

# Exploring the fundamental properties of matter with an Electron-Ion Collider

**Jianwei Qiu**

*Brookhaven National Laboratory*

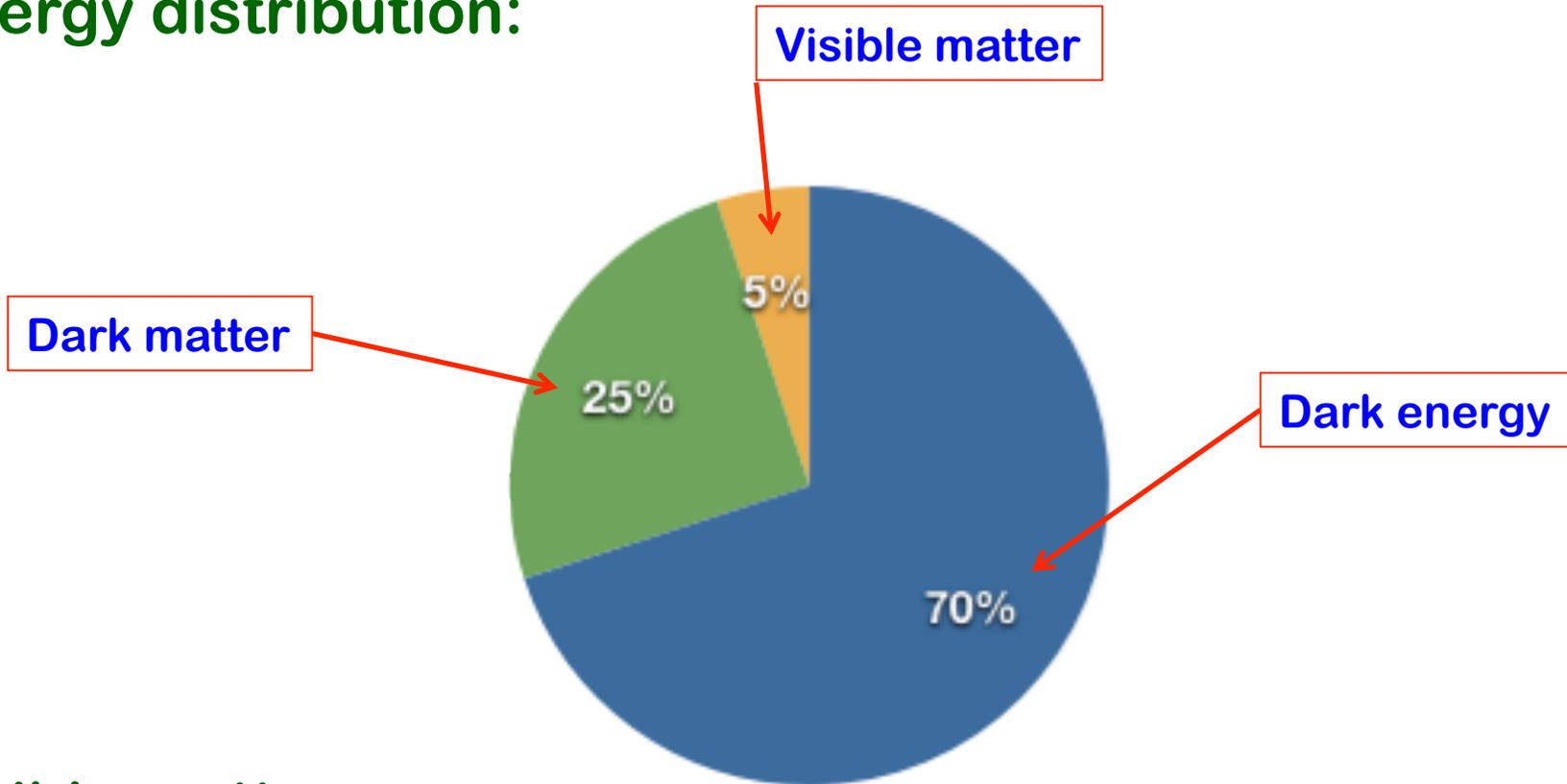
Nuclear Science Division Colloquium, Lawrence Berkeley National Laboratory  
November 10, 2010, Berkeley, California

# Outline of my talk

- **Hadrons – the visible matter in our universe**
- **Why we believe QCD and its quarks and gluons?**
- **New frontier of QCD dynamics – probed by an EIC:**
  - **Hadron properties in QCD – confinement?**
  - **Direct QCD quantum interference –  $A_N$**
  - **Saturation of glue at high energy – mass scale?**
- 
- **Summary**

# Matter in our universe

## □ Energy distribution:



## □ Visible matter:

- ✧ It is the most important to our life – it is what we are made of although it makes up only 5% energy of our universe
- ✧ More than 95% mass of all visible matter is made up of protons and neutrons – the hadrons

# Hadronic matters

□ Proton and neutron are building blocks of all elements:

Periodic Table of the Elements

1 H																	2 He														
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne														
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar														
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr														
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe														
55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn														
87 Fr	88 Ra	89 Ac	104 Unq	105 Unp	106 Unh	107 Uns	108 Uno	109 Une	110 Unn																						
																		58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
																		90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr

■ hydrogen      ■ poor metals  
■ alkali metals      ■ nonmetals  
■ alkali earth metals      ■ noble gases  
■ transition metals      ■ rare earth metals

□ But, themselves are not elementary:

Magnetic moment:  $\mu = g \left( Q \frac{e}{2m} \right) \quad g_p = 2.792 \neq 2 \quad g_n = -1.913 \neq 0$

We believe: proton and neutron are made of quarks and gluons of QCD!

**Challenge:** understand the hadron properties, mass, spin,... in terms of the properties and dynamics of quarks and gluons of QCD!

# Quantum Chromodynamics (QCD)

= A quantum field theory of quarks and gluons =

□ **Fields:**  $\psi_i^f(x)$  Quark fields: spin-1/2 Dirac fermion (like electron)  
Color triplet:  $i = 1, 2, 3 = N_c$   
Flavor:  $f = u, d, s, c, b, t$

$A_{\mu,a}(x)$  Gluon fields: spin-1 vector field (like photon)  
Color octet:  $a = 1, 2, \dots, 8 = N_c^2 - 1$

□ **QCD Lagrangian density:**

$$\mathcal{L}_{QCD}(\psi, A) = \sum_f \bar{\psi}_i^f [(i\partial_\mu \delta_{ij} - gA_{\mu,a}(t_a)_{ij})\gamma^\mu - m_f \delta_{ij}] \psi_j^f - \frac{1}{4} [\partial_\mu A_{\nu,a} - \partial_\nu A_{\mu,a} - gC_{abc}A_{\mu,b}A_{\nu,c}]^2 + \text{gauge fixing} + \text{ghost terms}$$

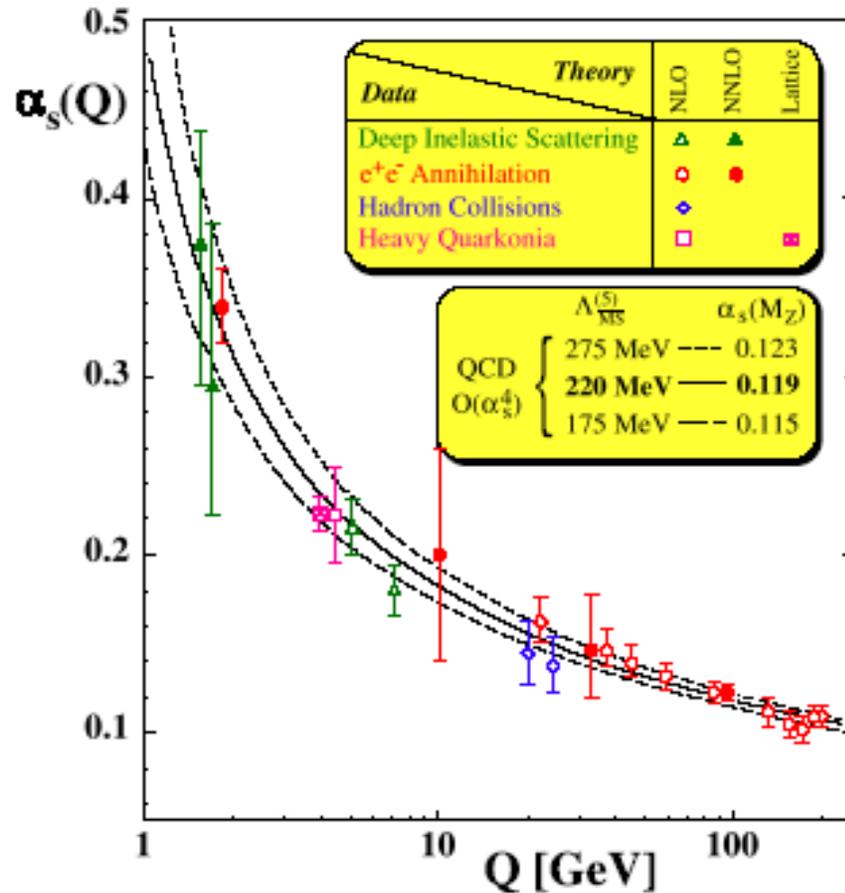
□ **QED Lagrangian density – most successful QFT:**

$$\mathcal{L}_{QED}(\phi, A) = \sum_f \bar{\psi}^f [(i\partial_\mu - eA_\mu)\gamma^\mu - m_f] \psi^f - \frac{1}{4} [\partial_\mu A_\nu - \partial_\nu A_\mu]^2$$

- ✧ QCD is much richer in dynamics than QED, but no free quarks and gluons
- ✧ Why should we believe the existence of quarks, gluons, and QCD?

# QCD in asymptotic regime

□ QCD asymptotic freedom:  $\alpha_s(\mu_2) = \frac{\alpha_s(\mu_1)}{1 - \frac{\beta_1}{4\pi} \alpha_s(\mu_1) \ln\left(\frac{\mu_2^2}{\mu_1^2}\right)} \equiv \frac{4\pi}{-\beta_1 \ln\left(\frac{\mu_2^2}{\Lambda_{\text{QCD}}^2}\right)}$



Asymptotic Freedom  $\Leftrightarrow$  antiscreening

$$\text{QCD: } \frac{\partial \alpha_s(Q^2)}{\partial \ln Q^2} = \beta(\alpha_s) < 0$$

Compare

$$\text{QED: } \frac{\partial \alpha_{EM}(Q^2)}{\partial \ln Q^2} = \beta(\alpha_{EM}) > 0$$

D.Gross, F.Willczek, Phys.Rev.Lett 30, (1973)

H.Politzer, Phys.Rev.Lett. 30, (1973)

2004 Nobel Prize in Physics

□ Hard probe – large momentum transfer:

- ✧ Short-distance partonic dynamics  $< 1/10$  fm ( $\sim 2$  GeV)
- ✧ Connecting partons to hadrons – QCD factorization

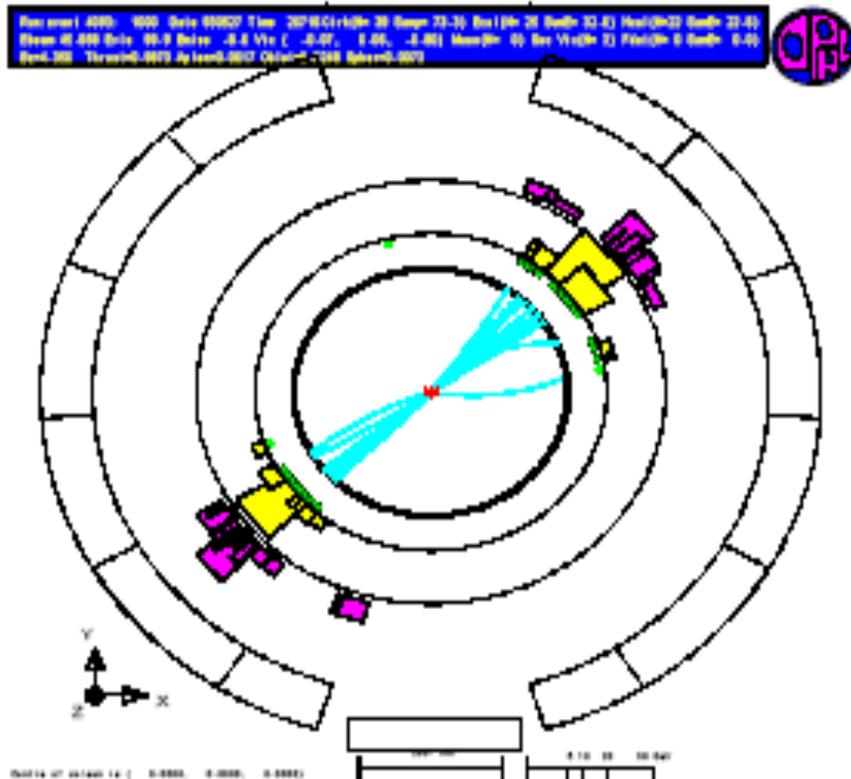
# Question

**Why should we believe QCD?**

# “See” the trace of quarks and gluons

## Jets in $e^+e^-$ :

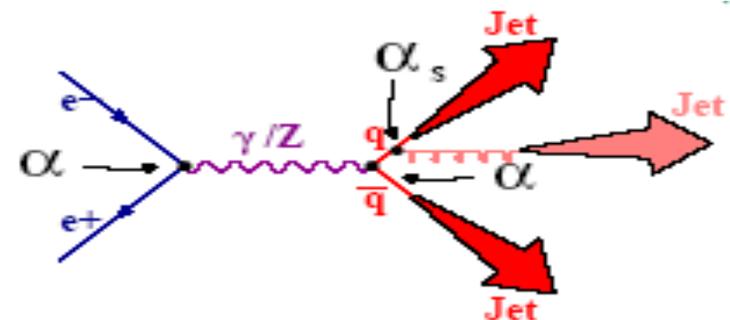
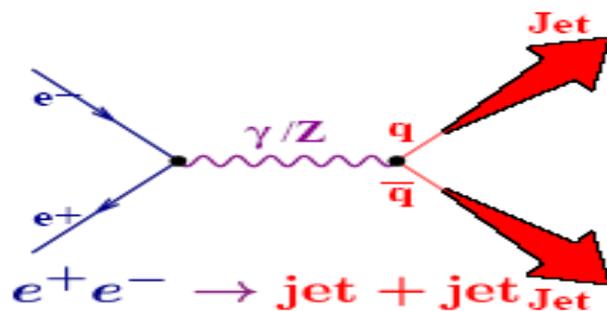
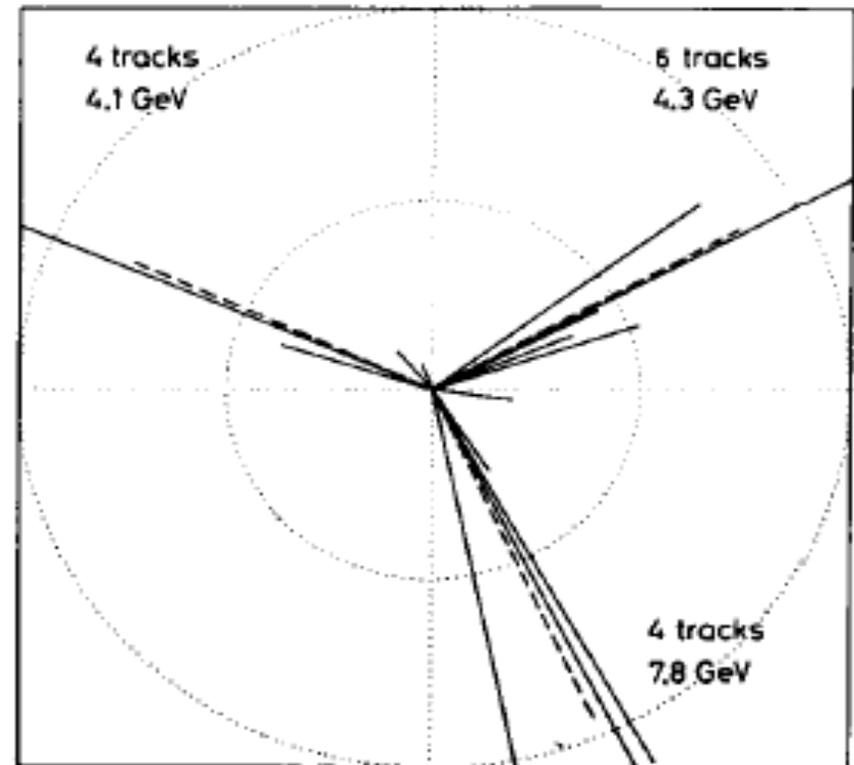
LEP ( $\sqrt{s} = 90 - 205$  GeV)



PETRA  $e^+e^-$  storage ring at DESY:

$E_{c.m.} \gtrsim 15$  GeV

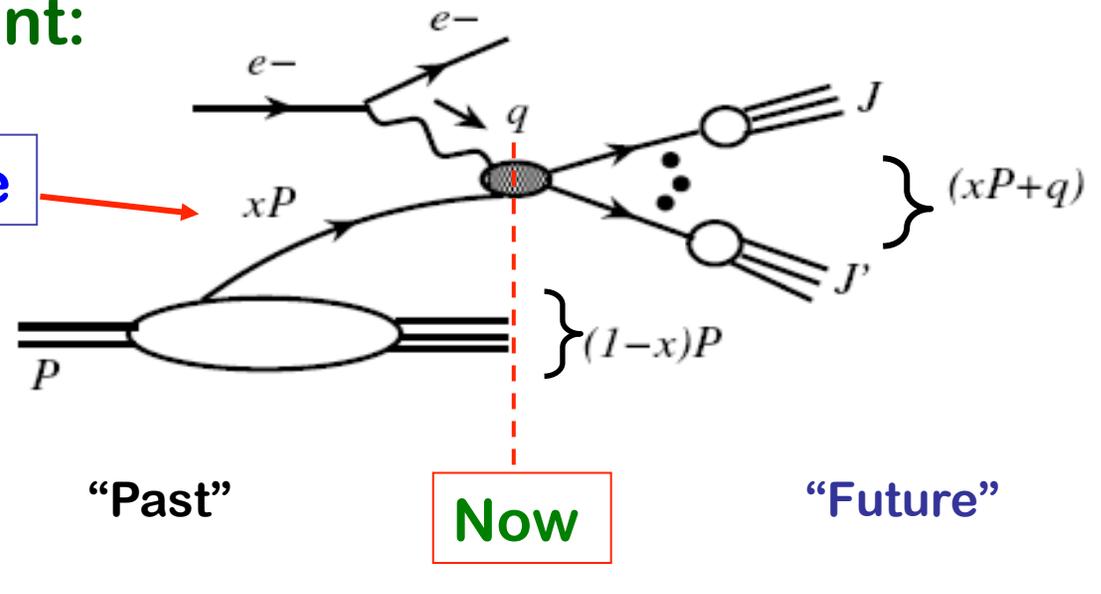
TASSO



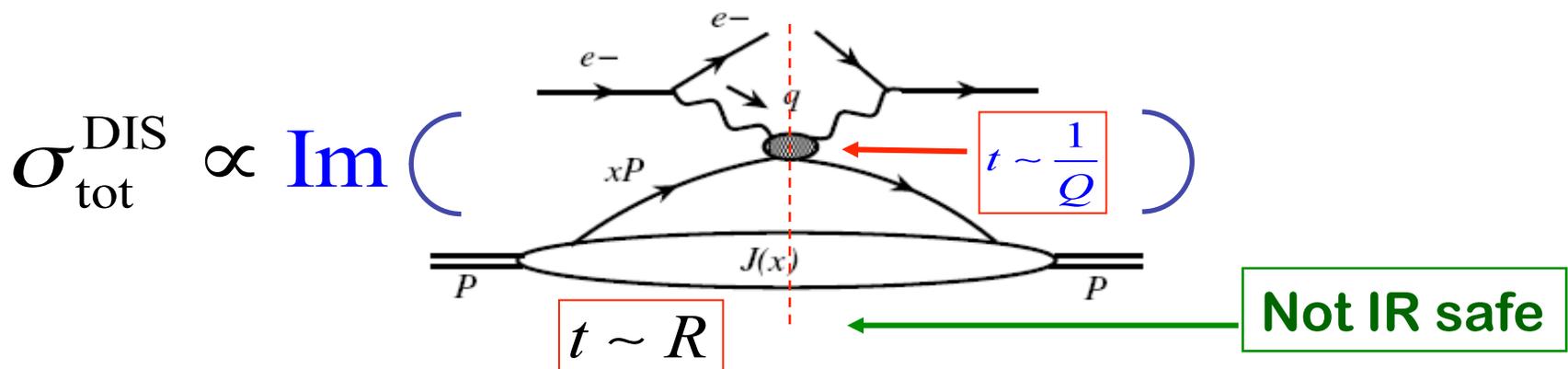
# “See” quarks and gluons inside a hadron

## □ “Rutherford” experiment:

Long-lived parton state



## □ Unitarity – summing over all hard jets:



Interaction between the “past” and “now” are suppressed!

