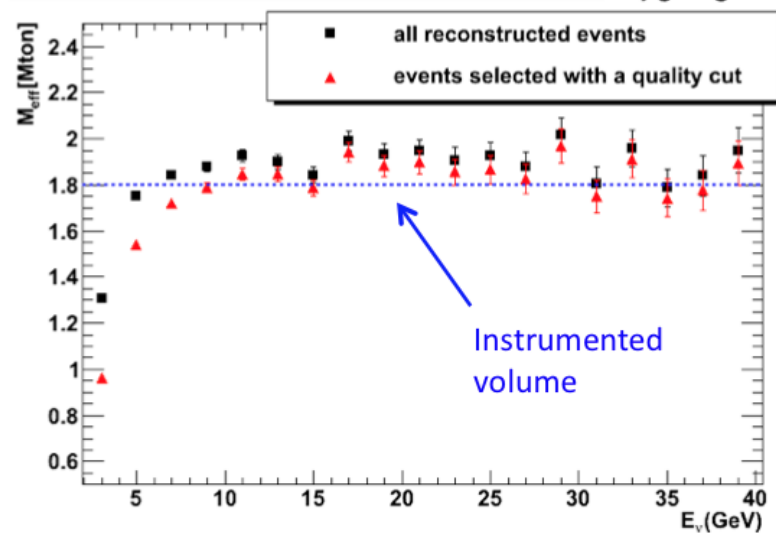
Could be deployed 2016-2020 if funded  
(8-12 M\$ + 1,25M\$/string)

👉 D. Grant, Id 146 (09/11/13)

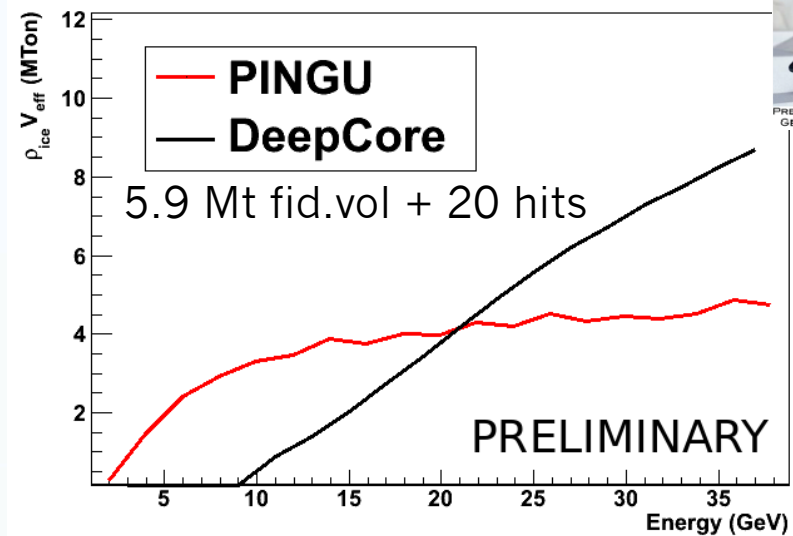
Optimized layouts still under study

# Proposed Low Energy Extensions

Reconstructed vertex inside the instrumented volume Upgoing events



PINGU (20 string)



KM3NeT Collaboration



50 lines, 20m spaced,  
20 DOM/line 6m spaced  
Instrumented volume ~2 Mt

Could be deployed in <5 years  
40 M€ available in KM3NeT phase-1

👉 R. Coniglione, Id 104 (09/12/13)

20-40 strings, 20-25m spaced,  
60 DOM/string 5m spaced  
Instrumented volume ~ 6 Mt

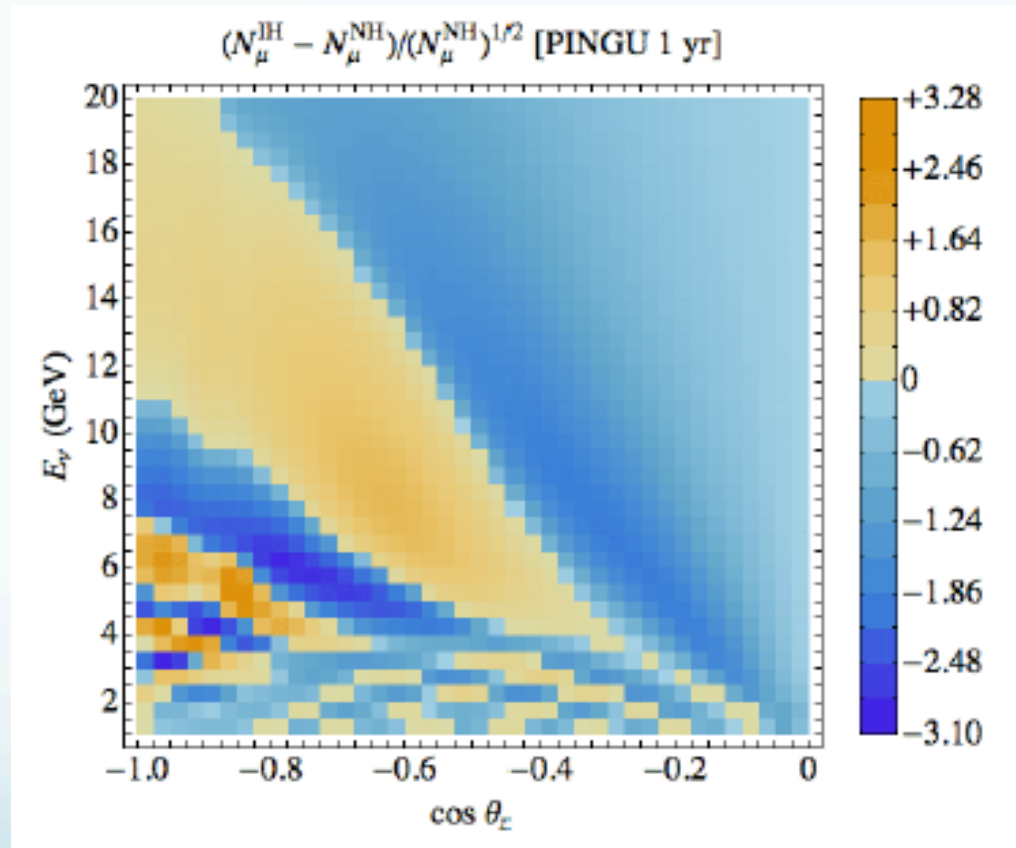
Could be deployed 2016-2020 if funded  
(8-12 M\$ + 1,25M\$/string)