# Connecting quark and gluon to hadrons

## One hadron:

$$\sigma_{\text{tot}}^{\text{DIS}} \sim \text{[Diagram: } e^- \text{ scattering off } q \text{ in a hadron with momentum } xP \text{]} \otimes \text{[Diagram: } J(x) \text{ parton distribution]} + O\left(\frac{1}{QR}\right)$$

Now  
Hard-part

Past  
Parton-distribution

Connection  
Power corrections

## Two hadrons:

$$\sigma_{\text{tot}}^{\text{DY}} \sim \text{[Diagram: } q\bar{q} \text{ annihilation into } \gamma \text{ or } Z \text{]} \otimes \text{[Diagram: } \gamma(x) \text{ and } J(x) \text{ parton distributions with a gluon exchange } S \text{]} + O\left(\frac{1}{QR}\right)$$

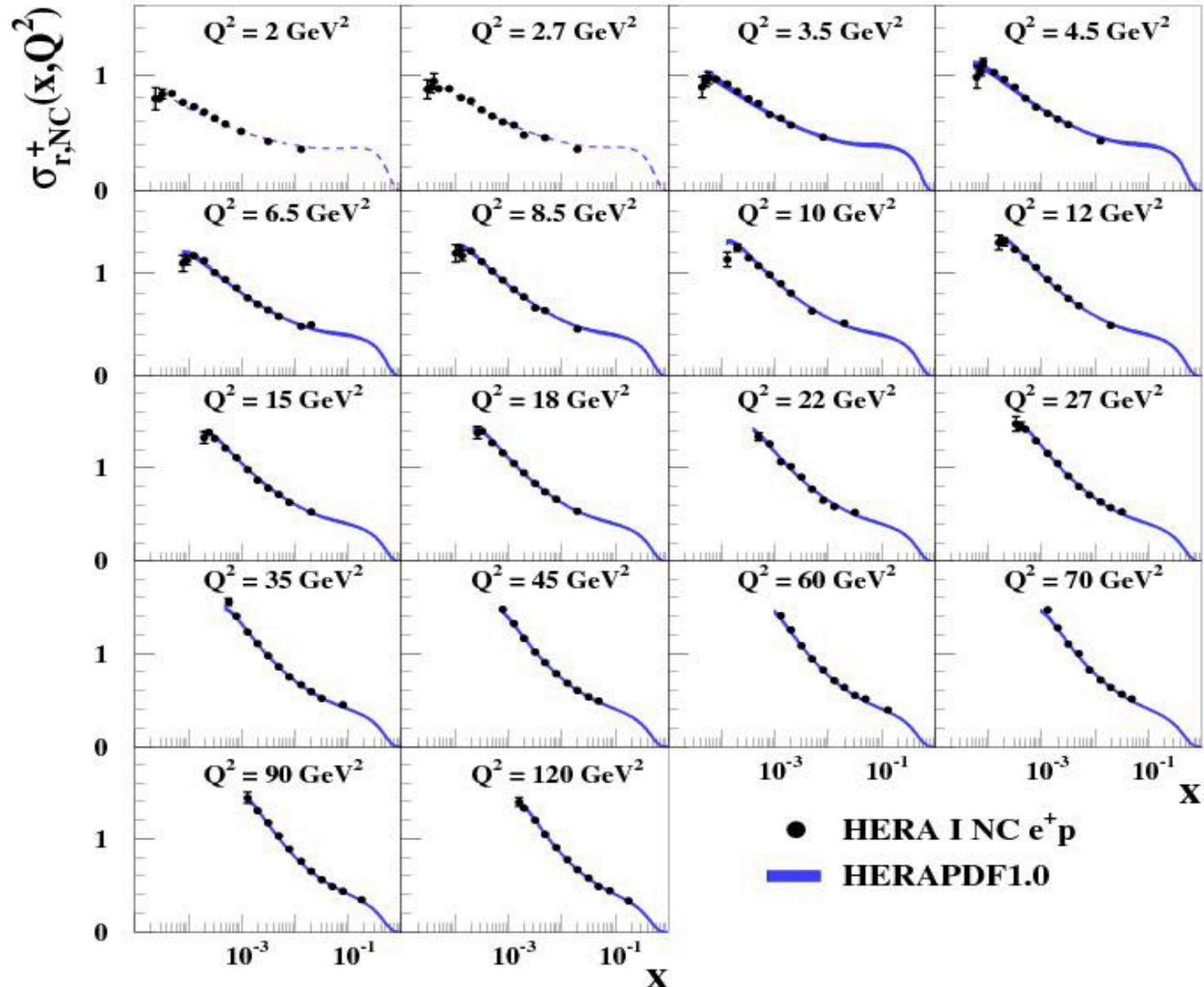
## Predictive power - factorization:

- ✦ Calculable short-distance partonic dynamics
- ✦ Universality of long-distance distribution functions

# From HERA – single hadron

## DIS cross section:

### H1 and ZEUS

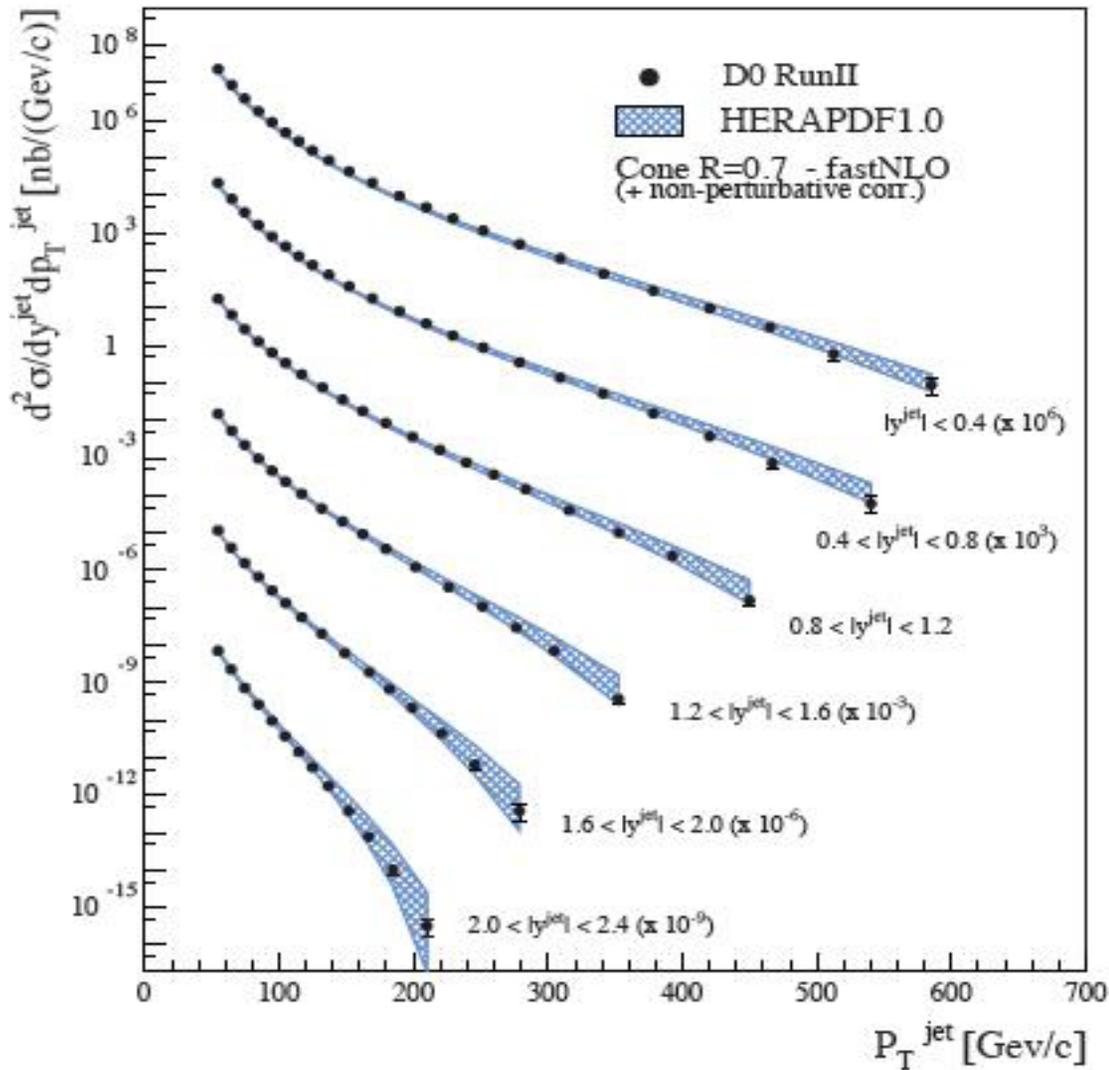


# To Tevatron – multiple hadrons

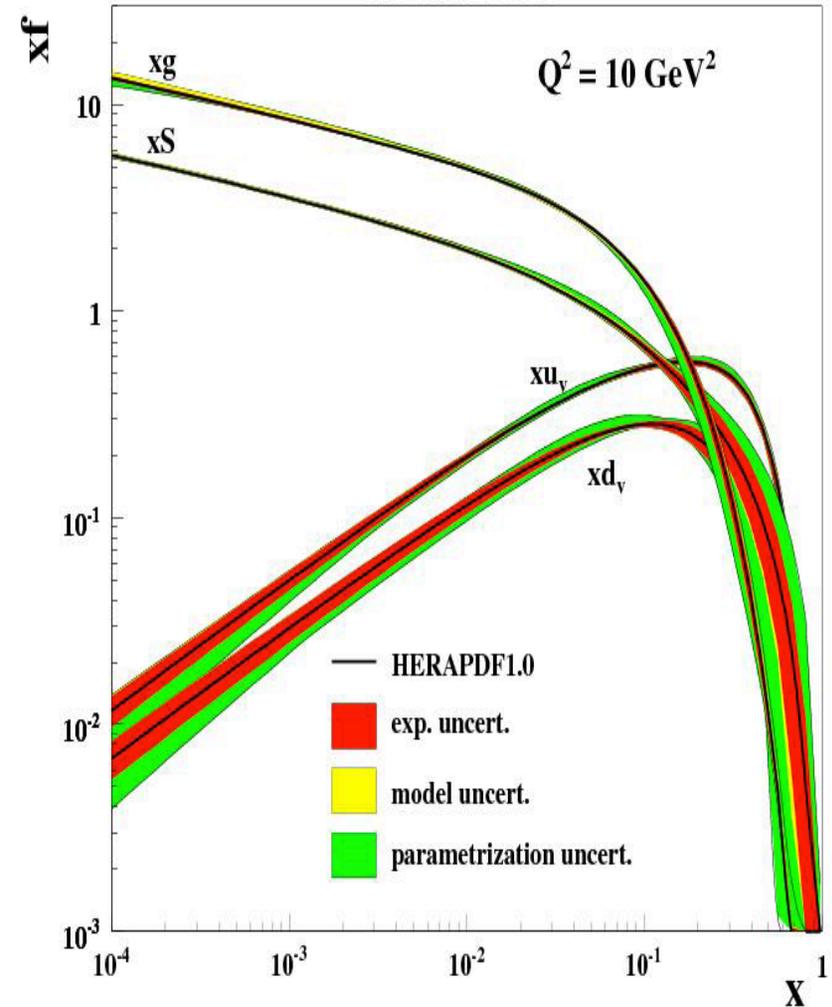
## Jet cross section:

With one set universal PDFs

Tevatron Jet Cross Sections



H1 and ZEUS



QCD is successful in last 30 years – we now believe it

# Are there anything left to do in QCD?

- QCD is “right” – no longer interesting or challenging:

became the background for the search of new physics beyond SM

no more discovery or “Nobel prize” level work left?

- QCD is only tested in the most trivial regime of its dynamics!

the asymptotic regime:  $< 1/10$  fm ( $\sim 2$  GeV)

Leading power dynamics from single parton scattering

- New measurements and new questions:

Hints in disagreement when extrapolating to  $Q < 1$  GeV,

Multi-parton dynamics when  $s \gg Q^2$  – the unitarity limit?,

Novel and puzzling phenomena with spin, nuclei, ...

Thermodynamics, hydrodynamics, and CMP of color forces, ...

**How many Nobel Prizes have been awarded to CMP after QED?**

# Challenges for QCD in next 30 years

## □ Hadron properties – the origin of the visible matter:

in terms of the properties of quarks and gluons, and its dynamics

Mass: the role of glue –  $m_q \ll m_N \ll Q$  – energy exchange,

Spin: could be the first complete example for QCD to describe, ...

## □ QCD bound states – color confinement?

QED: nucleons is so much heavier than electron – localized charge

QCD: heavy quarkonium – localized color source – but, unstable

proton and neutron – no localized color source – but, stable

Probe the spatial distribution of parton/color?

## □ QCD in extreme conditions:

Multi-particle dynamics, saturation ( $s \gg Q^2$ ), sQGP, phase transition, ...

**An EIC with polarization could meet some of these challenges**

# Proton spin

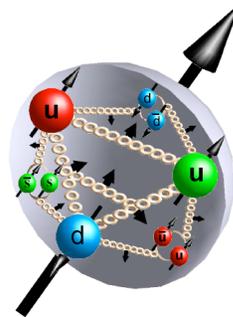
## □ Spin of an elementary particle:

An intrinsic quantum property of the particle



## □ Spin of a composite particle:

Angular momentum when the particle is at rest



Elementary particles' spin  
(intrinsic quantum effect)

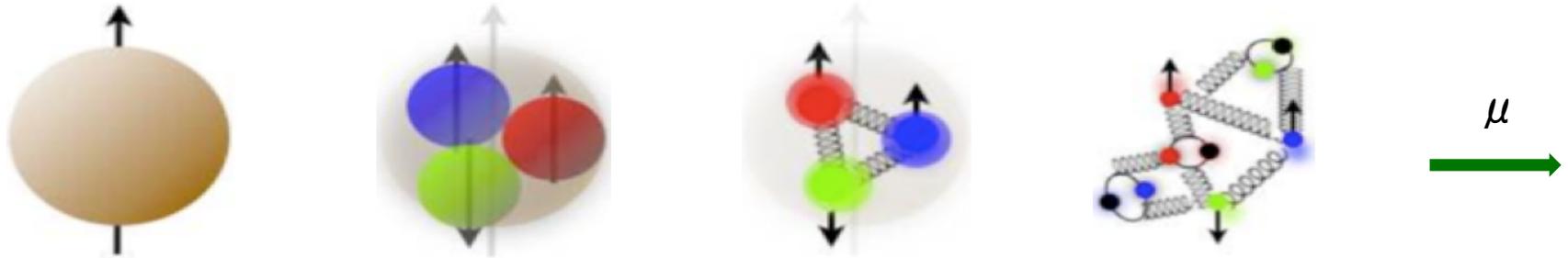
+

Motion of the particles  
(dynamical – fundamental interaction)

# Proton spin

□ in QCD – complexity of the proton state:

$$S(\mu) = \sum_f \langle P, S | \hat{J}_f^z(\mu) | P, S \rangle = \frac{1}{2} \equiv J_q(\mu) + J_g(\mu) = \frac{1}{2} \Sigma(\mu) + L_q(\mu) + J_g(\mu)$$



□ in Quark Model:  $S_p \equiv \langle p \uparrow | S | p \uparrow \rangle = \frac{1}{2}$ ,  $S = \sum_i S_i$

$$|p \uparrow\rangle = \sqrt{\frac{1}{18}} [u \uparrow u \downarrow d \uparrow + u \downarrow u \uparrow d \uparrow - 2u \uparrow u \uparrow d \downarrow + \text{perm.}]$$

□ Asymptotic limit:

$$J_q(\mu \rightarrow \infty) \Rightarrow \frac{1}{2} \frac{3N_f}{16 + 3N_f} \sim \frac{1}{4}$$

$$J_g(\mu \rightarrow \infty) \Rightarrow \frac{1}{2} \frac{16}{16 + 3N_f} \sim \frac{1}{4}$$

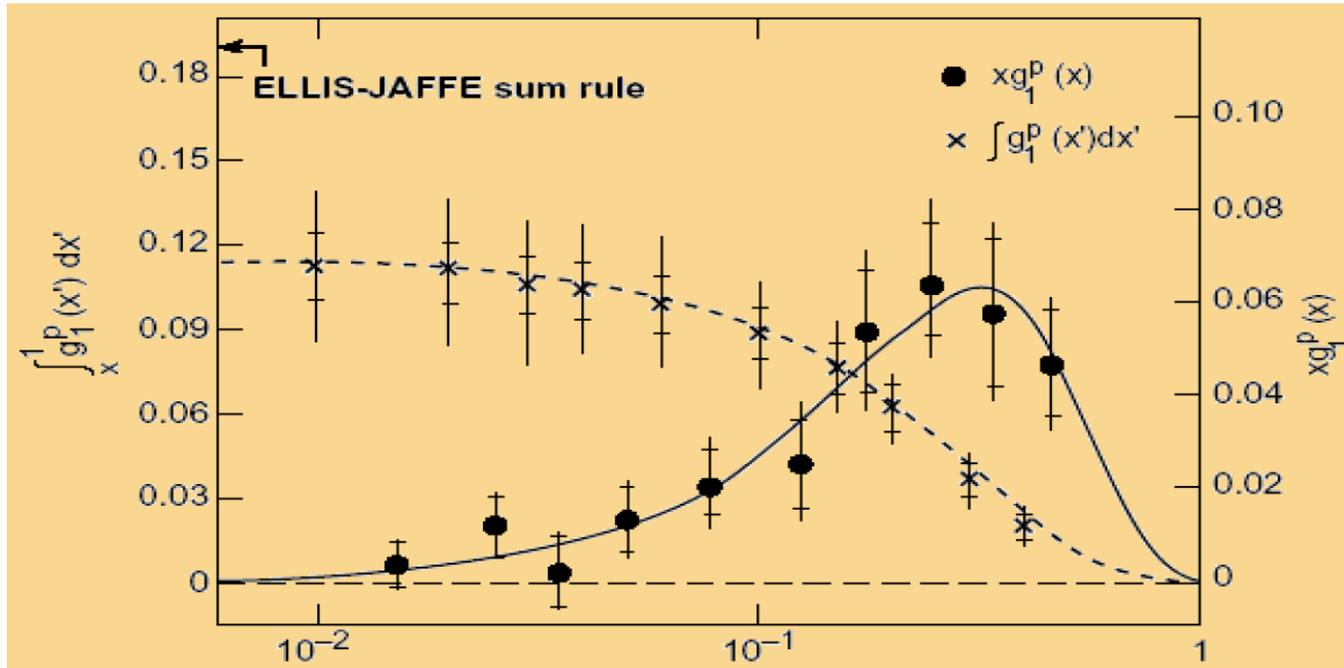
Ji, 2005

□ Proton spin structure:

Role of the intrinsic parton's spin vs. the dynamical parton's motion

# The role of quark's spin

□ EMC experiment in 1988/1989 – “the plot”:



$$g_1(x) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x) + \Delta \bar{q}(x)] + \mathcal{O}(\alpha_s) + \mathcal{O}(1/Q)$$

$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{\parallel} | \bar{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{\parallel} \rangle$$

□ “Spin crisis” or puzzle:

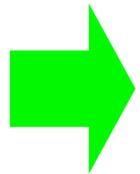
$$\Delta \Sigma = \sum_q [\Delta q + \Delta \bar{q}] = 0.12 \pm 0.17$$

# Early “solution” to the “crisis”

- Large  $\Delta G$  to cancel the “true”  $\Delta q$ :

$$\Delta q = \int_0^1 dx \Delta q(x) = \langle P, s_{\parallel} | \bar{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(0) | P, s_{\parallel} \rangle$$

$$\Delta \Sigma \rightarrow \Delta \Sigma - \frac{n_f \alpha_s(Q^2)}{2\pi} \Delta G(Q^2)$$



Need  $\Delta G(Q^2) \sim 2$  at  $Q \sim 1 \text{ GeV}$

- Role of gluon’s spin:

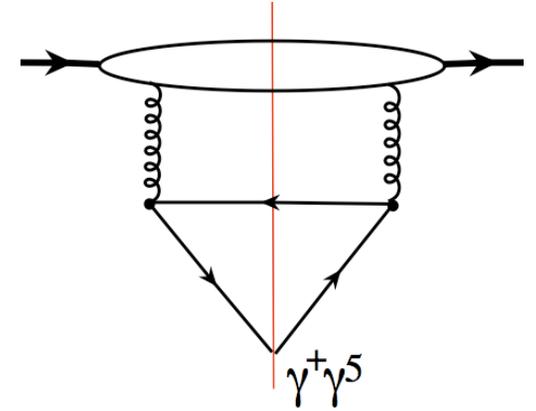
$$J_g(\mu^2 \rightarrow \infty) \rightarrow \frac{1}{2} \frac{16}{16 + 3N_f} \sim \frac{1}{4}$$

It is not a large number!

Need a large negative gluon orbital angular momentum if  $\Delta G \sim 2$ ?

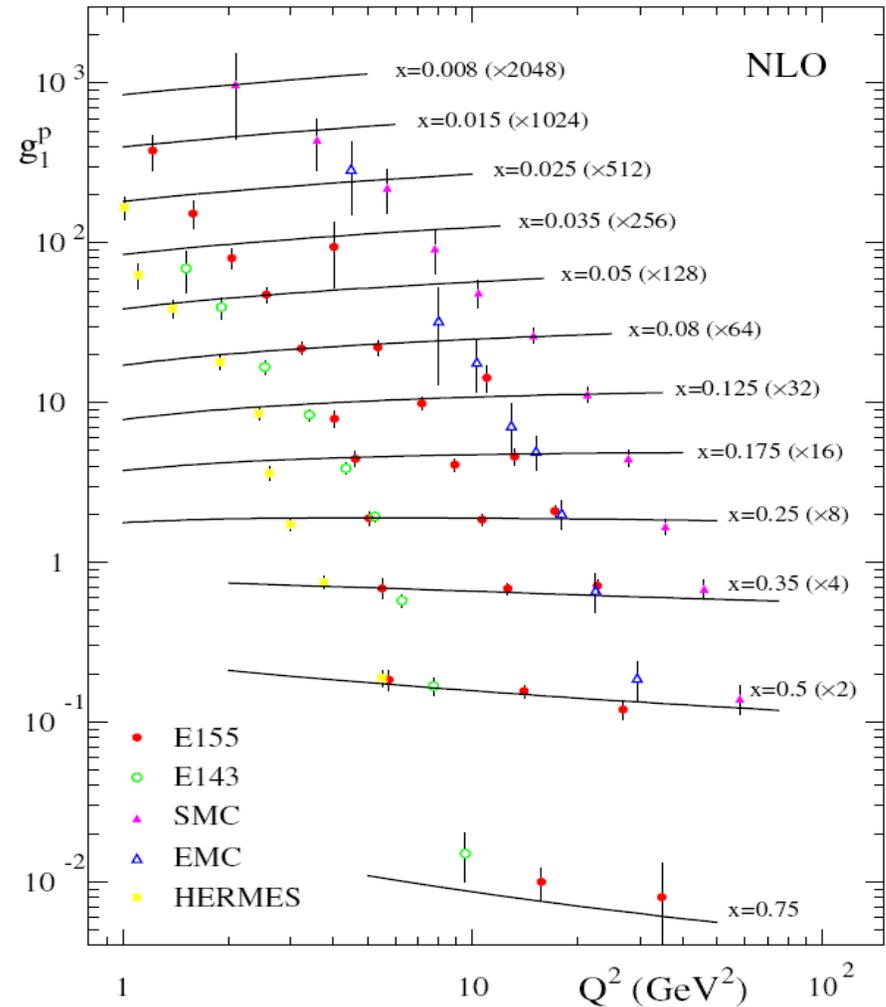
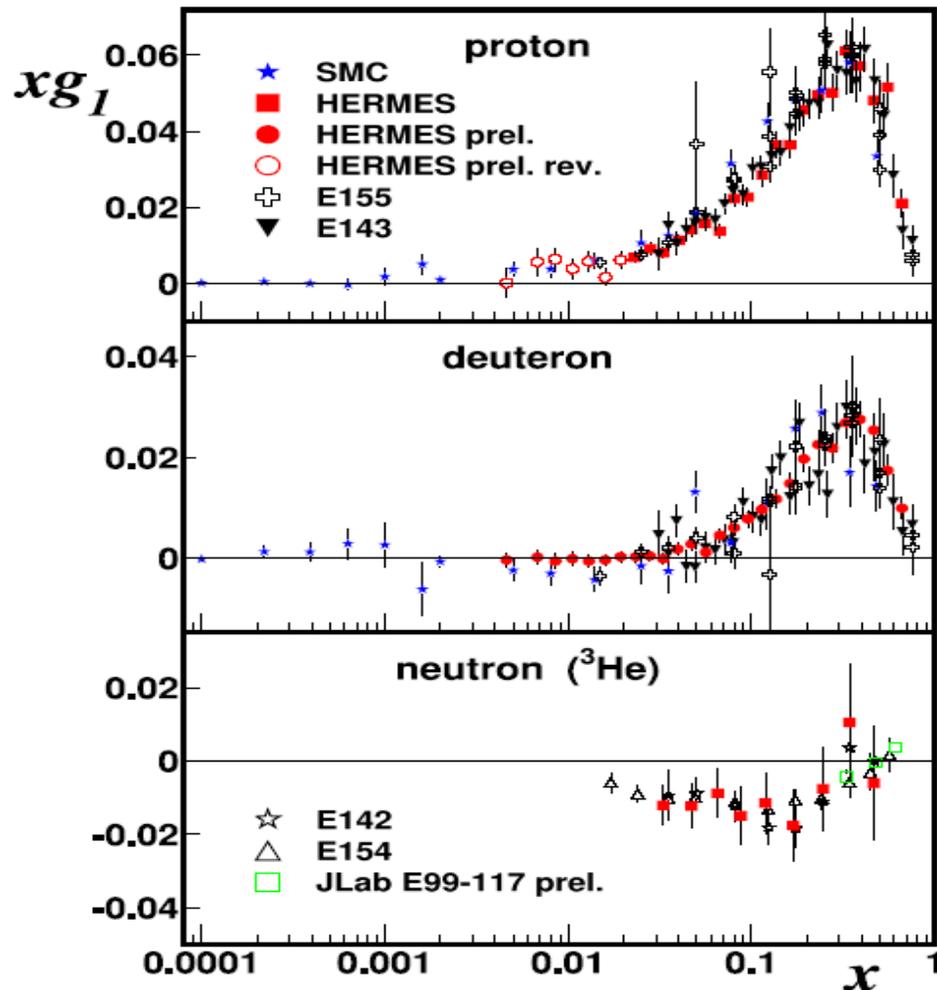
- Question: How to measure  $\Delta G$  independently?