👉 D. Grant, Id 146 (09/11/13)

Optimized layouts still under study

# First (optimistic) sensitivities

📖 Akhmedov et al. JHEP 02 (2013) 082



With exceedingly large  
PINGU effective volume

$$\rho V_{\text{eff}}(E_{\nu}) = 14.6 \times [\log(E_{\nu}/\text{GeV})]^{1.8} \text{ Mt}$$

$$S^{\text{tot}} = \sqrt{\sum_{ij} S_{ij}^2} = \sqrt{\sum_{ij} \frac{(N_{ij}^{IH} - N_{ij}^{NH})^2}{\sigma_{ij}^2}}$$

$$\sigma_{ij}^2 = N_{ij}^{NH} + (f N_{ij}^{NH})^2$$

Uncorrelated systematics

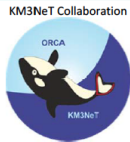
Perfect resolutions

$S=45.5\sigma$  (f=0%)  
 $S=28.9\sigma$  (f=5%)  
 $S=18.8\sigma$  (f=10%)

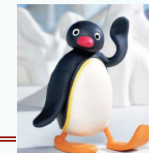
$\sigma E=4$  GeV,  $\sigma\theta=22.5^\circ$

$S=7.2\sigma$  (f=0%)  
 $S=4.5\sigma$  (f=5%)  
 $S=3.0\sigma$  (f=10%)

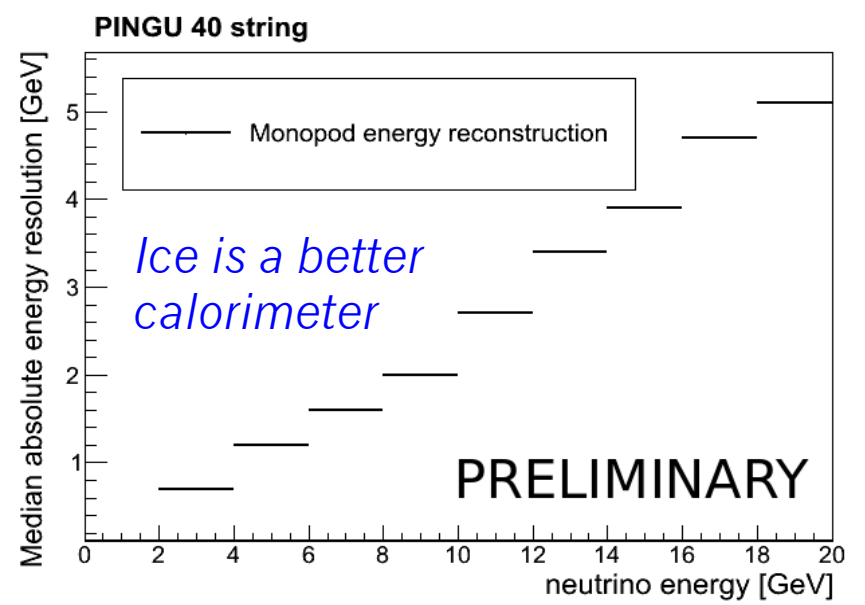
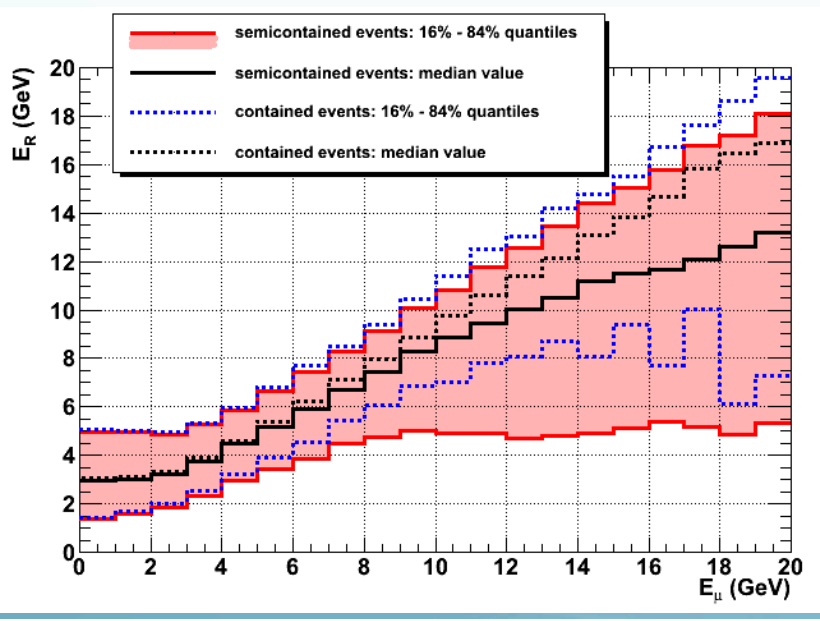
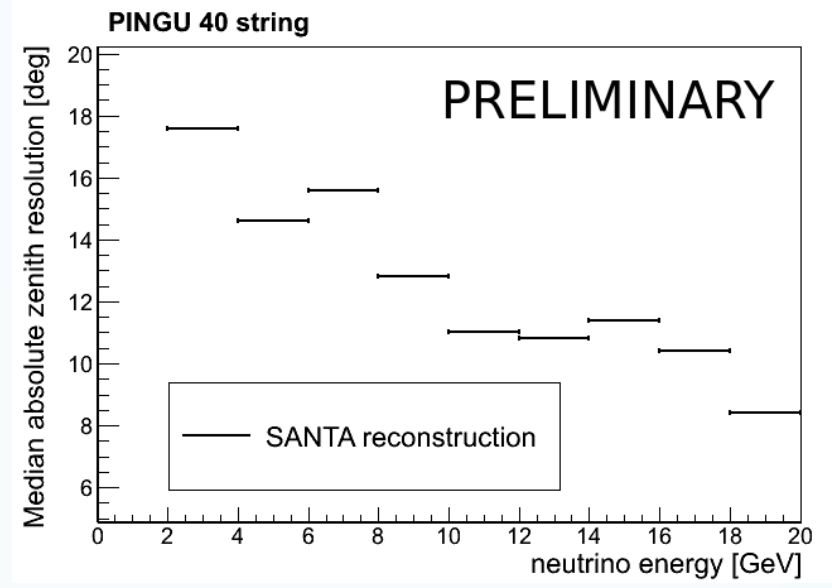
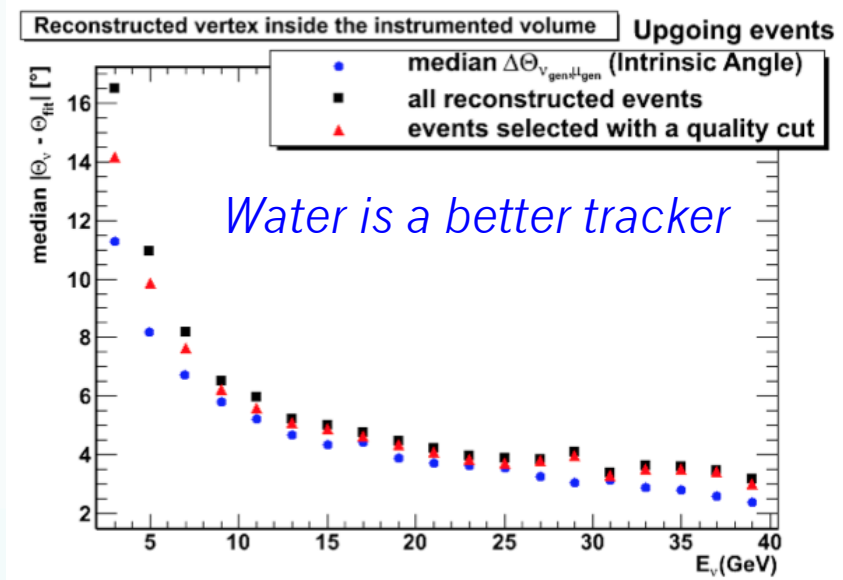
In 5 years