- ✧ Precision inclusive DIS
- ✧ Jets in SIDIS
- ✧ Hadronic collisions – RHIC spin
- ✧ ...



# Polarized inclusive DIS

□ The “Plot” is now much improved:

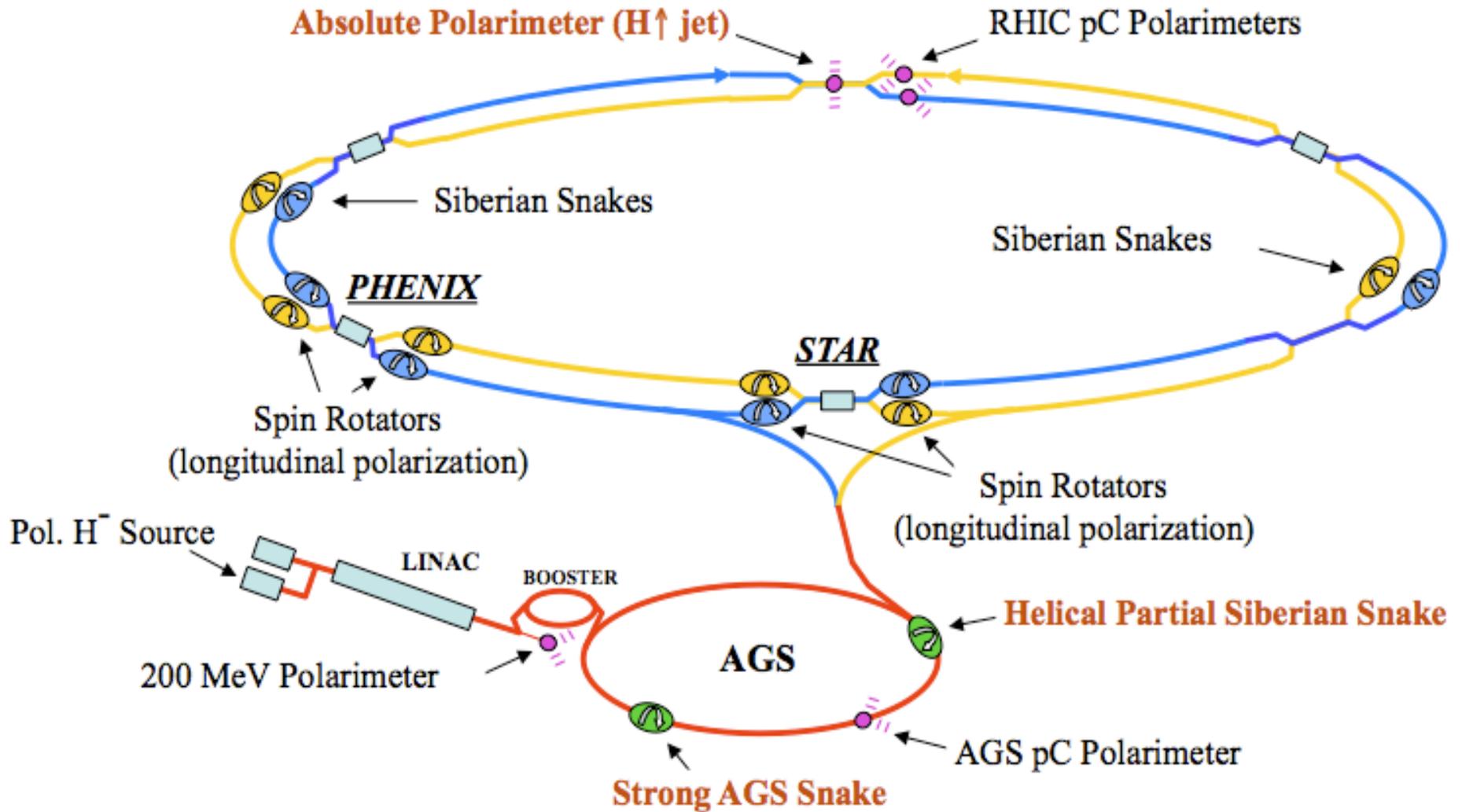


□ Flavor separation – SIDIS, RHIC spin:

Compare to spin-averaged case, more data are needed!

# RHIC spin program

## □ The machine:



Collider of two 100 GeV (250 GeV) polarized proton beams

# Determination of $\Delta G$

## Physical channels sensitive to $\Delta G$ :

$$\vec{p} + \vec{p} \rightarrow \pi + X$$

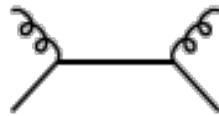
$$\vec{g}\vec{g} \rightarrow gg$$



Pion or jet production

$$\vec{p} + \vec{p} \rightarrow \text{jet} + X$$

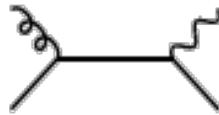
$$\vec{q}\vec{g} \rightarrow qg$$



high rates

$$\vec{p} + \vec{p} \rightarrow \gamma + X$$

$$\vec{q}\vec{g} \rightarrow \gamma q$$



Direct photon production

$$\vec{p} + \vec{p} \rightarrow \gamma + \text{jet} + X$$

low rates

$$\vec{p} + \vec{p} \rightarrow D + X$$

$$\vec{g}\vec{g} \rightarrow c\bar{c}$$



Heavy-flavour production

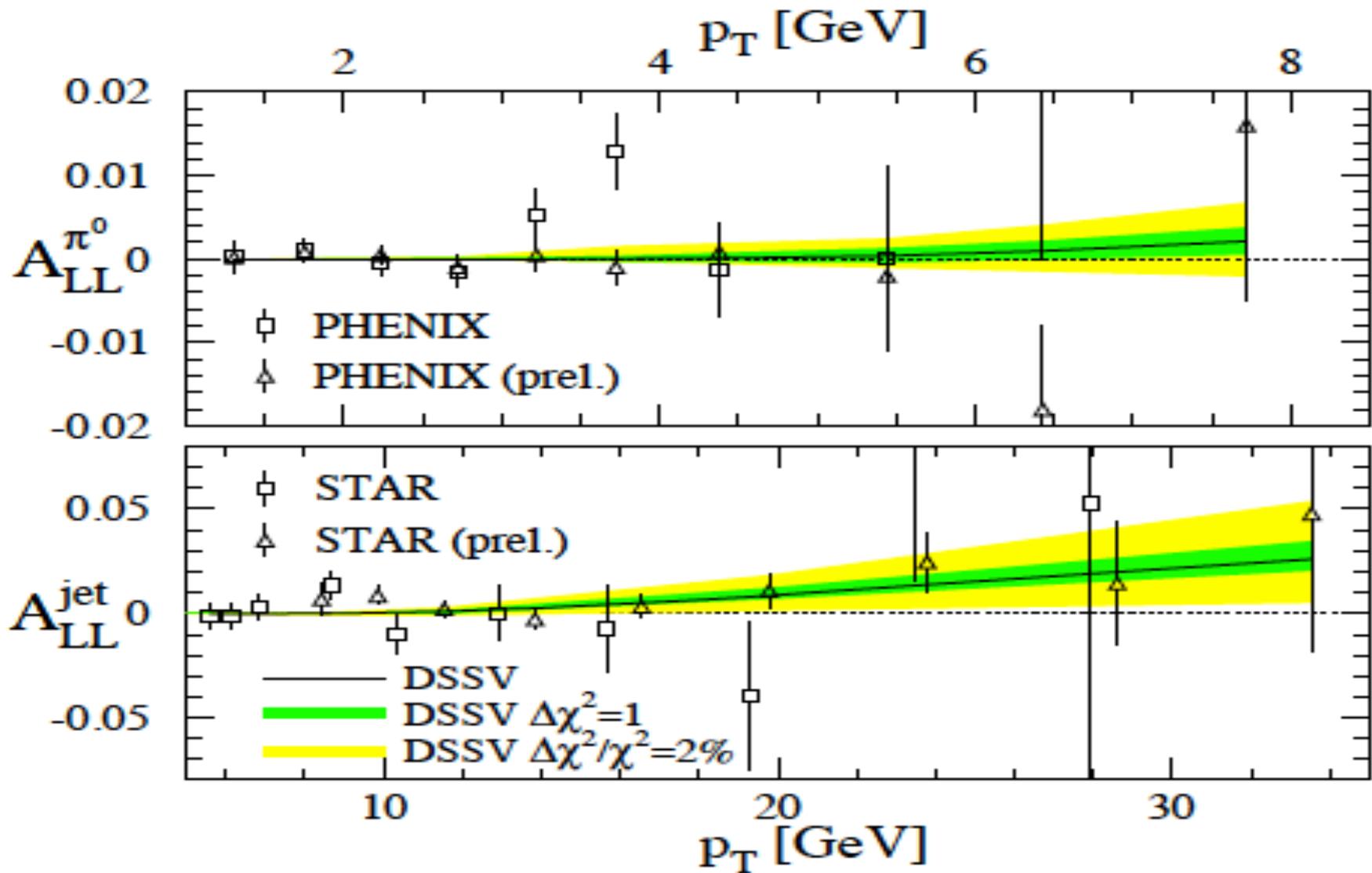
$$\vec{p} + \vec{p} \rightarrow B + X$$

$$\vec{g}\vec{g} \rightarrow b\bar{b}$$

separated vertex detection required

Many NLO pQCD calculations are available

# RHIC Measurements on $\Delta G$



Small asymmetry leads to small gluon “helicity” distribution

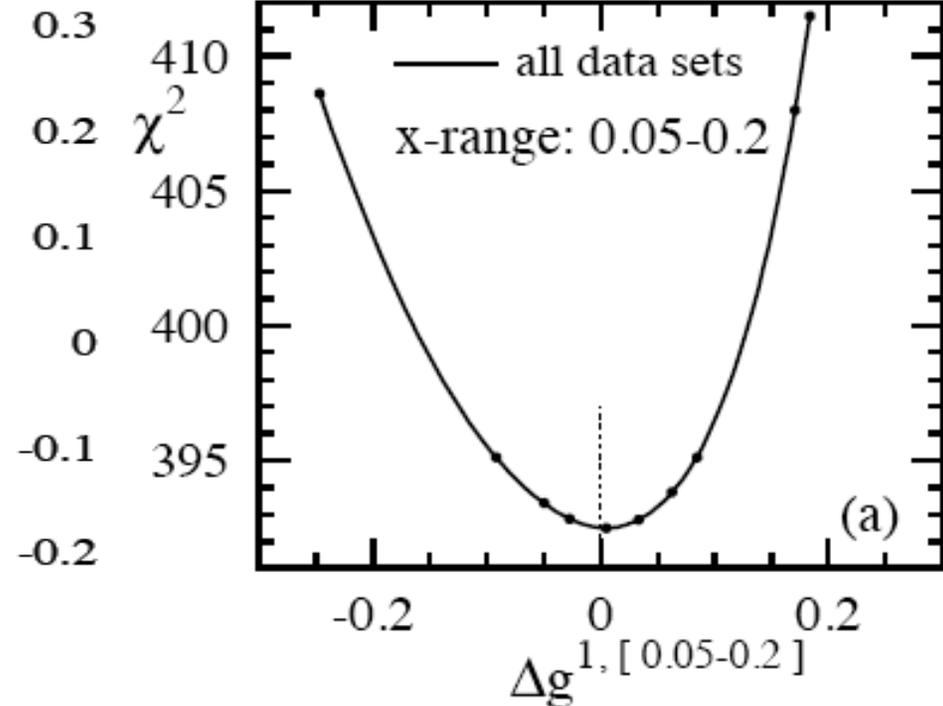
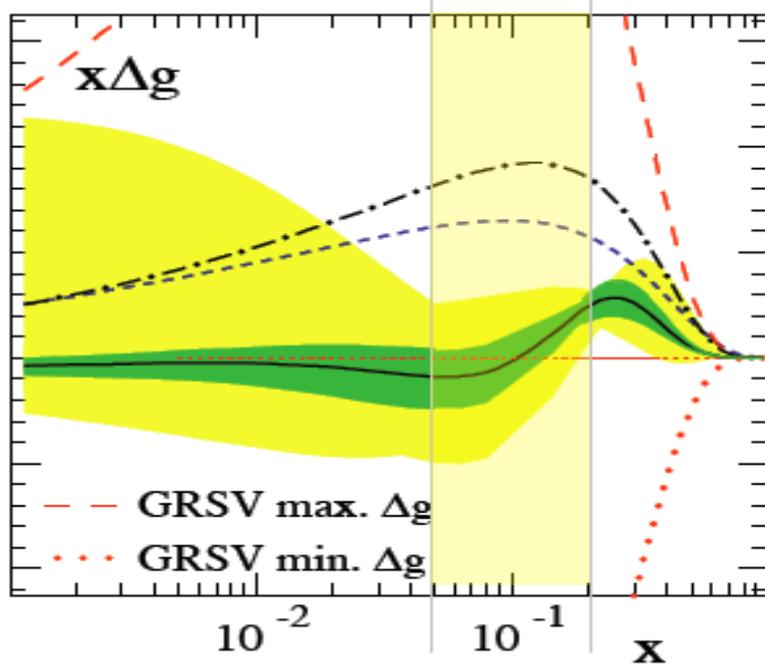
# Current status on $\Delta G$

## □ Definition:

$$\Delta G = \int_0^1 dx \Delta G(x) = \int_0^1 \frac{dx}{xp^+} \int \frac{dy^-}{2\pi} e^{ixp^+y^-} \langle p, s | F^{+\mu}(0) F^{+\nu}(y^-) | p, s \rangle (-i\epsilon_{\mu\nu})$$

## □ NLO QCD global fit - DSSV:

PRL101,072001(2008)



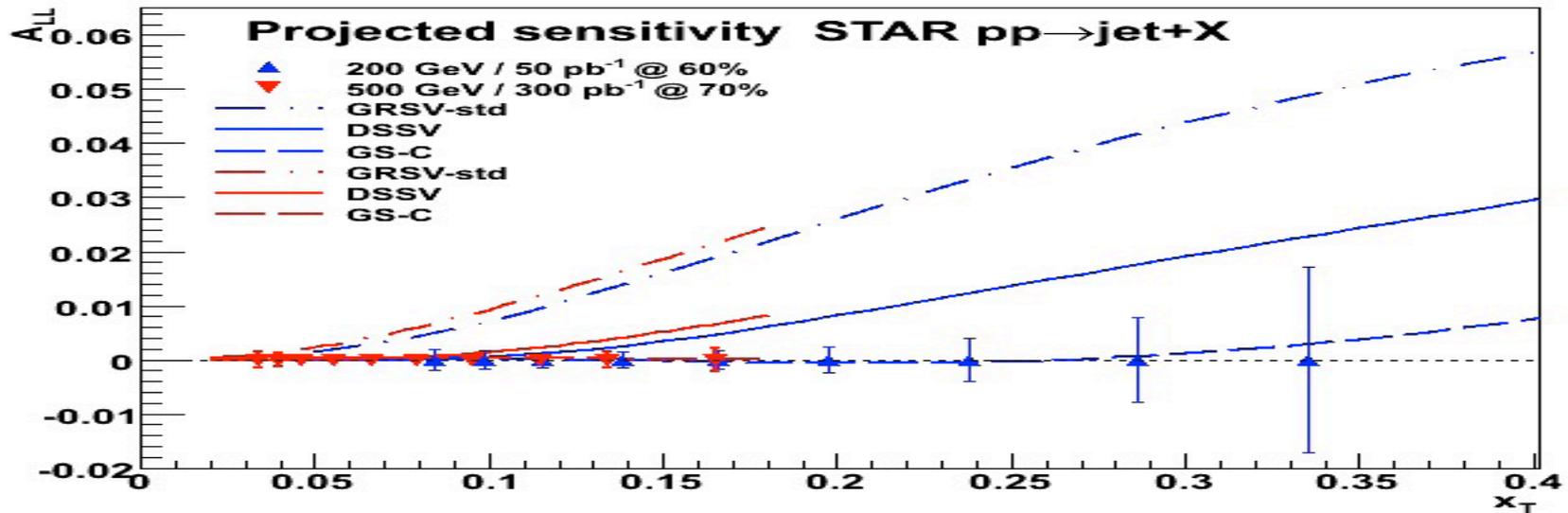
$$\Delta G \approx \int_{0.001}^1 dx \Delta G(x) = -0.084$$

**Strong constraint on  $\Delta G$  from**

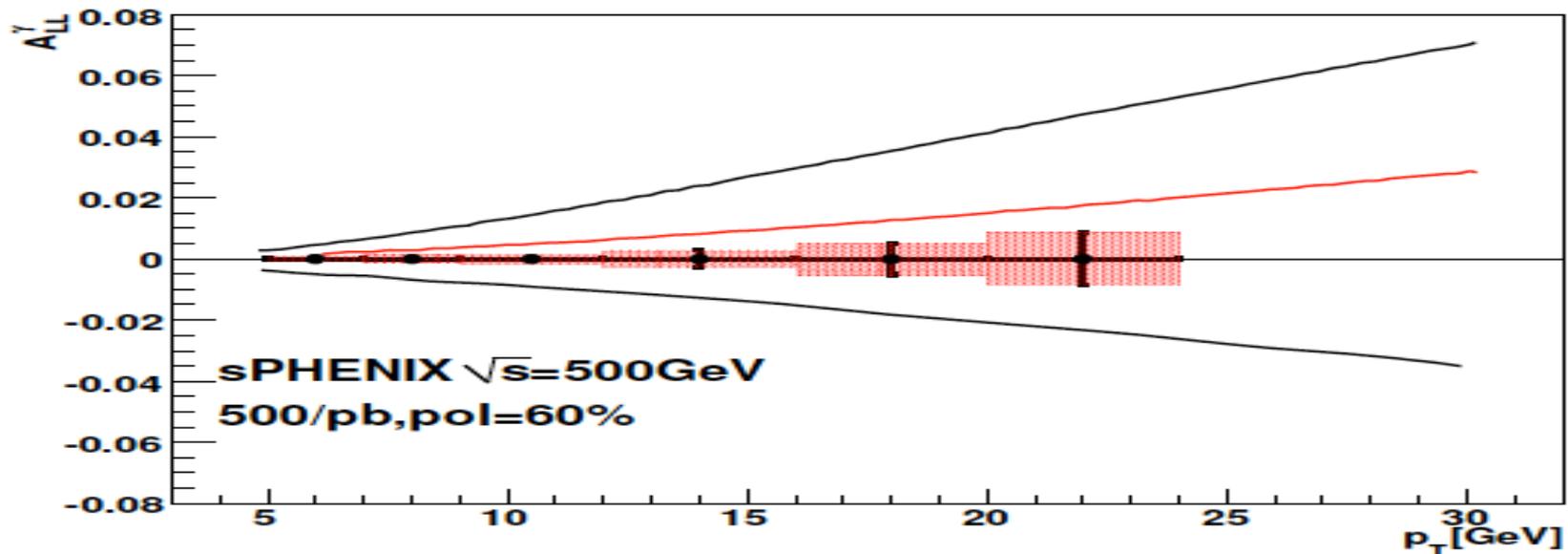
$$0.05 \lesssim x \lesssim 0.2$$

# Future measurement on $\Delta G$

## STAR – multiple channels – inclusive jet:

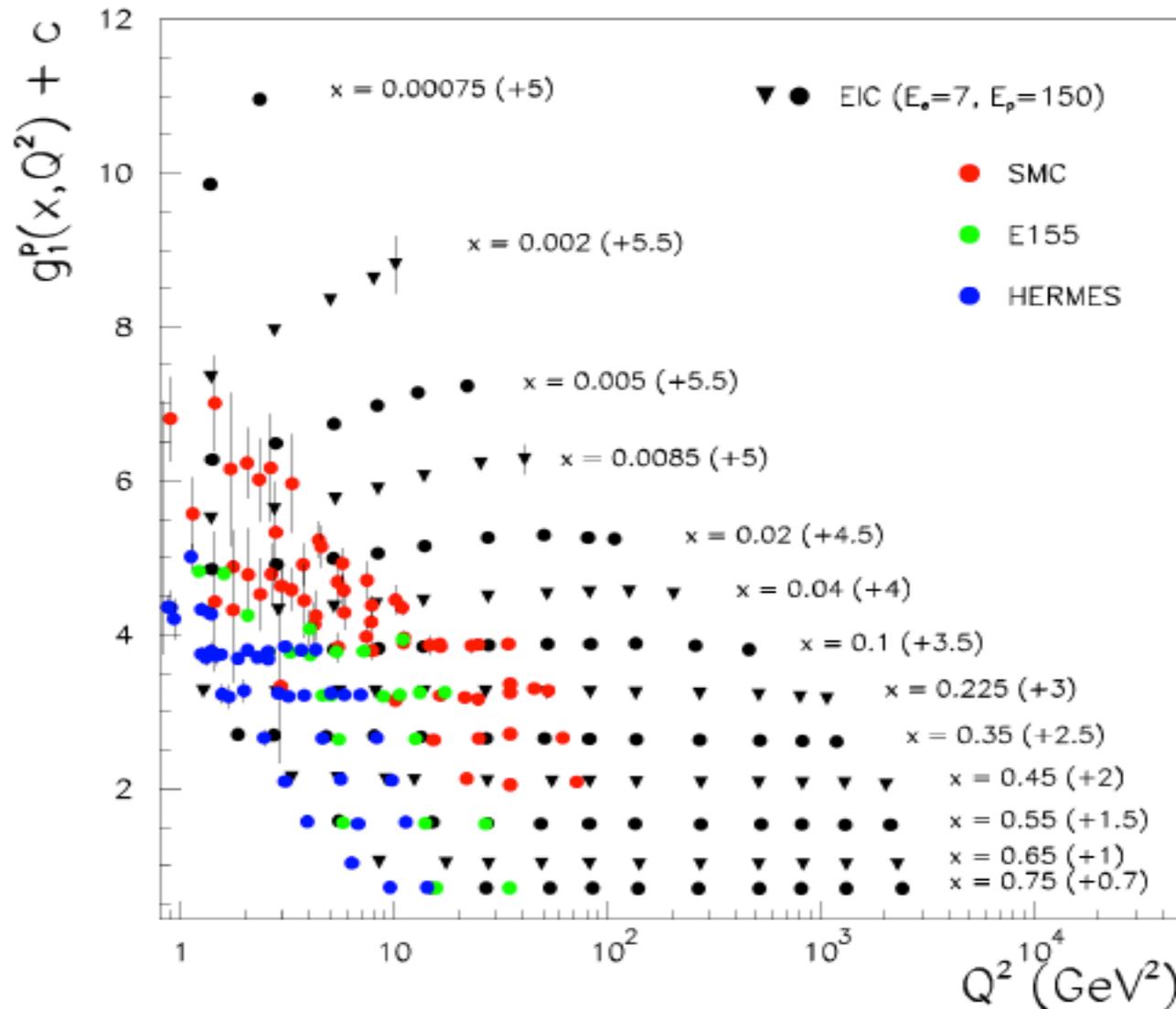


## PHENIX – multiple channels – $\gamma$ :



# EIC coverage

Bruell, Ent

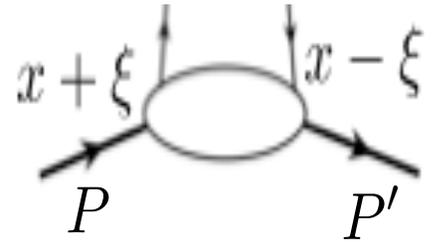


If intrinsic spin of quarks and gluons do not contribute much to proton's spin,  
Need to exam parton's transverse motion inside a proton?