# Preliminary performances



NEXT IADE

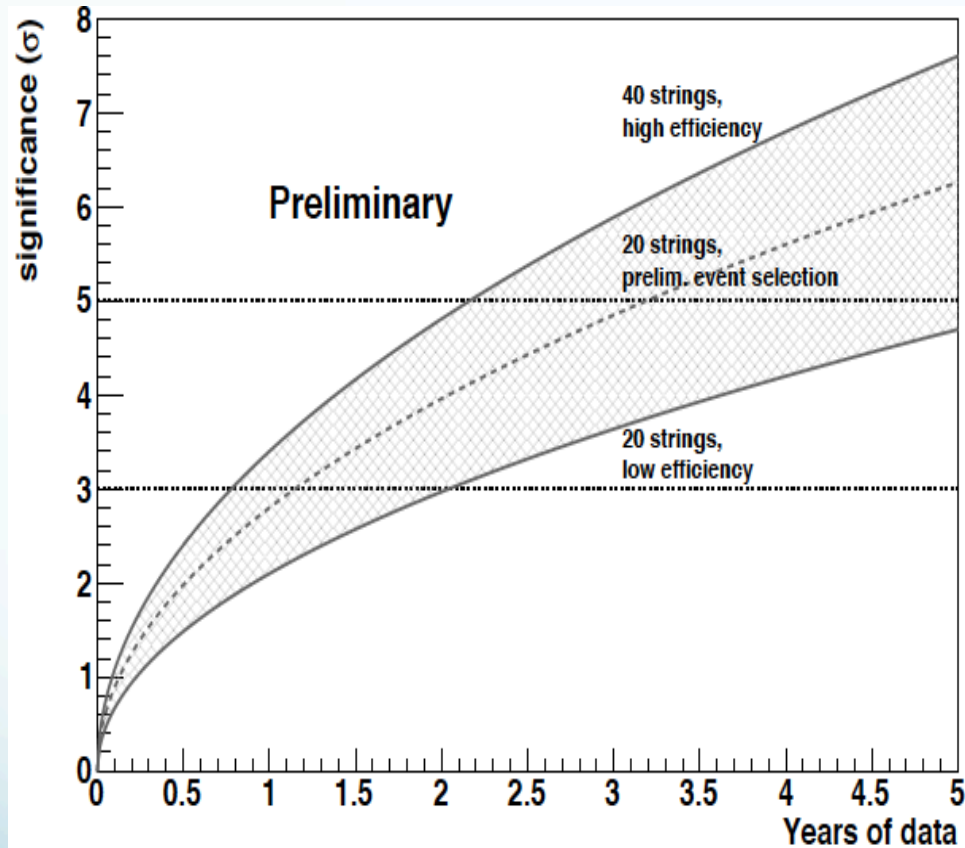




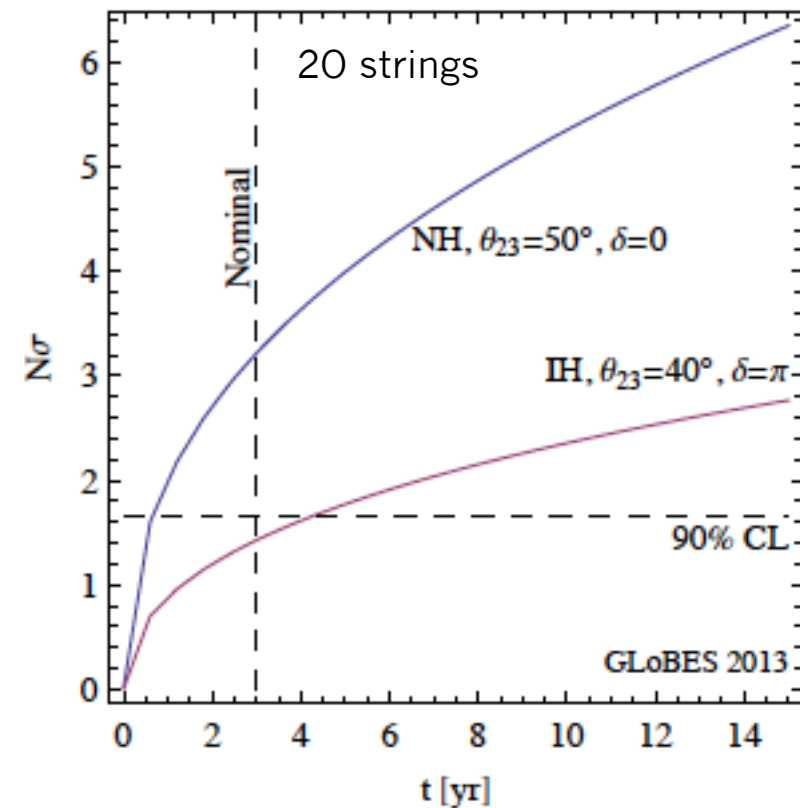


# PINGU sensitivities

PINGU collaboration, arXiv:1306.5846



W. Winter, arXiv:1305.5539v1



3 different studies performed.  
 Sys uncertainties include norm (30%), spectral index ( $\pm 0.05$ ), energy scale (10%), zenith bias (10%)  
 Realistic energy and direction resolutions