# Contribution from parton's orbital motion

## □ Generalized quark distribution:

$$\begin{aligned}
 F_q(x, \xi, t, \mu^2) &= \int \frac{d\lambda}{2\pi} e^{-ix\lambda} \langle P' | \bar{\psi}_q(\lambda/2) \frac{\gamma \cdot n}{2P \cdot n} \psi_q(-\lambda/2) | P \rangle \\
 &\equiv H_q(x, \xi, t, \mu^2) [\bar{U}(P') \gamma^\mu U(P)] \frac{n_\mu}{2P \cdot n} \\
 &+ E_q(x, \xi, t, \mu^2) \left[ \bar{U}(P') \frac{i\sigma^{\mu\nu} (P' - P)_\nu}{2M} U(P) \right] \frac{n_\mu}{2P \cdot n}
 \end{aligned}$$



with  $\xi = (P' - P) \cdot n/2$  and  $t = (P' - P)^2 \Rightarrow -\Delta_\perp^2$  if  $\xi \rightarrow 0$

## □ Net parton's orbital motion:

$$\begin{aligned}
 J_q &= \frac{1}{2} \lim_{t \rightarrow 0} \int dx x [H_q(x, \xi, t) + E_q(x, \xi, t)] \\
 &= \frac{1}{2} \Delta q + L_q
 \end{aligned}$$

Ji, PRL78, 1997

## □ Connection to normal quark distribution:

$$H_q(x, 0, 0, \mu^2) = q(x, \mu^2)$$

# Lattice calculation on parton's orbital motion

## □ Moments of GPDs on lattice:

Negele et al

$$\langle J_q^i \rangle = S^i \int dx [H_q(x, 0, 0) + E_q(x, 0, 0)] x$$

## □ Ji's relation:

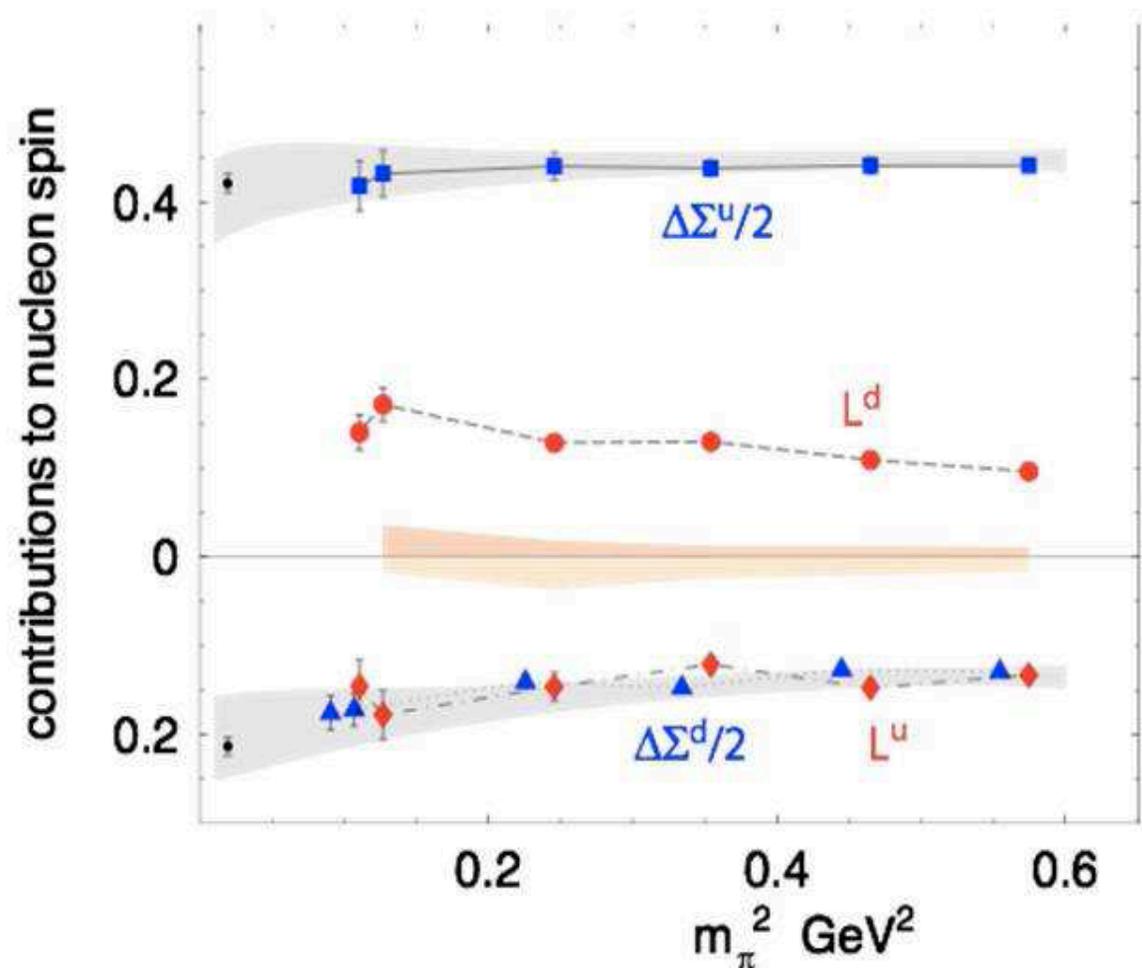
$$L_q^z = J_q^z - \frac{1}{2} \Delta q$$

## □ Both $L_u$ and $L_d$ large:

But,  $L_u + L_d \sim 0$

## □ Role of disconnected diagram – cloud?

EIC is an ideal place  
to measure GPDs – DVCS  
– energy and luminosity



# GPDs and transverse imaging

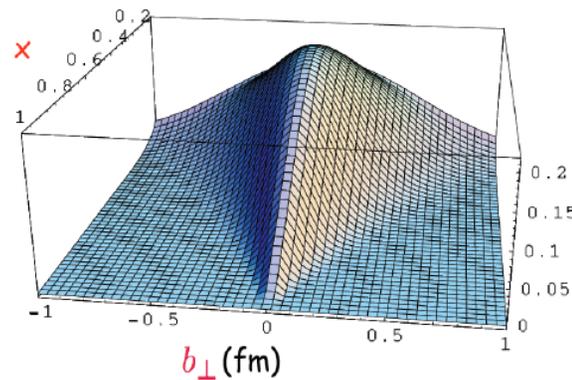
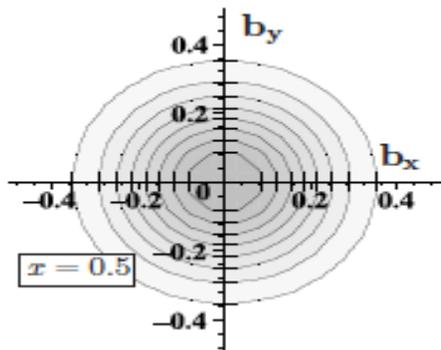
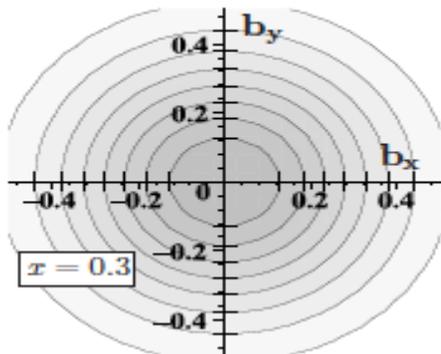
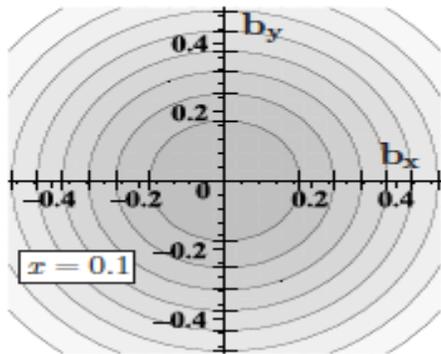
Burkardt, NPA711, 2002

## Spatial dependent quark distribution:

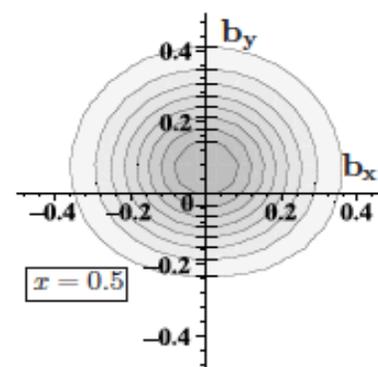
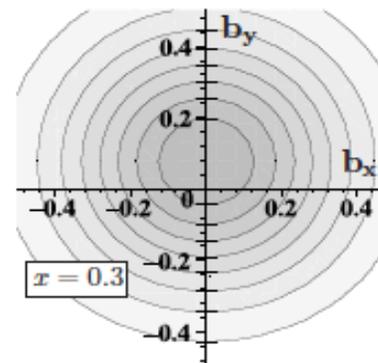
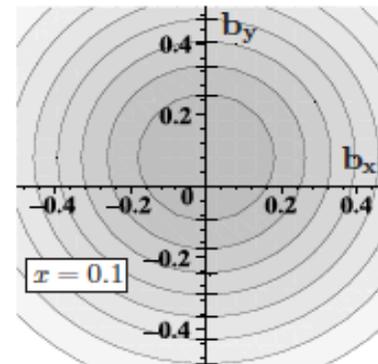
$$\int d^2 \Delta_{\perp} e^{-i \Delta_{\perp} \cdot \mathbf{b}_{\perp}} H_q(x, \xi = 0, -\Delta_{\perp}^2)$$

$q(x, \mathbf{b}_{\perp})$  for unpol. p

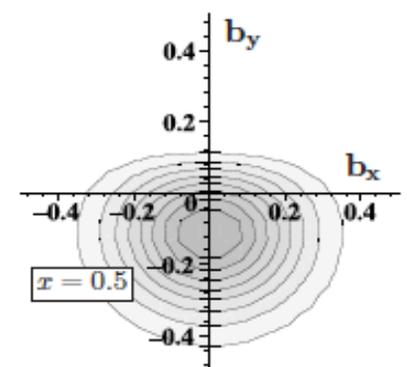
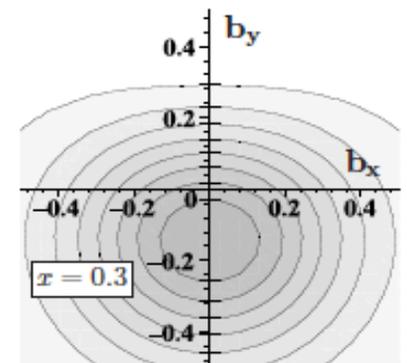
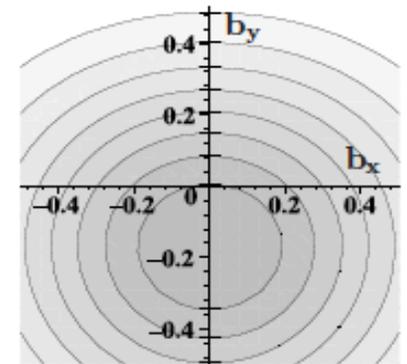
$$= q(x, b_{\perp})$$



$u(x, \mathbf{b}_{\perp})$

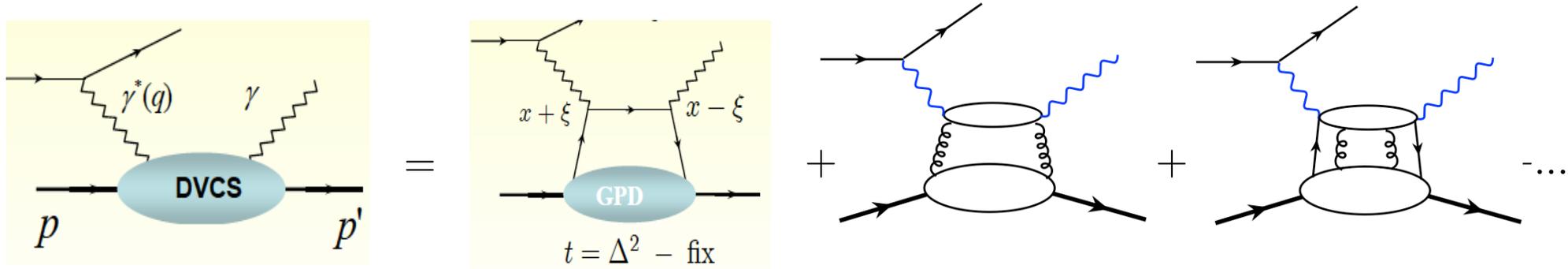


$d(x, \mathbf{b}_{\perp})$



# EIC is ideal for probing GPD's

□ DVCS – exchange vacuum quantum number:



□ DVCS – Factorization is ok if  $Q^2 \gg -t^2$

$\xi$  – Does not evolve

□ Evolution from color singlet ladder diagrams:

$x$  – convolution

□ DVCS – meson production:



$$Q^2 \gg -t^2 \gg \Lambda_{\text{QCD}}^2$$

Measured at H1, ZEUS, HERMES, JLAB CLAS and Hall A,  
planned for COMPASS and JLAB 12 GeV

EIC has a better chance to cover the needed kinematics

# Novel spin phenomena

## Single Transverse-Spin Asymmetry (SSA)

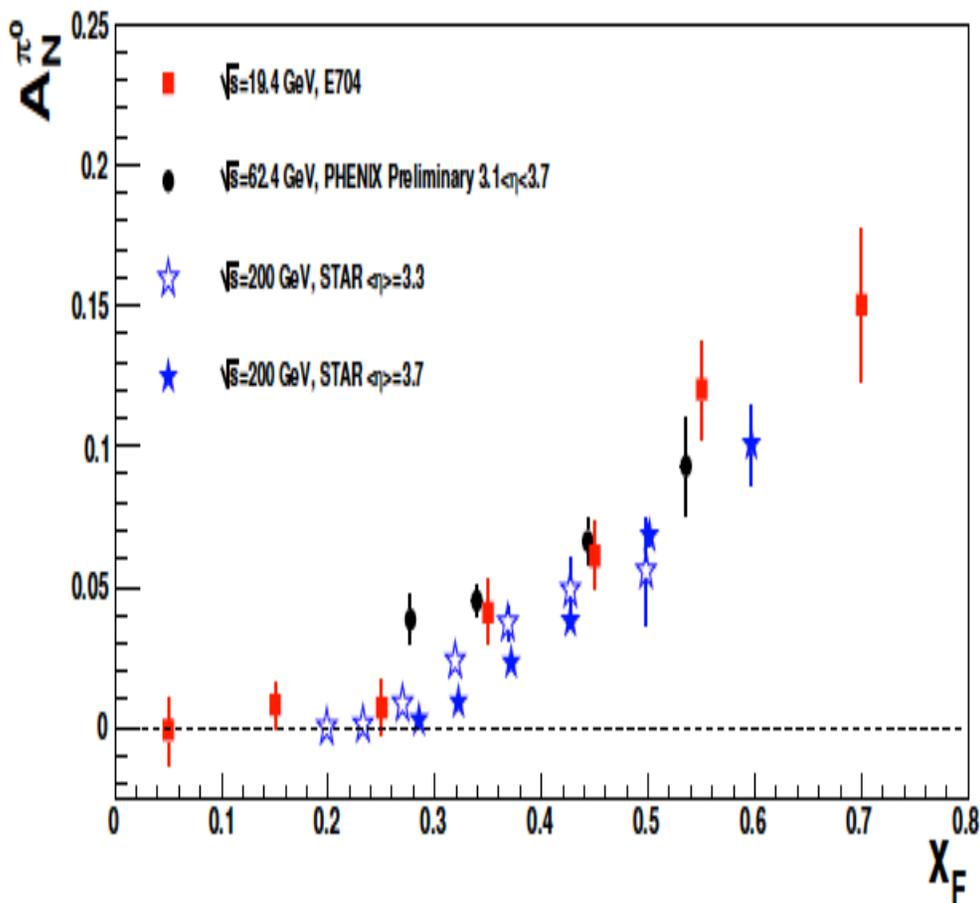
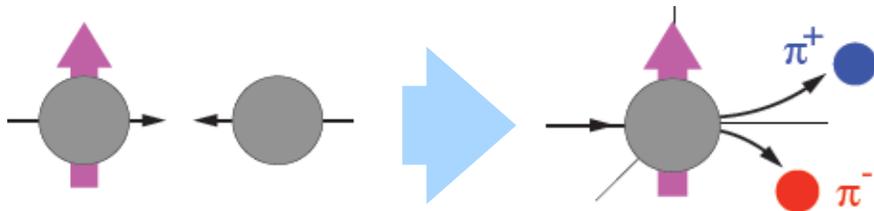
$$A(\ell, \vec{s}) \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

**A direct probe for parton's transverse motion**

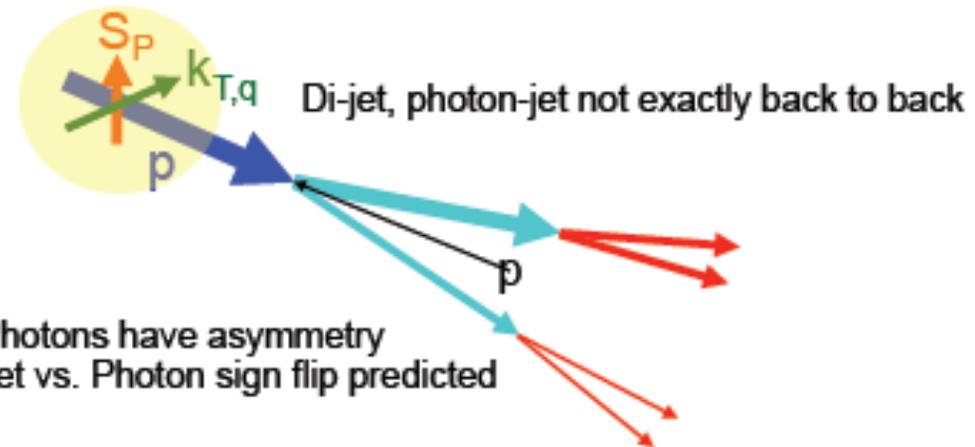
**A direct probe of QCD quantum interference**

# Transverse spin phenomena in QCD

## Left-right asymmetry:

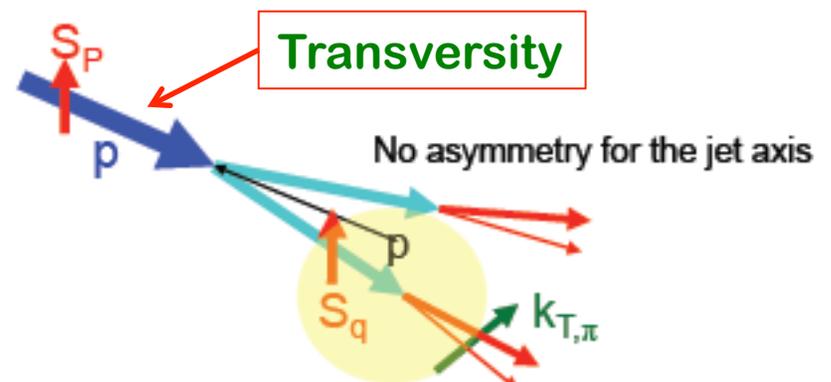


## Sivers effect:



Hadron spin influences parton's transverse motion

## Collins effect:



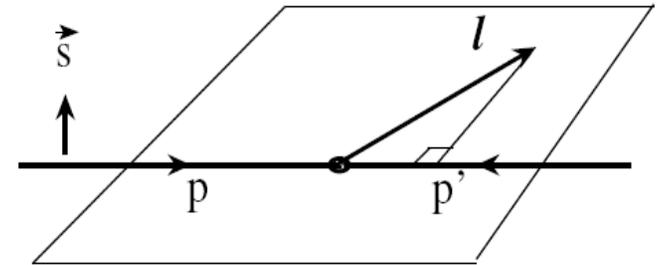
Parton's spin affects hadronization

# Single transverse spin asymmetry

□ SSA corresponds to a naively T-odd triple product:

$$A_N = [\sigma(p, s_T) - \sigma(p, -s_T)] / [\sigma(p, s_T) + \sigma(p, -s_T)]$$

$$A_N \propto i \vec{s}_p \cdot (\vec{p} \times \vec{\ell}) \Rightarrow i \epsilon^{\mu\nu\alpha\beta} p_\mu s_\nu \ell_\alpha p'_\beta$$



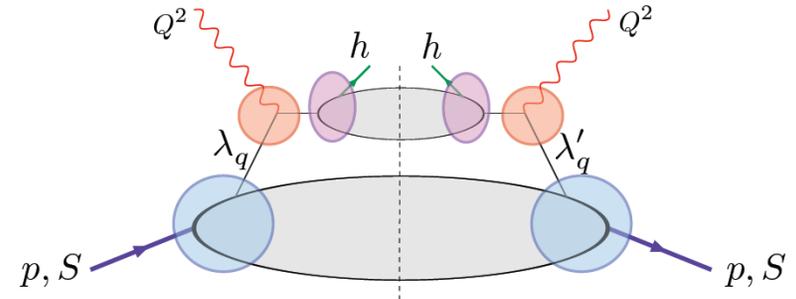
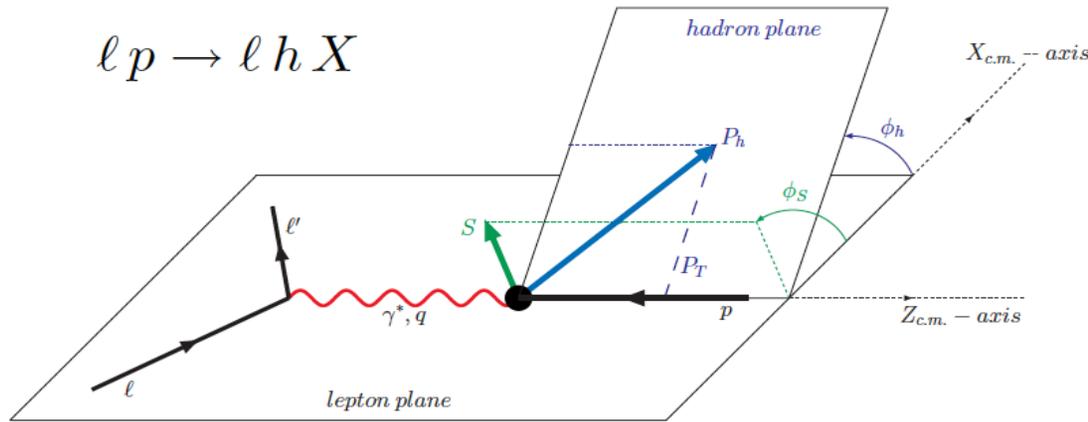
Novanish  $A_N$  requires a phase, enough vectors to fix a scattering plan, and a spin flip at the partonic scattering

□ Leading power in QCD:

$$\sigma_{AB}(p_T, \vec{s}) \propto \left[ \text{diagram 1} + \text{diagram 2} + \dots \right]^2 = \text{diagram 3} + \dots \propto \alpha_s \frac{m_q}{p_T}$$

# EIC is ideal for studying TMDs

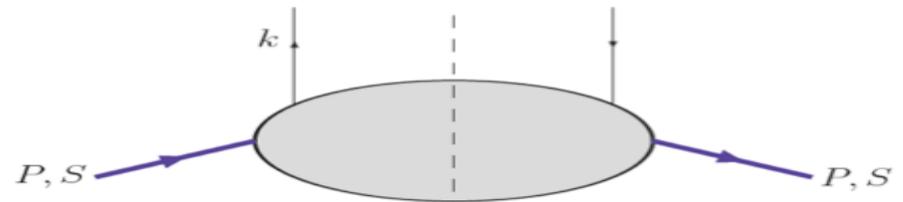
□ SIDIS has the natural kinematics for TMD factorization:



Natural event structure:  
high Q and low  $p_T$  jet (or hadron)

□ Quark TMD distributions:

$$\begin{aligned} \Phi(x, \mathbf{k}_\perp) = & \frac{1}{2} \left[ f_1 \not{n}_+ + f_{1T}^\perp \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^\mu n_+^\nu k_\perp^\rho S_T^\sigma}{M} + \left( S_L g_{1L} + \frac{\mathbf{k}_\perp \cdot \mathbf{S}_T}{M} g_{1T}^\perp \right) \gamma^5 \not{n}_+ \right. \\ & + h_{1T} i\sigma_{\mu\nu} \gamma^5 n_+^\mu S_T^\nu + \left( S_L h_{1L}^\perp + \frac{\mathbf{k}_\perp \cdot \mathbf{S}_T}{M} h_{1T}^\perp \right) \frac{i\sigma_{\mu\nu} \gamma^5 n_+^\mu k_\perp^\nu}{M} \\ & \left. + h_1^\perp \frac{\sigma_{\mu\nu} k_\perp^\mu n_+^\nu}{M} \right] \end{aligned}$$

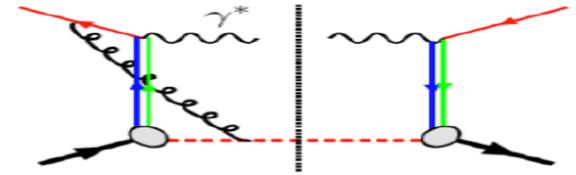
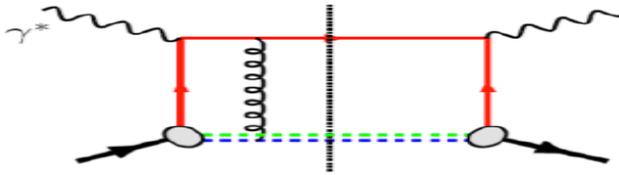


Total 8 TMD quark distributions

Similar decomposition for gluon TMD distributions

# Critical test of TMD factorization

□ Sign change:

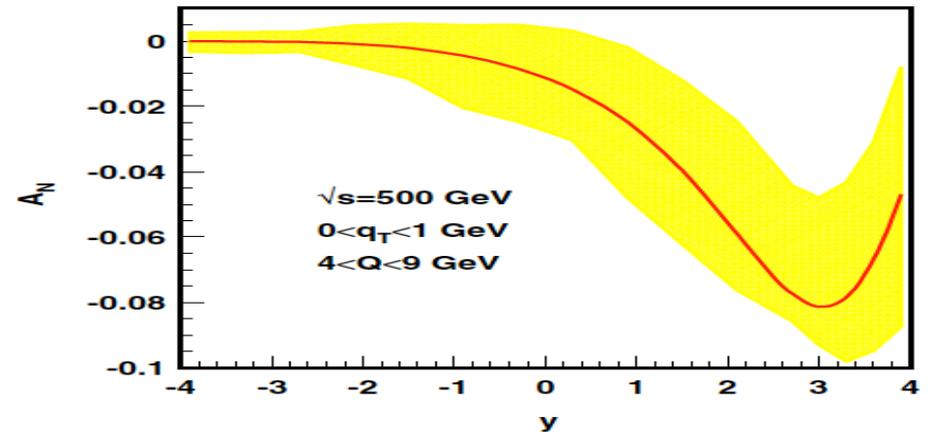
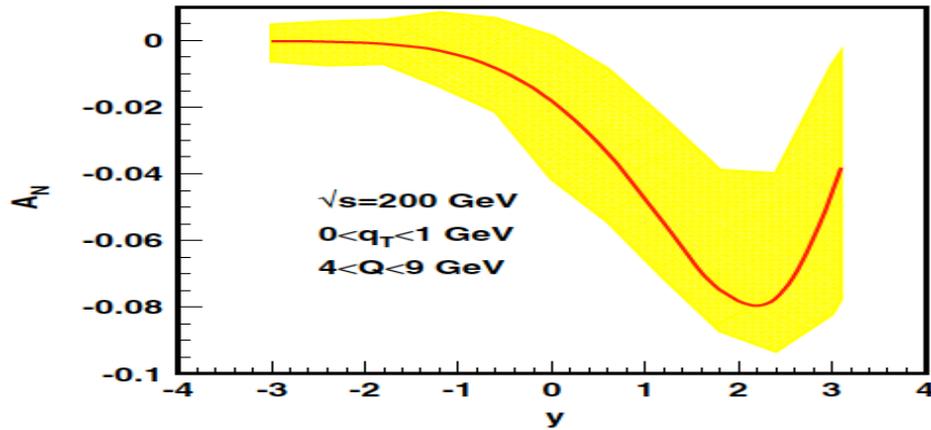


Collins et al. 2006

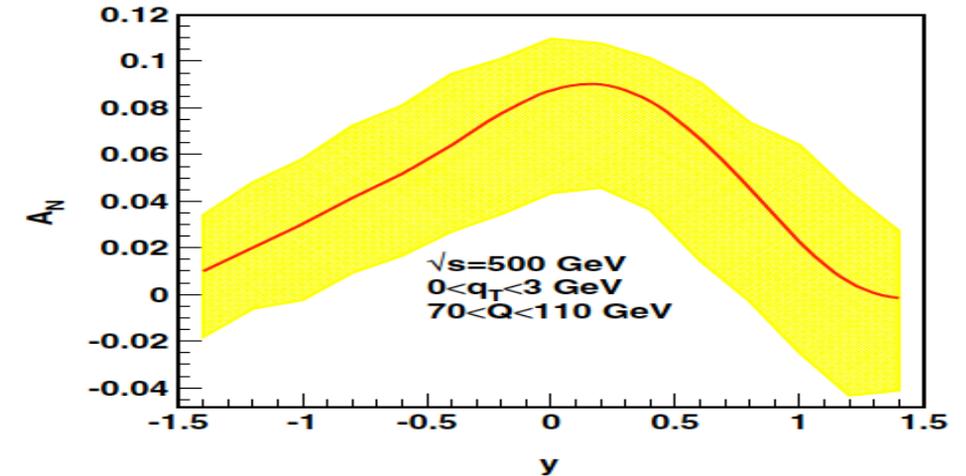
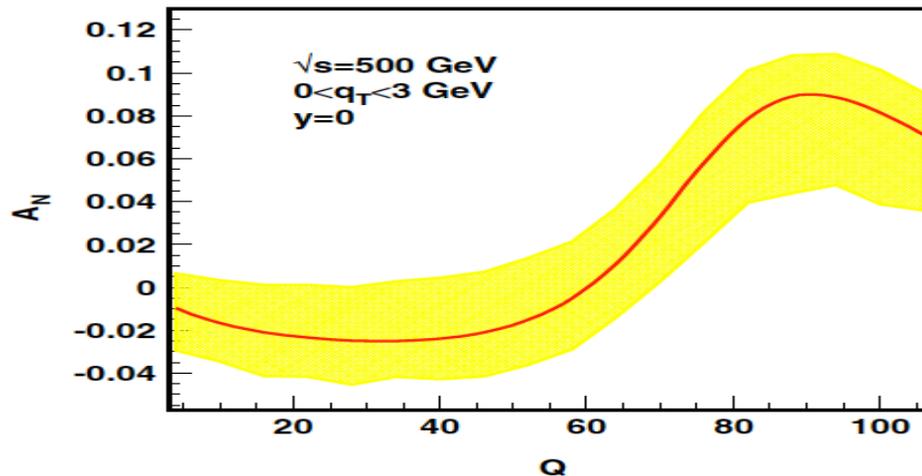
□ Drell-Yan:

$$A_N^{\sin(\phi-\phi_s)} = -A_N$$

Kang, Qiu, 2009



□  $Z^0$ :



# Map parton's motion by a hard probe

## □ Fully unintegrated distribution:

Meissner, Metz, Schiegel, 2009

$$W_{\lambda\lambda'}^{[\Gamma]}(P, k, \Delta, N; \eta) = \frac{1}{2} \int \frac{d^4 z}{(2\pi)^4} e^{ik \cdot z} \langle p', \lambda' | \bar{\psi}(-\frac{1}{2}z) \Gamma \mathcal{W}(-\frac{1}{2}z, \frac{1}{2}z | n) \psi(\frac{1}{2}z) | p, \lambda \rangle$$

– not factorizable in general

## □ Generalized TMDs – ideal distribution:

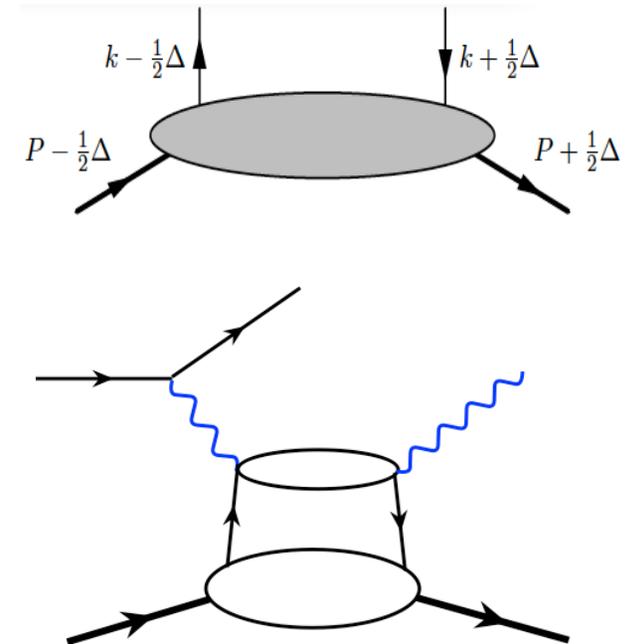
$$H(x, k_T, \Delta)_\Gamma = \int dk^2 W(P, k, \Delta)_\Gamma$$

– could be factorized assuming on-shell parton for the hard probe

Only EIC could have a chance to probe this!

## □ Wigner function:

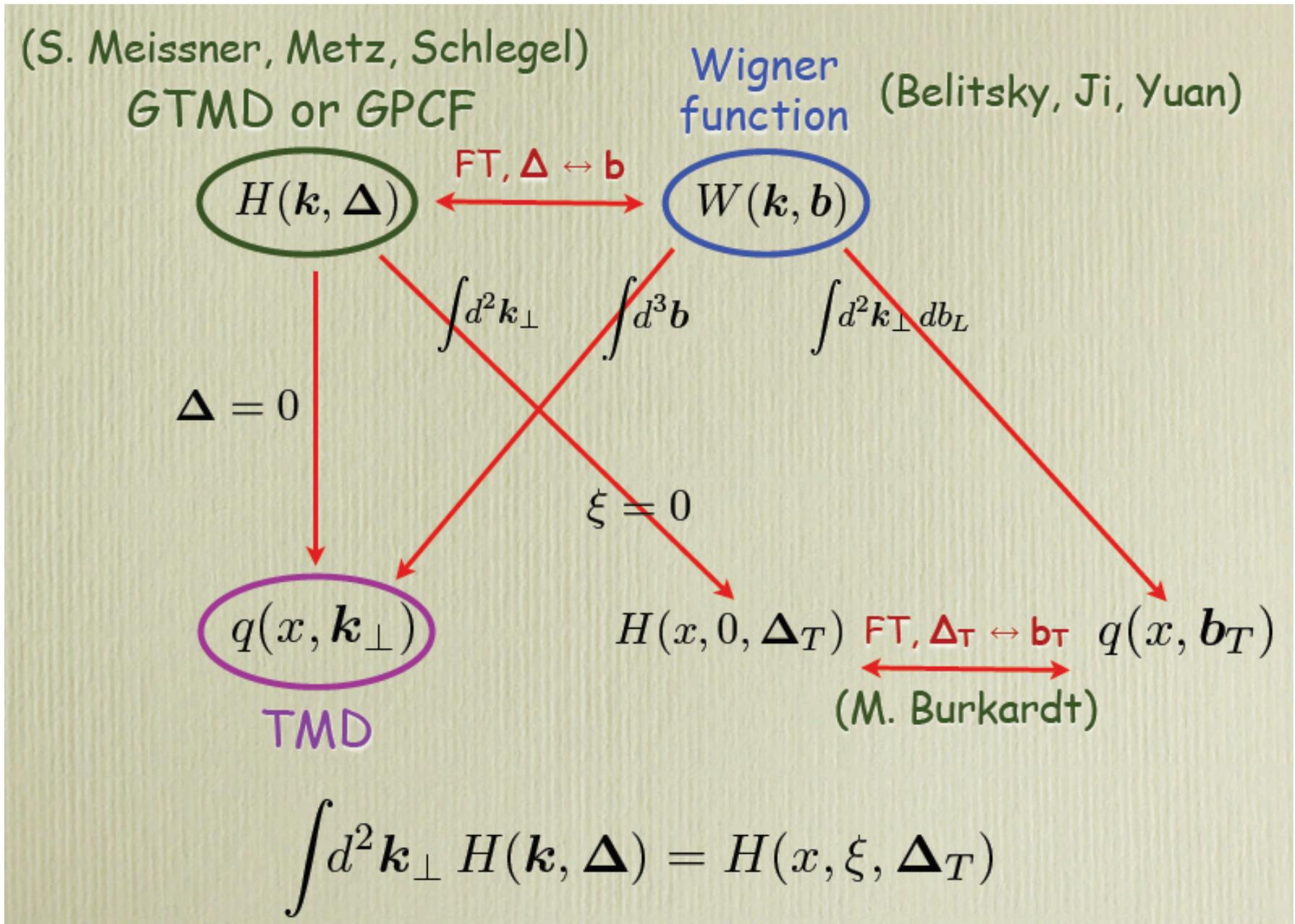
$$W(x, k_T, b) \propto \int d^3 \Delta e^{i\vec{b} \cdot \vec{\Delta}} H(x, k_T, \Delta)_{\Gamma=\gamma^+}$$



Belitsky, Ji, Yuan

# Connection to all other known distributions

Anselmino, INT



# Cross section with ONE large scale

Efremov, Teryaev, 82; Qiu, Sterman, 91, etc.

## □ $A_N$ – twist-3 effect – when $P_T \sim Q$ :

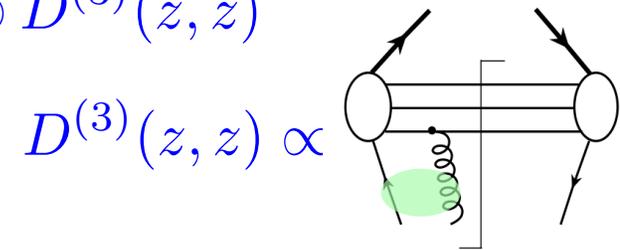
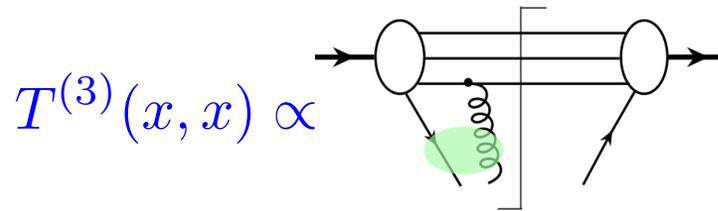
$$\sigma(Q, \vec{s}) \propto \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \\ \dots \end{array} \right|^2$$

Diagram 1: A vertex with incoming lines  $p, \vec{s}$  and outgoing lines  $k$  and  $t \sim 1/Q$ . A gluon line connects this vertex to another vertex.

Diagram 2: Similar to Diagram 1, but with a different internal gluon line configuration.

Diagram 3: Similar to Diagram 1, but with a different internal gluon line configuration.

$$\Delta(s_T) \propto T^{(3)}(x, x) \otimes \hat{\sigma}_T \otimes D_f(z) + \delta q_f(x) \otimes \hat{\sigma}_D \otimes D^{(3)}(z, z)$$



## □ Spin flip:

Qiu, Sterman, 1991, ...

Kang, Yuan, Zhou, 2010

– Interference of single parton and a two-parton composite state

## □ The phase:

– Interference of Real and Imaginary part of scattering amplitude

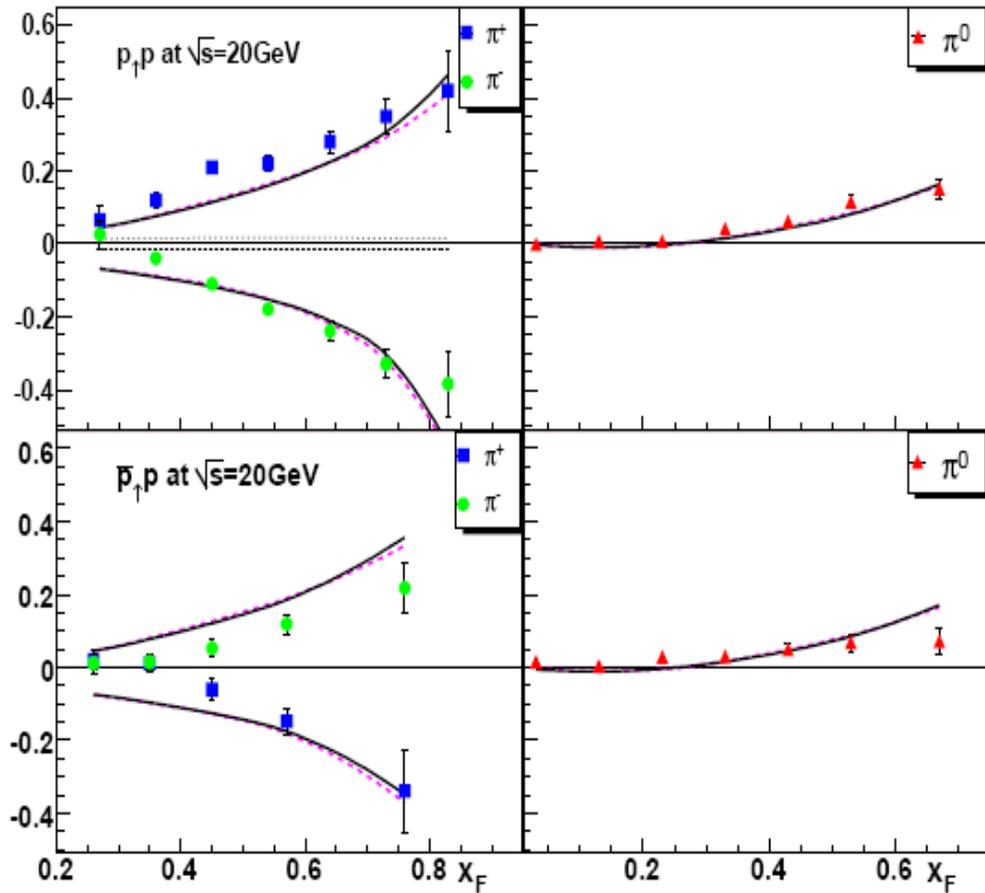
– gluonic pole:  $\propto T^{(3)}(x, x)$

– fermionic pole contribution:  $\propto T^{(3)}(x, 0)$  or  $T^{(3)}(0, x)$

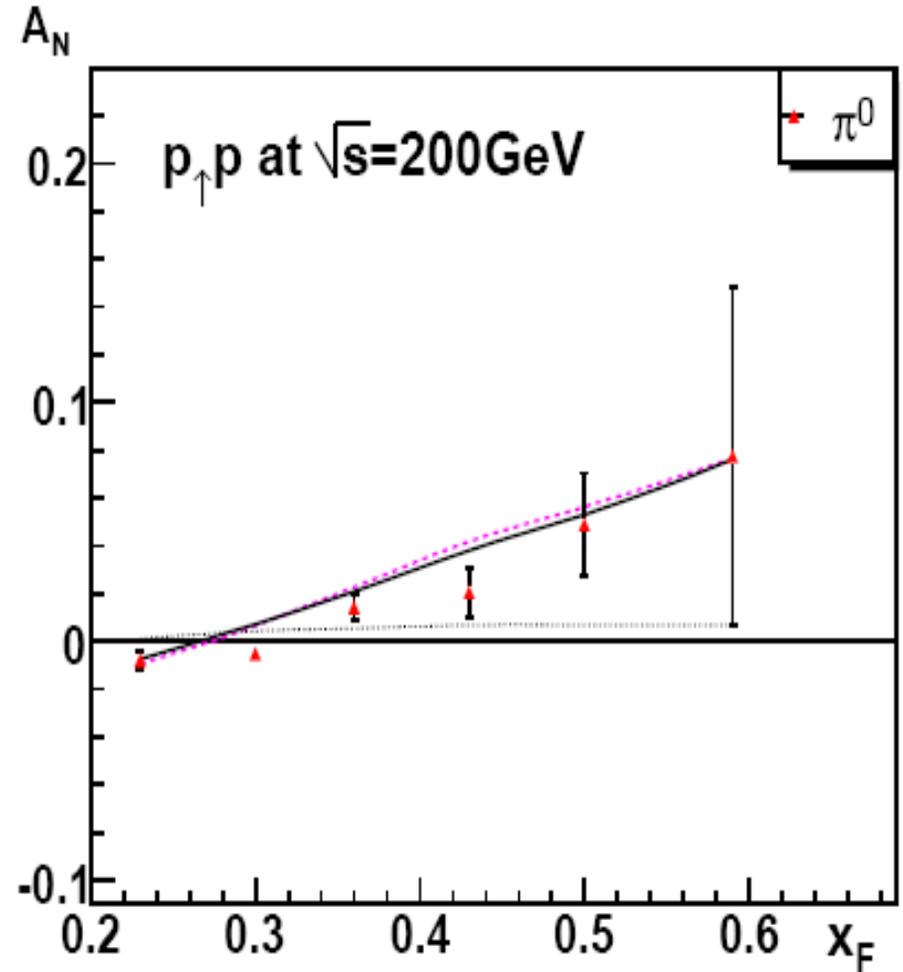
➡ **Integrated information on parton's transverse motion!**