2 extreme cases of true param values.  
 $\Delta E/E = 25\%$  and  $\Delta\theta/\theta = 0.6 \sqrt{(m_p/E)}$   
 5% Flavor mis-id  
 Method :  $\Delta\chi^2$   
 (optimistic E. Cuifolli et al 1305.5150)

# ORCA sensitivity

all results are preliminary

To optimally distinguish between IH and NH: likelihood ratio test with nuisance parameters  
→ deal with degeneracies by fitting!

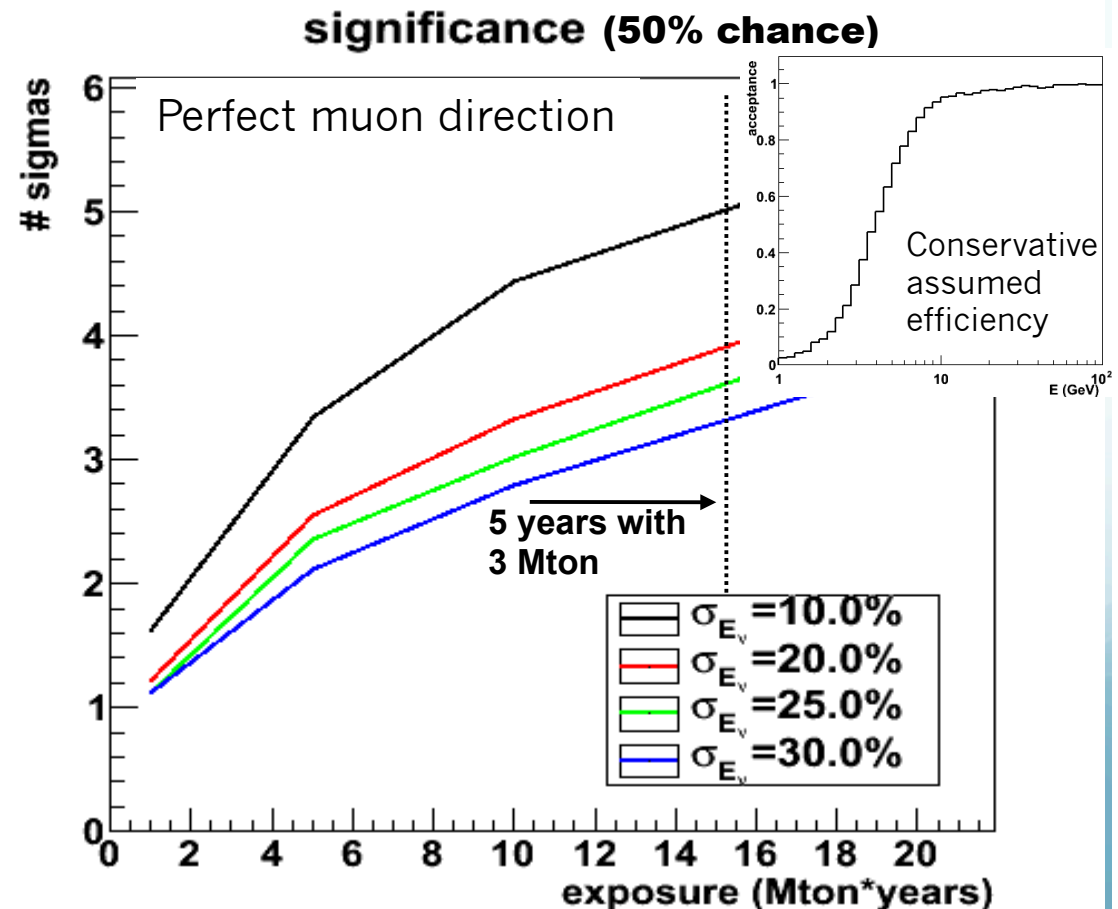
$$\Delta \log(L^{\max}) = \sum_{\text{bins}} \log P(\text{data} | \hat{\theta}^{\text{NH}}, \text{NH}) - \log P(\text{data} | \hat{\theta}^{\text{IH}}, \text{IH})$$

$\hat{\theta}^{\text{H}}$  = maximum-likelihood estimates for the  $\Delta m^2$ 's and angles using both data and constraints from global fit.  
nb: constraints are different for H=IH and H=NH

Uncertainty on the mixing parameters as a function of the exposure

Eres = 25%, 1-100 GeV

Mton x yr	$\sigma(\Delta m^2_{\text{large}})$ (eV <sup>2</sup> )	$\sigma(\theta_{23})$ (°)	$\sigma(\theta_{13})$ (°)
0(now)	8.0e-5	1.3	0.45
1	4.3e-5	0.61	0.42
5	2.3e-5	0.32	0.44
10	1.8e-5	0.22	0.39
20	1.4e-5	0.16	0.39
30	1.2e-5	0.13	0.37





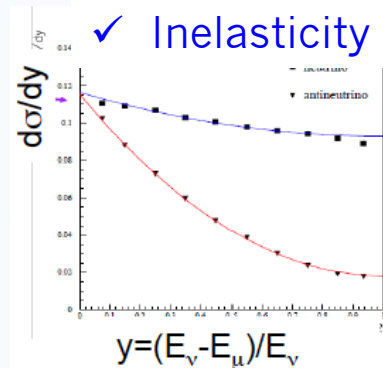
## Improvements

### ✓ Shower reconstruction

Should help to evaluate neutrino energy.

Study of intrinsic energy variations → Current limitation from physics (vertex, prop,...) not detector

Try to separate track-like ( $\bar{\nu}$ )  
to shower-like events ( $\nu$ )



“With the inelasticity, the total significance of establishing mass hierarchy may increase by (20 - 50)%”

📖 Ribordy & Smirnov arXiv:1303.0758v1

## Deteriorations

### ✓ Flavor misidentification

Attempts to study response to  $\nu_e$

Development of muon tag to then subtract bkg from  $\nu_x$  NC,  $\nu_e$ ,  $\nu_\tau$

### ✓ Atmospheric muons

Preliminary studies of reconstructed vertex position encouraging → out of instr. volume

Veto from IceCube/Deepcore

# Studies of systematics

📖 D. Franco et al, JHEP 04 (2013) 008

Method: extended unbinned log-likelihood ratio

Earth Model → Almost negligible impact

Atmospheric neutrinos flux

- Shape → Moderate impact
- Normalization → Large impact but normalization from data

PMNS uncertainties

Solar sector →

Negligible impact varying combinations of  $\{\theta_{12}, \Delta m^2_{21}\} (\pm 1\sigma)$

Atmospheric sector →

Large impact varying combinations of  $\{\theta_{13}, \theta_{23}, \Delta m^2_{31}\} (\pm 1\sigma)$

$\delta_{cp}$  dependence →

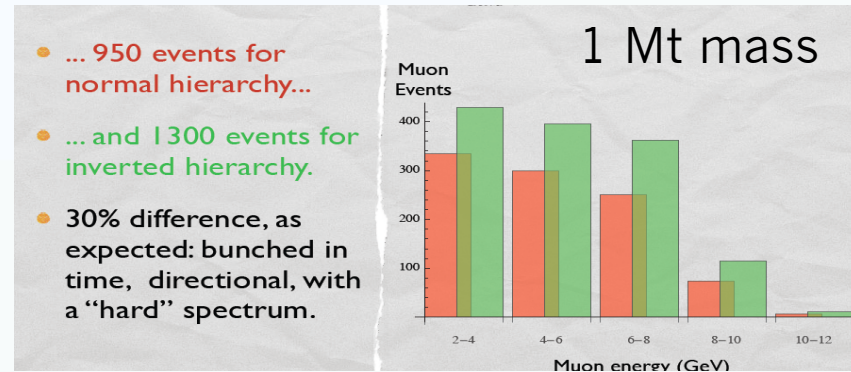
Small impact varying CP phase

Several other studies point to the same conclusions...

# Neutrino beam to PINGU/ORCA?

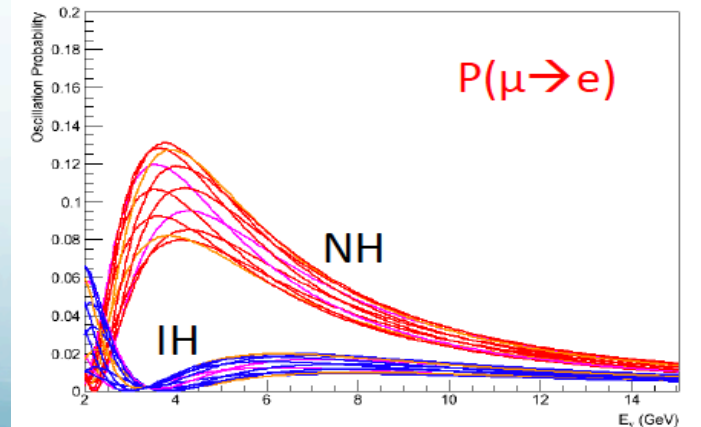
- **Muon counting experiment** - Optimum 6-8 GeV 6000-8000 km but beam inclination  
 📖 Lujan-Peschard et al, Eur. Phys. J. C (2013) 73:2439 ; Tang & Winter, JHEP 1202 (2012) 028

	Fermilab	CERN	J-Parc
South-Pole	11600 km	11800 km	11400 km
Sicily	7800 km	1200 km	9100 km
Baikal Lake	8700 km	6300 km	3300 km



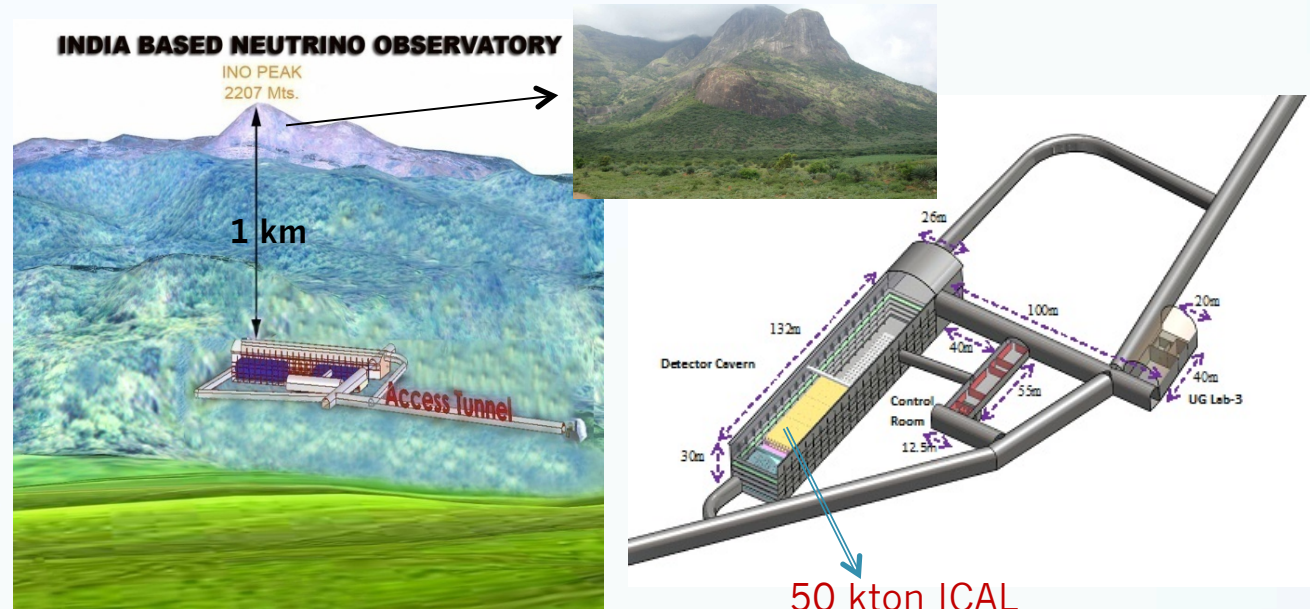
→ 9  $\sigma$  separation on purely statistical ground in one year