# Asymmetries from the $T_F(x,x)$

(FermiLab E704)



(RHIC STAR)



Kouvaris, Qiu, Vogelsang, Yuan, 2006

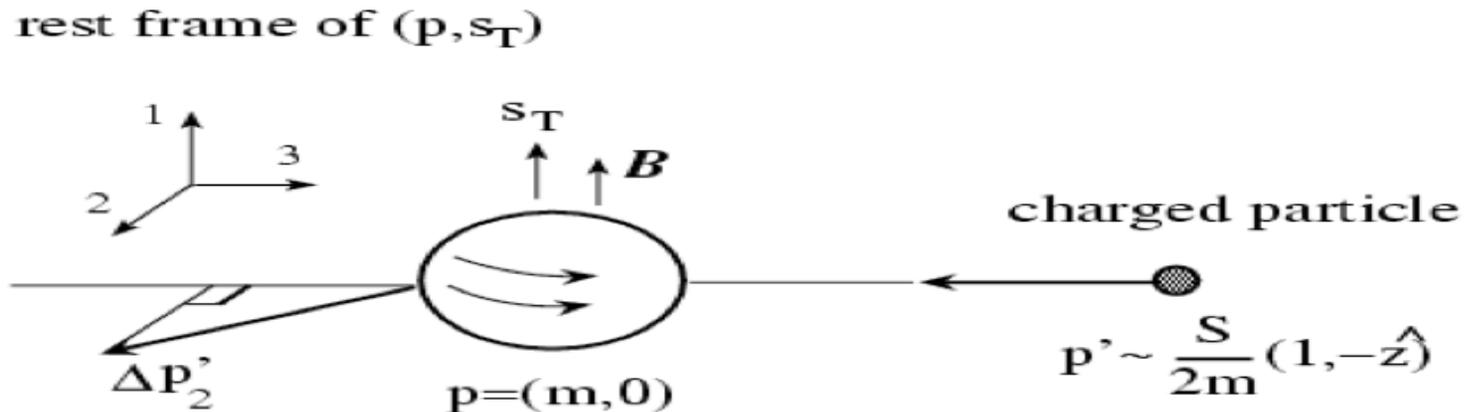
Nonvanish twist-3 function



Nonvanish transverse motion

# What the twist-3 distribution can tell us?

- The operator in Red – a classical Abelian case:



- Change of transverse momentum:

$$\frac{d}{dt} p'_2 = e(\vec{v}' \times \vec{B})_2 = -ev_3 B_1 = ev_3 F_{23}$$

- In the c.m. frame:

$$(m, \vec{0}) \rightarrow \bar{n} = (1, 0, 0_T), \quad (1, -\hat{z}) \rightarrow n = (0, 1, 0_T)$$

$$\implies \frac{d}{dt} p'_2 = e \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+$$

- The total change:

$$\Delta p'_2 = e \int dy^- \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y^-)$$

Net quark transverse momentum imbalance caused by color Lorentz force inside a transversely polarized proton

# Global QCD analysis for SSA

## □ Universality of correlation functions:

$$\langle P, s | \bar{\psi}(0) \gamma^+ \psi(y^-) | P, s \rangle \longrightarrow \langle P, s | \bar{\psi}(0) \gamma^+ \left[ \epsilon_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

$$\langle P, s | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(y^-) | P, s \rangle \longrightarrow \langle P, s | \bar{\psi}(0) \gamma^+ \left[ i g_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

Kang, Qiu, 2009

Same replacement for the gluons

## □ Scaling violation of correction functions:

Leading order evolution kernels for all channels have been derived!

Kang, Qiu, 2009

Yuan, Zhou, 2009

Braun et al, 2009

## □ What are urgently needed:

NLO partonic contributions to SSA of all measurable observables!

Vogelsang, Yuan, 2009

## □ A completely new domain to test QCD!

From parton's transverse motion to direct QCD quantum interference

# Collinear vs TMD factorization

## □ Relation between TMD and collinear parton distributions:

spin-averaged: 
$$\int d^2 k_T f_a^{\text{SIDIS}}(x, k_T) + \text{UVCT}(\mu^2) = q_a(x, \mu^2)$$

Transverse-spin: 
$$\frac{1}{M_P} \int d^2 \vec{k}_\perp \vec{k}_\perp^2 q_T(x, k_\perp) + \text{UVCT}(\mu^2) = T_F(x, x, \mu^2)$$

## □ Relation between two factorization schemes

They are valid for different kinematical regions:

Collinear:  $Q_1 \dots Q_n \gg \Lambda_{\text{QCD}}$

TMD:  $Q_1 \gg Q_2 > \Lambda_{\text{QCD}}$

Common region – perturbative region:

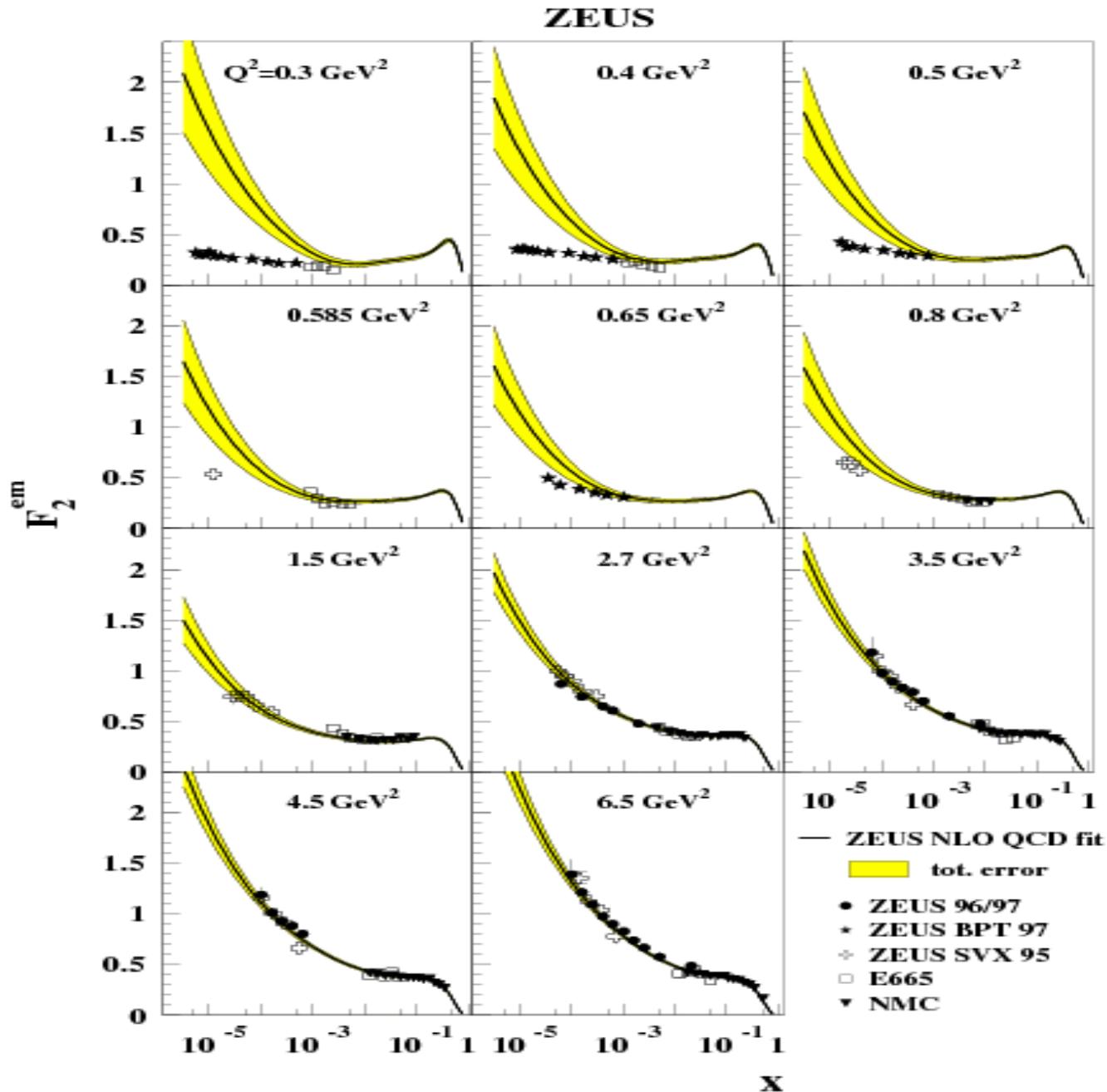
$$Q_1 \gg Q_2 \gg \Lambda_{\text{QCD}}$$

where both schemes are expected to be valid

# QCD at an extreme condition

Saturation of glue inside a hadron  
a new mass scale?

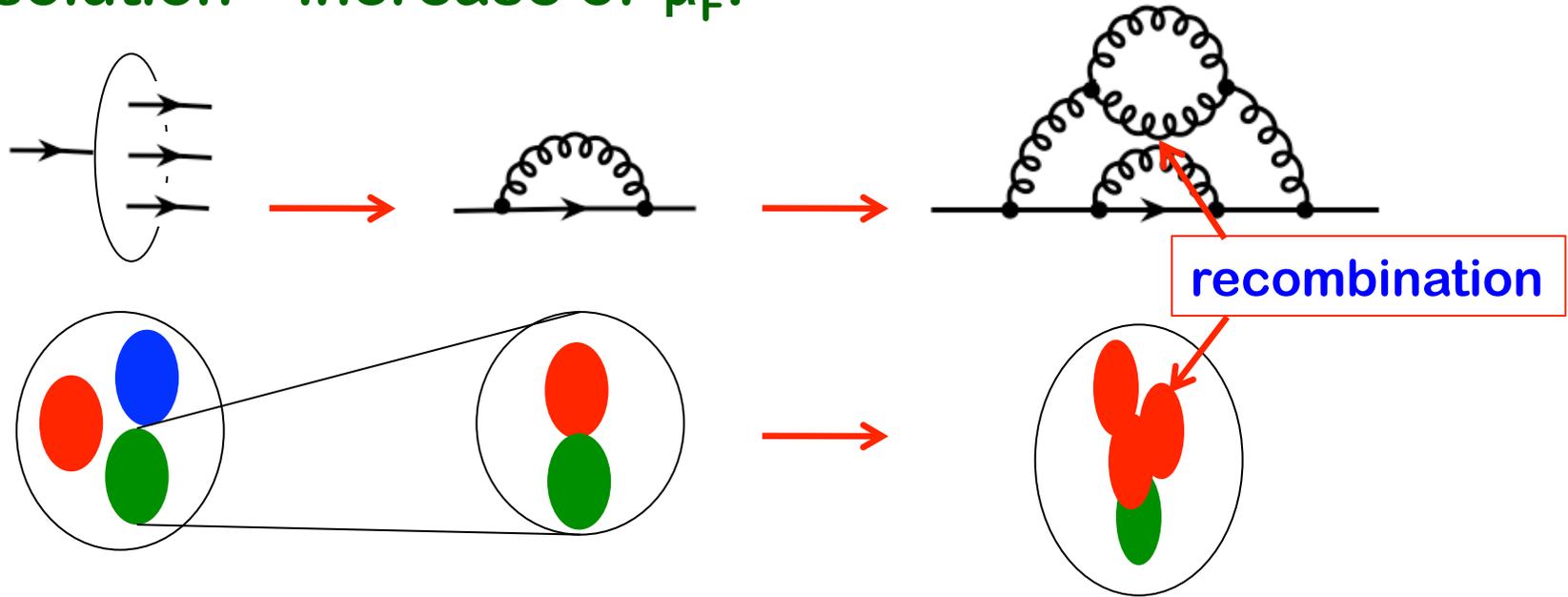
# Breakdown of leading power pQCD



Only visible failure  
when  $Q < 1 \text{ GeV}$

# Change $Q^2$

## Resolution – increase of $\mu_F$ :

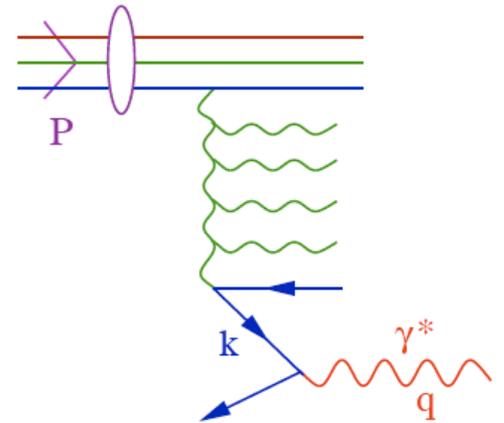


## DGLAP evolution:

$$\frac{\partial \phi_g(x, \mu^2)}{\partial \ln(\mu_F^2)} = P_{gg}(x) \otimes \phi_g(x, \mu^2) + \dots$$

$$P_{gg}(x) \propto \frac{1}{x}$$

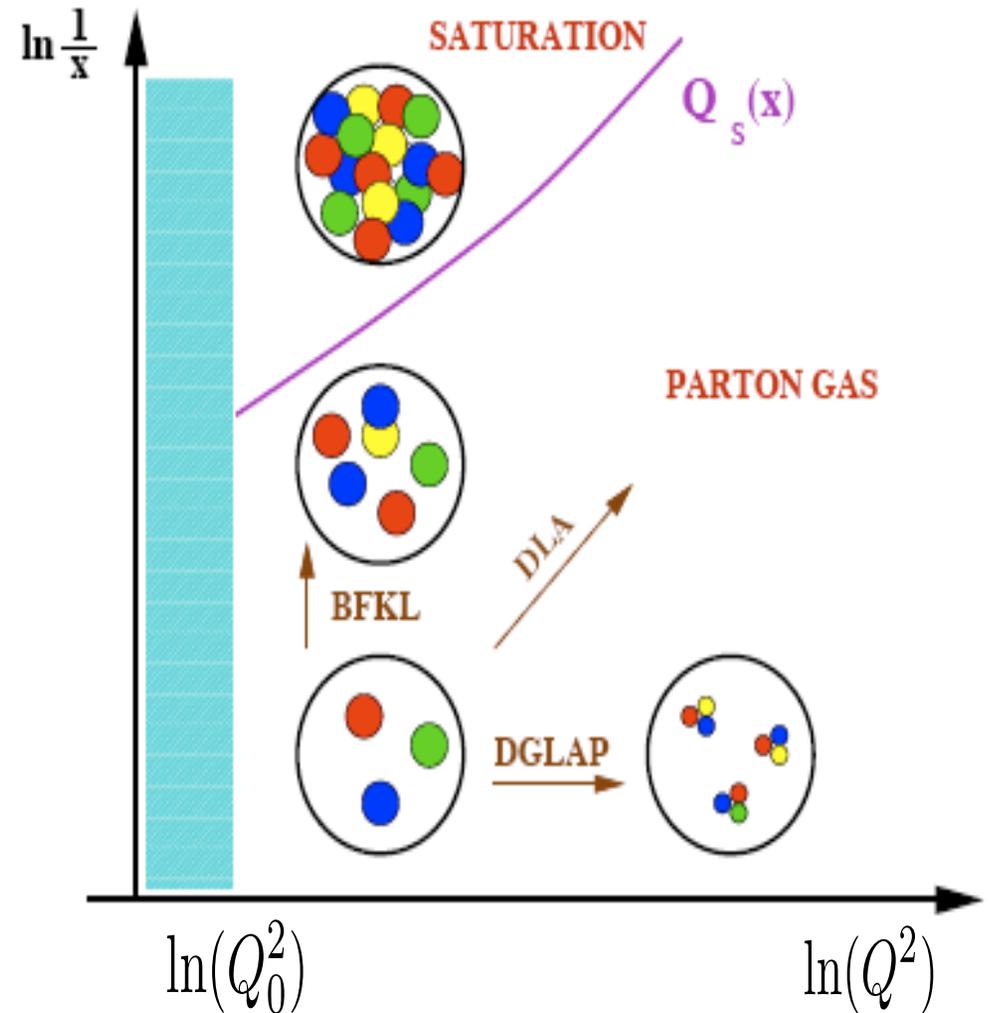
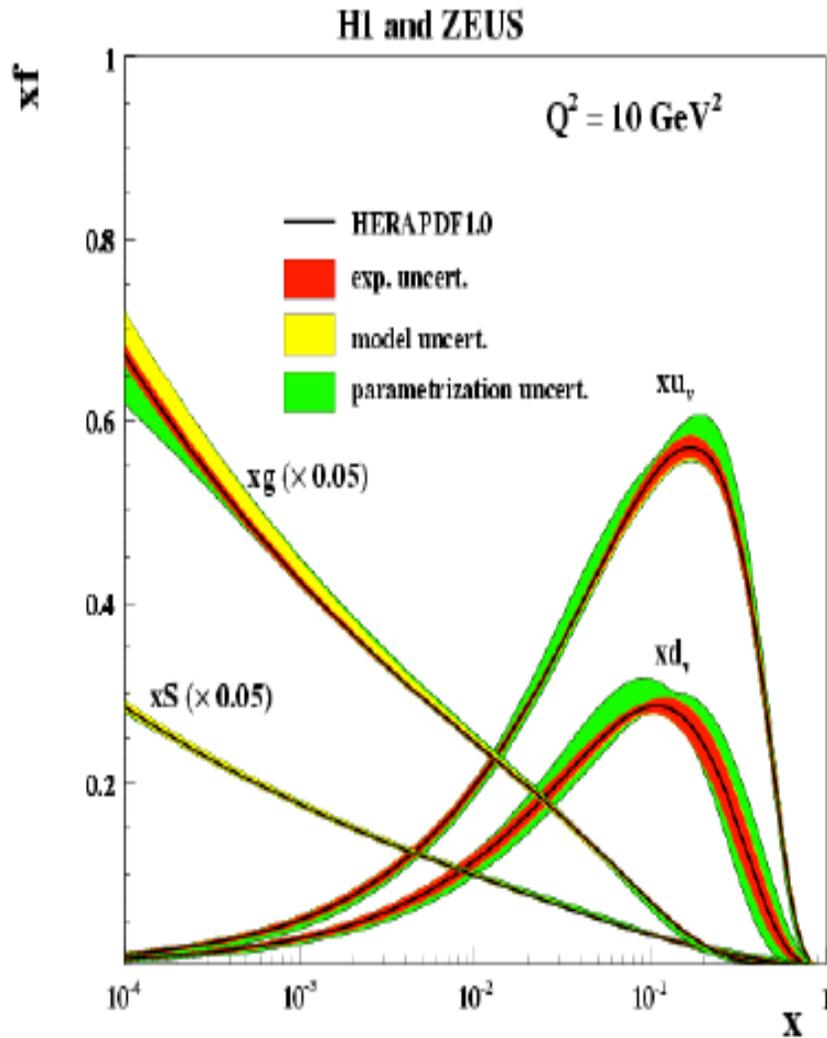
More partons at a smaller  $1/\mu_F$



Large number gluons (sea quarks) at small  $x$ !

# When $s \gg Q^2$ - small $x$ region

□ Large gluon density – saturation?:



# Important fact

**Gluon distribution is not physical!**

It is its connection to a physical observable that makes the gluon distribution accessible – “physical”

**Factorization is the key!**

Factorization is an all order statement in perturbation theory

**How to “see” the glue?**

Charm and beauty can help!

# Collinear factorization

- Collinear gluon distribution is process independent!

Operator defining the collinear gluon is “local” – limited to  $1/Q$

- Collinear gluon distribution is scheme dependent!

DIS scheme: No glue contribution to DIS  $F_2$  structure function!

- Limitation:

While it worked beautifully for observables with  $Q^2 \gg Q_s^2(x)$ ,

collinear factorization is expected to fail when  $Q^2 \sim Q_s^2(x)$

# Negative glue at a low $Q^2$

- ❑ Negative gluon density at low  $x$  and low  $Q$

Does it mean that we have no gluon for  $x < 10^{-3}$  at 1 GeV?

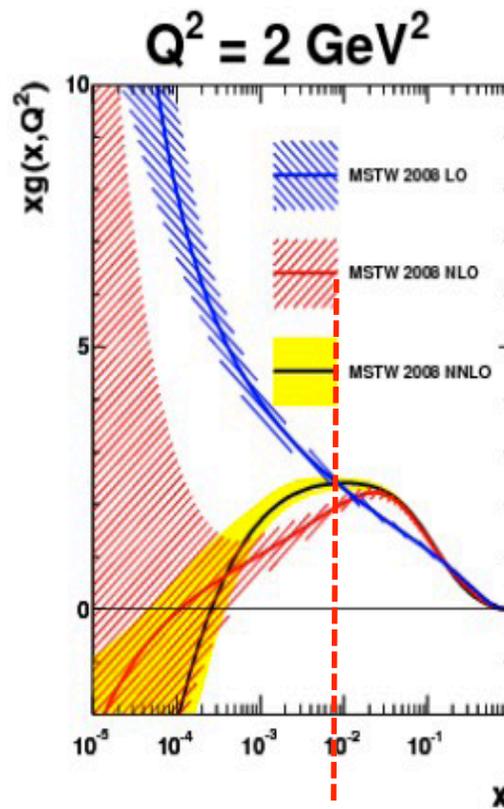
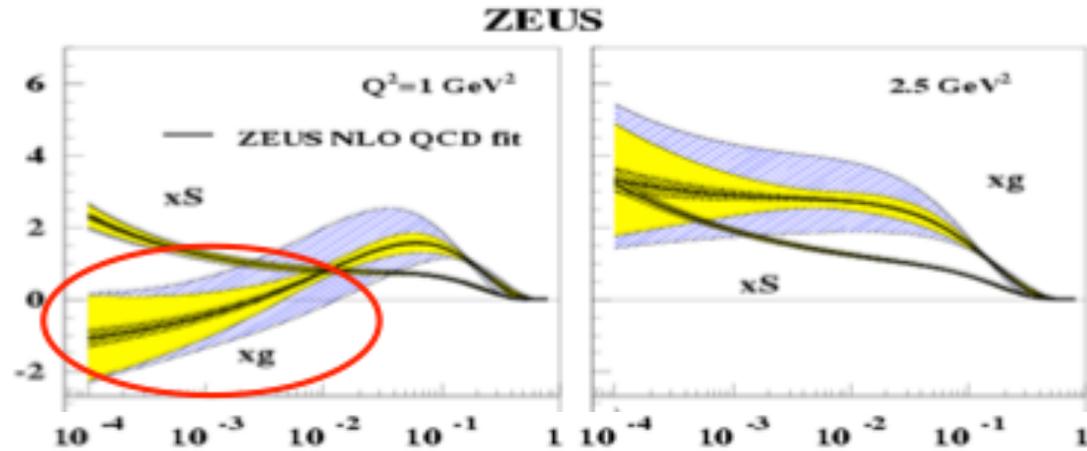
**No!**

- ❑ DGLAP evolution:

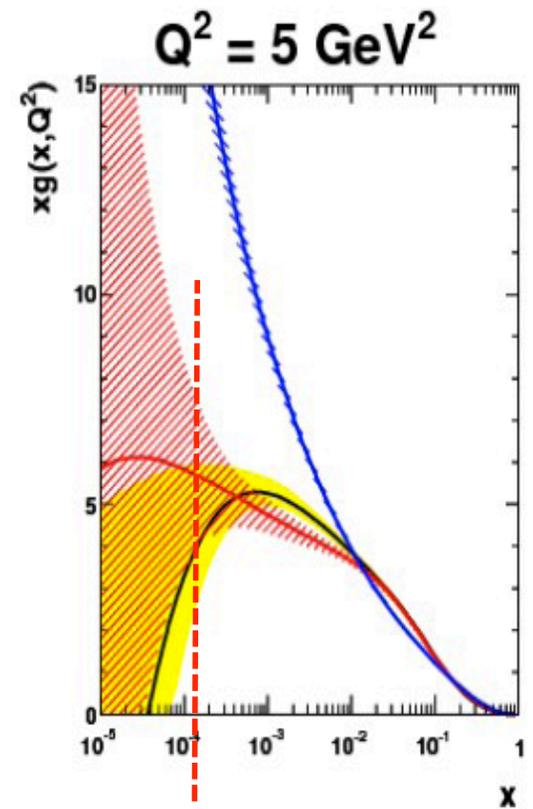
$$P_{g/g}^{(1)}(x) \propto \frac{1}{x}$$

Gluon recombination slows down small- $x$  evolution

More direct probe of glue!



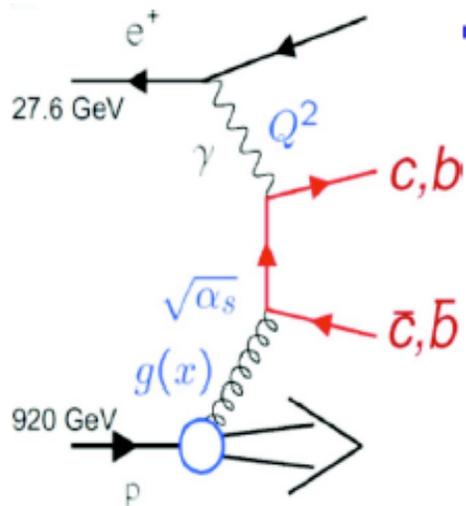
RHIC



LHC MSTW 2008

# Direct information on gluon distribution

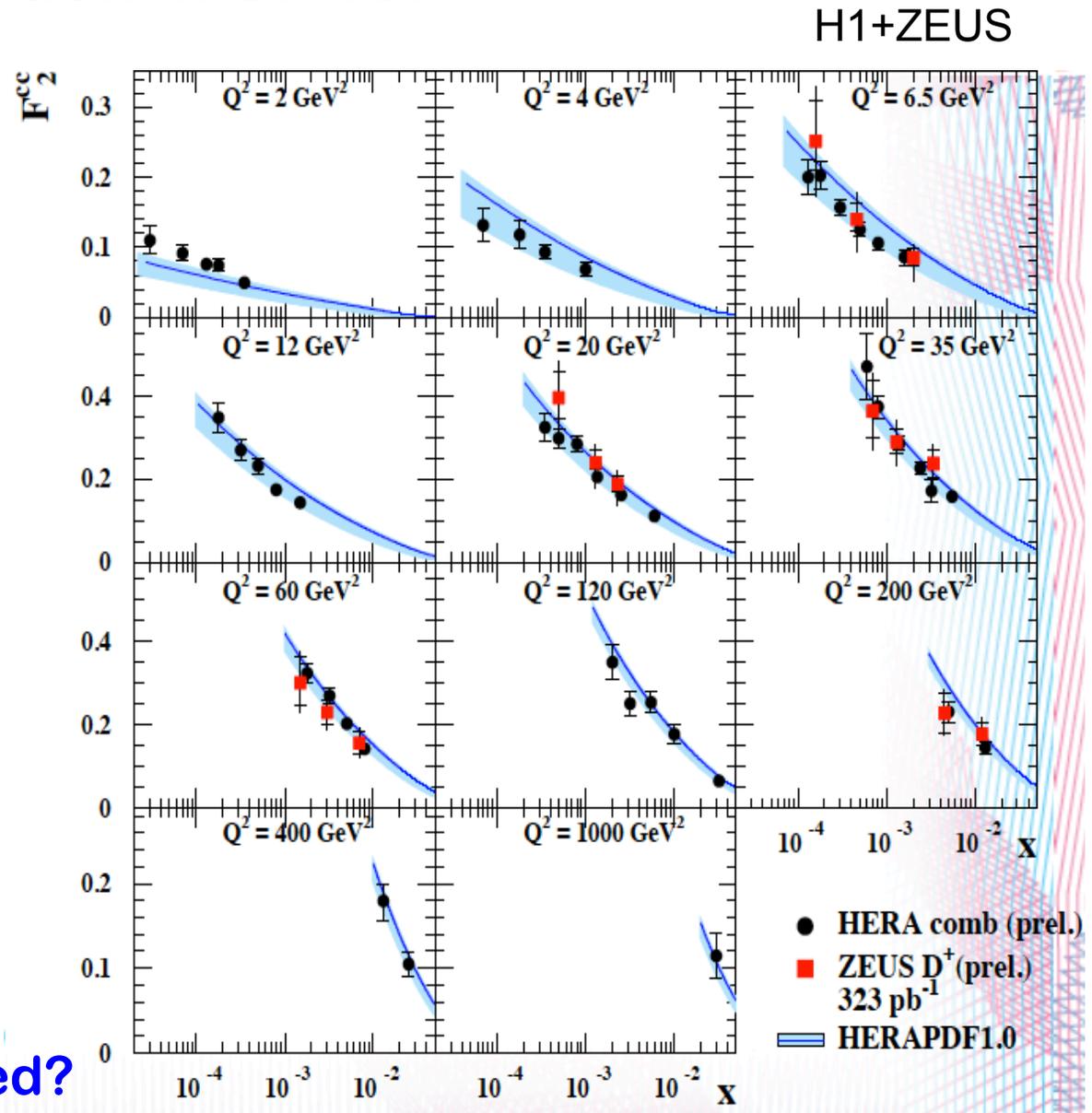
## Heavy flavor production in SIDIS:



Gluon continues to grow at  $x=10^{-5}$  and  $Q^2=2 \text{ GeV}^2$

No sign of saturation yet at  $Q^2=2 \text{ GeV}^2$ !

What would happen if a nuclear target/beam is used?



# Measurement of $F_L$

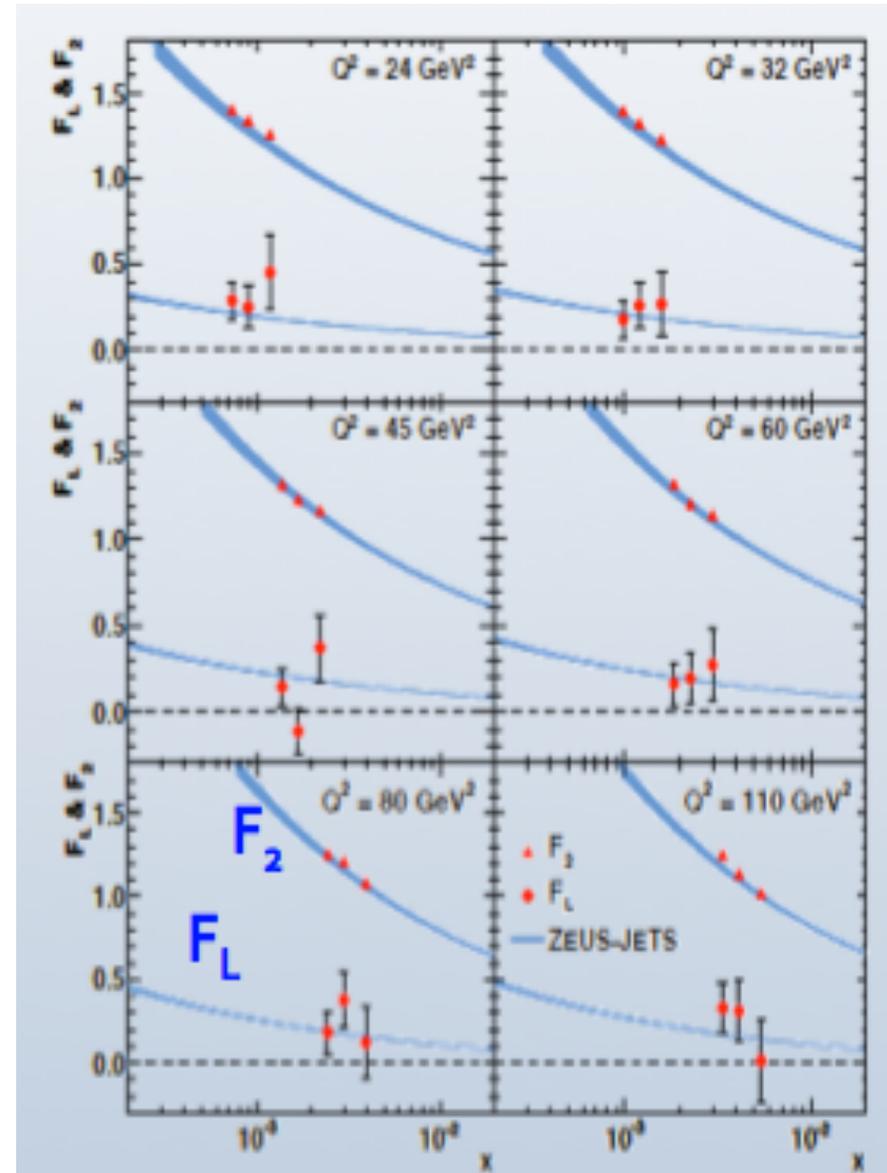
□  $F_L$  starts at  $O(\alpha_s)$ :

$$F_L = \frac{\alpha_s}{4\pi} x^2 \int_x^1 \frac{dz}{z^3} \left[ \frac{16}{3} F_2(z) + 8 \sum_q e_q^2 \left(1 - \frac{x}{z}\right) z g(z) \right]$$


HERA data does not give new additional constraints on gluon

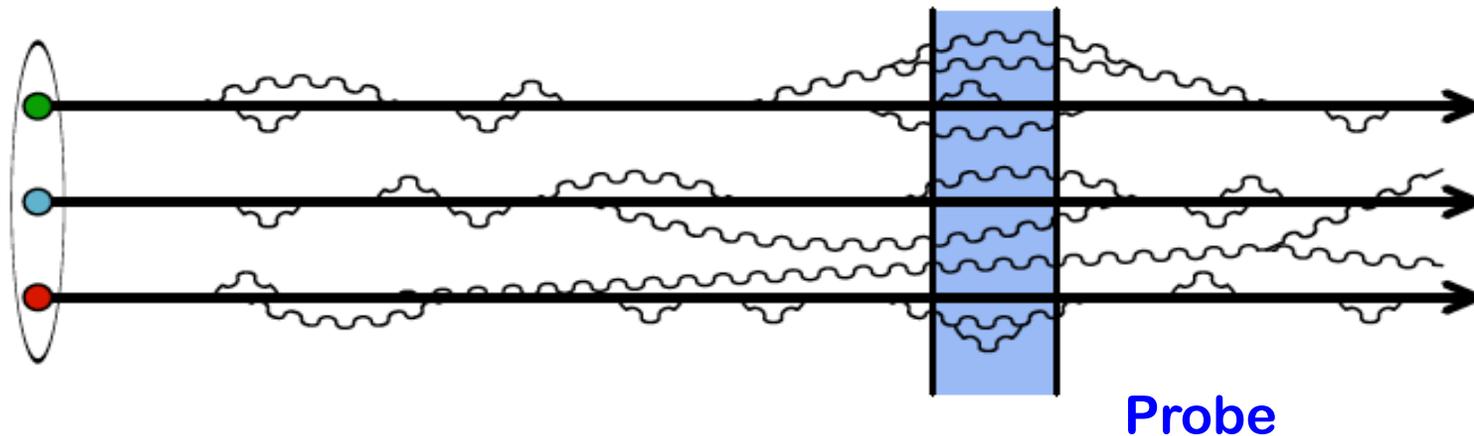
SIDIS could help!

EIC will have a much better reach in  $F_L$



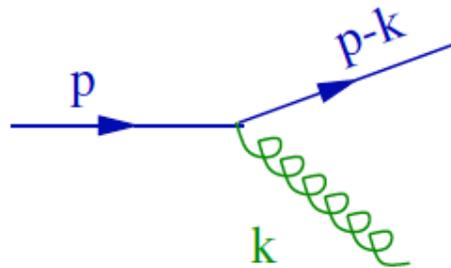
# Low-x physics has to exist

□ Gluon recombination and saturation ought to be there:



□ QCD color fluctuation taken place at various time scales:

✧ Radiation:



$$d\mathcal{P} \sim \alpha_s \frac{dk_T^2}{k_T^2} \frac{dx}{x}$$

✧ Leads to change of distributions – evolution:

DGLAP  $\frac{dk_T^2}{k_T^2} \rightarrow d \log(Q^2)$

BFKL  $\frac{dx}{x} \rightarrow d \log(1/x)$

Direct HERA data seems to indicate that the saturation is not there yet

# Can nucleus help?

- Hard probe – process with a large momentum transfer:

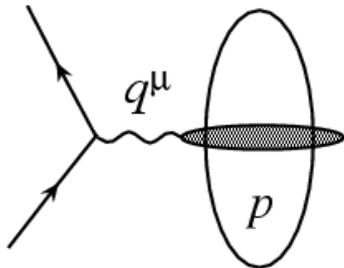
$$q^\mu \quad \text{with} \quad Q \equiv \sqrt{|q^2|} \gg \Lambda_{\text{QCD}}$$

- Size of a hard probe is very localized and much smaller than a typical hadron at rest:

$$\frac{1}{Q} \ll 2R \sim \text{fm}$$

- But, it might be larger than a Lorentz contracted hadron:

$$\frac{1}{Q} \sim \frac{1}{xp} \gg 2R \left( \frac{m}{p} \right) \quad \text{or equivalently} \quad x \ll x_c \equiv \frac{1}{2mR} \sim 0.1$$



If an active parton  $x$  is small enough  
the hard probe could cover several nucleons  
in a Lorentz contracted large nucleus!

# Power corrections become important

□ We measure cross sections – single hard scale:

$$\sigma(Q, \vec{s}) \propto \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \\ \dots \end{array} \right|^2$$

$\sigma_{AB}(Q, \vec{s}) \approx \sigma_{AB}^{(2)}(Q, \vec{s}) + \frac{Q_s}{Q} \sigma_{AB}^{(3)}(Q, \vec{s}) + \frac{Q_s^2}{Q^2} \sigma_{AB}^{(4)}(Q, \vec{s}) + \dots$

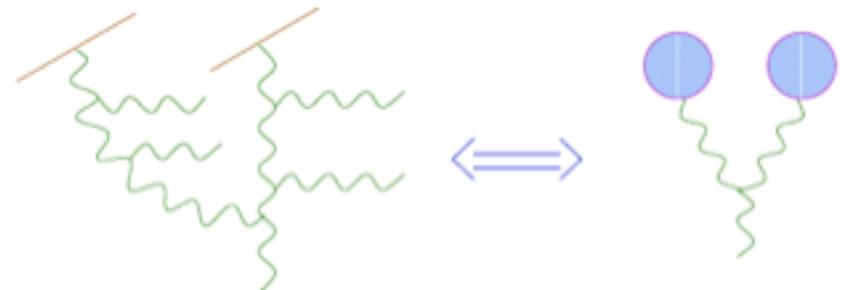
□ Saturation scale:

✧ Gluon shower: virtuality of the active parton before hard collision

$$Q_s^2(x) \sim \langle k_T^2 \rangle \sim \Lambda_{\text{QCD}}^2 \alpha_s \log \left( \frac{Q^2}{\Lambda_{\text{QCD}}^2} \right) \log \left( \frac{s}{Q^2} \right) \propto \log(1/x)$$

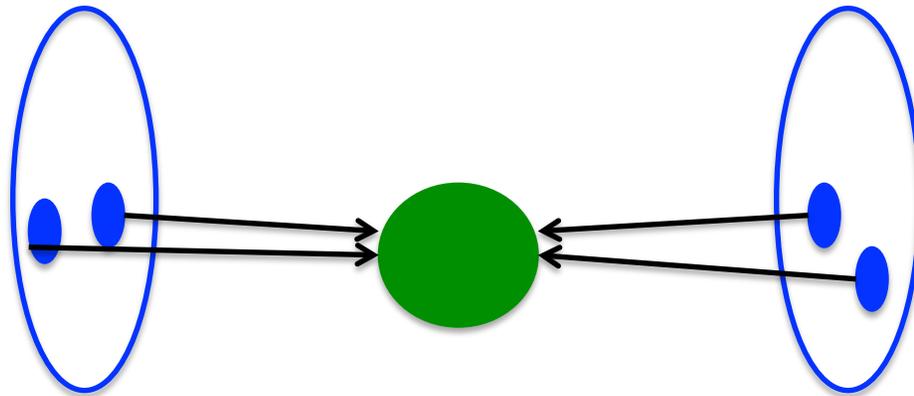
✧ Gluon recombination:

$$Q_s^2(x) \simeq \alpha_s \frac{xG(x, Q_s^2)}{\pi R^2} \sim \frac{1}{x^\lambda}$$



# Color coherence between nucleons

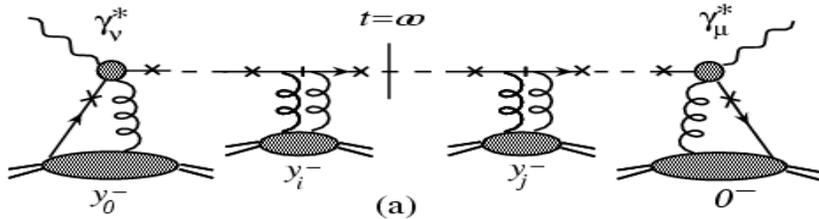
$A^{1/3}$  No color coherence between amp and cc



$A^{2/3}$  Complete color coherence in nucleus  
– more like proton case

# Additional multi-parton interaction

## Resummation of powers in $1/Q^2$



$$x_B^N \left[ (-1)^N \frac{1}{N!} \frac{d^N}{dx^N} \delta(x - x_B) \right]$$

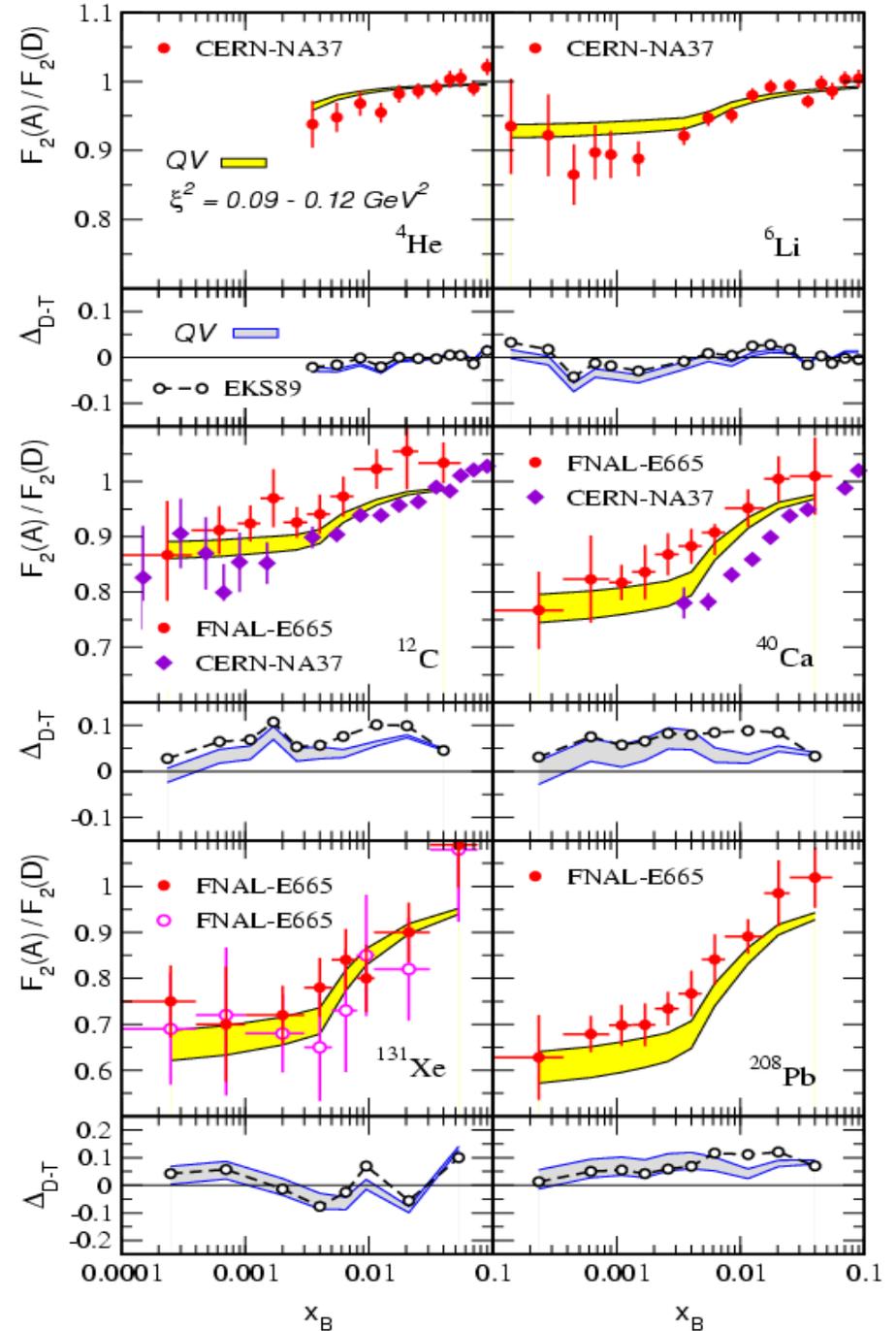
$$F_T(x_B, Q^2) = \sum_{n=0}^N \frac{1}{n!} \left[ \frac{\xi^2}{Q^2} (A^{1/3} - 1) \right]^n x_B^n \frac{d^n}{dx_B^n} F_T^{(0)}(x_B, Q^2)$$

$$\approx F_T^{(0)}(x_B(1 + \Delta), Q^2)$$

$$\Delta \equiv \frac{\xi^2}{Q^2} (A^{1/3} - 1)$$

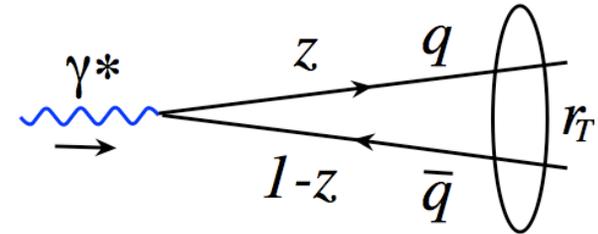
$$\xi^2 = \frac{3\pi\alpha_s}{8R^2} \langle F^{+\alpha} F_{\alpha}^+ \rangle$$

$$\xi^2 \sim 0.09 - 0.12 \text{ GeV}^2$$



# Golec-Biernat and Wustoff Model

□ In target rest frame:



$$\sigma_{T,L}^{\gamma^* p} = \int d^2 r_T \int dz |\psi_{T,L}(r_T, z, Q^2)|^2 \sigma_{q\bar{q}p}(r_T, x)$$