- **Electron counting experiment** - Protvino-ORCA L=2588 km, beam inclined by 11.7°  
 📖 J. Brunner, arXiv:1304.6230



10<sup>21</sup> pot -- 3 years  
 7  $\sigma$  stat. separation  
 3  $\sigma$  with 3-4% sys  
 No need for  
 energy reconstruction

# INO: India-based Neutrino Observatory



50 kton ICAL  
(room for additional 50kton)

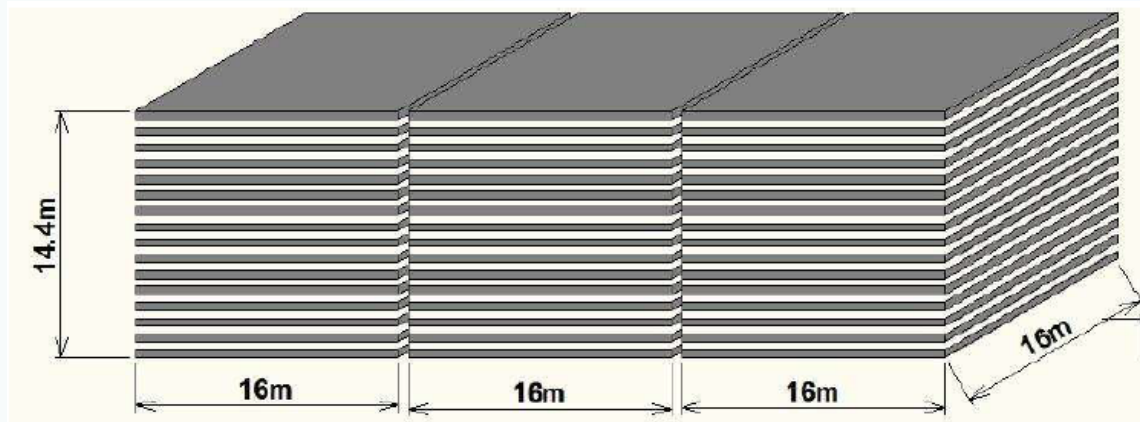
*CERN-INO: ~7300 km*  
*JPARC-INO: ~6500 km*  
*RAL-INO: ~7600*  
*magic baseline ~ 7500 km*  
*FNAL-INO: second magic*

- 115 km west of Madurai (internat. airport)
- Pottipuram Village, Theni District, Tamil Nadu State
- 1.9 km access tunnel
- Indian collab (~20 institutes) + Hawaii Univ (USA)
- Several other experiments when operational ( $\beta\beta 0\nu$ , DM)

## Current Status:

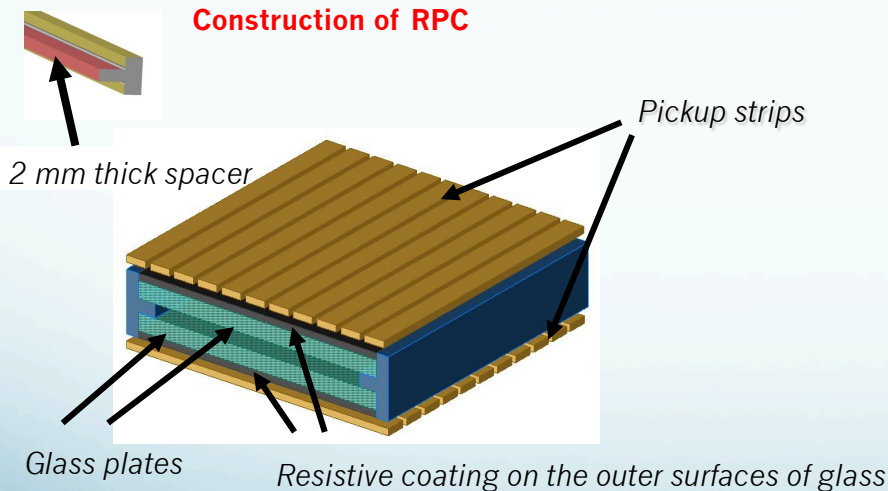
- Fencing work started for facilities near portal and Madurai Center for HE Physics
- Waiting for full project approval by Indian Government

# The INO-ICAL detector



- ✓ 50Kton Fe-RPC Detectors
- ✓ # of layers = 140
- ✓ Fe thickness = 5.6 cm
- ✓ Magnetic Field ~ 1.3T
- ✓ # of RPCs ~ 27K
- ✓ # of channels ~ 3.6M

[NB: Slightly different numbers exist]