$$\sigma_{q\bar{q}p}(r_T, x) = \sigma_0 [1 - \exp(-r_T^2 Q_s^2(x))]$$

□ Saturation scale:

$$Q_s^2(x) \equiv Q_0^2 \left( \frac{x_0}{x} \right)^\lambda$$

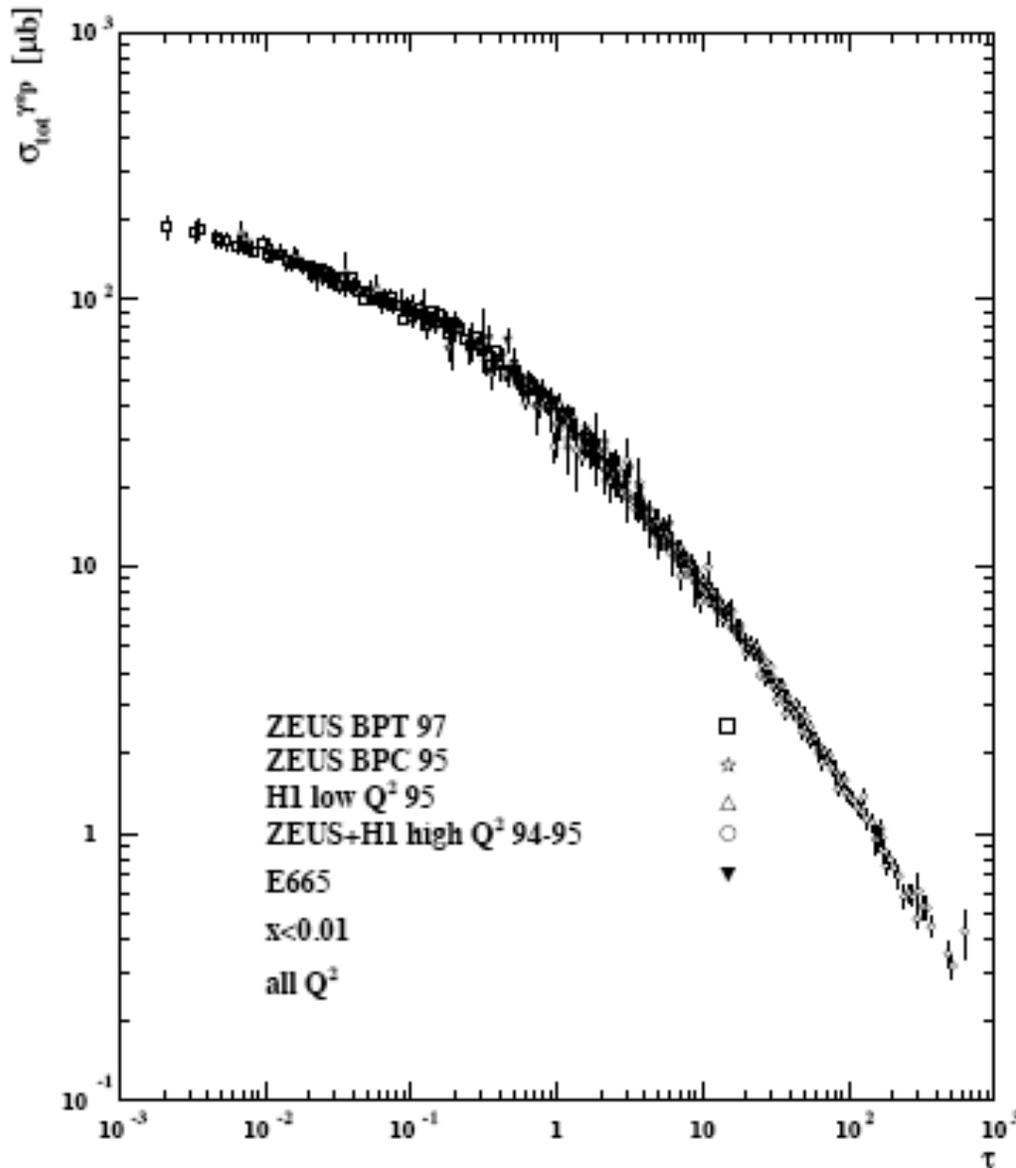
Fix all four parameters by fitting all HERA data with  $x < 0.01$  and all  $Q$

$$Q_0 = 1 \text{ GeV}; \quad \lambda = 0.3; \quad x_0 = 3 \cdot 10^{-4}; \quad \sigma_0 = 23 \text{ mb}$$

□ Prediction - geometric scaling:

$$\sigma_{T,L}^{\gamma^* p} = f_{T,L}(Q^2 / Q_s^2(x))$$

# Geometric scaling in HERA data



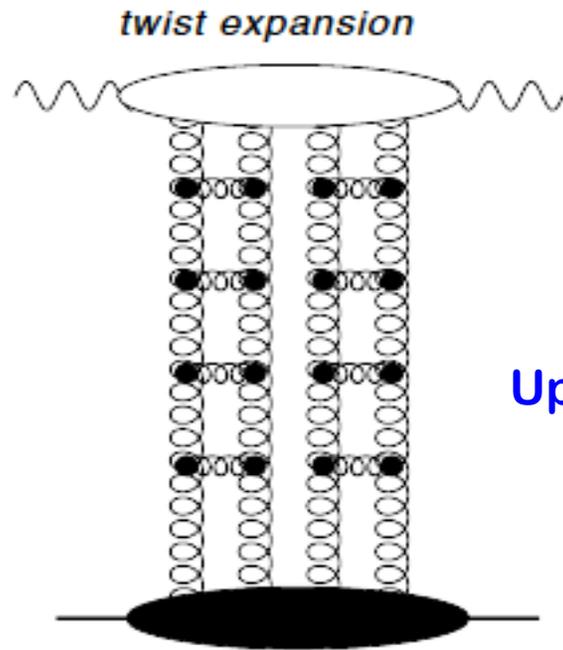
$$\tau \equiv \frac{Q^2}{Q_s^2(x)}$$

$$0.045 \leq Q^2 \leq 450 \text{ GeV}^2$$

# Multi-gluon interaction

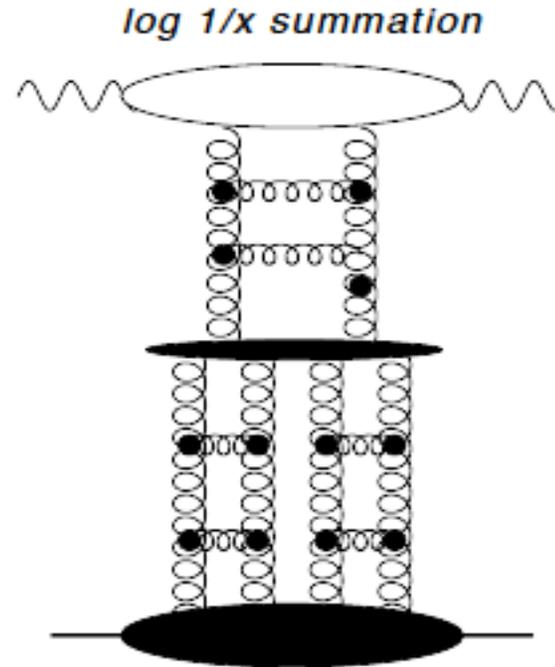
Bartels, INT

## □ Twist-4 contribution:



Up to  $A^{2/3}$

Correlation at the collision point



*BK equation, (saturation models)*

Correlation in the history of a single gluon

## □ Twist-4 contribution is important:

Positive to  $F_T$ , negative to  $F_L$ , almost cancel for  $F_2$

EIC measurement of  $F_T$  and  $F_L$  will be able to explore the difference

# Summary

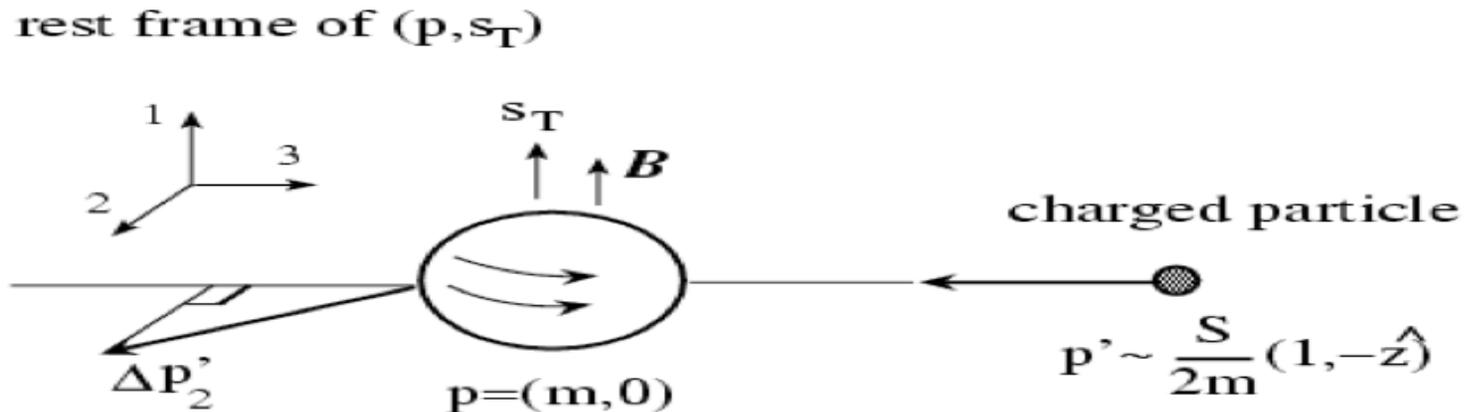
- ❑ After 35 years, we have learned a lot of QCD dynamics, but, only at very short-distance - less than 0.1 fm, and limited information on non-perturbative parton structure
- ❑ EIC with polarization provide a new program to test the new frontier research of QCD dynamics – key to the visible matter
- ❑ Understanding proton spin could provide **the first complete example** to describe the fundamental properties of hadrons
- ❑ Understanding the A-dependence of e+A collisions help understand the color distribution inside a large nucleus:
  - $A^{1/3}$  – color localized in nucleon,
  - $A^{2/3}$  – universal behavior between proton and a nucleus

**Thank you!**

**Backup slices**

# What the twist-3 distribution can tell us?

- The operator in Red – a classical Abelian case:



- Change of transverse momentum:

$$\frac{d}{dt} p'_2 = e(\vec{v}' \times \vec{B})_2 = -ev_3 B_1 = ev_3 F_{23}$$

- In the c.m. frame:

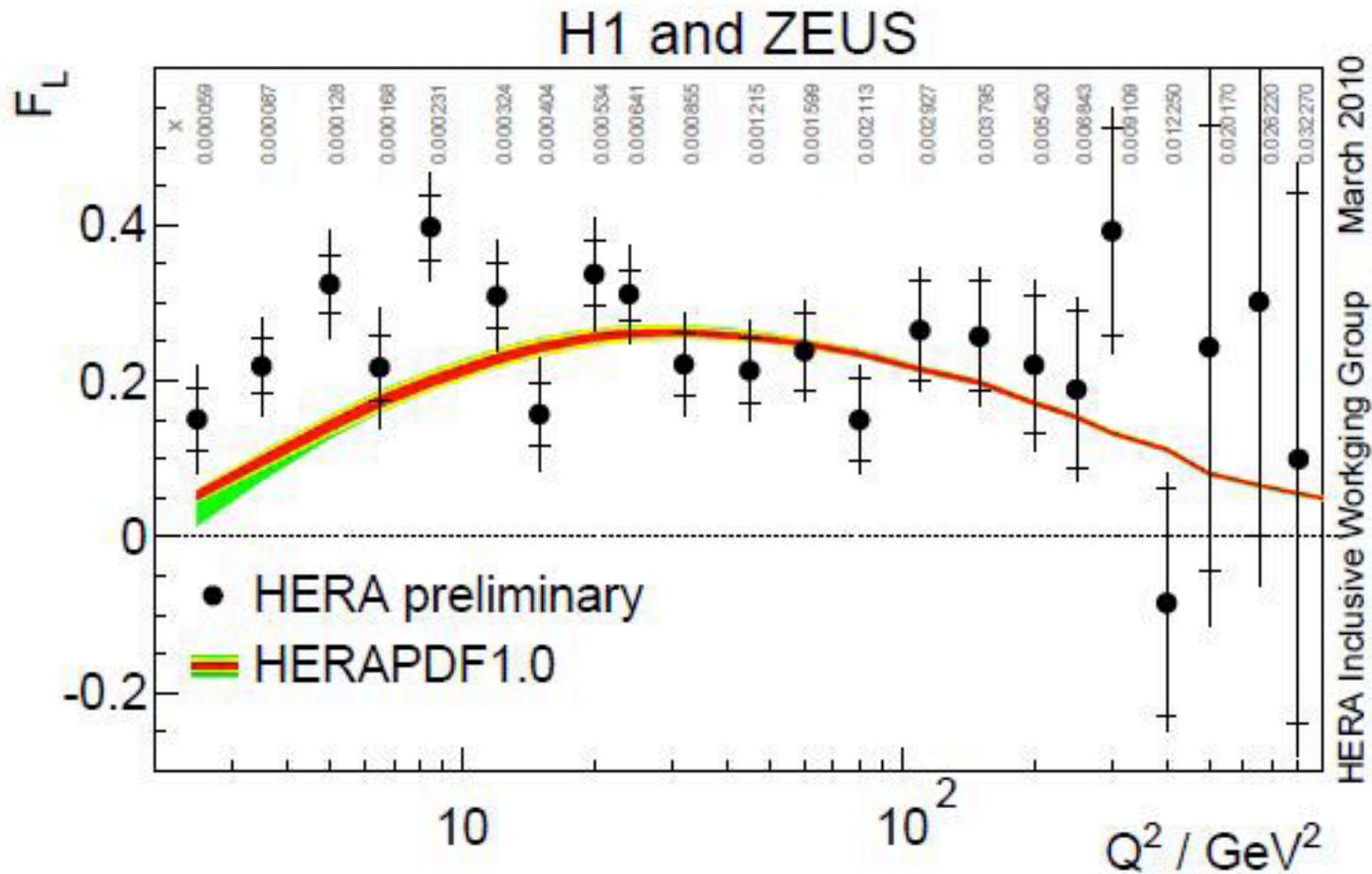
$$(m, \vec{0}) \rightarrow \bar{n} = (1, 0, 0_T), \quad (1, -\hat{z}) \rightarrow n = (0, 1, 0_T)$$

$$\implies \frac{d}{dt} p'_2 = e \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+$$

- The total change:

$$\Delta p'_2 = e \int dy^- \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y^-)$$

Net quark transverse momentum imbalance caused by color Lorentz force inside a transversely polarized proton



NLO DGLAP PDF (HERAPDF 1.0) give a reasonable description of the measured  $F_L$ .  
The  $F_L$  data not in the HERAPDF 1.0 fit.

# Improvement to $\Delta G$

□ **NNLO?**                      Probably not yet

□ **Key: Extrapolation to low x and high x**

✧ Large x: total contribution might be small  
due to the steep falling phase space

✧ Small x: larger phase space for shower and smaller Q  
for a fixed collision energy

$$\Rightarrow \text{Large } \langle k_T^2 \rangle \quad \ln(s/Q^2) \sim \ln(1/x)$$

□ **Collinear factorization does not work when**  $Q \sim Q_s(x) \sim \langle k_T \rangle$

$$G(x) = G^+(x) + G^-(x) \propto \frac{1}{x^{1+\alpha}} \quad \text{at small } x$$

$$\Delta G(x) = G^+(x) - G^-(x) \quad \text{Could be proportional to } \frac{1}{x^\alpha}$$

Not positive definite!

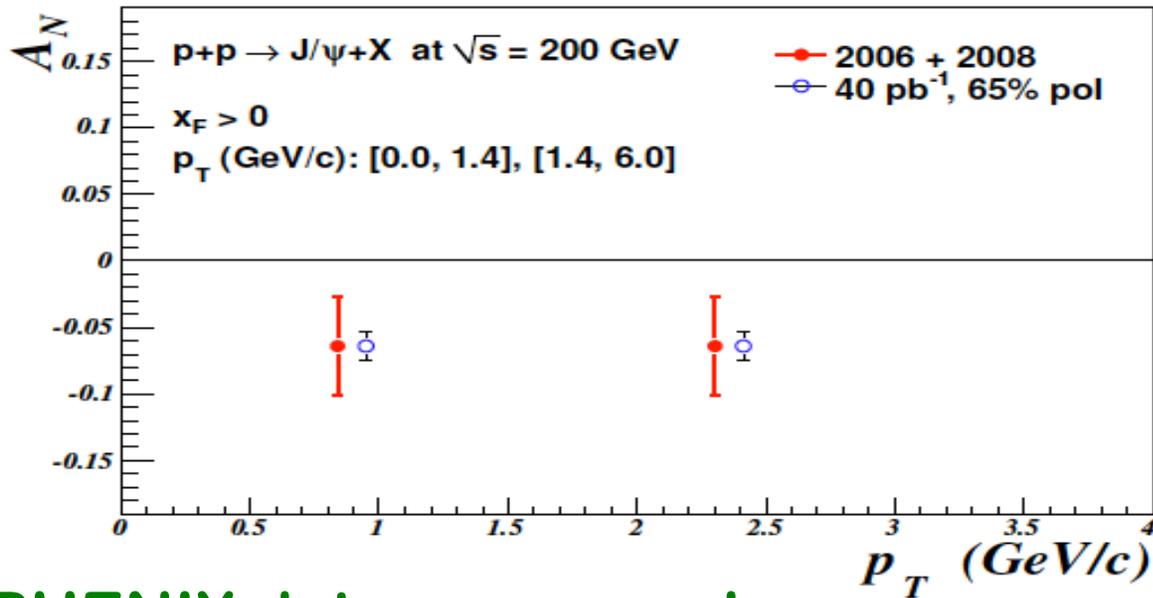
□ **Current understanding of  $\Delta G$  :**

$\Delta G \sim 2$  is unlikely, but,  $\Delta G \sim 1/4$  or  $1/2$  ( $1/4$ ) is still possible

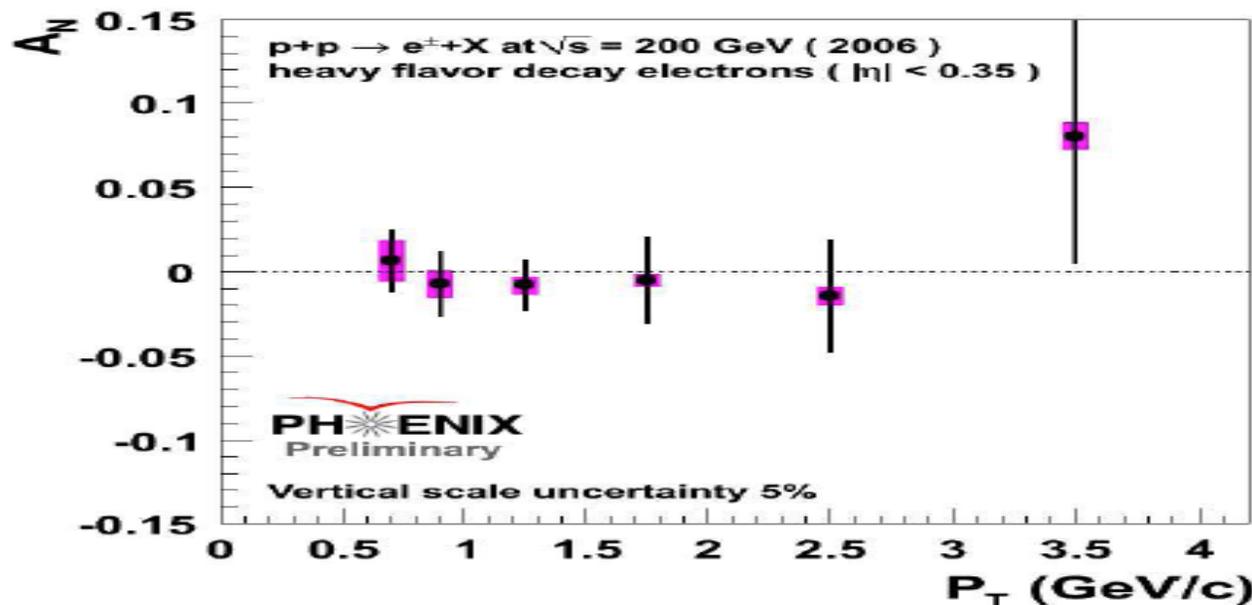
Theory effort is needed for understanding small-x behavior of  $\Delta G$  !  $\Delta G$

# SSA of charm production

## □ PHENIX data on J/psi:



## □ PHENIX data on open charm:



## TMD factorization:

- ◇ Gluon Sivers function
- ◇ Initial-state vs final-state

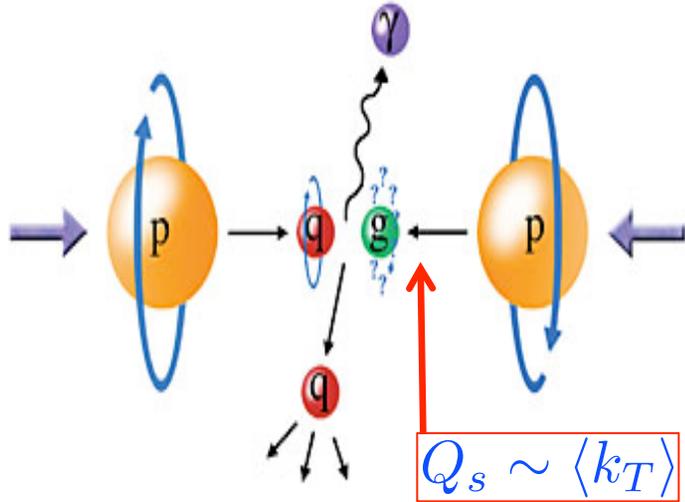
## Challenges:

- ◇ J/psi production mechanism
- ◇ TMD factorization for hadronic coll.

Collins, Qiu, Vogelsang, Yuan, Rogers, Mulder, ...

# QCD factorization – approximation

## □ Collinear factorization – single hard scale:



$$\frac{d\sigma}{dy dp_T^2} = \int \frac{dx}{x} q(x) \int \frac{dx'}{x'} g(x') \frac{d\hat{\sigma}_{qg \rightarrow \gamma q}}{dy dp_T^2} + \mathcal{O}(\alpha_s^2) + \mathcal{O}\left(\frac{Q_s}{P_T}\right)^n$$

Convolutd with a fragmentation function for inclusive single particle production

## □ Transverse momentum dependent (TMD) factorization:

$$\frac{d\sigma}{dp_T^2 dq_T^2} = \int \frac{dx}{x} \int d^2 k_T q(x, k_T) \int \frac{dx'}{x'} \int d^2 k'_T g(x', k'_T) \frac{d\hat{\sigma}}{dp_T^2 dq_T^2} + \mathcal{O}(\alpha_s^2) + \mathcal{O}\left(\frac{q_T}{p_T}\right)$$

✧ Two very different physics scales:  $p_T \gg q_T \gg \Lambda_{\text{QCD}} \sim 1/\text{fm}$

✧ Advantage: direct information on parton's transverse motion

✧ Challenges: theory effort needed to prove the factorization!