2m x 2m glass RPC test stand



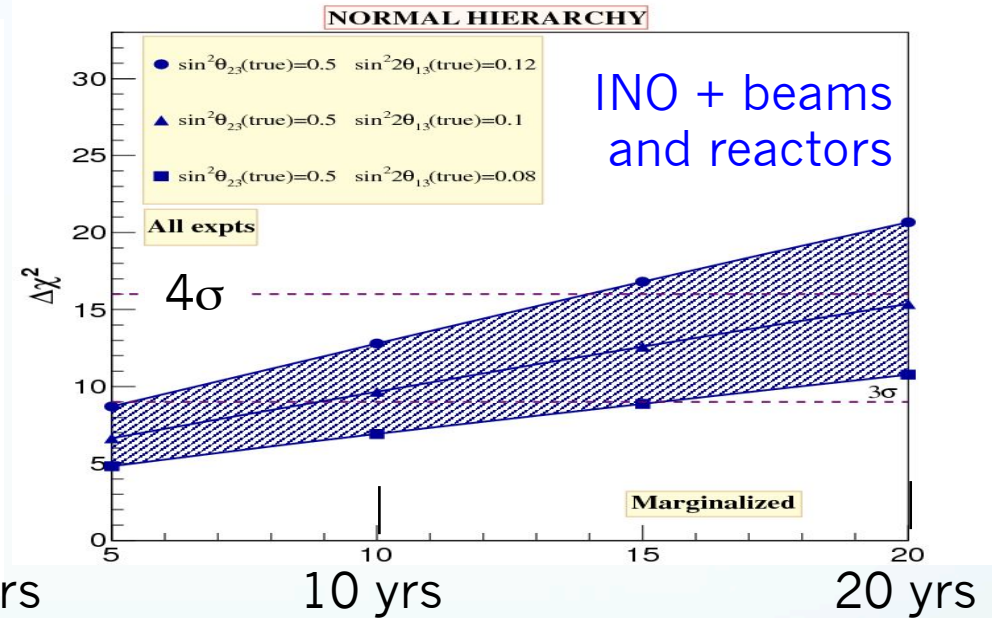
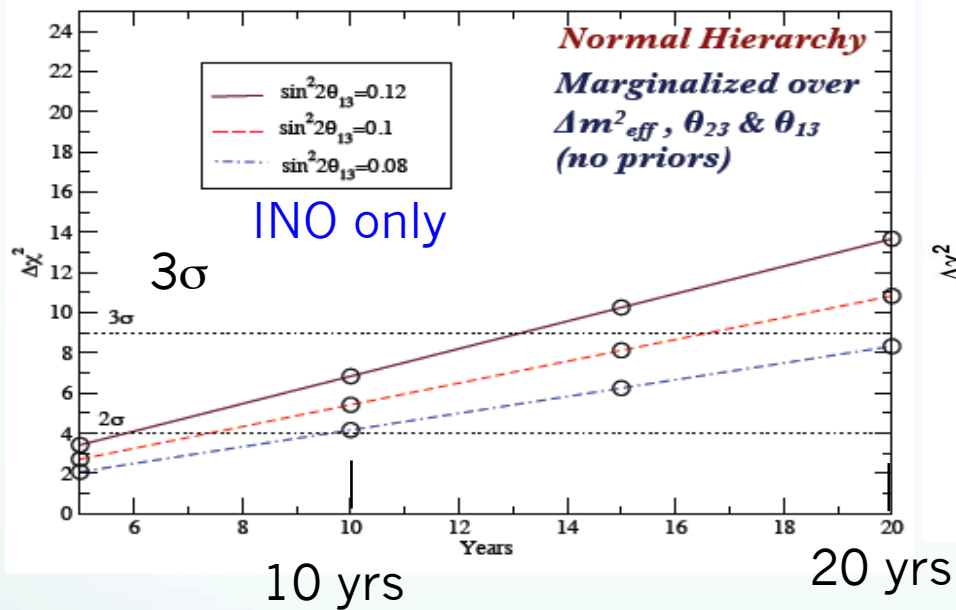
Cosmic -ray tracks are seen...

## Current Status:

- RPCs: help from Industry expected
- Electronics: ASIC (2<sup>nd</sup> batch being tested) and DAQ under development
- Magnet: Prototype running at VECC Engineering module (800 ton) will be constructed by 2014.

# Mass Hierarchy Discrimination

Expected performances are a bit worse for IH



Further improvements expected  
by adding hadron events  
 arXiv:1306.1423v1

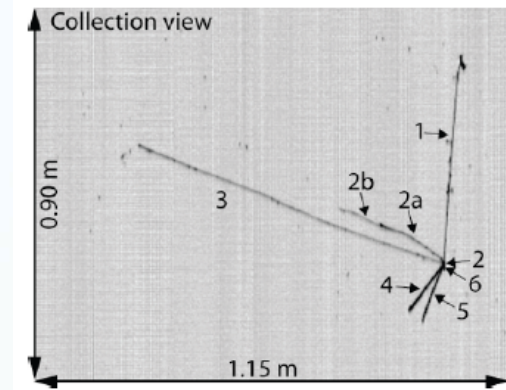
INO + Other experiments

- Ghosh, Thakore & Choubey, arXiv:1212.1305
- Blenow & Schwetz, JHEP 1208 (2012) 058, Erratum-ibid. 1211 (2012) 098



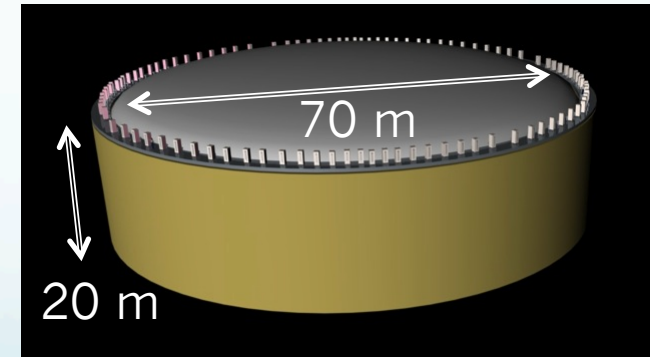
# The Liquid Ar TPC detectors

- First achievements with ICARUS (760 tons at LNGS)  
→ Proof of technology.
- Excellent particle identification with low threshold (MeV)



- **Proposed detectors (LBNO/E):** staged approach up to 100 kton
  - Sensitive to muons and electrons
  - Hadronic component can be measured
- Atmospheric neutrino studies
  - Tau neutrino appearance
  - Discrimination between  $\nu_\mu \rightarrow \nu_\tau$  and  $\nu_\mu \rightarrow \nu_s$  from upward/downward asymmetry

📖 A. Stahl et al, LBNO, SPSC-EOI-007 (2012)



👉 S. Murphy, Id 33 (09/12/13)

👉 M. Buizza Avanzini, Id 43 (09/12/13)

# Magnetized LAr-TPC?

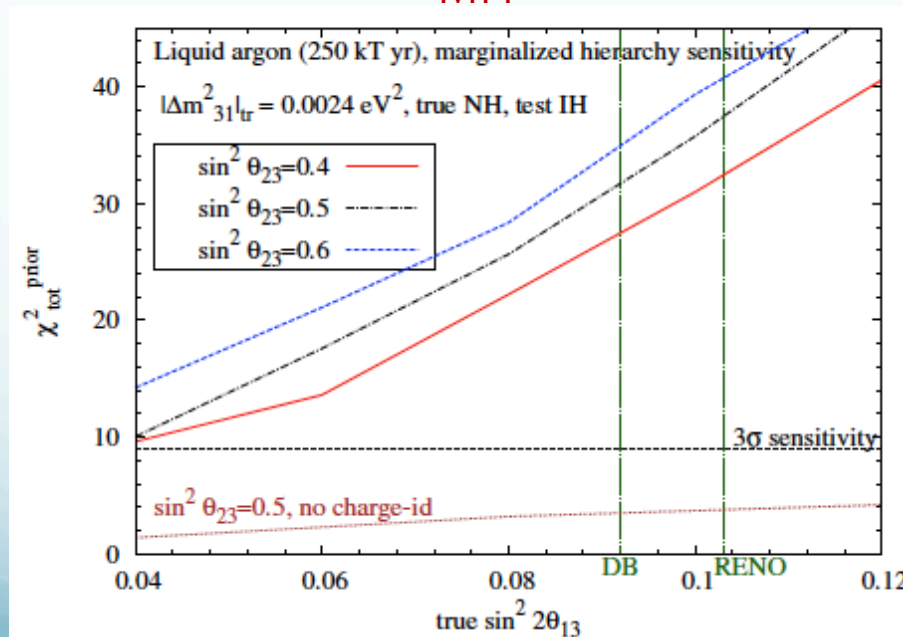
Sensitivity to mass hierarchy require charge identification to compensate low mass  
 → Magnetization?

📖 V. Barger et al, Phys.Rev.Lett.109:091801,2012

100% CID for muons and 20% for electrons in the energy range 1-5 GeV

$$\sigma_{E_e} = \sigma_{E_\mu} = 0.01; \quad \sigma_{E_{had}} = \sqrt{(0.15)^2/E_{had} + (0.03)^2} \quad \sigma_{\theta_{\nu e}} = 2.8^\circ; \quad \sigma_{\theta_{\nu\mu}} = 3.2^\circ$$

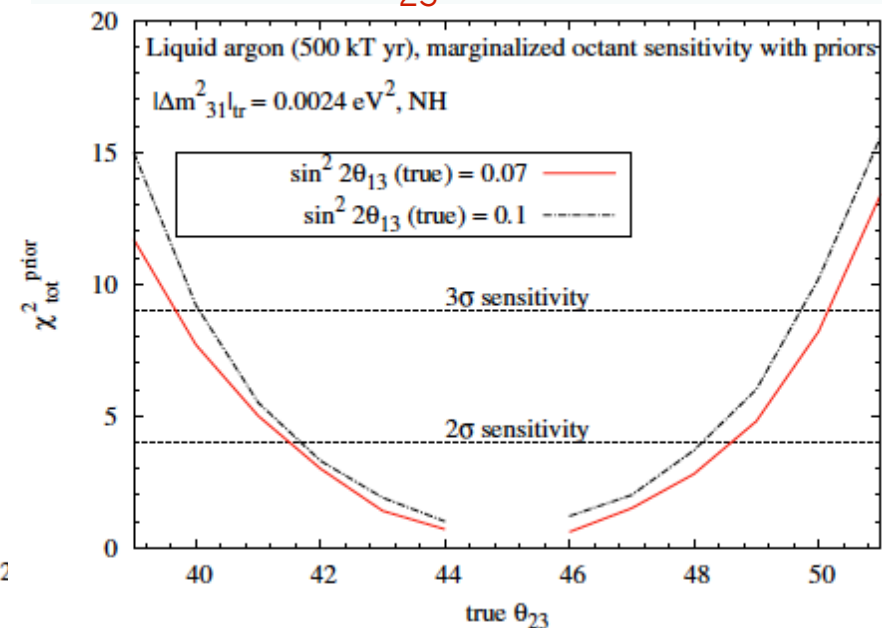
MH



~5  $\sigma$  with 250 kton.year exposure

(Results shown for assumed NH)

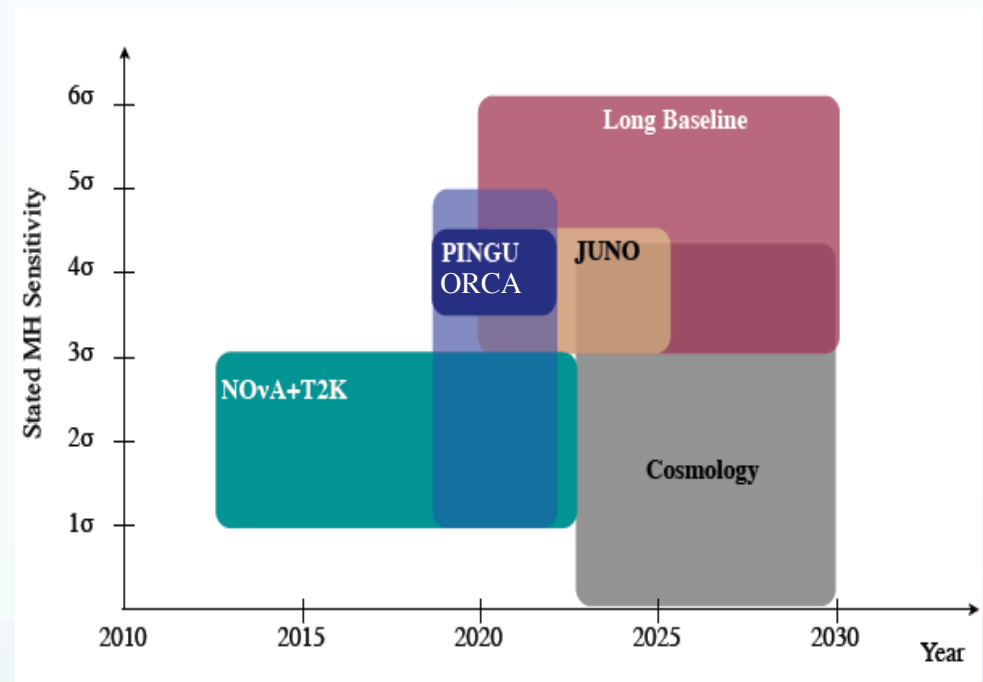
$\theta_{23}$  octant



~3  $\sigma$  with 250 kton.year exposure  
 with  $\sin^2 \theta_{23}$  in [0.4; 0.6]

# Summary

- Atmospheric Neutrinos have still a major role to play for precision measurements and determination of unknown parameters such as the mass hierarchy.
- Proposed detectors include Iron Calorimeter, Liquid Argon and Cherenkov detectors. **None of these projects being firmly funded.**
- Low energy (GeV) extensions of Neutrino Telescopes may be faster and cheaper than other alternatives...
- ...but challenging, as systematics must be carefully controlled. Key parameters are the size of the detector as well as the energy and angle resolutions.
- Preliminary ORCA/PINGU sensitivities are quite promising. Collaborative work on-going.



📖 R.N. Cahn et al, arXiv:1307.5487

- Synergies/Combination with LBL/ reactor experiments may provide the first high significance MH determination...